

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

*[Handwritten Signature]*

\_\_\_\_\_  
Signatory of Presiding Judge or Court Executive

*7/21/2011*  
\_\_\_\_\_  
Date

*Santa Cruz*  
\_\_\_\_\_  
Court

*FY2010-11 Quarter 3*  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

Santa Cruz Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Mar									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 12,848	\$ 49,154	\$ (189,916)	\$ 0			\$ 21,647	\$ (106,266)	\$ 129,390
Payroll	\$ (1,126)						\$ 0	\$ (1,126)	\$ (2,082)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0						\$ 157	\$ 157	\$ 401,155
Trust							\$ 162,193	\$ 162,193	\$ 80,600
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 682,953	\$ 682,953	\$ 1,204,284
Total Cash	\$ 20,072	\$ 49,154	\$ (189,916)	\$ 0			\$ 866,951	\$ 746,262	\$ 1,821,697
Short Term Investment	\$ 3,303,570	\$ 0					\$ 495,233	\$ 3,798,803	\$ 1,893,806
Investment in Financial Institution									
Total Investments	\$ 3,303,570	\$ 0					\$ 495,233	\$ 3,798,803	\$ 1,893,806
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (167)	\$ 0	\$ 0					\$ (167)	\$ 3,486
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 90						\$ 0	\$ 91	\$ 98
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ (76)	\$ 0	\$ 0	\$ 0			\$ 0	\$ (76)	\$ 3,584
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 3,323,566	\$ 49,154	\$ (189,916)	\$ 0			\$ 1,362,184	\$ 4,544,988	\$ 3,719,087
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 55,130	\$ 0	\$ 0	\$ 0			\$ 0	\$ 55,130	\$ 61,261
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 90	\$ 91	\$ 98
Due to Other Courts									\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability	\$ 0						\$ 516,985	\$ 516,985	\$ 401,155
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax									
Interest							\$ 75	\$ 75	\$ 13
Miscellaneous Accts. Pay. and Accrued Liab.							\$ 0	\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 55,131	\$ 0	\$ 0	\$ 0			\$ 517,151	\$ 572,281	\$ 462,527
Civil							\$ 145,582	\$ 145,582	\$ 70,947
Criminal									\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 682,953	\$ 682,953	\$ 1,204,284
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 828,535	\$ 828,535	\$ 1,275,231
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (540)							\$ (540)	\$ (240,601)
Deferred Compensation Payable	\$ 0							\$ 0	\$ (1)
Deductions Payable	\$ 10,102							\$ 10,102	\$ 11,960
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 9,562	\$ 0	\$ 0					\$ 9,562	\$ (228,643)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 6,415						\$ 5,878	\$ 12,293	\$ 5,704
Jury Fees - Non-Interest							\$ 10,620	\$ 10,620	\$ 7,000
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,415						\$ 16,498	\$ 22,913	\$ 12,704
Total Liabilities	\$ 71,107	\$ 0	\$ 0	\$ 0			\$ 1,362,184	\$ 1,433,291	\$ 1,521,820
Fund Balance - Restricted	\$ 0	\$ 785	\$ 0	\$ 0				\$ 785	\$ 72,967
Fund Balance - Unrestricted									
Designated	\$ 364,300							\$ 364,300	\$ 54,467
Undesignated	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 2,888,159	\$ 48,370	\$ (189,916)	\$ 0				\$ 2,746,612	\$ 2,069,833
Total Fund Balance	\$ 3,252,459	\$ 49,154	\$ (189,916)	\$ 0				\$ 3,111,697	\$ 2,197,268
Total Liabilities and Fund Balance	\$ 3,323,566	\$ 49,154	\$ (189,916)	\$ 0			\$ 1,362,184	\$ 4,544,988	\$ 3,719,087

Santa Cruz Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended Mar										
Fiscal Year 2010/11								2009/10		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects						
		Non-Grant	Grant							
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 12,277,213					\$ 12,277,213	\$ 16,420,687	\$ 11,187,146	\$ 14,996,171	
Trial Court Improvement Fund	\$ (1)					\$ (1)	\$ 54,381		\$ 35,289	
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)										
Court Interpreter (45.45)	\$ 421,914					\$ 421,914	\$ 691,105	\$ 477,893	\$ 679,058	
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 93,841					\$ 93,841	\$ 218,028	\$ 159,773	\$ 256,359	
Other Miscellaneous										
	\$ 12,792,967					\$ 12,792,967	\$ 17,384,201	\$ 11,824,812	\$ 15,966,877	
Grants										
AB 1058 Commissioner/Facilitator			\$ 1,237			\$ 1,237	\$ 285,712	\$ 0	\$ 285,047	
Other AOC Grants			\$ 26,897			\$ 26,897	\$ 52,734	\$ 29,000		
Non-AOC Grants										
			\$ 28,134			\$ 28,134	\$ 338,446	\$ 29,000	\$ 285,047	
Other Financing Sources										
Interest Income	\$ 4,790	\$ 89				\$ 4,880	\$ 8,797	\$ 4,869	\$ 20,812	
Investment Income										
Donations										
Local Fees	\$ 220,696					\$ 220,696	\$ 454,348	\$ 194,096	\$ 309,578	
Non-Fee Revenues	\$ 16,290					\$ 16,290	\$ 22,715	\$ 17,573	\$ 22,715	
Enhanced Collections		\$ 146,185				\$ 146,185	\$ 181,616	\$ 130,134	\$ 216,084	
Escheatment										
Prior Year Revenue										
County Program - Restricted		\$ 61,689				\$ 61,689	\$ 75,310	\$ 59,104	\$ 68,237	
Reimbursement Other	\$ 114,584					\$ 114,584	\$ 228,057	\$ 246,740	\$ 407,949	
Sale of Fixed Assets										
Other Miscellaneous	\$ 5,820					\$ 5,820	\$ 7,241	\$ 5,824	\$ 8,506	
	\$ 362,180	\$ 207,964				\$ 570,144	\$ 978,084	\$ 658,339	\$ 1,053,881	
<b>Total Revenues</b>	\$ 13,155,147	\$ 207,964	\$ 28,134			\$ 13,391,246	\$ 18,700,731	\$ 12,512,150	\$ 17,305,805	
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 5,161,615	\$ 85,637	\$ 138,508			\$ 5,385,761	\$ 7,697,835	\$ 5,992,398	\$ 7,954,683	
Temp Help	\$ 15,029					\$ 15,029		\$ (236)		
Overtime	\$ 139					\$ 139		\$ 73		
Staff Benefits	\$ 2,413,757	\$ 40,524	\$ 51,865			\$ 2,506,146	\$ 4,680,107	\$ 2,610,268	\$ 4,224,342	
	\$ 7,590,540	\$ 126,161	\$ 190,374			\$ 7,907,075	\$ 12,377,942	\$ 8,602,503	\$ 12,179,025	
Operating Expenses and Equipment										
General Expense	\$ 175,037		\$ 670			\$ 175,707	\$ 348,961	\$ 152,050	\$ 262,247	
Printing	\$ 44,678					\$ 44,678	\$ 50,000	\$ 57,986	\$ 52,500	
Telecommunications	\$ 69,860					\$ 69,860	\$ 216,523	\$ 129,263	\$ 138,300	
Postage	\$ 61,649					\$ 61,649	\$ 115,800	\$ 68,100	\$ 70,370	
Insurance	\$ 4,316					\$ 4,316	\$ 6,961	\$ 6,510	\$ 5,100	
In-State Travel	\$ 6,772	\$ 134	\$ 326			\$ 7,233	\$ 31,534	\$ 9,098	\$ 15,841	
Out-of-State Travel										
Training	\$ 1,040		\$ 855			\$ 1,895	\$ 2,300	\$ 4,614		
Security Services	\$ 1,283,666					\$ 1,283,666	\$ 2,783,020	\$ (14,644)	\$ 2,739,532	
Facility Operations	\$ 88,378					\$ 88,378	\$ 394,600	\$ 240,496	\$ 316,100	
Utilities										
Contracted Services	\$ 301,656	\$ 31,667	\$ 26,897			\$ 360,219	\$ 759,926	\$ 419,093	\$ 399,670	
Consulting and Professional Services	\$ 11,035			\$ 75,000		\$ 86,035	\$ 16,500	\$ 278,452	\$ 315,774	
Information Technology	\$ 337,267					\$ 337,267	\$ 747,387	\$ 432,091	\$ 704,474	
Major Equipment	\$ 17,263					\$ 17,263				
Other Items of Expense	\$ 1,908					\$ 1,908	\$ 2,900	\$ 3,351		
	\$ 2,404,525	\$ 31,801	\$ 28,748	\$ 75,000		\$ 2,540,074	\$ 5,476,412	\$ 1,786,461	\$ 5,019,908	
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 44,347					\$ 44,347	\$ 103,200	\$ 53,354	\$ 104,000	
Judgements, Settlements and Claims										
Debt Service										
Other									\$ 1,900	
Internal Cost Recovery							\$ 30,503	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 149,270	\$ 1,632	\$ 2,236			\$ 153,138		\$ 0		
	\$ 193,617	\$ 1,632	\$ 2,236			\$ 197,485	\$ 133,703	\$ 53,354	\$ 105,900	
<b>Total Expenditures</b>	\$ 10,188,682	\$ 159,594	\$ 221,357	\$ 75,000		\$ 10,644,633	\$ 17,988,057	\$ 10,442,317	\$ 17,304,833	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,966,465	\$ 48,370	\$ (193,223)	\$ (75,000)		\$ 2,746,612	\$ 712,674	\$ 2,069,833	\$ 972	
Operating Transfers In (Out)	\$ (78,307)		\$ 3,307	\$ 75,000		\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 364,300	\$ 785	\$ 0	\$ 0		\$ 365,085	\$ 365,085	\$ 127,435	\$ 127,435	
Ending Balance (Deficit)	\$ 3,252,459	\$ 49,154	\$ (189,916)	\$ 0		\$ 3,111,697	\$ 1,077,759	\$ 2,197,268	\$ 128,407	

Santa Cruz Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Mar									
Fiscal Year 2010/11								2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>									
Judges & Courtroom Support	\$ 2,233,771	\$ 1,434,431			\$ 38,963	\$ 3,707,166	\$ 3,892,953	\$ 2,820,165	\$ 4,590,678
Traffic & Other Infractions	\$ 435,703	\$ 35,676			\$ 9,775	\$ 481,154	\$ 673,261	\$ 643,129	\$ 868,100
Other Criminal Cases	\$ 558,282	\$ 61,439			\$ 16,841	\$ 636,562	\$ 749,650	\$ 824,433	\$ 1,222,761
Civil	\$ 707,382	\$ 70,537			\$ 22,797	\$ 800,716	\$ 1,646,444	\$ 1,171,647	\$ 1,330,280
Family & Children Services	\$ 400,996	\$ 41,805			\$ 8,876	\$ 451,676	\$ 985,117	\$ 437,838	\$ 785,280
Probate, Guardianship & Mental Health Services	\$ 207,225	\$ 2,460			\$ 2,333	\$ 212,018	\$ 320,031	\$ 1,919	\$ 162,461
Juvenile Dependency Services	\$ 186,495	\$ 635				\$ 187,130	\$ 183,149	\$ 235,215	\$ 336
Juvenile Delinquency Services		\$ 270			\$ 1,318	\$ 1,588	\$ 1,605	\$ 570	\$ 87,935
Other Court Operations	\$ 241,636	\$ 11,504			\$ 3,263	\$ 256,403	\$ 365,990	\$ 259,373	\$ 271,120
Court Interpreters	\$ 413,250	\$ 43,108			\$ 22,976	\$ 479,334	\$ 712,497	\$ 534,155	\$ 698,150
Jury Services	\$ 96,939	\$ 51,969	\$ 44,347		\$ 3,260	\$ 196,515	\$ 301,661	\$ 202,417	\$ 364,530
Security		\$ 59,149				\$ 59,149	\$ 2,759,053	\$ (14,014)	\$ 2,731,032
Trial Court Operations Program	\$ 5,481,679	\$ 1,812,982	\$ 44,347		\$ 130,401	\$ 7,469,409	\$ 12,591,411	\$ 7,116,847	\$ 13,112,663
Enhanced Collections	\$ 121,070	\$ 0			\$ 1,632	\$ 122,702	\$ 27,848	\$ 99,038	\$ 27,848
Other Non-Court Operations	\$ 609,878	\$ 39,401			\$ 4,795	\$ 654,074	\$ 761,602	\$ 479,069	\$ 650,808
Non-Court Operations Program	\$ 730,948	\$ 39,401			\$ 6,427	\$ 776,776	\$ 789,450	\$ 578,108	\$ 678,656
Executive Office	\$ 296,436	\$ 5,925			\$ 2,677	\$ 305,038	\$ 464,259	\$ 175,633	\$ 417,997
Fiscal Services	\$ 350,992	\$ 171,280			\$ 4,404	\$ 526,676	\$ 826,331	\$ 742,645	\$ 829,035
Human Resources	\$ 459,494	\$ 1,381			\$ 2,353	\$ 463,228	\$ 905,930	\$ 207,929	\$ 410,381
Business & Facilities Services	\$ 245,932	\$ 112,813			\$ 3,704	\$ 362,449	\$ 811,874	\$ 648,941	\$ 484,281
Information Technology	\$ 341,593	\$ 396,293			\$ 3,170	\$ 741,056	\$ 1,598,802	\$ 972,213	\$ 1,371,820
Court Administration Program	\$ 1,694,448	\$ 687,691			\$ 16,309	\$ 2,398,448	\$ 4,607,196	\$ 2,747,362	\$ 3,513,514
Prior Year Adjustments Not Posted to a Program									
<b>Total</b>	\$ 7,907,075	\$ 2,540,074	\$ 44,347		\$ 153,138	\$ 10,644,633	\$ 17,988,057	\$ 10,442,317	\$ 17,304,833