

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/02/2011

Date

San Joaquin

Court

FY2010-2011 - Qtr 1

Fiscal Year and Ending Quarter

San Joaquin Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

For the month ended Sep									
Fiscal Year 2010/11								2009/10	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (6,294,536)	\$ (133,731)	\$ (337,343)	\$ 6,456,855			\$ 71,781	\$ (236,973)	\$ 227,196
Payroll									
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 22,454	\$ 22,454	\$ 894,735
Civil Filing Fees		\$ 0					\$ 0	\$ 0	\$ 1,488,168
Trust							\$ 2,014,916	\$ 2,014,916	\$ 2,890,969
Credit Card									
Cash on Hand	\$ 10,010							\$ 10,010	\$ 9,960
Cash with County	\$ 2,423,064	\$ 217,059	\$ (6,621)				\$ 7,036	\$ 2,640,538	\$ 1,205,960
Total Cash	\$ (3,856,462)	\$ 83,328	\$ (343,964)	\$ 6,456,855			\$ 2,116,187	\$ 4,455,945	\$ 6,721,987
Short Term Investment	\$ 8,899,409	\$ 0		\$ 0			\$ 2,106,956	\$ 11,006,365	\$ 10,460,057
Investment in Financial Institution									
Total Investments	\$ 8,899,409	\$ 0		\$ 0			\$ 2,106,956	\$ 11,006,365	\$ 10,460,057
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 32,630		\$ 84,843					\$ 117,472	\$ 12,867
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	
Civil Jury Fees	\$ 8,740							\$ 8,740	\$ 2,276
Trust									
Due From Other Funds	\$ 2,095							\$ 2,095	\$ 1,653
Due From Other Governments	\$ 30,915	\$ 18,920	\$ 2,695					\$ 52,530	\$ 5,786
Due From Other Courts							\$ 0	\$ 0	
Due From State	\$ 0		\$ 0					\$ 0	\$ 149,613
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 74,380	\$ 18,920	\$ 87,537	\$ 0			\$ 0	\$ 180,837	\$ 172,196
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 5,117,326	\$ 102,248	\$ (256,426)	\$ 6,456,855			\$ 4,223,143	\$ 15,643,147	\$ 17,354,240
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 18,146	\$ 56	\$ 244				\$ 0	\$ 18,446	\$ 41,543
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 2,095	\$ 2,095	\$ 1,653
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 12,743
TC145 Liability							\$ 1,754,735	\$ 1,754,735	\$ 1,488,168
Due to Other Governments	\$ 0		\$ 0	\$ 6,456,855				\$ 6,456,855	\$ 6,419,552
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 720	\$ 720	\$ 408
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 18,146	\$ 56	\$ 244	\$ 6,456,855			\$ 1,757,550	\$ 8,232,852	\$ 7,964,067
Civil							\$ 1,197,730	\$ 1,197,730	\$ 1,491,102
Criminal							\$ 912,082	\$ 912,082	\$ 1,539,409
Unreconciled - Civil and Criminal							\$ 10,447	\$ 10,447	\$ 442,516
Trust Held Outside of the AOC							\$ 7,036	\$ 7,036	\$ 7,127
Trust Interest Payable							\$ 165,770	\$ 165,770	\$ 175,546
Miscellaneous Trust									
Total Trust Deposits							\$ 2,293,064	\$ 2,293,064	\$ 3,655,701
Accrued Payroll	\$ (1,138)	\$ 1,138	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (10,164)							\$ (10,164)	\$ (5,872)
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ (11,302)	\$ 1,138	\$ 0					\$ (10,164)	\$ (5,872)
Revenue Collected in Advance	\$ 5,150		\$ 0					\$ 5,150	\$ 5,675
Liabilities For Deposits	\$ 21,847	\$ 127					\$ 9,819	\$ 31,793	\$ 12,977
Jury Fees - Non-Interest							\$ 144,347	\$ 144,347	\$ 119,567
Fees - Partial Payment & Overpayment							\$ 18,362	\$ 18,362	\$ 12,210
Uncleared Collections	\$ 34,696							\$ 34,696	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 61,693	\$ 127	\$ 0				\$ 172,529	\$ 234,349	\$ 150,430
Total Liabilities	\$ 68,538	\$ 1,321	\$ 244	\$ 6,456,855			\$ 4,223,143	\$ 10,750,100	\$ 11,764,325
Fund Balance - Restricted	\$ 925,828	\$ 157,604						\$ 1,083,432	\$ 2,232,253
Fund Balance - Unrestricted									
Designated	\$ 2,586,162							\$ 2,586,162	\$ 2,313,903
Undesignated	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 1,536,799	\$ (56,676)	\$ (256,670)	\$ 0				\$ 1,223,453	\$ 1,043,758
Total Fund Balance	\$ 5,048,789	\$ 100,928	\$ (256,670)	\$ 0				\$ 4,893,047	\$ 5,589,914
Total Liabilities and Fund Balance	\$ 5,117,326	\$ 102,248	\$ (256,426)	\$ 6,456,855			\$ 4,223,143	\$ 15,643,147	\$ 17,354,240

San Joaquin Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended Sep											
Fiscal Year 2010/11									2009/10		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects							Debt Service
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 7,302,976						\$ 7,302,976	\$ 38,656,902	\$ 9,230,213	\$ 38,410,566	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 145,888	\$ 0	\$ 61,812	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 40,888						\$ 40,888	\$ 304,000	\$ 75,999	\$ 275,000	
Court Interpreter (45.45)	\$ 386,082						\$ 386,082	\$ 1,573,737	\$ 278,118	\$ 1,656,120	
Civil Coordination Reimbursement (45.55)	\$ 6,010						\$ 6,010	\$ 15,372			
MOU Reimbursements (45.10 and General)	\$ 19,857						\$ 19,857	\$ 777,525	\$ 53,189	\$ 819,846	
Other Miscellaneous											
	\$ 7,755,813						\$ 7,755,813	\$ 41,473,424	\$ 9,637,519	\$ 41,223,344	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 970,663		\$ 937,710	
Other AOC Grants			\$ (1,400)				\$ (1,400)	\$ 130,657		\$ 22,000	
Non-AOC Grants	\$ 0		\$ 0				\$ 0	\$ 1,071,363	\$ 7,170	\$ 321,870	
	\$ 0		\$ (1,400)				\$ (1,400)	\$ 2,172,683	\$ 7,170	\$ 1,281,580	
Other Financing Sources											
Interest Income	\$ 5,162	\$ 8		\$ 0			\$ 5,170	\$ 41,798	\$ 1,490	\$ 125,080	
Investment Income											
Donations	\$ 500						\$ 500		\$ 10,583	\$ 70,000	
Local Fees	\$ 34,664						\$ 34,664	\$ 264,086	\$ 41,234	\$ 270,923	
Non-Fee Revenues	\$ 1,965						\$ 1,965	\$ 51,732	\$ 10,901	\$ 57,476	
Enhanced Collections	\$ 0						\$ 0	\$ 162,918		\$ 64,082	
Escheatment											
Prior Year Revenue	\$ (31,277)						\$ (31,277)		\$ 928		
County Program - Restricted		\$ 5,890					\$ 5,890	\$ 628,652	\$ 39,910	\$ 584,777	
Reimbursement Other	\$ 1,955						\$ 1,955	\$ 60,100		\$ 58,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ (75)						\$ (75)	\$ 1,556	\$ 2,080	\$ 6,475	
	\$ 12,894	\$ 5,898		\$ 0			\$ 18,792	\$ 1,210,842	\$ 107,127	\$ 1,236,813	
Total Revenues	\$ 7,768,707	\$ 5,898	\$ (1,400)	\$ 0			\$ 7,773,206	\$ 44,856,949	\$ 9,751,815	\$ 43,741,737	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 3,646,539	\$ 23,976	\$ 161,110				\$ 3,831,624	\$ 18,487,139	\$ 3,732,029	\$ 18,203,252	
Temp Help	\$ 25,088	\$ 3,524	\$ 13,194				\$ 41,806	\$ 295,827	\$ 71,192	\$ 401,132	
Overtime	\$ 89						\$ 89		\$ 1,398		
Staff Benefits	\$ 2,037,786	\$ 10,298	\$ 75,043				\$ 2,123,128	\$ 11,363,450	\$ 2,145,545	\$ 11,280,384	
	\$ 5,709,502	\$ 37,798	\$ 249,347				\$ 5,996,647	\$ 30,146,416	\$ 5,950,165	\$ 29,884,768	
Operating Expenses and Equipment											
General Expense	\$ 90,167	\$ 485	\$ 1,011				\$ 91,662	\$ 797,226	\$ 151,119	\$ 948,091	
Printing	\$ 4,344	\$ 35	\$ 5,546				\$ 9,925	\$ 215,750	\$ 31,448	\$ 234,905	
Telecommunications	\$ 22,405						\$ 22,405	\$ 389,739	\$ 43,634	\$ 441,455	
Postage	\$ 44,810		\$ 128				\$ 44,937	\$ 252,767	\$ 71,654	\$ 245,027	
Insurance								\$ 9,919		\$ 10,700	
In-State Travel	\$ 4,972	\$ 75	\$ 191				\$ 5,237	\$ 49,382	\$ 4,389	\$ 61,064	
Out-of-State Travel											
Training	\$ 399						\$ 399	\$ 5,808	\$ 1,995	\$ 5,265	
Security Services	\$ 7,549						\$ 7,549	\$ 9,966,008	\$ 1,491,058	\$ 8,665,640	
Facility Operations	\$ 245	\$ 15	\$ 9				\$ 268	\$ 494,233	\$ 68,832	\$ 799,876	
Utilities								\$ 40,000	\$ 6	\$ 73,460	
Contracted Services	\$ 243,293	\$ 0	\$ 440				\$ 243,733	\$ 3,057,776	\$ 636,236	\$ 2,941,927	
Consulting and Professional Services	\$ 30		\$ 0				\$ 30	\$ 1,762,649	\$ 106,830	\$ 1,768,706	
Information Technology	\$ 21,718		\$ 0				\$ 21,718	\$ 375,036	\$ 26,898	\$ 286,500	
Major Equipment								\$ 40,000		\$ 20,000	
Other Items of Expense	\$ 3,307						\$ 3,307	\$ 20,000	\$ 1,748	\$ 22,060	
	\$ 443,237	\$ 609	\$ 7,324				\$ 451,170	\$ 17,476,293	\$ 2,635,847	\$ 16,524,676	
Special Items of Expense											
Grand Jury		\$ 22,768					\$ 22,768	\$ 125,000	\$ 22,940	\$ 103,000	
Jury Costs	\$ 79,183						\$ 79,183	\$ 396,100	\$ 97,884	\$ 428,000	
Judgements, Settlements and Claims								\$ 4,000			
Debt Service											
Other											
Internal Cost Recovery									\$ (1)	\$ 0	
Prior Year Expense Adjustment	\$ (15)						\$ (15)		\$ 1,221		
	\$ 79,168	\$ 22,768					\$ 101,936	\$ 525,099	\$ 122,045	\$ 531,000	
Total Expenditures	\$ 6,231,908	\$ 61,175	\$ 256,671				\$ 6,549,753	\$ 48,147,808	\$ 8,708,057	\$ 46,940,444	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,536,800	\$ (55,276)	\$ (258,071)	\$ 0			\$ 1,223,453	\$ (3,290,859)	\$ 1,043,758	\$ (3,198,707)	
Operating Transfers In (Out)	\$ (1)	\$ (1,400)	\$ 1,401				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,511,990	\$ 157,604	\$ 0	\$ 0			\$ 3,669,594	\$ 3,706,888	\$ 4,546,156	\$ 4,546,156	
Ending Balance (Deficit)	\$ 5,048,789	\$ 100,928	\$ (256,670)	\$ 0			\$ 4,893,047	\$ 416,029	\$ 5,589,914	\$ 1,347,449	

San Joaquin Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep									
Fiscal Year 2010/11								2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:									
Judges & Courtroom Support	\$ 2,112,532	\$ 118,211				\$ 2,230,744	\$ 11,406,554	\$ 2,295,639	\$ 11,634,834
Traffic & Other Infractions	\$ 550,416	\$ 24,829				\$ 575,244	\$ 3,112,113	\$ 592,562	\$ 2,976,985
Other Criminal Cases	\$ 832,771	\$ 5,343				\$ 838,114	\$ 4,744,451	\$ 818,375	\$ 4,558,858
Civil	\$ 558,555	\$ 2,357				\$ 560,913	\$ 2,779,490	\$ 623,055	\$ 3,108,710
Family & Children Services	\$ 502,537	\$ 7,300				\$ 509,836	\$ 2,630,376	\$ 450,353	\$ 2,539,617
Probate, Guardianship & Mental Health Services	\$ 159,679	\$ 394				\$ 160,073	\$ 866,639	\$ 172,945	\$ 854,151
Juvenile Dependency Services	\$ 59,258	\$ 395				\$ 59,654	\$ 448,150	\$ 58,938	\$ 286,742
Juvenile Delinquency Services	\$ 88,370	\$ 141				\$ 88,511	\$ 558,890	\$ 131,396	\$ 565,871
Other Court Operations	\$ 244,093	\$ 13,883				\$ 257,975	\$ 2,187,668	\$ 369,211	\$ 1,789,617
Court Interpreters	\$ 200,983	\$ 114,750				\$ 315,734	\$ 1,718,188	\$ 348,733	\$ 1,656,120
Jury Services	\$ 41,257	\$ 23,687	\$ 79,183			\$ 144,127	\$ 855,330	\$ 169,807	\$ 836,786
Security		\$ 7,873				\$ 7,873	\$ 10,036,228	\$ 1,493,824	\$ 8,687,840
Trial Court Operations Program	\$ 5,350,451	\$ 319,163	\$ 79,183			\$ 5,748,797	\$ 41,344,077	\$ 7,524,837	\$ 39,496,131
Enhanced Collections	\$ 10,170	\$ 10,173				\$ 20,343	\$ 161,625	\$ 12,473	\$ 64,562
Other Non-Court Operations	\$ 7,449	\$ 0	\$ 22,768			\$ 30,217	\$ 339,443	\$ 60,594	\$ 320,222
Non-Court Operations Program	\$ 17,619	\$ 10,173	\$ 22,768			\$ 50,560	\$ 501,068	\$ 73,066	\$ 384,784
Executive Office	\$ 145,340	\$ 979			\$ 8	\$ 146,327	\$ 778,771	\$ 151,292	\$ 768,449
Fiscal Services	\$ 146,263	\$ 4,058				\$ 150,321	\$ 1,031,223	\$ 208,442	\$ 1,054,373
Human Resources	\$ 118,035	\$ 5,726				\$ 123,761	\$ 647,153	\$ 132,057	\$ 624,849
Business & Facilities Services	\$ 68,093	\$ 82,573				\$ 150,666	\$ 1,118,008	\$ 252,383	\$ 1,653,498
Information Technology	\$ 150,846	\$ 28,497			\$ (23)	\$ 179,321	\$ 2,727,508	\$ 365,980	\$ 2,958,360
Court Administration Program	\$ 628,577	\$ 121,834			\$ (15)	\$ 750,396	\$ 6,302,663	\$ 1,110,154	\$ 7,059,529
Prior Year Adjustments Not Posted to a Program									
Total	\$ 5,996,647	\$ 451,170	\$ 101,951		\$ (15)	\$ 6,549,753	\$ 48,147,808	\$ 8,708,057	\$ 46,940,444