

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

8-9-11

Date

ALAMEDA

Court

FY10/11 Q1

Fiscal Year and Ending Quarter

QUALIFICATION:

The signature and certification on the previous page represents a qualification of the underlying financial statements due to the AOC's methodology in which revenue and expense accruals are reflected and contained herein. It is our understanding that AOC authorities are aware and acknowledge the shortcoming in their accrual methodology.

Alameda Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

		For the month ended Sep						2009/10	2009/10	
		Fiscal Year 2010/11								
		Governmental Funds						Total Funds	Total Funds	
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		General	Non-Grant	Grant						
ASSETS										
Operations		\$ (4,463,383)	\$ 1,228,983	\$ (1,803,761)			\$ 76,760	\$ (4,961,401)	\$ 2,609,202	
Payroll		\$ 1,827,762	\$ 0					\$ 1,827,762	\$ (35,082)	
Jury Revolving		\$ 50,000						\$ 50,000	\$ 70,000	
Other Distribution		\$ 0						\$ 0	\$ 50,000	
Civil Filing Fees							\$ 0	\$ 0	\$ 2,072,816	
Trust Credit Card							\$ 0	\$ 0	\$ 0	
Cash on Hand		\$ 26,315						\$ 26,315	\$ 24,875	
Cash with County		\$ 114,376					\$ 13,175,060	\$ 13,289,436	\$ 12,276,728	
Total Cash		\$ (2,444,930)	\$ 1,228,983	\$ (1,803,761)			\$ 13,251,820	\$ 10,282,442	\$ 17,068,538	
Short Term Investment		\$ 28,780,923	\$ 0				\$ 1,799,651	\$ 30,580,574	\$ 29,742,339	
Investment in Financial Institution										
Total Investments		\$ 28,780,923	\$ 0				\$ 1,799,651	\$ 30,580,574	\$ 29,742,339	
Accrued Revenue		\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Receivable - General		\$ 488,924	\$ 0	\$ 1,803,625				\$ 2,292,548	\$ 1,213,374	
Dishonored Checks										
Due From Employee										
Civil Jury Fees										
Trust										
Due From Other Funds		\$ 0						\$ 0	\$ 0	
Due From Other Governments		\$ 138	\$ 0	\$ 0				\$ 138	\$ 0	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From										
Total Receivables		\$ 489,062	\$ 0	\$ 1,803,625			\$ 0	\$ 2,292,687	\$ 1,213,374	
Prepaid Expenses - General		\$ 97,081						\$ 97,081	\$ 246,273	
Salary and Travel Advances										
Counties		\$ 0						\$ 0	\$ 0	
Total Prepaid Expenses		\$ 97,081						\$ 97,081	\$ 246,273	
Other Assets										
Total Other Assets										
Total Assets		\$ 26,922,135	\$ 1,228,983	\$ (136)			\$ 15,051,471	\$ 43,202,453	\$ 48,270,525	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities		\$ 5,823,190	\$ 0	\$ 0				\$ 5,823,190	\$ 18,065,573	
Accounts Payable - General		\$ 134,394	\$ 0	\$ 960			\$ 0	\$ 135,354	\$ 481,894	
Due to Other Funds		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Due to Other Courts										
Due to State										
TC145 Liability							\$ 1,876,168	\$ 1,876,168	\$ 2,072,816	
Due to Other Governments		\$ 2,611,754	\$ 0	\$ 0			\$ 5,777,474	\$ 8,389,228	\$ 5,936,324	
AB145 Due to Other Government Agency										
Due to Other Public Agencies		\$ 0						\$ 0	\$ 2,069	
Sales and Use Tax								\$ 243	\$ 0	
Interest		\$ 0					\$ 243	\$ 243	\$ 0	
Miscellaneous Accts. Pay. and Accrued Liab.		\$ 0						\$ 0	\$ 0	
Total Accounts Payable and Accrued Liab.		\$ 8,569,338	\$ 0	\$ 960			\$ 7,653,886	\$ 16,224,864	\$ 26,558,678	
Civil Criminal							\$ 0	\$ 0	\$ 0	
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 7,397,585	\$ 7,397,585	\$ 6,085,204	
Trust Interest Payable										
Miscellaneous Trust										
Total Trust Deposits							\$ 7,397,585	\$ 7,397,585	\$ 6,085,204	
Accrued Payroll		\$ 5,313,242	\$ 56,512					\$ 5,369,754	\$ 5,122,996	
Benefits Payable		\$ 124,341						\$ 124,341	\$ 171,335	
Deferred Compensation Payable		\$ 0						\$ 0	\$ 0	
Deductions Payable		\$ (228,733)						\$ (228,733)	\$ 238,055	
Payroll Clearing		\$ 0	\$ 0					\$ 0	\$ 0	
Total Payroll Liabilities		\$ 5,208,850	\$ 56,512					\$ 5,269,362	\$ 5,532,386	
Revenue Collected in Advance		\$ 79,959		\$ 13,056				\$ 93,015	\$ 58,508	
Liabilities For Deposits		\$ 81,364		\$ 21				\$ 81,385	\$ 73,337	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections										
Other Miscellaneous Liabilities										
Total Other Liabilities		\$ 161,323		\$ 13,077				\$ 174,400	\$ 131,844	
Total Liabilities		\$ 13,939,511	\$ 56,512	\$ 14,037			\$ 15,051,471	\$ 29,061,593	\$ 38,308,110	
Fund Balance - Restricted		\$ 0	\$ 1,207,675	\$ 262,989				\$ 1,470,664	\$ 1,470,664	
Fund Balance - Unrestricted										
Designated		\$ 9,699,092						\$ 9,699,092	\$ 9,699,092	
Undesignated		\$ 8,532,658	\$ 106,301	\$ 549,311				\$ 9,188,270	\$ 0	
Excess (Deficit) of Rev. Over Expenses/Op.		\$ (5,249,125)	\$ (141,504)	\$ (826,473)				\$ (6,217,103)	\$ (1,207,341)	
Total Fund Balance		\$ 12,982,624	\$ 1,172,471	\$ (14,173)				\$ 14,140,922	\$ 9,362,415	
Total Liabilities and Fund Balance		\$ 26,922,135	\$ 1,228,983	\$ (136)			\$ 15,051,471	\$ 43,202,453	\$ 48,270,525	

Alameda Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep 2011										
Fiscal Year 2010/11										
2009/10										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 16,292,255	\$ 0					\$ 16,292,255	\$ 114,262,849	\$ 26,838,043	\$ 109,386,942
Trial Court Improvement Fund	\$ 47,163						\$ 47,163	\$ 264,451	\$ 10,956	\$ 75,000
Judicial Administration Efficiency & Mod Fund	\$ 12,852						\$ 12,852	\$ 1,227,332	\$ (256,400)	\$ 125,425
Judges' Compensation (45.25)	\$ 165,344						\$ 165,344	\$ 655,000	\$ 163,875	\$ 645,000
Court Interpreter (45.45)	\$ 497,000						\$ 497,000	\$ 2,750,000	\$ 706,207	\$ 3,125,000
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ (7,646)						\$ (7,646)	\$ 2,245,610	\$ 352,655	\$ 2,301,126
Other Miscellaneous										
	\$ 17,006,968	\$ 0					\$ 17,006,968	\$ 121,405,242	\$ 27,616,336	\$ 115,658,493
Grants										
AB 1058 Commissioner/Facilitator	\$ 0		\$ 407,203				\$ 407,203	\$ 1,856,321	\$ (113,560)	\$ 1,916,143
Other AOC Grants			\$ 8,722				\$ 8,722	\$ 261,132	\$ 0	\$ 27,300
Non-AOC Grants	\$ (35,666)		\$ 4,850				\$ (30,816)	\$ 847,553	\$ (27,409)	\$ 1,176,719
	\$ (35,666)		\$ 420,776				\$ (35,666)	\$ 2,965,008	\$ (140,969)	\$ 3,120,162
Other Financing Sources										
Interest Income	\$ 1,139	\$ 0					\$ 1,139	\$ 133,000	\$ 563	\$ 126,000
Investment Income										
Donations	\$ 0						\$ 0		\$ 1,983	\$ 8,000
Local Fees	\$ 125,356	\$ 56,698					\$ 182,054	\$ 1,030,000	\$ 179,801	\$ 993,000
Non-Fee Revenues	\$ 19,200						\$ 19,200	\$ 25,000		\$ 62,000
Enhanced Collections										
Escheatment										
Prior Year Revenue										
County Program - Restricted										
Reimbursement Other	\$ 35,032	\$ (10,719)					\$ (10,719)	\$ 785,000	\$ 200	\$ 790,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 11,830						\$ 11,830	\$ 15,000	\$ 7,994	\$ 12,000
	\$ 192,557	\$ 45,979					\$ 238,537	\$ 2,238,000	\$ 249,998	\$ 2,244,000
Total Revenues	\$ 17,183,880	\$ 46,979	\$ 420,776				\$ 17,630,614	\$ 126,608,248	\$ 27,725,365	\$ 121,019,655
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 13,133,750	\$ 139,874	\$ 154,540				\$ 13,428,165	\$ 54,579,822	\$ 13,243,619	\$ 52,147,205
Temp Help	\$ 313,191		\$ 5,454				\$ 318,645	\$ 1,256,382	\$ 237,914	\$ 1,299,900
Overtime	\$ 21,664						\$ 21,664	\$ 159,127	\$ 10,788	\$ 47,500
Staff Benefits	\$ 6,495,230	\$ 57,435	\$ 58,791				\$ 6,611,456	\$ 28,635,473	\$ 6,508,948	\$ 26,585,735
	\$ 19,963,835	\$ 197,310	\$ 218,785				\$ 20,379,929	\$ 84,630,804	\$ 20,001,169	\$ 80,080,340
Operating Expenses and Equipment										
General Expense	\$ 247,294	\$ 401	\$ 8,606				\$ 256,300	\$ 2,208,402	\$ 251,430	\$ 2,488,590
Printing	\$ 53,572						\$ 53,572	\$ 405,000	\$ 34,862	\$ 200,000
Telecommunications	\$ 176,073						\$ 176,073	\$ 1,270,000	\$ 62,462	\$ 1,595,000
Postage	\$ 255,818						\$ 255,818	\$ 671,000	\$ 205,137	\$ 636,000
Insurance									\$ 600	
In-State Travel	\$ 7,347		\$ 1,698				\$ 9,045	\$ 102,965	\$ 14,571	\$ 84,191
Out-of-State Travel										
Training	\$ 1,896						\$ 1,896	\$ 112,508	\$ 1,541	\$ 44,217
Security Services	\$ 568,989						\$ 568,989	\$ 21,075,000	\$ 5,495,120	\$ 20,942,000
Facility Operations	\$ 377,687						\$ 377,687	\$ 3,213,790	\$ 79,348	\$ 1,625,790
Utilities										
Contracted Services	\$ 928,735	\$ (10,227)	\$ 18,335				\$ 936,842	\$ 5,980,385	\$ 1,470,548	\$ 6,197,785
Consulting and Professional Services	\$ 218,580						\$ 218,580	\$ 2,952,000	\$ 883,211	\$ 4,745,000
Information Technology	\$ 394,043						\$ 394,043	\$ 1,232,000	\$ 255,390	\$ 609,000
Major Equipment	\$ 47,170						\$ 47,170	\$ 455,000	\$ (27,092)	\$ 20,000
Other Items of Expense	\$ 396						\$ 396	\$ 35,000	\$ 1,990	\$ 35,000
	\$ 3,277,599	\$ (9,827)	\$ 28,638				\$ 3,296,411	\$ 39,730,048	\$ 8,749,117	\$ 39,222,573
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 171,377						\$ 171,377	\$ 890,000	\$ 182,420	\$ 925,000
Judgements, Settlements and Claims										
Debt Service										
Other										
Internal Cost Recovery	\$ (60,902)		\$ 60,902				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (938,923)		\$ 938,923				\$ 0	\$ 0	\$ 0	\$ 0
	\$ (828,449)		\$ 999,826				\$ 171,377	\$ 890,000	\$ 182,420	\$ 925,000
Total Expenditures	\$ 22,312,985	\$ 197,483	\$ 1,247,249				\$ 23,847,717	\$ 125,738,852	\$ 28,932,706	\$ 120,227,913
Excess (Deficit) of Revenues Over Expenditures	\$ (5,249,125)	\$ (141,504)	\$ (826,473)				\$ (6,217,103)	\$ 1,374,396	\$ (1,207,341)	\$ 791,742
Operating Transfers In (Out)								\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 18,231,749	\$ 1,313,975	\$ 812,300				\$ 20,358,025	\$ 20,358,025	\$ 11,169,755	\$ 11,169,755
Ending Balance (Deficit)	\$ 12,982,624	\$ 1,172,471	\$ (417)				\$ 14,140,922	\$ 21,732,421	\$ 9,962,415	\$ 11,961,497

Alameda Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended Sep								
	Fiscal Year 2010/11						2009/10		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:									
Judges & Courtroom Support	\$ 7,760,707	\$ 586,118			\$ (821)	\$ 8,346,004	\$ 40,372,840	\$ 8,169,875	\$ 34,607,968
Traffic & Other Infractions	\$ 1,225,844	\$ 146,056				\$ 1,371,900	\$ 6,898,820	\$ 1,434,068	\$ 6,618,970
Other Criminal Cases	\$ 1,764,606	\$ 95,208			\$ 0	\$ 1,859,814	\$ 8,558,268	\$ 1,855,047	\$ 8,263,719
Civil	\$ 1,766,582	\$ 27,632				\$ 1,794,214	\$ 8,941,990	\$ 1,803,165	\$ 7,766,902
Family & Children Services	\$ 1,868,587	\$ 118,148			\$ 821	\$ 1,987,556	\$ 6,403,396	\$ 1,937,698	\$ 9,392,210
Probate, Guardianship & Mental Health Services	\$ 460,074	\$ (9,495)				\$ 450,579	\$ 2,137,686	\$ 533,308	\$ 2,631,848
Juvenile Dependency Services	\$ 40,798	\$ 16,214				\$ 57,012	\$ 1,199,573	\$ 789,551	\$ 872,680
Juvenile Delinquency Services	\$ 358,888	\$ (8,542)				\$ 350,346	\$ 1,503,172	\$ 362,013	\$ 1,388,820
Other Court Operations	\$ 542,239	\$ 20,975				\$ 563,214	\$ 2,585,200	\$ 477,435	\$ 2,113,150
Court Interpreters	\$ 622,279	\$ 131,044				\$ 753,323	\$ 3,210,214	\$ 818,981	\$ 3,455,800
Jury Services	\$ 291,477	\$ 186,368	\$ 171,377			\$ 649,222	\$ 2,751,418	\$ 625,173	\$ 2,424,890
Security	\$ 743,744	\$ 589,835			\$ 0	\$ 1,333,579	\$ 24,912,304	\$ 6,333,438	\$ 25,036,383
Trial Court Operations Program	\$ 17,445,825	\$ 1,899,561	\$ 171,377		\$ 0	\$ 19,516,763	\$ 109,474,881	\$ 25,139,753	\$ 104,573,340
Enhanced Collections									\$ 131,800
Other Non-Court Operations	\$ 211,624	\$ (997)				\$ 210,627	\$ 785,000	\$ 239,066	\$ 790,000
Non-Court Operations Program	\$ 211,624	\$ (997)				\$ 210,627	\$ 785,000	\$ 239,066	\$ 921,800
Executive Office	\$ 521,752	\$ (1,948)		\$ 0	\$ 0	\$ 519,804	\$ 1,968,179	\$ 460,942	\$ 2,199,780
Fiscal Services	\$ 650,261	\$ 81,288		\$ 0	\$ 0	\$ 731,549	\$ 2,849,987	\$ 829,685	\$ 2,586,594
Human Resources	\$ 327,658	\$ 28,691		\$ 0	\$ 0	\$ 356,349	\$ 1,442,795	\$ 366,818	\$ 2,257,996
Business & Facilities Services	\$ 128,113	\$ 692,253		\$ 0	\$ 0	\$ 820,365	\$ 2,169,727	\$ 549,957	\$ 1,607,747
Information Technology	\$ 1,094,697	\$ 597,564		\$ 0	\$ 0	\$ 1,692,260	\$ 6,543,283	\$ 1,346,486	\$ 6,080,656
Court Administration Program	\$ 2,722,480	\$ 1,397,847		\$ 0	\$ 0	\$ 4,120,326	\$ 14,973,971	\$ 3,553,886	\$ 14,702,773
Prior Year Adjustments Not Posted to a Program									
Total	\$ 20,379,929	\$ 3,296,411	\$ 171,377	\$ 0	\$ 0	\$ 23,847,717	\$ 125,233,852	\$ 28,932,706	\$ 120,227,913