

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Yolo Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 160,794	\$ (77,545)	\$ (103,112)	\$ 270			\$ 4,783	\$ (14,810)	\$ (1,292)
Payroll	\$ 2,090	\$ 0						\$ 2,090	\$ 155,646
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Total Cash	\$ 178,561	\$ (77,545)	\$ (103,112)	\$ 270			\$ 4,783	\$ 2,957	\$ 170,031
Short Term Investment	\$ 5,899,294						\$ 262,137	\$ 6,161,431	\$ 4,734,323
Investment in Financial Institution									
Total Investments	\$ 5,899,294						\$ 262,137	\$ 6,161,431	\$ 4,734,323
Accrued Revenue	\$ 0		\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General			\$ 59,465					\$ 59,465	\$ 59,895
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Governments	\$ 8,000	\$ 0						\$ 8,000	\$ 8,000
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 8,000	\$ 0	\$ 59,465	\$ 0			\$ 0	\$ 67,465	\$ 67,895
Prepaid Expenses - General									\$ 245
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									\$ 245
Other Assets									
Total Other Assets									
Total Assets	\$ 6,085,854	\$ (77,545)	\$ (43,647)	\$ 270			\$ 266,920	\$ 6,231,852	\$ 4,972,494
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ (73)	\$ 0	\$ 0	\$ 0			\$ 0	\$ (73)	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 266,903	\$ 266,903	\$ 244,963
Due to Other Governments									\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 17	\$ 17	\$ 37
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ (73)	\$ 0	\$ 0	\$ 0			\$ 266,920	\$ 266,847	\$ 245,000
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits									
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 86,533							\$ 86,533	\$ 23,140
Deferred Compensation Payable	\$ 0							\$ 0	\$ 667
Deductions Payable	\$ 64,312							\$ 64,312	\$ (4,647)
Payroll Clearing									
Total Payroll Liabilities	\$ 150,845	\$ 0	\$ 0					\$ 150,845	\$ 19,161
Revenue Collected in Advance	\$ 438,438							\$ 438,438	\$ 438,438
Liabilities For Deposits	\$ 10,473							\$ 10,473	\$ 6,621
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 448,911							\$ 448,911	\$ 445,059
Total Liabilities	\$ 599,683	\$ 0	\$ 0	\$ 0			\$ 266,920	\$ 866,603	\$ 709,219
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 4,015,729	\$ 0	\$ 0	\$ 258				\$ 4,015,987	\$ 4,015,987
Fund Balance - Committed									
Fund Balance - Assigned									
Fund Balance - Unassigned	\$ 1,256,288	\$ 237	\$ 0	\$ 12				\$ 1,256,537	\$ 382,990
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 214,154	\$ (77,783)	\$ (43,647)	\$ 0				\$ 92,725	\$ (135,703)
Total Fund Balance	\$ 5,486,171	\$ (77,545)	\$ (43,647)	\$ 270				\$ 5,365,249	\$ 4,263,275
Total Liabilities and Fund Balance	\$ 6,085,854	\$ (77,545)	\$ (43,647)	\$ 270			\$ 266,920	\$ 6,231,852	\$ 4,972,494

Yolo Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,174,526						\$ 2,174,526	\$ 9,323,255	\$ 1,920,880	\$ 12,331,168	
Trial Court Improvement Fund	\$ 27,178						\$ 27,178	\$ 34,608	\$ 4,664	\$ 29,603	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 20,625						\$ 20,625	\$ 82,500	\$ 20,625	\$ 82,500	
Court Interpreter (45.45)	\$ 134,073						\$ 134,073	\$ 581,000	\$ 93,321	\$ 442,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 87,425						\$ 87,425	\$ 537,136	\$ 74,297	\$ 535,901	
Other Miscellaneous								\$ 127,169			
	\$ 2,443,827						\$ 2,443,827	\$ 10,685,668	\$ 2,113,787	\$ 13,421,172	
Grants											
AB 1058 Commissioner/Facilitator			\$ 38,283				\$ 38,283	\$ 350,498	\$ 39,666	\$ 350,498	
Other AOC Grants			\$ 7,656				\$ 7,656	\$ 9,000	\$ (11,895)	\$ 12,000	
Non-AOC Grants											
			\$ 45,939				\$ 45,939	\$ 359,498	\$ 27,771	\$ 362,498	
Other Financing Sources											
Interest Income	\$ 61			\$ 0			\$ 61	\$ 26,500	\$ 212	\$ 23,400	
Investment Income											
Donations											
Local Fees	\$ (3,190)						\$ (3,190)	\$ 401,300	\$ 48,500	\$ 547,500	
Non-Fee Revenues	\$ 5,948						\$ 5,948	\$ 123,700	\$ 21,922	\$ 100,000	
Enhanced Collections		\$ 77,559					\$ 77,559	\$ 839,049	\$ 55,648	\$ 675,000	
Escheatment											
Prior Year Revenue									\$ (148)		
County Program - Restricted											
Reimbursement Other								\$ 10,000	\$ 356	\$ 9,800	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 2,819	\$ 77,559		\$ 0			\$ 80,379	\$ 1,400,549	\$ 126,490	\$ 1,355,700	
Total Revenues	\$ 2,446,646	\$ 77,559	\$ 45,939	\$ 0			\$ 2,570,144	\$ 12,445,715	\$ 2,268,048	\$ 15,139,370	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,194,790	\$ 64,366	\$ 50,274				\$ 1,309,430	\$ 5,712,804	\$ 1,060,635	\$ 5,592,575	
Temp Help										\$ 12,528	
Overtime											
Staff Benefits	\$ 683,722	\$ 35,314	\$ 20,738				\$ 739,774	\$ 3,442,805	\$ 539,945	\$ 3,138,053	
	\$ 1,878,512	\$ 99,680	\$ 71,012				\$ 2,049,204	\$ 9,155,609	\$ 1,600,580	\$ 8,743,156	
Operating Expenses and Equipment											
General Expense	\$ 93,549	\$ 159	\$ 863				\$ 94,571	\$ 475,006	\$ 54,506	\$ 332,912	
Printing	\$ 5,119						\$ 5,119	\$ 36,650	\$ 17,642	\$ 38,412	
Telecommunications	\$ 46,111		\$ 19				\$ 46,130	\$ 101,900	\$ 9,426	\$ 110,641	
Postage	\$ 17,244	\$ 9,337					\$ 26,581	\$ 132,250	\$ 22,193	\$ 140,168	
Insurance	\$ 2,639						\$ 2,639	\$ 2,700	\$ 5,602	\$ 2,331	
In-State Travel	\$ 487		\$ 2,695				\$ 3,182	\$ 11,500	\$ 2,183	\$ 20,570	
Out-of-State Travel											
Training	\$ 1,295		\$ 850				\$ 2,145	\$ 13,625	\$ 985	\$ 22,250	
Security Services	\$ (178,721)		\$ (560)				\$ (179,281)	\$ 539,300	\$ 86,662	\$ 2,928,980	
Facility Operations	\$ (11,396)	\$ 356					\$ (11,039)	\$ 257,600	\$ 13,623	\$ 300,293	
Utilities								\$ 9,800	\$ 834	\$ 11,050	
Contracted Services	\$ 311,682	\$ 33,732	\$ 5,290				\$ 350,704	\$ 1,718,733	\$ 313,988	\$ 1,909,622	
Consulting and Professional Services	\$ 765						\$ 765	\$ 2,200	\$ 210	\$ 3,500	
Information Technology	\$ 57,827						\$ 57,827	\$ 88,510	\$ 64,260	\$ 143,326	
Major Equipment								\$ 40,000	\$ 57,935	\$ 65,000	
Other Items of Expense	\$ 29						\$ 29	\$ 700	\$ 126	\$ 1,700	
	\$ 346,628	\$ 43,585	\$ 9,158				\$ 399,371	\$ 3,430,474	\$ 650,176	\$ 6,030,755	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 28,844						\$ 28,844	\$ 110,200	\$ 28,727	\$ 118,780	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (21,492)	\$ 12,077	\$ 9,416				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 124,268		
	\$ 7,352	\$ 12,077	\$ 9,416				\$ 28,844	\$ 110,200	\$ 152,995	\$ 118,780	
Total Expenditures	\$ 2,232,492	\$ 155,342	\$ 89,586				\$ 2,477,419	\$ 12,696,283	\$ 2,403,751	\$ 14,892,691	
Excess (Deficit) of Revenues Over Expenditures	\$ 214,154	\$ (77,783)	\$ (43,647)	\$ 0			\$ 92,725	\$ (250,568)	\$ (135,703)	\$ 246,679	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 5,272,017	\$ 237	\$ 0	\$ 270			\$ 5,272,524	\$ 5,272,524	\$ 4,398,977	\$ 4,398,977	
Ending Balance (Deficit)	\$ 5,486,171	\$ (77,545)	\$ (43,647)	\$ 270			\$ 5,365,249	\$ 5,021,956	\$ 4,263,275	\$ 4,645,656	

Yolo Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 806,279	\$ 116,261					\$ 922,540	\$ 4,302,292	\$ 769,032	\$ 4,245,446
Traffic & Other Infractions	\$ 99,001	\$ 4,137					\$ 103,138	\$ 523,432	\$ 84,876	\$ 461,763
Other Criminal Cases	\$ 152,187	\$ 7,573					\$ 159,760	\$ 755,214	\$ 127,312	\$ 653,774
Civil	\$ 93,206	\$ 6,135					\$ 99,342	\$ 439,320	\$ 69,372	\$ 476,250
Family & Children Services	\$ 92,315	\$ 20,154			\$ 0		\$ 112,469	\$ 434,682	\$ 87,135	\$ 254,150
Probate, Guardianship & Mental Health Services	\$ 14,157	\$ 14,811					\$ 28,969	\$ 144,163	\$ 25,823	\$ 144,820
Juvenile Dependency Services	\$ 6,409	\$ 56,824					\$ 63,233	\$ 369,392	\$ 36,552	\$ 446,099
Juvenile Delinquency Services	\$ 9,327	\$ 154					\$ 9,481	\$ 44,874	\$ 5,183	\$ 53,781
Other Court Operations	\$ 100,632	\$ 4,269					\$ 104,900	\$ 473,257	\$ 86,705	\$ 455,435
Court Interpreters	\$ 40,579	\$ 103,166					\$ 143,745	\$ 629,049	\$ 127,711	\$ 612,526
Jury Services	\$ 41,914	\$ 14,936	\$ 28,844				\$ 85,694	\$ 343,524	\$ 63,506	\$ 305,548
Security		\$ (149,347)					\$ (149,347)	\$ 751,800	\$ 91,553	\$ 2,944,980
Trial Court Operations Program	\$ 1,456,007	\$ 199,074	\$ 28,844		\$ 0		\$ 1,683,925	\$ 9,210,999	\$ 1,574,760	\$ 11,054,572
Enhanced Collections	\$ 99,680	\$ 43,585			\$ 12,077		\$ 155,342	\$ 894,943	\$ 125,716	\$ 837,489
Other Non-Court Operations	\$ 16,593	\$ 417					\$ 17,010	\$ 81,258	\$ 14,043	\$ 67,969
Non-Court Operations Program	\$ 116,273	\$ 44,002			\$ 12,077		\$ 172,352	\$ 976,201	\$ 139,759	\$ 905,458
Executive Office	\$ 215,048	\$ 2,227			\$ (12,077)		\$ 205,199	\$ 628,539	\$ 226,952	\$ 932,788
Fiscal Services	\$ 78,921	\$ 27,858					\$ 106,779	\$ 480,484	\$ 143,300	\$ 453,191
Human Resources	\$ 84,188	\$ 11,256					\$ 95,444	\$ 377,404	\$ 71,846	\$ 382,468
Business & Facilities Services		\$ 28,345					\$ 28,345	\$ 366,400	\$ 44,467	\$ 434,738
Information Technology	\$ 98,766	\$ 86,609					\$ 185,375	\$ 656,256	\$ 202,667	\$ 729,476
Court Administration Program	\$ 476,923	\$ 156,296			\$ (12,077)		\$ 621,142	\$ 2,509,083	\$ 689,232	\$ 2,932,661
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,049,204	\$ 399,371	\$ 28,844		\$ 0		\$ 2,477,419	\$ 12,696,283	\$ 2,403,751	\$ 14,892,691