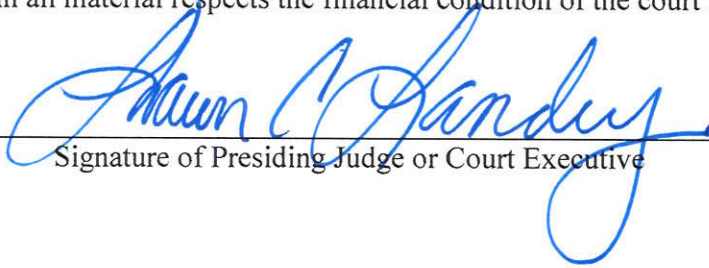


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.


Signature of Presiding Judge or Court Executive

12/4/13

Date

YOLO

Court

FY13/14 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

YOLO

Court

FY13/14 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

YOLO

Court

FY13/14 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	95.0				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2013/14								2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 110,553	\$ (12,288)	\$ (177,344)	\$ 272			\$ 4,252	\$ (74,555)	\$ (47,059)
Payroll	\$ 3,542	\$ 0						\$ 3,542	\$ 922
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ 129,772	\$ (12,288)	\$ (177,344)	\$ 272			\$ 4,252	\$ (65,937)	\$ (30,461)
Short Term Investment	\$ 4,329,313						\$ 239,508	\$ 4,568,821	\$ 4,729,297
Investment in Financial Institution									
Total Investments	\$ 4,329,313						\$ 239,508	\$ 4,568,821	\$ 4,729,297
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General			\$ 117,686					\$ 117,686	\$ 58,964
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 8,000	\$ 0						\$ 8,000	\$ 8,000
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 1,680	\$ 0	\$ 0					\$ 1,680	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 9,680	\$ 0	\$ 117,686	\$ 0			\$ 0	\$ 127,366	\$ 66,964
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 4,468,764	\$ (12,288)	\$ (59,658)	\$ 272			\$ 243,760	\$ 4,640,850	\$ 4,765,800
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 6,104	\$ 0	\$ 0	\$ 0			\$ 0	\$ 6,104	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 243,753	\$ 243,753	\$ 236,364
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax interest	\$ 0						\$ 6	\$ 0	\$ 0
Miscellaneous Accts. Pay. and Accrued Liab.							\$ 6	\$ 6	\$ 28
Total Accounts Payable and Accrued Liab.	\$ 6,104	\$ 0	\$ 0	\$ 0			\$ 243,760	\$ 249,864	\$ 236,392
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits									
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 23,566							\$ 23,566	\$ 10,727
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 45,605							\$ 45,605	\$ 234,716
Payroll Clearing	\$ 0	\$ 0						\$ 0	\$ 0
Total Payroll Liabilities	\$ 69,171	\$ 0						\$ 69,171	\$ 245,444
Revenue Collected in Advance	\$ 438,438							\$ 438,438	\$ 438,438
Liabilities For Deposits	\$ 21,034							\$ 21,034	\$ 10,473
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 459,472							\$ 459,472	\$ 448,911
Total Liabilities	\$ 534,748	\$ 0	\$ 0	\$ 0			\$ 243,760	\$ 778,607	\$ 930,747
Total Fund Balance	\$ 3,934,017	\$ (12,288)	\$ (59,658)	\$ 272				\$ 3,862,343	\$ 3,835,052
Total Liabilities and Fund Balance	\$ 4,468,764	\$ (12,288)	\$ (59,658)	\$ 272			\$ 243,760	\$ 4,640,850	\$ 4,765,800

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 3,458,679	\$ 8,092					\$ 3,466,771	\$ 8,516,305	\$ 1,434,073	\$ 6,526,039
Improvement and Modernization Fund	\$ 799						\$ 799	\$ 25,604	\$ (4,256)	\$ 25,608
Judges' Compensation (45.25)	\$ 20,625						\$ 20,625	\$ 75,000	\$ 20,625	\$ 82,500
Court Interpreter (45.45)	\$ 88,750						\$ 88,750	\$ 450,006	\$ 73,443	\$ 577,194
Civil Coordination Reimbursement (45.55)										
MCU Reimbursements (45.10 and General)	\$ 67,770						\$ 67,770	\$ 504,911	\$ 27,230	\$ 527,501
Other Miscellaneous								\$ 210,076		\$ 128,079
	\$ 3,834,623	\$ 8,092					\$ 3,642,716	\$ 9,781,902	\$ 1,551,115	\$ 7,969,021
Grants										
AB 1059 Commissioner/Facilitator			\$ 41,062				\$ 41,062	\$ 348,071	\$ 6,714	\$ 348,071
Other AOC Grants			\$ (240)				\$ (240)	\$ 11,065	\$ (3,407)	\$ 6,878
Non-AOC Grants										
			\$ 40,822				\$ 40,822	\$ 359,136	\$ 3,247	\$ 354,949
Other Financing Sources										
Interest Income	\$ 39	\$ 0		\$ 0			\$ 39	\$ 10,000	\$ 200	\$ 18,000
Investment Income										
Donations	\$ 750						\$ 750			
Local Fees	\$ 64,131						\$ 64,131	\$ 328,400	\$ 4,557	\$ 415,500
Non-Fee Revenues	\$ 37,682						\$ 37,682	\$ 141,621	\$ 5,217	\$ 35,600
Enhanced Collections		\$ 56,719					\$ 56,719	\$ 836,585	\$ (5,338)	\$ 858,104
Escheatment										
Prior Year Revenue										
County Program - Restricted										
Reimbursement Other	\$ (11,022)						\$ (11,022)	\$ 50,000	\$ (4,620)	\$ 6,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 706						\$ 706			
	\$ 92,285	\$ 56,719		\$ 0			\$ 149,004	\$ 1,986,006	\$ 17	\$ 1,333,204
Total Revenues	\$ 3,728,908	\$ 64,811	\$ 40,822	\$ 0			\$ 3,832,541	\$ 11,607,644	\$ 1,554,379	\$ 9,557,174
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 1,035,173	\$ 54,388	\$ 50,594				\$ 1,140,155	\$ 6,009,769	\$ 1,173,507	\$ 4,924,911
Temp Help										
Overtime	\$ 0						\$ 0			
Staff Benefits	\$ 695,765	\$ 32,350	\$ 25,128				\$ 753,244	\$ 3,283,046	\$ 718,621	\$ 3,362,574
	\$ 1,730,938	\$ 86,738	\$ 75,723				\$ 1,893,399	\$ 9,293,815	\$ 1,892,228	\$ 8,287,485
Operating Expenses and Equipment										
General Expense	\$ 37,609	\$ 169	\$ 6,456				\$ 44,234	\$ 557,550	\$ 49,620	\$ 300,375
Printing	\$ 3,145						\$ 3,145	\$ 19,000	\$ 3,734	\$ 24,475
Telecommunications	\$ 24,085						\$ 24,085	\$ 165,800	\$ 9,182	\$ 78,200
Postage	\$ 23,929	\$ 8,469					\$ 32,398	\$ 124,250	\$ 20,740	\$ 198,650
Insurance	\$ 4,125						\$ 4,125	\$ 3,200	\$ 4,123	\$ 3,500
In-State Travel	\$ 553						\$ 553	\$ 5,950	\$ 1,241	\$ 8,725
Out-of-State Travel										
Training	\$ 525		\$ 340				\$ 865	\$ 5,450	\$ 1,815	\$ 4,350
Security Services	\$ 99,439		\$ 3,127				\$ 102,566	\$ 509,300	\$ 107,398	\$ 605,000
Facility Operations	\$ 49,219	\$ 119					\$ 49,338	\$ 424,203	\$ 43,740	\$ 255,800
Utilities	\$ 3,002						\$ 3,002	\$ 13,000	\$ 2,715	\$ 20,000
Contracted Services	\$ 356,086	\$ 26,386	\$ 4,960				\$ 387,432	\$ 2,224,976	\$ 264,700	\$ 1,728,292
Consulting and Professional Services	\$ 743						\$ 743	\$ 2,850	\$ 561	\$ 3,700
Information Technology	\$ 63,936						\$ 63,936	\$ 463,845	\$ (18,004)	\$ 114,500
Major Equipment									\$ 0	\$ 20,000
Other Items of Expense	\$ 258						\$ 258	\$ 1,000	\$ 87	\$ 600
	\$ 668,655	\$ 35,142	\$ 14,883				\$ 716,681	\$ 4,620,373	\$ 481,442	\$ 3,204,167
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 27,098						\$ 27,098	\$ 132,800	\$ 21,020	\$ 118,200
Judgments, Settlements and Claims										
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery	\$ (9,874)		\$ 9,874				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment									\$ 7,255	
	\$ 17,224		\$ 9,874				\$ 27,098	\$ 132,800	\$ 28,275	\$ 118,200
Total Expenditures	\$ 2,414,817	\$ 121,880	\$ 100,480	\$ 0			\$ 2,637,177	\$ 12,046,768	\$ 2,401,845	\$ 11,609,852
Excess (Deficit) of Revenues Over Expenditures	\$ 1,312,092	\$ (57,069)	\$ (59,658)	\$ 0			\$ 1,195,364	\$ (1,439,144)	\$ (847,566)	\$ (2,052,678)
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 2,621,925	\$ 44,782	\$ 0	\$ 272			\$ 2,666,978	\$ 2,666,976	\$ 4,682,618	\$ 4,682,618
Ending Balance (Deficit)	\$ 3,934,017	\$ (12,288)	\$ (59,658)	\$ 272			\$ 3,862,343	\$ 1,227,834	\$ 3,835,052	\$ 2,629,940

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 706,133	\$ 93,169					\$ 799,302	\$ 3,618,146	\$ 818,505	\$ 3,866,345
Traffic & Other Infractions	\$ 102,318	\$ 5,160					\$ 107,477	\$ 487,116	\$ 96,816	\$ 486,274
Other Criminal Cases	\$ 121,266	\$ 11,627					\$ 132,893	\$ 558,092	\$ 127,568	\$ 544,010
Civil	\$ 70,901	\$ 1,357					\$ 72,258	\$ 361,775	\$ 76,865	\$ 364,185
Family & Children Services	\$ 92,125	\$ 9,950			\$ 0		\$ 102,075	\$ 464,714	\$ 111,919	\$ 426,911
Probate, Guardianship & Mental Health Services	\$ 14,096	\$ 17,844					\$ 31,940	\$ 161,321	\$ 29,835	\$ 157,541
Juvenile Dependency Services	\$ 7,325	\$ 73,696					\$ 81,022	\$ 370,563	\$ 68,627	\$ 364,323
Juvenile Delinquency Services	\$ 7,587	\$ 157					\$ 7,744	\$ 44,505	\$ 8,928	\$ 43,824
Other Court Operations	\$ 109,399	\$ 1,325					\$ 111,224	\$ 501,653	\$ 95,132	\$ 426,759
Court Interpreters	\$ 28,573	\$ 88,075					\$ 116,648	\$ 450,006	\$ 97,151	\$ 577,194
Jury Services	\$ 29,100	\$ 20,630	\$ 27,098				\$ 76,828	\$ 297,791	\$ 57,271	\$ 280,993
Security	\$ 1,288,824	\$ 103,883	\$ 27,098				\$ 103,883	\$ 496,700	\$ 128,089	\$ 530,860
Trial Court Operations Program		\$ 427,372	\$ 27,098		\$ 0		\$ 1,743,294	\$ 7,812,382	\$ 1,716,705	\$ 8,069,219
Enhanced Collections	\$ 86,738	\$ 35,142					\$ 121,880	\$ 767,616	\$ 130,074	\$ 858,104
Other Non-Court Operations	\$ 18,792	\$ 503					\$ 19,295	\$ 88,255	\$ 17,808	\$ 111,689
Non-Court Operations Program		\$ 35,645					\$ 141,175	\$ 855,871	\$ 147,882	\$ 969,793
Executive Office	\$ 228,131	\$ 1,800					\$ 229,931	\$ 735,651	\$ 191,166	\$ 742,884
Fiscal Services	\$ 83,795	\$ 5,075					\$ 88,870	\$ 486,947	\$ 96,302	\$ 515,582
Human Resources	\$ 68,593	\$ 8,870					\$ 77,463	\$ 342,945	\$ 68,090	\$ 256,276
Business & Facilities Services		\$ 159,369					\$ 159,369	\$ 1,568,295	\$ 70,460	\$ 378,000
Information Technology	\$ 118,526	\$ 78,549					\$ 197,075	\$ 1,144,697	\$ 111,340	\$ 678,098
Court Administration Program	\$ 499,045	\$ 253,663					\$ 752,708	\$ 4,278,555	\$ 537,357	\$ 2,570,840
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 1,893,399	\$ 716,681	\$ 27,098		\$ 0		\$ 2,637,177	\$ 12,946,788	\$ 2,401,945	\$ 11,609,852