

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

*Michael D. Plant*

\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

*12/8/11*

\_\_\_\_\_  
Date

Ventura Superior

\_\_\_\_\_  
Court

FY1112 - 1st Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

TB  
12/7/11  
FCS  
12/8/11

**Ventura Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)**

For the month ended Sep									
Fiscal Year 2011/12									
	Governmental Funds						Total Funds (Info. Purposes Only)	2010/11 Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 284,035	\$ (274,645)	\$ (202,781)				\$ 20,533	\$ (172,858)	\$ (187,865)
Payroll									
Jury									
Revolving									
Other									
Distribution							\$ 113,155	\$ 113,155	\$ 174,440
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ 1,775,684	\$ 1,775,684	\$ 2,036,898
Credit Card							\$ 0	\$ 0	\$ 0
Cash on Hand	\$ 9,300							\$ 9,300	\$ 9,980
Cash with County	\$ 286,138	\$ 47,808					\$ 10,747,677	\$ 11,081,624	\$ 10,984,479
<b>Total Cash</b>	<b>\$ 579,472</b>	<b>\$ (226,836)</b>	<b>\$ (202,781)</b>				<b>\$ 12,657,050</b>	<b>\$ 12,806,904</b>	<b>\$ 13,017,932</b>
Short Term Investment	\$ 6,350,308						\$ 1,782,248	\$ 8,132,556	\$ 9,902,151
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 6,350,308</b>						<b>\$ 1,782,248</b>	<b>\$ 8,132,556</b>	<b>\$ 9,902,151</b>
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 204,075				\$ 0	\$ 204,075	\$ 135,698
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 92,429
Due From Other Governments	\$ 1,102,226	\$ 0	\$ 5,567					\$ 1,107,793	\$ 1,182,423
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 67,926	\$ 67,926	
Distribution Due To/From							\$ 25,368	\$ 25,368	
Civil Filing Fee Due To/From									
General Due To/From	\$ 2,534							\$ 2,534	
<b>Total Receivables</b>	<b>\$ 1,104,759</b>	<b>\$ 0</b>	<b>\$ 209,642</b>				<b>\$ 93,294</b>	<b>\$ 1,407,695</b>	<b>\$ 1,410,550</b>
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	
Other Assets	\$ 0							\$ 0	\$ 0
<b>Total Other Assets</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
<b>Total Assets</b>	<b>\$ 8,034,539</b>	<b>\$ (226,836)</b>	<b>\$ 6,861</b>				<b>\$ 14,532,591</b>	<b>\$ 22,347,156</b>	<b>\$ 24,330,633</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 31,575	\$ 0	\$ 3,474				\$ 0	\$ 35,049	\$ 5,661

**Ventura Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)**

	For the month ended Sep								
	Fiscal Year 2011/12							2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds	Total Funds
	General	Special Revenue		Capital Project	Debt Service			(Info. Purposes Only)	(Info. Purposes Only)
Non-Grant		Grant	Total Funds			Total Funds			
Due to Other Funds	\$ 28	\$ 0	\$ 0			\$ 95,799	\$ 95,827	\$ 92,429	
Due to Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	
Due to State	\$ 0	\$ 0					\$ 0	\$ 0	
TC145 Liability						\$ 1,145,899	\$ 1,145,899	\$ 1,208,713	
Due to Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
AB145 Due to Other Government Agency						\$ 725,536	\$ 725,536	\$ 876,213	
Due to Other Public Agencies									
Sales and Use Tax	\$ 392	\$ 0	\$ 0				\$ 392	\$ 230	
Interest						\$ 251	\$ 251	\$ 350	
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 31,995</b>	<b>\$ 0</b>	<b>\$ 3,474</b>			<b>\$ 1,967,485</b>	<b>\$ 2,002,953</b>	<b>\$ 2,183,597</b>	
Civil						\$ 1,613,861	\$ 1,613,861	\$ 1,914,226	
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC						\$ 10,747,677	\$ 10,747,677	\$ 10,693,788	
Trust Interest Payable						\$ 15,173	\$ 15,173	\$ 14,419	
Miscellaneous Trust									
<b>Total Trust Deposits</b>						<b>\$ 12,376,712</b>	<b>\$ 12,376,712</b>	<b>\$ 12,622,432</b>	
Accrued Payroll	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (10,331)						\$ (10,331)	\$ (7,771)	
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0	\$ 0					\$ 0	\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ (10,331)</b>	<b>\$ 0</b>					<b>\$ (10,331)</b>	<b>\$ (7,771)</b>	
Revenue Collected in Advance	\$ 10,000		\$ 11,333				\$ 21,333	\$ 25,605	
Liabilities For Deposits	\$ 41,297					\$ 6,295	\$ 47,592	\$ 45,674	
Jury Fees - Non-Interest						\$ 182,100	\$ 182,100	\$ 154,449	
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 51,297</b>		<b>\$ 11,333</b>			<b>\$ 188,395</b>	<b>\$ 251,024</b>	<b>\$ 225,728</b>	
<b>Total Liabilities</b>	<b>\$ 72,961</b>	<b>\$ 0</b>	<b>\$ 14,806</b>			<b>\$ 14,532,591</b>	<b>\$ 14,620,359</b>	<b>\$ 15,023,986</b>	
Fund Balance - Restricted	\$ 1,110,852	\$ 134,593	\$ 0				\$ 1,245,445	\$ 2,470,298	
Fund Balance - Unrestricted									
Designated	\$ 6,141,564						\$ 6,141,564	\$ 5,840,431	
Undesignated	\$ 261,089	\$ (74,602)	\$ 0				\$ 186,487	\$ (923,720)	
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 448,073	\$ (286,827)	\$ (7,945)				\$ 153,301	\$ 1,919,638	
<b>Total Fund Balance</b>	<b>\$ 7,961,578</b>	<b>\$ (226,836)</b>	<b>\$ (7,945)</b>				<b>\$ 7,726,796</b>	<b>\$ 9,306,647</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 8,034,539</b>	<b>\$ (226,836)</b>	<b>\$ 6,861</b>			<b>\$ 14,532,591</b>	<b>\$ 22,347,156</b>	<b>\$ 24,330,633</b>	

**Ventura Superior Court  
Trial Court Operations Fund  
Statement of Program Expenditures  
(Unaudited)**

	For the month ended Sep									
	Fiscal Year 2011/12								2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 2,659,402	\$ 154,895			\$ 13,129		\$ 2,827,426	\$ 13,542,053	\$ 2,596,865	\$ 13,629,961
Traffic & Other Infractions	\$ 288,296	\$ 69,552					\$ 357,848	\$ 2,443,388	\$ 339,027	\$ 2,453,270
Other Criminal Cases	\$ 48,212						\$ 48,212	\$ 370,591	\$ 72,268	\$ 379,530
Civil	\$ 430,817	\$ 6,504					\$ 437,321	\$ 2,114,245	\$ 412,825	\$ 2,075,520
Family & Children Services	\$ 732,859	\$ 11,045					\$ 743,905	\$ 3,848,680	\$ 691,181	\$ 3,450,270
Probate, Guardianship & Mental Health Services	\$ 113,897	\$ (2,503)					\$ 111,394	\$ 514,231	\$ 100,412	\$ 496,249
Juvenile Dependency Services	\$ 3,491	\$ 259,227					\$ 262,717	\$ 827,781	\$ 274,269	\$ 826,189
Juvenile Delinquency Services	\$ 24,871	\$ 52					\$ 24,923	\$ 140,894	\$ 9,249	\$ 138,792
Other Court Operations	\$ 621,105	\$ 49,531					\$ 670,636	\$ 3,568,727	\$ 857,266	\$ 3,926,430
Court Interpreters	\$ 150,682	\$ 189,321					\$ 340,003	\$ 1,572,844	\$ 352,677	\$ 1,580,866
Jury Services	\$ 63,761	\$ 376	\$ 84,920				\$ 149,056	\$ 1,191,499	\$ 180,875	\$ 1,105,032
Security		\$ 776				\$ (10,155)	\$ (9,378)	\$ 1,065,550	\$ 561,300	\$ 11,816,800
<b>Trial Court Operations Program</b>	<b>\$ 5,137,394</b>	<b>\$ 738,776</b>	<b>\$ 84,920</b>		<b>\$ 13,129</b>	<b>\$ (10,155)</b>	<b>\$ 5,964,064</b>	<b>\$ 31,200,483</b>	<b>\$ 6,448,213</b>	<b>\$ 41,878,909</b>
Enhanced Collections	\$ 828,519	\$ (42,740)				\$ (1,717)	\$ 784,062	\$ 5,486,246	\$ 639,424	\$ 5,265,793
Other Non-Court Operations										
<b>Non-Court Operations Program</b>	<b>\$ 828,519</b>	<b>\$ (42,740)</b>				<b>\$ (1,717)</b>	<b>\$ 784,062</b>	<b>\$ 5,486,246</b>	<b>\$ 639,424</b>	<b>\$ 5,265,793</b>
Executive Office	\$ 353,354	\$ 4,598					\$ 357,952	\$ 1,301,203	\$ 378,372	\$ 1,429,240
Fiscal Services	\$ 420,203	\$ 19,857					\$ 440,060	\$ 3,217,536	\$ 548,762	\$ 3,232,311
Human Resources	\$ 314,561	\$ 7,074					\$ 321,635	\$ 1,424,801	\$ 251,922	\$ 1,461,233
Business & Facilities Services	\$ 95,106	\$ 83,446			\$ (13,129)	\$ (32,889)	\$ 132,535	\$ 2,486,619	\$ 176,967	\$ 1,534,279
Information Technology	\$ 415,308	\$ 83,322					\$ 498,630	\$ 3,487,588	\$ 638,471	\$ 3,619,666
<b>Court Administration Program</b>	<b>\$ 1,598,532</b>	<b>\$ 198,297</b>			<b>\$ (13,129)</b>	<b>\$ (32,889)</b>	<b>\$ 1,750,812</b>	<b>\$ 11,917,747</b>	<b>\$ 1,994,493</b>	<b>\$ 11,276,729</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 7,564,445</b>	<b>\$ 894,333</b>	<b>\$ 84,920</b>		<b>\$ 0</b>	<b>\$ (44,760)</b>	<b>\$ 8,498,938</b>	<b>\$ 48,604,476</b>	<b>\$ 9,082,131</b>	<b>\$ 58,421,431</b>

**Ventura Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)**

For the month ended Sep											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 6,849,626	\$ 9,496					\$ 6,859,122	\$ 31,384,600	\$ 9,129,355	\$ 43,517,800	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 129,541	\$ 0	\$ 131,200	
Judicial Administration Efficiency & Mod Fund								\$ 19,500	\$ 2,050	\$ 49,900	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 180,358						\$ 180,358	\$ 1,547,400	\$ 300,885	\$ 1,579,900	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 238,547						\$ 238,547	\$ 1,807,606	\$ 195,600	\$ 1,881,600	
Other Miscellaneous											
	\$ 7,268,530	\$ 9,496					\$ 7,278,026	\$ 34,888,647	\$ 9,627,890	\$ 47,160,400	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator			\$ 148,187				\$ 148,187	\$ 1,060,100	\$ 72,026	\$ 1,060,100	
Other AOC Grants			\$ 0				\$ 0	\$ 21,400		\$ 42,488	
Non-AOC Grants			\$ (1,363)				\$ (1,363)	\$ 319,859	\$ 0	\$ 328,181	
			\$ 146,824				\$ 146,824	\$ 1,401,359	\$ 72,026	\$ 1,430,769	
<b>Other Financing Sources</b>											
Interest Income	\$ 742	\$ 0					\$ 742	\$ 50,000	\$ 1,639	\$ 60,000	
Investment Income											
Donations									\$ 0		
Local Fees	\$ 39,632	\$ 19,207					\$ 58,839	\$ 900,000	\$ 58,658	\$ 913,000	
Non-Fee Revenues	\$ 556,658						\$ 556,658	\$ 2,528,754	\$ 1,128,125	\$ 2,710,100	
Enhanced Collections		\$ 570,415					\$ 570,415	\$ 5,429,246	\$ 50,356	\$ 5,265,793	
Escheatment											
Prior Year Revenue	\$ 28,103	\$ 570					\$ 28,673		\$ 8,205		
County Program - Restricted											
Reimbursement Other	\$ 11,640						\$ 11,640	\$ 135,958	\$ 49,202	\$ 132,242	
Sale of Fixed Assets											
Other Miscellaneous	\$ 421						\$ 421	\$ 25,000	\$ 5,667	\$ 12,000	
	\$ 637,196	\$ 590,193					\$ 1,227,388	\$ 9,068,958	\$ 1,301,853	\$ 9,093,135	
<b>Total Revenues</b>	<b>\$ 7,905,726</b>	<b>\$ 599,689</b>	<b>\$ 146,824</b>				<b>\$ 8,652,239</b>	<b>\$ 45,358,964</b>	<b>\$ 11,001,769</b>	<b>\$ 57,684,304</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 4,456,567	\$ 581,298	\$ 95,520				\$ 5,133,385	\$ 23,602,758	\$ 5,211,647	\$ 23,620,654	
Temp Help	\$ 70,422	\$ 2,771					\$ 73,192	\$ 420,790	\$ 58,067	\$ 260,687	
Overtime	\$ 7,355	\$ 2,109					\$ 9,465	\$ 24,500	\$ 5,104	\$ 45,000	
Staff Benefits	\$ 2,024,626	\$ 284,240	\$ 39,538				\$ 2,348,403	\$ 12,485,878	\$ 2,091,774	\$ 11,410,556	
	\$ 6,558,970	\$ 870,417	\$ 135,058				\$ 7,564,445	\$ 36,533,926	\$ 7,366,592	\$ 35,336,897	

**Ventura Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)**

	For the month ended Sep										
	Fiscal Year 2011/12								2010/11		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds <small>(Info. Purposes Only)</small>	Current Budget <small>(Annual)</small>	Total Funds <small>(Info. Purposes Only)</small>	Final Budget <small>(Annual)</small>
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 74,146	\$ 16,757	\$ 1,991				\$ 92,894	\$ 1,004,000	\$ 111,134	\$ 1,238,219	
Printing	\$ 2,531	\$ 0					\$ 2,531	\$ 243,200	\$ 2,537	\$ 238,100	
Telecommunications	\$ 5,037	\$ 5,540					\$ 10,577	\$ 470,400	\$ 42,317	\$ 444,100	
Postage	\$ 2,464	\$ 0					\$ 2,464	\$ 470,400	\$ 2,393	\$ 475,700	
Insurance	\$ 3,608						\$ 3,608	\$ 23,500		\$ 21,000	
In-State Travel	\$ 10,029		\$ 359				\$ 10,388	\$ 129,300	\$ 10,658	\$ 174,839	
Out-of-State Travel	\$ (2,567)		\$ 3,333				\$ 766	\$ 11,700		\$ 11,500	
Training	\$ 6,979	\$ 558	\$ 500				\$ 8,036	\$ 102,700	\$ 7,356	\$ 103,800	
Security Services	\$ 776		\$ 0				\$ 776	\$ 1,055,550	\$ 561,300	\$ 11,117,000	
Facility Operations	\$ 104,535	\$ 0					\$ 104,535	\$ 1,233,500	\$ 99,981	\$ 1,242,000	
Utilities	\$ 803						\$ 803	\$ 8,000	\$ 839	\$ 11,000	
Contracted Services	\$ 561,944	\$ (6,805)	\$ 400				\$ 555,539	\$ 4,670,500	\$ 462,154	\$ 4,679,276	
Consulting and Professional Services	\$ 6,720	\$ 0					\$ 6,720	\$ 436,500	\$ 21,234	\$ 1,044,000	
Information Technology	\$ 97,998	\$ 1,765					\$ 99,763	\$ 1,597,600	\$ 233,283	\$ 1,645,400	
Major Equipment	\$ (5,502)						\$ (5,502)	\$ 20,000	\$ 61,345	\$ 92,900	
Other Items of Expense	\$ 434						\$ 434	\$ 38,700	\$ 8,312	\$ 25,700	
	<b>\$ 869,935</b>	<b>\$ 17,816</b>	<b>\$ 6,582</b>				<b>\$ 894,333</b>	<b>\$ 11,515,550</b>	<b>\$ 1,624,842</b>	<b>\$ 22,564,534</b>	
<b>Special Items of Expense</b>											
Grand Jury											
Jury Costs	\$ 84,920						\$ 84,920	\$ 555,000	\$ 102,516	\$ 520,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
<b>Capital Costs</b>											
<b>Internal Cost Recovery</b>	\$ (13,129)		\$ 13,129				\$ 0	\$ 0	\$ 0	\$ 0	
<b>Prior Year Expense Adjustment</b>	\$ (43,043)	\$ (1,717)					\$ (44,760)		\$ (11,820)		
	<b>\$ 28,748</b>	<b>\$ (1,717)</b>	<b>\$ 13,129</b>				<b>\$ 40,159</b>	<b>\$ 555,000</b>	<b>\$ 90,697</b>	<b>\$ 520,000</b>	
<b>Total Expenditures</b>	<b>\$ 7,457,653</b>	<b>\$ 886,516</b>	<b>\$ 154,769</b>				<b>\$ 8,498,938</b>	<b>\$ 48,604,476</b>	<b>\$ 9,082,131</b>	<b>\$ 58,421,431</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	\$ 448,073	\$ (286,827)	\$ (7,945)				\$ 153,301	\$ (3,245,512)	\$ 1,919,638	\$ (737,127)	
<b>Operating Transfers In (Out)</b>								\$ 0	\$ 0	\$ 0	
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 7,513,505	\$ 59,991	\$ 0				\$ 7,573,495	\$ 7,573,495	\$ 7,387,009	\$ 7,387,009	
Ending Balance (Deficit)	<b>\$ 7,961,578</b>	<b>\$ (226,836)</b>	<b>\$ (7,945)</b>				<b>\$ 7,726,796</b>	<b>\$ 4,327,983</b>	<b>\$ 9,306,647</b>	<b>\$ 6,649,882</b>	