

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

05/15/2012

Date

Tulare

Court

FY 11-12 Quarter 3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Tulare

Court

FY 11-12 Quarter 3

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Tulare

 Court
 FY 11-12 Quarter 3

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	257	235	235	234	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Tulare Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

Fiscal Year 2011/12							2010/11	
							Total Funds	Total Funds
							(Info. Purposes Only)	(Info. Purposes Only)
ASSETS								
Operations	\$ 1,157,468	\$ (521,521)	\$ (683,949)			\$ 8,495	\$ (39,507)	\$ (439,795)
Payroll	\$ (446)	\$ 0					\$ (446)	\$ (2,524)
Jury								
Revolving	\$ 30,000						\$ 30,000	\$ 30,000
Other								
Distribution								
Civil Filing Fees								
Trust						\$ 0	\$ 0	\$ 0
Credit Card						\$ 1,096,605	\$ 1,096,605	\$ 1,239,541
Cash on Hand	\$ 7,300						\$ 7,300	\$ 7,400
Cash with County	\$ 1,957,139	\$ 20,726				\$ 0	\$ 1,977,865	\$ 3,017,345
Cash Outside of the AOC						\$ 7,139,983	\$ 7,139,983	\$ 7,151,420
Total Cash	\$ 3,151,461	\$ (500,795)	\$ (683,949)			\$ 8,245,084	\$ 11,141,322	\$ 11,669,386
Short Term Investment	\$ 804,568					\$ 442,356	\$ 1,246,924	\$ 2,477,854
Investment in Financial Institution								
Total Investments	\$ 804,568					\$ 442,356	\$ 1,246,924	\$ 2,477,854
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 3,267	\$ 878	\$ 606,395				\$ 610,539	\$ 690,391
Dishonored Checks								
Due From Employee								
Civil Jury Fees								
Trust								
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 510,921
Due From Other Governments	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Trust Due To/From						\$ 0	\$ 0	
Distribution Due To/From								
Civil Filing Fee Due To/From								
General Due To/From	\$ 45,975	\$ 464,347					\$ 510,322	
Total Receivables	\$ 49,242	\$ 465,225	\$ 606,395			\$ 0	\$ 1,120,861	\$ 1,201,312
Prepaid Expenses - General	\$ 14,000						\$ 14,000	\$ 19,000
Salary and Travel Advances	\$ 44	\$ 0					\$ 44	\$ 1,549
Counties								
Total Prepaid Expenses	\$ 14,044	\$ 0					\$ 14,044	\$ 20,549
Other Assets								
Total Other Assets								
Total Assets							\$ 14,703,181	\$ 14,703,181
LIABILITIES AND FUND BALANCES								
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Payable - General	\$ 4,728	\$ 0	\$ 147			\$ 63,568	\$ 68,443	\$ (10)
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 510,322	\$ 510,322	\$ 510,921
Due to Other Courts								
Due to State								\$ 0
TC145 Liability						\$ 450,796	\$ 450,796	\$ 379,142
Due to Other Governments	\$ 0					\$ 6,624,806	\$ 6,624,806	\$ 6,624,806
AB145 Due to Other Government Agency								
Due to Other Public Agencies								
Sales and Use Tax	\$ 479	\$ 15	\$ 0				\$ 494	\$ 212
Interest						\$ 167	\$ 167	\$ 222
Miscellaneous Accts. Pay. and Accrued Liab.								
Total Accounts Payable and Accrued Liab.	\$ 5,207	\$ 15	\$ 147			\$ 7,649,658	\$ 7,649,658	\$ 7,515,294
Civil						\$ 728,971	\$ 728,971	\$ 918,223
Criminal						\$ 14,305	\$ 14,305	\$ 12,999
Unreconciled - Civil and Criminal						\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC						\$ 5,178	\$ 5,178	\$ 16,614
Trust Interest Payable						\$ 221,404	\$ 221,404	\$ 220,623
Miscellaneous Trust								
Total Trust Deposits						\$ 969,859	\$ 969,859	\$ 1,168,460
Accrued Payroll	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 177,679	\$ (47)					\$ 177,632	\$ (461,007)
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0
Deductions Payable	\$ 96,263						\$ 96,263	\$ 377,440
Payroll Clearing								\$ 0
Total Payroll Liabilities	\$ 273,942	\$ (47)					\$ 273,895	\$ (83,567)
Revenue Collected in Advance	\$ 0		\$ 0				\$ 0	\$ 0
Liabilities For Deposits	\$ 14,162	\$ 80				\$ 55,408	\$ 69,650	\$ 90,838
Jury Fees - Non-Interest						\$ 12,515	\$ 12,515	\$ 13,015
Fees - Partial Payment & Overpayment								
Uncleared Collections								\$ 0
Other Miscellaneous Liabilities								
Total Other Liabilities	\$ 14,162	\$ 80	\$ 0			\$ 67,923	\$ 82,165	\$ 103,853
Total Liabilities	\$ 292,511	\$ 46	\$ 147			\$ 8,387,544	\$ 8,387,544	\$ 8,704,650
Fund Balance - Nonspendable		\$ 146,406					\$ 146,406	
Fund Balance - Restricted	\$ 0						\$ 0	\$ 0
Fund Balance - Committed	\$ 2,560,422						\$ 2,560,422	
Fund Balance - Assigned	\$ 1,795,697	\$ 0					\$ 1,795,697	\$ 4,368,362
Fund Balance - Unassigned	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ (630,115)	\$ (182,025)	\$ (77,701)				\$ (889,841)	\$ 1,630,699
Total Fund Balance							\$ 1,412,725	\$ 6,293,062
Total Liabilities and Fund Balance							\$ 14,703,181	\$ 14,703,181

Tulare Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	Fiscal Year 2011/12						Total Funds	Total Funds
							(Info. Purposes Only)	(Info. Purposes Only)
ASSETS								
Operations	\$ 1,157,468	\$ (521,521)	\$ (683,949)			\$ 8,495	\$ (39,507)	\$ (439,795)
Payroll	\$ (446)	\$ 0					\$ (446)	\$ (2,524)
Jury								
Revolving	\$ 30,000						\$ 30,000	\$ 30,000
Other								
Distribution								
Civil Filing Fees						\$ 0	\$ 0	\$ 0
Trust						\$ 1,096,605	\$ 1,096,605	\$ 1,239,541
Credit Card							\$ 7,300	\$ 7,400
Cash on Hand	\$ 7,300						\$ 7,300	\$ 7,400
Cash with County	\$ 1,957,139	\$ 20,726				\$ 0	\$ 1,977,865	\$ 3,017,345
Cash Outside of the AOC						\$ 7,139,983	\$ 7,139,983	\$ 7,151,420
Total Cash	\$ 3,151,461	\$ (500,795)	\$ (683,949)			\$ 8,245,084	\$ 11,227,397	\$ 11,906,330
Short Term Investment	\$ 804,568					\$ 442,356	\$ 1,246,924	\$ 2,477,854
Investment in Financial Institution								
Total Investments	\$ 804,568					\$ 442,356	\$ 1,246,924	\$ 2,477,854
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 3,267	\$ 878	\$ 606,395				\$ 610,539	\$ 690,391
Dishonored Checks								
Due From Employee								
Civil Jury Fees								
Trust								
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 510,921
Due From Other Governments	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Trust Due To/From						\$ 0	\$ 0	
Distribution Due To/From								
Civil Filing Fee Due To/From								
General Due To/From	\$ 45,975	\$ 464,347					\$ 510,322	
Total Receivables	\$ 49,242	\$ 465,225	\$ 606,395			\$ 0	\$ 1,120,861	\$ 1,201,312
Prepaid Expenses - General	\$ 14,000						\$ 14,000	\$ 19,000
Salary and Travel Advances	\$ 44	\$ 0					\$ 44	\$ 1,549
Counties								
Total Prepaid Expenses	\$ 14,044	\$ 0					\$ 14,044	\$ 20,549
Other Assets								
Total Other Assets								
Total Assets							\$ 12,468,971	\$ 14,708,101
LIABILITIES AND FUND BALANCES								
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Payable - General	\$ 4,728	\$ 0	\$ 147			\$ 63,568	\$ 68,443	\$ (10)
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 510,322	\$ 510,322	\$ 510,921
Due to Other Courts								
Due to State								\$ 0
TC145 Liability						\$ 450,796	\$ 450,796	\$ 379,142
Due to Other Governments	\$ 0					\$ 6,624,806	\$ 6,624,806	\$ 6,624,806
AB145 Due to Other Government Agency								
Due to Other Public Agencies								
Sales and Use Tax	\$ 479	\$ 15	\$ 0				\$ 494	\$ 212
Interest						\$ 167	\$ 167	\$ 222
Miscellaneous Accts. Pay. and Accrued Liab.								
Total Accounts Payable and Accrued Liab.	\$ 5,207	\$ 15	\$ 147			\$ 7,649,658	\$ 7,649,658	\$ 7,659,204
Civil						\$ 728,971	\$ 728,971	\$ 918,223
Criminal						\$ 14,305	\$ 14,305	\$ 12,999
Unreconciled - Civil and Criminal						\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC						\$ 5,178	\$ 5,178	\$ 16,614
Trust Interest Payable						\$ 221,404	\$ 221,404	\$ 220,623
Miscellaneous Trust								
Total Trust Deposits						\$ 969,859	\$ 969,859	\$ 1,188,469
Accrued Payroll	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 177,679	\$ (47)					\$ 177,632	\$ (461,007)
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0
Deductions Payable	\$ 96,263						\$ 96,263	\$ 377,440
Payroll Clearing								\$ 0
Total Payroll Liabilities	\$ 273,942	\$ (47)					\$ 273,895	\$ (83,567)
Revenue Collected in Advance	\$ 0		\$ 0				\$ 0	\$ 0
Liabilities For Deposits	\$ 14,162	\$ 80				\$ 55,408	\$ 69,650	\$ 90,838
Jury Fees - Non-Interest						\$ 12,515	\$ 12,515	\$ 13,015
Fees - Partial Payment & Overpayment								
Uncleared Collections								\$ 0
Other Miscellaneous Liabilities								
Total Other Liabilities	\$ 14,162	\$ 80	\$ 0			\$ 67,923	\$ 82,165	\$ 103,853
Total Liabilities							\$ 8,400,936	\$ 8,704,639
Total Fund Balance							\$ 4,068,035	\$ 6,003,462
Total Liabilities and Fund Balance							\$ 12,468,971	\$ 14,708,101

Tulare Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	Fiscal Year 2011/12						2010/11	
				Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
REVENUES								
State Financing Sources								
Trial Court Trust Fund	\$ 11,868,039			\$ 11,868,039	\$ 16,310,835	\$ 16,694,450	\$ 22,803,711	
Trial Court Improvement Fund	\$ 0			\$ 0	\$ 89,551	\$ 0	\$ 56,577	
Judicial Administration Efficiency & Mod Fund						\$ 0		
Judges' Compensation (45.25)								
Court Interpreter (45.45)	\$ 937,785			\$ 937,785	\$ 1,320,736	\$ 999,365	\$ 1,434,556	
Civil Coordination Reimbursement (45.55)								
MOU Reimbursements (45.10 and General)	\$ 536,520			\$ 536,520	\$ 970,406	\$ 564,155	\$ 946,723	
Other Miscellaneous								
	\$ 13,342,343						\$ 23,241,667	
Grants								
AB 1058 Commissioner/Facilitator			\$ 590,142	\$ 590,142	\$ 1,065,068	\$ 660,978	\$ 979,861	
Other AOC Grants	\$ 567		\$ 41,686	\$ 42,252	\$ 76,000	\$ 52,664	\$ 76,000	
Non-AOC Grants						\$ 1,703		
	\$ 567		\$ 631,828				\$ 1,056,524	
Other Financing Sources								
Interest Income	\$ 26,721	\$ 97		\$ 26,818	\$ 52,343	\$ 56,523	\$ 90,415	
Investment Income								
Donations								
Local Fees	\$ 466,702			\$ 466,702	\$ 665,473	\$ 427,432	\$ 663,737	
Non-Fee Revenues	\$ 291,132	\$ 1		\$ 291,133	\$ 233,468	\$ 225,900	\$ 226,983	
Enhanced Collections		\$ 1,194,653		\$ 1,194,653	\$ 1,996,851	\$ 1,112,713	\$ 1,968,443	
Escheatment	\$ 0			\$ 0	\$ 62,308			
Prior Year Revenue						\$ 0		
County Program - Restricted	\$ 7,216	\$ 59,436		\$ 66,652	\$ 122,667	\$ 70,277	\$ 127,257	
Reimbursement Other	\$ 6,305			\$ 6,305	\$ 17,462	\$ 5,327	\$ 15,547	
Sale of Fixed Assets								
Other Miscellaneous	\$ 7,918			\$ 7,918	\$ 5,300	\$ 19	\$ 10,136	
	\$ 805,994	\$ 1,254,187				\$ 19	\$ 1,056,615	
Total Revenues							\$ 25,348,282	
EXPENDITURES								
Personal Services								
Salaries - Permanent	\$ 7,366,966	\$ 303,068	\$ 309,977	\$ 7,980,012	\$ 11,566,832	\$ 8,036,162	\$ 11,548,982	
Temp Help	\$ 46,657			\$ 46,657	\$ 52,066	\$ 41,005	\$ 64,105	
Overtime	\$ 608	\$ 4		\$ 613	\$ 1,070	\$ 1,755	\$ 1,898	
Staff Benefits	\$ 4,535,004	\$ 232,684	\$ 174,406	\$ 4,942,095	\$ 7,158,571	\$ 4,710,262	\$ 6,890,282	
	\$ 11,949,235	\$ 535,757	\$ 484,383				\$ 13,415,267	
Operating Expenses and Equipment								
General Expense	\$ 284,219	\$ 6,940	\$ 6,327	\$ 297,487	\$ 544,056	\$ 360,405	\$ 749,683	
Printing	\$ 37,346	\$ 4,485	\$ 473	\$ 42,304	\$ 71,716	\$ 51,569	\$ 77,662	
Telecommunications	\$ 85,159	\$ 1,699	\$ 1,063	\$ 87,921	\$ 131,344	\$ 86,203	\$ 131,599	
Postage	\$ 114,814	\$ 34,463	\$ 1,082	\$ 150,360	\$ 251,107	\$ 161,930	\$ 224,809	
Insurance	\$ 6,178			\$ 6,178	\$ 6,178	\$ 6,737	\$ 6,737	
In-State Travel	\$ 13,205		\$ 6,754	\$ 19,958	\$ 23,314	\$ 14,807	\$ 23,934	
Out-of-State Travel								
Training	\$ 280			\$ 280	\$ 720	\$ 1,960	\$ 2,598	
Security Services	\$ 565		\$ 0	\$ 565	\$ 170,339	\$ 2,535,997	\$ 4,580,148	
Facility Operations	\$ 115,601	\$ 6,113	\$ 13,695	\$ 135,409	\$ 289,499	\$ 132,381	\$ 330,138	
Utilities	\$ (97)	\$ 1,565	\$ 112	\$ 1,581	\$ 5,283	\$ 75	\$ 4,847	
Contracted Services	\$ 1,714,623	\$ 714,978	\$ 99,846	\$ 2,529,447	\$ 3,551,273	\$ 2,496,201	\$ 3,757,259	
Consulting and Professional Services	\$ 7,400			\$ 7,400	\$ 22,000	\$ 7,875	\$ 20,120	
Information Technology	\$ 535,239	\$ 10,800		\$ 546,039	\$ 815,558	\$ 506,076	\$ 825,113	
Major Equipment	\$ 41,218			\$ 41,218	\$ 41,993		\$ 24,114	
Other Items of Expense	\$ 2,960			\$ 2,960	\$ 5,414	\$ 2,562	\$ 3,959	
	\$ 2,958,711	\$ 781,044	\$ 129,352				\$ 10,762,720	
Special Items of Expense								
Grand Jury								
Jury Costs	\$ 86,537			\$ 86,537	\$ 143,904	\$ 85,023	\$ 138,220	
Judgements, Settlements and Claims								
Debt Service								
Other								
Capital Costs								
Internal Cost Recovery	\$ (216,287)	\$ 119,411	\$ 96,877	\$ 0	\$ 0	\$ 0	\$ (1)	
Prior Year Expense Adjustment	\$ (260)			\$ (260)				
	\$ (130,010)	\$ 119,411	\$ 96,877				\$ (130,220)	
Total Expenditures							\$ 13,545,047	
Excess (Deficit) of Revenues Over Expenditures	\$ (629,032)	\$ (182,025)	\$ (78,784)	\$ (689,841)	\$ (1,863,569)	\$ 1,630,699	\$ (3,269)	
Operating Transfers In (Out)	\$ (1,083)		\$ 1,083	\$ 0	\$ 23,070	\$ 0	\$ (15,779)	
Fund Balance (Deficit)								
Beginning Balance (Deficit)	\$ 4,356,119	\$ 146,406	\$ 0	\$ 4,502,525	\$ 4,502,525	\$ 4,368,362	\$ 4,368,362	
Ending Balance (Deficit)							\$ 4,368,362	

Tulare Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Mar											
	Fiscal Year 2011/12						2010/11				
	Personnel	Operations	Travel	Printing	Telephone	Other	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 4,570,922	\$ 646,635				\$ 50,692	\$ (37)	\$ 5,268,212	\$ 7,448,316	\$ 5,131,042	\$ 7,481,279
Traffic & Other Infractions	\$ 626,655	\$ 82,515						\$ 709,170	\$ 968,364	\$ 580,099	\$ 863,053
Other Criminal Cases	\$ 1,166,273						\$ (3)	\$ 1,166,270	\$ 1,782,595	\$ 1,220,868	\$ 1,759,245
Civil	\$ 595,436	\$ 33,650						\$ 629,086	\$ 926,035	\$ 587,447	\$ 893,034
Family & Children Services	\$ 920,881	\$ 127,696				\$ 46,184	\$ (3)	\$ 1,094,758	\$ 1,682,305	\$ 1,180,685	\$ 1,814,635
Probate, Guardianship & Mental Health Services	\$ 400,561	\$ 79						\$ 400,640	\$ 578,664	\$ 373,474	\$ 539,418
Juvenile Dependency Services	\$ 81,456	\$ 439,261					\$ (1)	\$ 520,717	\$ 799,178	\$ 549,698	\$ 756,337
Juvenile Delinquency Services	\$ 118,462						\$ (2)	\$ 118,460	\$ 168,426	\$ 116,860	\$ 162,245
Other Court Operations	\$ 1,514,298	\$ 656,816					\$ (4)	\$ 2,171,109	\$ 2,477,276	\$ 2,231,742	\$ 3,235,621
Court Interpreters	\$ 551,766	\$ 500,245					\$ 0	\$ 1,052,010	\$ 1,459,575	\$ 1,026,831	\$ 1,494,901
Jury Services	\$ 215,642	\$ 119,198	\$ 86,537				\$ (3)	\$ 421,374	\$ 629,449	\$ 406,419	\$ 695,049
Security		\$ 45,574						\$ 45,574	\$ 213,733	\$ 2,547,381	\$ 4,598,737
Trial Court Operations Program	\$ 10,782,351	\$ 2,651,669	\$ 86,537			\$ 96,877	\$ (52)	\$ 13,597,382	\$ 19,333,116	\$ 13,002,341	\$ 22,213,554
Enhanced Collections	\$ 535,757	\$ 712,953				\$ 119,411		\$ 1,368,121	\$ 1,996,851	\$ 1,318,728	\$ 1,968,443
Other Non-Court Operations		\$ 33,900						\$ 33,900	\$ 47,268	\$ 33,703	\$ 53,083
Non-Court Operations Program	\$ 535,757	\$ 746,854				\$ 119,411		\$ 1,402,021	\$ 2,044,119	\$ 1,352,431	\$ 2,021,526
Executive Office	\$ 401,710	\$ 9,703				\$ (43,869)	\$ (208)	\$ 367,336	\$ 672,914	\$ 437,534	\$ 619,335
Fiscal Services	\$ 452,205	\$ 156,659				\$ (49,821)		\$ 559,043	\$ 831,832	\$ 553,951	\$ 823,874
Human Resources	\$ 371,339	\$ 61,822				\$ (38,061)		\$ 395,100	\$ 518,443	\$ 389,300	\$ 554,179
Business & Facilities Services	\$ 14,507	\$ 56,122				\$ (4,410)		\$ 66,219	\$ 98,725	\$ 78,624	\$ 154,908
Information Technology	\$ 431,505	\$ 186,280				\$ (80,126)		\$ 537,660	\$ 1,552,088	\$ 476,617	\$ 938,839
Court Administration Program	\$ 1,671,267	\$ 470,585				\$ (216,287)	\$ (208)	\$ 1,925,365	\$ 3,074,988	\$ 1,936,027	\$ 3,091,136
Expenditures Not Distributed or Posted to a Program											
Prior Year Adjustments Not Posted to a Program											
Total	\$ 12,989,376	\$ 3,901,109	\$ 86,537			\$ 0	\$ (208)	\$ 15,224,761	\$ 24,852,037	\$ 19,240,806	\$ 29,406,215