


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/03/2013

Date

Trinity

Court

FY2013-2014 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Trinity

Court

FY2013-2014 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	Filled Program 10 @ 7.3 FTEs; filled Program 90 @ 2 FTEs plus CEO for a total of 10.3 FTEs
2	The court has Marshal (court employees) providing court security for a total of 4.94 FTEs of which .94 are temp extra help deputies.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Trinity

Court

FY2013-2014 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	17.42	15.24			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Trinity
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September								2012/13
	Fiscal Year 2013/14								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ 219,011	\$ 7,852	\$ (68,872)				\$ 190	\$ 158,181	\$ 215,691
Payroll									
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card								\$ 150	\$ 150
Cash on Hand	\$ 150							\$ 339,275	\$ 365,165
Cash with County	\$ (2,017)		\$ 0				\$ 341,292	\$ 339,275	\$ 365,165
Cash Outside of the AOC							\$ 3,139	\$ 3,139	\$ 6,979
Total Cash	\$ 217,144	\$ 7,852	\$ (68,872)				\$ 344,621	\$ 500,745	\$ 587,985
Short Term Investment	\$ 392,933						\$ 10,710	\$ 403,643	\$ 406,362
Investment in Financial Institution									
Total Investments	\$ 392,933						\$ 10,710	\$ 403,643	\$ 406,362
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 2,105		\$ 65,986					\$ 68,091	\$ 49,383
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 1,930						\$ 218	\$ 2,148	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 4,925	\$ 0	\$ 0					\$ 4,925	\$ 27,893
Trust Due To/From							\$ 0	\$ 0	
Distribution Due To/From									
Civil Filing Fee Due To/From		\$ 252						\$ 252	\$ 0
General Due To/From	\$ 0								
Total Receivables	\$ 8,960	\$ 252	\$ 65,986				\$ 218	\$ 75,415	\$ 77,276
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances	\$ 986							\$ 986	\$ 0
Counties									
Total Prepaid Expenses	\$ 986							\$ 986	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 620,023	\$ 8,104	\$ (2,886)				\$ 355,549	\$ 980,789	\$ 1,071,623
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 252	\$ 252	\$ 0
Due to Other Courts									
Due to State	\$ 566							\$ 566	
TC145 Liability							\$ 10,900	\$ 10,900	\$ 9,226
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 156							\$ 156	
Interest							\$ 0	\$ 0	\$ 1
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 722	\$ 0	\$ 0				\$ 11,152	\$ 11,875	\$ 9,227
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 344,396	\$ 344,396	\$ 305,479
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 344,396	\$ 344,396	\$ 305,479
Accrued Payroll									\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities									\$ 0
Revenue Collected in Advance	\$ 0	\$ 0						\$ 0	\$ 0
Liabilities For Deposits	\$ 217							\$ 217	\$ 217
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 217	\$ 0						\$ 217	\$ 217
Total Liabilities	\$ 939	\$ 0	\$ 0				\$ 355,549	\$ 356,488	\$ 314,923
Total Fund Balance	\$ 619,084	\$ 8,104	\$ (2,886)					\$ 624,301	\$ 756,701
Total Liabilities and Fund Balance	\$ 620,023	\$ 8,104	\$ (2,886)				\$ 355,549	\$ 980,789	\$ 1,071,623

Superior Court of California, County of Trinity
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
Slate Financing Sources											
Trial Court Trust Fund	\$ 619,606	\$ 1,274					\$ 620,880	\$ 1,392,519	\$ 252,794	\$ 1,037,912	
Improvement and Modernization Fund								\$ 1,886		\$ 1,886	
Judges' Compensation (45.25)	\$ 0						\$ 0	\$ 33,100	\$ 2,751	\$ 7,334	
Court Interpreter (45.45)	\$ 5,724						\$ 5,724		\$ 3,547	\$ 41,500	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 2,091						\$ 2,091	\$ 125,499	\$ 9,617	\$ 130,623	
Other Miscellaneous								\$ 53,679		\$ 48,500	
	\$ 627,421	\$ 1,274					\$ 628,695	\$ 1,606,883	\$ 268,709	\$ 1,267,755	
Grants											
AB 1058 Commissioner/Facilitator			\$ 7,806				\$ 7,806	\$ 82,841	\$ 0	\$ 47,646	
Other AOC Grants											
Non-AOC Grants											
			\$ 7,806				\$ 7,806	\$ 82,841	\$ 0	\$ 47,646	
Other Financing Sources											
Interest Income	\$ 25	\$ 1					\$ 25	\$ 800	\$ 175	\$ 1,000	
Investment Income											
Donations											
Local Fees	\$ 212	\$ 1,672					\$ 1,884	\$ 12,950	\$ 2,546	\$ 13,300	
Non-Fee Revenues											
Enhanced Collections											
Escheatment											
Prior Year Revenue										\$ 6,000	
County Program - Restricted										\$ 55,800	
Reimbursement Other	\$ 9,080						\$ 9,080	\$ 17,050	\$ 1,780	\$ 3,500	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 9,316	\$ 1,673					\$ 10,989	\$ 30,800	\$ 4,501	\$ 79,600	
Total Revenues	\$ 636,737	\$ 2,947	\$ 7,806				\$ 647,490	\$ 1,720,324	\$ 273,210	\$ 1,395,001	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 192,587		\$ 4,055				\$ 196,642	\$ 802,808	\$ 184,765	\$ 739,308	
Temp Help	\$ 16,488						\$ 16,488	\$ 48,000	\$ 8,154	\$ 48,736	
Overtime	\$ 2,346						\$ 2,346	\$ 5,000	\$ 1,950		
Staff Benefits	\$ 120,860		\$ 2,341				\$ 123,201	\$ 537,989	\$ 113,254	\$ 589,548	
	\$ 332,281		\$ 6,396				\$ 338,677	\$ 1,393,797	\$ 308,123	\$ 1,377,592	
Operating Expenses and Equipment											
General Expense	\$ 7,090		\$ 242				\$ 7,332	\$ 48,032	\$ 4,491	\$ 69,225	
Printing	\$ 0						\$ 0	\$ 7,850	\$ 2,267	\$ 13,027	
Telecommunications	\$ 1,739		\$ 110				\$ 1,849	\$ 8,050	\$ 598	\$ 12,592	
Postage	\$ 1,171						\$ 1,171	\$ 8,200	\$ 1,180	\$ 4,500	
Insurance	\$ 0						\$ 0	\$ 2,400	\$ (4,500)	\$ 1,465	
In-State Travel	\$ 1,332		\$ 1,454				\$ 2,786	\$ 8,500	\$ 1,241	\$ 4,400	
Out-of-State Travel											
Training			\$ 560				\$ 560	\$ 230	\$ 100	\$ 1,000	
Security Services	\$ 107						\$ 107	\$ 1,100	\$ 590	\$ 21,277	
Facility Operations	\$ 276		\$ 0				\$ 276	\$ 3,672	\$ 600	\$ 10,954	
Utilities											
Contracted Services	\$ 16,757		\$ 651				\$ 17,408	\$ 250,143	\$ 26,577	\$ 291,755	
Consulting and Professional Services	\$ (24,789)	\$ 79					\$ (24,710)	\$ 68,187	\$ (16,334)	\$ 49,300	
Information Technology	\$ 42	\$ 943					\$ 985	\$ 19,482	\$ 42	\$ 26,142	
Major Equipment		\$ 12,621					\$ 12,621	\$ 22,622		\$ 54,500	
Other Items of Expense	\$ 1,735	\$ 1,650					\$ 3,385	\$ 9,900	\$ 1,347	\$ 14,444	
	\$ 5,458	\$ 15,294	\$ 3,017				\$ 23,769	\$ 458,668	\$ 18,200	\$ 574,581	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 440						\$ 440	\$ 6,300	\$ 433	\$ 5,500	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 100,000			
Capital Costs											
Internal Cost Recovery	\$ (1,279)		\$ 1,279				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ (839)		\$ 1,279				\$ 440	\$ 106,300	\$ 433	\$ 5,500	
Total Expenditures	\$ 336,900	\$ 15,294	\$ 10,692				\$ 362,886	\$ 1,958,665	\$ 326,756	\$ 1,957,673	
Excess (Deficit) of Revenues Over Expenditures	\$ 299,838	\$ (12,347)	\$ (2,886)				\$ 284,604	\$ (238,341)	\$ (53,546)	\$ (562,672)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 319,246	\$ 20,451	\$ 0				\$ 339,697	\$ 339,697	\$ 810,247	\$ 810,247	
Ending Balance (Deficit)	\$ 619,084	\$ 8,104	\$ (2,886)				\$ 624,301	\$ 101,356	\$ 756,701	\$ 247,575	

Superior Court of California, County of Trinity
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2013/14							2012/13		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 52,694	\$ 14,369					\$ 67,063	\$ 355,378	\$ 80,677	\$ 404,428
Traffic & Other Infractions	\$ 8,222	\$ 184					\$ 8,406	\$ 36,367	\$ 9,994	\$ 39,794
Other Criminal Cases	\$ 18,307						\$ 18,307	\$ 82,862	\$ 9,221	\$ 44,825
Civil	\$ 7,018	\$ 1,042					\$ 8,061	\$ 36,887	\$ 7,505	\$ 68,989
Family & Children Services	\$ 33,039	\$ 3,132			\$ 0		\$ 36,172	\$ 150,315	\$ 31,686	\$ 139,389
Probate, Guardianship & Mental Health Services										
Juvenile Dependency Services	\$ 3,195	\$ 422					\$ 3,616	\$ 98,065	\$ 11,321	\$ 105,312
Juvenile Delinquency Services	\$ 2,405						\$ 2,405	\$ 11,135	\$ 1,231	\$ 7,514
Other Court Operations	\$ 36,140	\$ 0					\$ 36,140	\$ 163,556	\$ 24,110	\$ 192,721
Court Interpreters		\$ 4,999					\$ 4,999	\$ 33,100	\$ 10,344	\$ 38,250
Jury Services	\$ 4,073	\$ 255	\$ 440				\$ 4,769	\$ 26,317	\$ 6,185	\$ 33,633
Security	\$ 108,794	\$ 1,607					\$ 110,401	\$ 457,775	\$ 99,079	\$ 537,217
Trial Court Operations Program	\$ 273,887	\$ 26,011	\$ 440		\$ 0		\$ 300,338	\$ 1,451,757	\$ 291,353	\$ 1,612,072
Enhanced Collections										\$ 6,000
Other Non-Court Operations										\$ 6,000
Non-Court Operations Program										\$ 6,000
Executive Office	\$ 16,509	\$ 882					\$ 17,391	\$ 73,550	\$ 16,419	\$ 63,302
Fiscal Services	\$ 31,080	\$ (10,859)					\$ 20,221	\$ 154,395	\$ 12,778	\$ 119,790
Human Resources	\$ 8,254	\$ (400)					\$ 7,854	\$ 155,460	\$ (2,841)	\$ 39,862
Business & Facilities Services		\$ 4,716					\$ 4,716	\$ 42,762	\$ 1,769	\$ 28,804
Information Technology	\$ 8,947	\$ 3,420					\$ 12,366	\$ 80,741	\$ 7,277	\$ 87,843
Court Administration Program	\$ 64,789	\$ (2,242)					\$ 62,547	\$ 506,908	\$ 35,403	\$ 339,601
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 338,677	\$ 23,769	\$ 440		\$ 0		\$ 362,886	\$ 1,958,665	\$ 326,756	\$ 1,957,673