

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Ana Setter

Signature of Presiding Judge or Court Executive

1/30/12

Date

Lehama

Court

1ST QTR - 2011

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Tehama
Court
2011-1st Qtr
Fiscal Year and Ending Quarter

| | Positions (FTEs) Filled | | | |
|---|-------------------------|-------------|-------------|-------------|
| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
| Total Authorized Court Positions (FTEs) ¹ (OPTIONAL) | | | | |
| Court Employee Positions (FTEs) | 37.34 | | | |
| | 44.34 | | | |

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Tehama
Court

2011 - 1st Qtr
Fiscal Year and Ending Quarter

FOOTNOTES

| | |
|----|---|
| 1 | The constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance. |
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| 3 | |
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| 11 | |
| 12 | |

Tehama Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

| For the month ended Sep | | | | | | | | | |
|--|---------------------|-----------------|--------------------|-----------------|--------------|-------------------|-------------------|-------------------------------------|-------------------------------------|
| Fiscal Year 2011/12 | | | | | | | | | |
| | Governmental Funds | | | | | | | Total Funds (Info. Purpose Only) | Total Funds (Info. Purpose Only) |
| | General | Special Revenue | | Capital Project | Debt Service | Proprietary Funds | Fiduciary Funds | | |
| | | Non-Grant | Grant | | | | | | |
| ASSETS | | | | | | | | | |
| Operations | \$ 42,075 | | \$ (43,437) | | | | \$ 1,747 | \$ 385 | \$ 26,681 |
| Payroll | | | | | | | | | |
| Jury | | | | | | | | | |
| Revolving | \$ 2,000 | | | | | | | \$ 2,000 | \$ 2,000 |
| Other | | | | | | | | | |
| Distribution | | | | | | | | | |
| Civil Filing Fees | | | | | | | \$ 0 | \$ 0 | \$ 0 |
| Trust | | | | | | | \$ 174,790 | \$ 174,790 | \$ 149,880 |
| Credit Card | | | | | | | | | |
| Cash on Hand | \$ 440 | | | | | | | \$ 440 | \$ 440 |
| Cash with County | \$ 242,950 | | \$ 0 | | | | | \$ 242,950 | \$ 226,516 |
| Total Cash | \$ 287,465 | | \$ (43,437) | | | | \$ 176,537 | \$ 420,665 | \$ 485,316 |
| Short Term Investment | \$ 1,916,888 | | | | | | \$ 95,762 | \$ 2,012,650 | \$ 1,565,839 |
| Investment in Financial Institution | | | | | | | | | |
| Total Investments | \$ 1,916,888 | | | | | | \$ 95,762 | \$ 2,012,650 | \$ 1,565,839 |
| Accrued Revenue | \$ 0 | | \$ 0 | | | | \$ 0 | \$ 0 | \$ 0 |
| Accounts Receivable - General | | | \$ 17,383 | | | | | \$ 17,383 | |
| Dishonored Checks | | | | | | | | | |
| Due From Employee | | | | | | | | | |
| Civil Jury Fees | | | | | | | | | |
| Trust | | | | | | | | | |
| Due From Other Funds | \$ 0 | | | | | | | \$ 0 | \$ 70 |
| Due From Other Governments | \$ 0 | | \$ 0 | | | | | \$ 0 | \$ 0 |
| Due From Other Courts | \$ 24,947 | | | | | | \$ 0 | \$ 24,947 | \$ 24,304 |
| Due From State | \$ 0 | | \$ 1,122 | | | | | \$ 1,122 | \$ 4,335 |
| Trust Due To/From | | | | | | | \$ 0 | \$ 0 | |
| Distribution Due To/From | | | | | | | | | |
| Civil Filing Fee Due To/From | | | | | | | | | |
| General Due To/From | \$ 107 | | | | | | | \$ 107 | |
| Total Receivables | \$ 25,055 | | \$ 18,504 | | | | \$ 0 | \$ 43,559 | \$ 28,709 |
| Prepaid Expenses - General | | | | | | | | | |
| Salary and Travel Advances | | | | | | | | | |
| Counties | | | | | | | | | |
| Total Prepaid Expenses | | | | | | | | | |
| Other Assets | | | | | | | | | |
| Total Other Assets | | | | | | | | | |
| Total Assets | \$ 2,229,408 | | \$ (24,933) | | | | \$ 272,299 | \$ 2,476,774 | \$ 1,998,862 |
| LIABILITIES AND FUND BALANCES | | | | | | | | | |
| Accrued Liabilities | \$ 0 | | \$ 0 | | | | | \$ 0 | \$ 0 |
| Accounts Payable - General | \$ 266 | | \$ 0 | | | | \$ 0 | \$ 266 | \$ 1,955 |
| Due to Other Funds | \$ 0 | | \$ 0 | | | | \$ 107 | \$ 107 | \$ 70 |
| Due to Other Courts | | | | | | | | | \$ 0 |
| Due to State | | | | | | | | | \$ 0 |
| TC145 Liability | | | | | | | \$ 97,502 | \$ 97,502 | \$ 101,625 |
| Due to Other Governments | | | | | | | | | |
| AB145 Due to Other Government Agency | | | | | | | | | |
| Due to Other Public Agencies | | | | | | | | | |
| Sales and Use Tax | \$ 539 | | | | | | | \$ 539 | \$ 8 |
| Interest | | | | | | | \$ 30 | \$ 30 | \$ 34 |
| Miscellaneous Accts. Pay. and Accrued Liab. | | | | | | | | | |
| Total Accounts Payable and Accrued Liab. | \$ 805 | | \$ 0 | | | | \$ 97,640 | \$ 98,445 | \$ 103,540 |
| Civil | | | | | | | \$ 103,448 | \$ 103,448 | \$ 46,897 |
| Criminal | | | | | | | \$ 52,885 | \$ 52,885 | \$ 63,807 |
| Unreconciled - Civil and Criminal | | | | | | | \$ 13,528 | \$ 13,528 | \$ 13,628 |
| Trust Held Outside of the AOC | | | | | | | | | |
| Trust Interest Payable | | | | | | | \$ 1,721 | \$ 1,721 | \$ 1,631 |
| Miscellaneous Trust | | | | | | | | | |
| Total Trust Deposits | | | | | | | \$ 171,582 | \$ 171,582 | \$ 146,832 |
| Accrued Payroll | \$ 0 | | \$ 0 | | | | | \$ 0 | \$ 0 |
| Benefits Payable | | | | | | | | | |
| Deferred Compensation Payable | | | | | | | | | |
| Deductions Payable | | | | | | | | | |
| Payroll Clearing | \$ 0 | | \$ 0 | | | | | \$ 0 | \$ 0 |
| Total Payroll Liabilities | \$ 0 | | \$ 0 | | | | | \$ 0 | \$ 0 |
| Revenue Collected in Advance | | | | | | | | | |
| Liabilities For Deposits | \$ 1,339 | | | | | | \$ 1,905 | \$ 3,244 | \$ 2,443 |
| Jury Fees - Non-Interest | | | | | | | \$ 1,172 | \$ 1,172 | \$ 2,400 |
| Fees - Partial Payment & Overpayment | | | | | | | \$ 0 | \$ 0 | \$ 0 |
| Uncleared Collections | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Other Miscellaneous Liabilities | | | | | | | | | |
| Total Other Liabilities | \$ 1,339 | | | | | | \$ 3,077 | \$ 4,417 | \$ 4,843 |
| Total Liabilities | \$ 2,144 | | \$ 0 | | | | \$ 272,288 | \$ 274,443 | \$ 258,268 |
| Fund Balance - Nonspendable | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Fund Balance - Restricted | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Fund Balance - Committed | | | | | | | | | |
| Fund Balance - Assigned | \$ 1,769,708 | | | | | | | \$ 1,769,708 | \$ 1,769,708 |
| Fund Balance - Unassigned | \$ 407,859 | | \$ 0 | | | | | \$ 407,859 | \$ 0 |
| Excess (Deficit) of Rev. Over Expenses/Op. Transfers | \$ 49,697 | | \$ (24,933) | | | | | \$ 24,764 | \$ (24,111) |
| Total Fund Balance | \$ 2,227,264 | | \$ (24,933) | | | | | \$ 2,202,391 | \$ 1,745,597 |
| Total Liabilities and Fund Balance | \$ 2,229,408 | | \$ (24,933) | | | | \$ 272,299 | \$ 2,476,774 | \$ 1,998,862 |

Tehama Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

| For the month ended Sep | | | | | | | | | | |
|---|-------------------|----------------------------------|--------------------------|---------------|------------------------|-------------------------------|----------------------|-------------------------|----------------------|-----------------------|
| Fiscal Year 2011/12 | | | | | | | | | | |
| | Personal Services | Operating Expenses and Equipment | Special Items of Expense | Capital Costs | Internal Cost Recovery | Prior Year Expense Adjustment | Total Actual Expense | Current Budget (Annual) | Total Actual Expense | Final Budget (Annual) |
| PROGRAM EXPENDITURES: | | | | | | | | | | |
| Judges & Courtroom Support | \$ 159,505 | \$ 40,670 | | | | | \$ 200,174 | \$ 926,976 | \$ 186,753 | \$ 876,284 |
| Traffic & Other Infractions | \$ 28,733 | \$ 1,387 | | | | | \$ 30,120 | \$ 243,163 | \$ 27,604 | \$ 168,981 |
| Other Criminal Cases | | | | | | | | \$ 300,231 | | \$ 200,312 |
| Civil | | | | | | | | \$ 219,816 | | \$ 167,357 |
| Family & Children Services | \$ 48,057 | \$ 7,189 | | | | | \$ 55,246 | \$ 391,002 | \$ (9,943) | \$ 358,517 |
| Probate, Guardianship & Mental Health Services | \$ 16,642 | \$ 1,045 | | | | | \$ 17,886 | \$ 104,475 | \$ 16,710 | \$ 132,630 |
| Juvenile Dependency Services | | \$ 18,685 | | | | | \$ 16,685 | \$ 136,332 | \$ 15,449 | |
| Juvenile Delinquency Services | | | | | | | | \$ 37,332 | | |
| Other Court Operations | \$ 315,868 | \$ 16,735 | | | | | \$ 332,603 | \$ 490,964 | \$ 369,514 | \$ 1,008,000 |
| Court Interpreters | \$ 23,024 | \$ 11,442 | | | | | \$ 34,466 | \$ 184,056 | \$ 35,799 | \$ 174,088 |
| Jury Services | \$ 20,698 | \$ 1,129 | \$ 893 | | | \$ (3,291) | \$ 19,429 | \$ 101,566 | \$ 22,622 | \$ 103,286 |
| Security | | \$ 624 | | | | | \$ 624 | \$ 420 | \$ 74,670 | \$ 609,048 |
| Trial Court Operations Program | \$ 612,525 | \$ 96,907 | \$ 893 | | | \$ (3,291) | \$ 707,035 | \$ 3,136,353 | \$ 739,178 | \$ 3,798,503 |
| Enhanced Collections | | | | | | | | | | |
| Other Non-Court Operations | | | | | | | | | | |
| Non-Court Operations Program | | | | | | | | | | |
| Executive Office | \$ 38,388 | \$ 5,023 | | | | | \$ 43,411 | \$ 372,954 | \$ 44,270 | \$ 519,290 |
| Fiscal Services | \$ 20,595 | \$ 2,503 | | | | | \$ 23,097 | \$ 432,155 | \$ 36,771 | \$ 390,077 |
| Human Resources | | \$ 0 | | | | | \$ 0 | \$ 130,839 | \$ 713 | \$ 112,986 |
| Business & Facilities Services | \$ 37,892 | \$ 55,891 | | | | | \$ 93,782 | \$ 275,055 | \$ 95,233 | \$ 381,262 |
| Information Technology | \$ 96,875 | \$ 63,416 | | | | | \$ 160,291 | \$ 1,211,003 | \$ 178,987 | \$ 1,403,615 |
| Court Administration Program | | | | | | | | | | |
| Expenditures Not Distributed or Posted to a Program | \$ 0 | \$ 0 | | | | | \$ 0 | | \$ 0 | |
| Prior Year Adjustments Not Posted to a Program | | | | | | | | | | |
| Total | \$ 709,400 | \$ 160,323 | \$ 893 | | | \$ (3,291) | \$ 867,326 | \$ 4,354,856 | \$ 918,164 | \$ 6,202,113 |

Tehama Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

| For the month ended Sep | | | | | | | | | | |
|--|--------------------|-----------------|------------------|------------------|--------------|-------------------|-------------------------------------|----------------------------|-------------------------------------|--------------------------|
| Fiscal Year 2011/12 | | | | | | | | | | |
| | Governmental Funds | | | | | | Total Funds (Info. Purpose Only) | Current Budget (Annual) | Total Funds (Info. Purpose Only) | Final Budget (Annual) |
| | General | Special Revenue | | Capital Projects | Debt Service | Proprietary Funds | | | | |
| | | Non-Grant | Grant | | | | | | | |
| REVENUES | | | | | | | | | | |
| State Financing Sources | | | | | | | | | | |
| Trial Court Trust Fund | \$ 839,212 | | | | | | \$ 839,212 | \$ 3,386,516 | \$ 873,156 | \$ 4,121,366 |
| Trial Court Improvement Fund | \$ (8,277) | | | | | | \$ (8,277) | \$ 8,276 | \$ (8,277) | \$ 6,277 |
| Judicial Administration Efficiency & Mod Fund | \$ 3,800 | | | | | | \$ 3,800 | | | |
| Judges' Compensation (45.25) | \$ 7,500 | | | | | | \$ 7,500 | \$ 30,000 | \$ 7,500 | \$ 30,000 |
| Court Interpreter (45.45) | \$ 31,509 | | | | | | \$ 31,509 | \$ 146,077 | \$ 8,601 | \$ 146,077 |
| Civil Coordination Reimbursement (45.55) | | | | | | | | | | |
| MOU Reimbursements (45.10 and General) | \$ 13,950 | | | | | | \$ 13,950 | \$ 157,927 | \$ 8,333 | \$ 157,062 |
| Other Miscellaneous | | | | | | | | | | |
| | \$ 887,694 | | | | | | \$ 887,694 | \$ 3,728,796 | \$ 889,313 | \$ 4,482,782 |
| Grants | | | | | | | | | | |
| AB 1058 Commissioner/Facilitator | | | \$ 0 | | | | \$ 0 | \$ 158,435 | \$ (491) | \$ 150,835 |
| Other AOC Grants | | | | | | | | | | |
| Non-AOC Grants | | | \$ 0 | | | | \$ 0 | \$ 158,435 | \$ (491) | \$ 150,835 |
| Other Financing Sources | | | | | | | | | | |
| Interest Income | \$ 2,465 | | | | | | \$ 2,465 | \$ 16,000 | \$ 2,562 | \$ 25,000 |
| Investment Income | | | | | | | | | | |
| Donations | | | | | | | | | | |
| Local Fees | \$ 1,368 | | | | | | \$ 1,368 | \$ 6,670 | \$ 1,983 | \$ 8,000 |
| Non-Fee Revenues | \$ 440 | | | | | | \$ 440 | \$ 1,560 | \$ 520 | \$ 2,200 |
| Enhanced Collections | | | | | | | | | | |
| Escheatment | | | | | | | | | | |
| Prior Year Revenue | | | | | | | | | | |
| County Program - Restricted | | | | | | | | | | |
| Reimbursement Other | \$ 79 | | | | | | \$ 79 | | | |
| Sale of Fixed Assets | | | | | | | | | | |
| Other Miscellaneous | \$ 45 | | | | | | \$ 45 | | \$ 166 | |
| | \$ 4,396 | | | | | | \$ 4,396 | \$ 24,230 | \$ 5,231 | \$ 36,200 |
| Total Revenues | \$ 892,090 | | \$ 0 | | | | \$ 892,090 | \$ 3,911,461 | \$ 894,053 | \$ 4,646,817 |
| EXPENDITURES | | | | | | | | | | |
| Personal Services | | | | | | | | | | |
| Salaries - Permanent | \$ 469,503 | | \$ 9,889 | | | | \$ 479,391 | \$ 2,329,521 | \$ 511,766 | \$ 2,425,476 |
| Temp Help | \$ 5,841 | | | | | | \$ 5,841 | | \$ 2,766 | |
| Overtime | \$ 505 | | | | | | \$ 505 | | | |
| Staff Benefits | \$ 220,777 | | \$ 2,885 | | | | \$ 223,662 | \$ 1,120,829 | \$ 192,323 | \$ 1,114,122 |
| | \$ 696,627 | | \$ 12,773 | | | | \$ 709,400 | \$ 2,450,350 | \$ 706,854 | \$ 3,639,698 |
| Operating Expenses and Equipment | | | | | | | | | | |
| General Expense | \$ 28,109 | | \$ 305 | | | | \$ 28,414 | \$ 169,882 | \$ 44,328 | \$ 231,010 |
| Printing | \$ 2,960 | | \$ 0 | | | | \$ 2,960 | \$ 14,000 | \$ 3,208 | \$ 16,000 |
| Telecommunications | \$ 14,318 | | \$ 66 | | | | \$ 14,384 | \$ 50,683 | \$ 12,786 | \$ 62,500 |
| Postage | \$ 8,806 | | | | | | \$ 8,806 | \$ 41,700 | \$ 15,129 | \$ 40,000 |
| Insurance | \$ 732 | | | | | | \$ 732 | \$ 3,500 | \$ 599 | \$ 4,000 |
| In-State Travel | \$ 2,960 | | \$ 3,250 | | | | \$ 6,210 | \$ 13,089 | \$ 1,194 | \$ 14,919 |
| Out-of-State Travel | | | | | | | | | | |
| Training | | | | | | | | | | |
| Security Services | \$ 105 | | | | | | \$ 105 | \$ 420 | \$ 74,640 | \$ 609,048 |
| Facility Operations | \$ 2,654 | | \$ 2,466 | | | | \$ 5,120 | \$ 26,800 | \$ 5,178 | \$ 42,158 |
| Utilities | \$ 165 | | | | | | \$ 165 | \$ 2,300 | \$ 756 | \$ 3,100 |
| Contracted Services | \$ 43,207 | | \$ 5,452 | | | | \$ 48,659 | \$ 314,425 | \$ (1,063) | \$ 330,836 |
| Consulting and Professional Services | \$ 175 | | | | | | \$ 175 | \$ 98,249 | \$ 9,132 | \$ 94,160 |
| Information Technology | \$ 43,974 | | | | | | \$ 43,974 | \$ 162,287 | \$ 44,048 | \$ 212,589 |
| Major Equipment | | | | | | | | \$ 6,500 | | |
| Other Items of Expense | | | | | | | | | | |
| | \$ 148,164 | | \$ 12,160 | | | | \$ 160,323 | \$ 905,935 | \$ 269,918 | \$ 1,654,020 |
| Special Items of Expense | | | | | | | | | | |
| Grand Jury | | | | | | | | | \$ 0 | |
| Jury Costs | \$ 893 | | | | | | \$ 893 | \$ 3,771 | \$ 1,393 | \$ 7,600 |
| Judgements, Settlements and Claims | | | | | | | | | | |
| Debt Service | | | | | | | | | | |
| Other | | | | | | | | | | |
| Capital Costs | | | | | | | | | | |
| Internal Cost Recovery | | | | | | | | | | |
| Prior Year Expense Adjustment | \$ (3,291) | | | | | | \$ (3,291) | | | |
| | \$ (2,397) | | | | | | \$ (2,397) | \$ 3,771 | \$ 1,393 | \$ 7,600 |
| Total Expenditures | \$ 842,393 | | \$ 24,933 | | | | \$ 867,320 | \$ 3,464,056 | \$ 910,164 | \$ 5,292,138 |
| Excess (Deficit) of Revenues Over Expenditures | \$ 49,697 | | \$ (24,933) | | | | \$ 24,764 | \$ (443,495) | \$ (24,111) | \$ (553,301) |
| Operating Transfers In (Out) | | | | | | | | \$ 0 | | \$ 0 |
| Fund Balance (Deficit) | | | | | | | | | | |
| Beginning Balance (Deficit) | \$ 2,177,566 | | \$ 0 | | | | \$ 2,177,566 | \$ 2,177,566 | \$ 1,769,708 | \$ 1,769,708 |
| Ending Balance (Deficit) | \$ 2,227,264 | | \$ (24,933) | | | | \$ 2,202,331 | \$ 1,734,071 | \$ 1,745,597 | \$ 1,216,407 |