


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Sonoma

Court

12-13 Q2

Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December
 Fiscal Year 2012/13

2011/12

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ 77,625	\$ 982,952	\$ (588,818)				\$ 3,366,928	\$ 3,838,686	\$ 263,153
Payroll	\$ 4,313	\$ 0						\$ 4,313	\$ (495)
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other									
Distribution							\$ (30,789)	\$ (30,789)	\$ 43,710
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (134,713)	\$ (134,713)	\$ 2,270,296
Credit Card									
Cash on Hand	\$ 8,000							\$ 8,000	\$ 8,000
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ 104,937	\$ 982,952	\$ (588,818)				\$ 3,201,426	\$ 3,700,498	\$ 2,599,664
Short Term Investment	\$ 5,432,816						\$ 1,061,111	\$ 6,493,927	\$ 8,032,216
Investment in Financial Institution							\$ 3,151,134	\$ 3,151,134	\$ 2,109,607
Total Investments	\$ 5,432,816						\$ 4,212,245	\$ 9,645,061	\$ 10,141,823
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0						\$ 0	\$ 0
Trust Due To/From							\$ 213	\$ 213	\$ 2,684
Distribution Due To/From							\$ 19	\$ 19	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,211							\$ 1,211	\$ 1,101
Total Receivables	\$ 1,211	\$ 0	\$ 0				\$ 232	\$ 1,444	\$ 3,785
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 5,538,964	\$ 982,952	\$ (588,818)				\$ 7,413,904	\$ 13,347,002	\$ 12,745,273
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 28,293	\$ 0	\$ 0				\$ 0	\$ 28,293	\$ 28,622
Due to Other Funds	\$ 19	\$ 0	\$ 0				\$ 1,425	\$ 1,444	\$ 3,785
Due to Other Courts									
Due to State									
TC145 Liability							\$ 602,218	\$ 602,218	\$ 569,661
Due to Other Governments							\$ 454,800	\$ 454,800	\$ 360,720
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 472	\$ 472	\$ 281
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 111							\$ 111	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 28,423	\$ 0	\$ 0				\$ 1,058,915	\$ 1,087,338	\$ 963,069
Civil							\$ 2,123,139	\$ 2,123,139	\$ 1,518,700
Criminal							\$ 931,208	\$ 931,208	\$ 451,383
Unreconciled - Civil and Criminal							\$ 30,037	\$ 30,037	\$ 48,411
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 4,254	\$ 4,254	\$ 3,077
Miscellaneous Trust									
Total Trust Deposits							\$ 3,088,638	\$ 3,088,638	\$ 2,021,571
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (320,464)						\$ 3,151,134	\$ 2,830,670	\$ 1,804,165
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 64,815							\$ 64,815	\$ 297,853
Payroll Clearing									\$ (495)
Total Payroll Liabilities	\$ (255,649)	\$ 0	\$ 0				\$ 3,151,134	\$ 2,895,485	\$ 2,101,523
Revenue Collected in Advance									
Liabilities For Deposits	\$ 24,181						\$ 21,609	\$ 45,790	\$ 43,273
Jury Fees - Non-Interest							\$ 90,786	\$ 90,786	\$ 228,826
Fees - Partial Payment & Overpayment							\$ 2,822	\$ 2,822	\$ 275
Uncleared Collections									
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 24,181	\$ 0	\$ 0				\$ 115,217	\$ 139,399	\$ 272,373
Total Liabilities	\$ (203,044)	\$ 0	\$ 0				\$ 7,413,904	\$ 7,210,860	\$ 5,358,536
Total Fund Balance	\$ 5,742,008	\$ 982,952	\$ (588,818)					\$ 6,136,143	\$ 7,386,737
Total Liabilities and Fund Balance	\$ 5,538,964	\$ 982,952	\$ (588,818)				\$ 7,413,904	\$ 13,347,002	\$ 12,745,273

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,998,735	\$ 623,071	\$ 0		\$ 54,428		\$ 4,676,233	\$ 10,568,332	\$ 4,707,858	\$ 11,148,495
Traffic & Other Infractions	\$ 252,538	\$ 47,726					\$ 300,264	\$ 620,393	\$ 316,643	\$ 756,988
Other Criminal Cases	\$ 632,888	\$ 105,727					\$ 738,615	\$ 1,596,791	\$ 662,914	\$ 1,449,725
Civil	\$ 454,818	\$ 4,325				\$ (453)	\$ 458,690	\$ 1,022,447	\$ 534,649	\$ 1,238,499
Family & Children Services	\$ 1,041,131	\$ 76,503			\$ 20,132		\$ 1,137,765	\$ 2,503,802	\$ 1,266,764	\$ 3,102,691
Probate, Guardianship & Mental Health Services	\$ 264,490	\$ 1,882					\$ 266,371	\$ 638,968	\$ 328,813	\$ 769,484
Juvenile Dependency Services	\$ 46,865	\$ 2,102					\$ 48,967	\$ 102,212	\$ 92,364	\$ 204,086
Juvenile Delinquency Services	\$ 98,088	\$ 49,253					\$ 147,341	\$ 338,798	\$ 219,515	\$ 506,096
Other Court Operations	\$ 582,863	\$ 411,511	\$ 230				\$ 994,604	\$ 2,572,237	\$ 693,546	\$ 2,003,625
Court Interpreters	\$ 570,010	\$ 76,263	\$ 701				\$ 646,975	\$ 1,441,263	\$ 677,718	\$ 1,574,753
Jury Services	\$ 116,748	\$ 39,276	\$ 180,056				\$ 336,080	\$ 588,839	\$ 239,267	\$ 540,975
Security		\$ 205,864					\$ 205,864		\$ 183,270	\$ 421,060
Trial Court Operations Program	\$ 8,059,174	\$ 1,643,502	\$ 180,987		\$ 74,560	\$ (453)	\$ 9,957,769	\$ 21,994,082	\$ 9,923,320	\$ 23,716,476
Enhanced Collections	\$ 379,333	\$ 47,672			\$ 66,813		\$ 493,818	\$ 1,118,982	\$ 489,318	\$ 1,236,205
Other Non-Court Operations		\$ 5,000					\$ 5,000	\$ 12,000	\$ 4,000	\$ 12,000
Non-Court Operations Program	\$ 379,333	\$ 52,672			\$ 66,813		\$ 498,818	\$ 1,130,982	\$ 493,318	\$ 1,248,205
Executive Office	\$ 293,538	\$ 4,121					\$ 297,659	\$ 621,980	\$ 315,750	\$ 619,616
Fiscal Services	\$ 353,820	\$ 66,812			\$ (141,373)		\$ 279,259	\$ 796,111	\$ 448,845	\$ 851,685
Human Resources	\$ 276,956	\$ 14,231	\$ 300,000				\$ 591,187	\$ 1,203,490	\$ 494,182	\$ 1,255,455
Business & Facilities Services	\$ 144,307	\$ 15,834					\$ 160,141	\$ 382,705	\$ 166,240	\$ 763,901
Information Technology	\$ 414,476	\$ 55,938				\$ (3,960)	\$ 466,455	\$ 1,089,754	\$ 580,399	\$ 1,081,836
Court Administration Program	\$ 1,483,099	\$ 156,935	\$ 300,000		\$ (141,373)	\$ (3,960)	\$ 1,794,701	\$ 4,094,040	\$ 2,005,415	\$ 4,572,493
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 9,921,606	\$ 1,853,109	\$ 480,987		\$ 0	\$ (4,413)	\$ 12,251,288	\$ 27,219,104	\$ 12,422,053	\$ 29,537,174

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 8,398,659	\$ 63,105					\$ 8,461,764	\$ 17,396,766	\$ 11,015,277	\$ 22,895,040	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 64,555	\$ (1)	\$ 76,104	
Judicial Administration Efficiency & Mod Fund	\$ (6,500)						\$ (6,500)		\$ 8,400	\$ 31,950	
Judges' Compensation (45.25)	\$ 99,750						\$ 99,750	\$ 190,000	\$ 99,750	\$ 190,000	
Court Interpreter (45.45)	\$ 596,389						\$ 596,389	\$ 1,195,020	\$ 588,153	\$ 1,198,488	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 229,385						\$ 229,385	\$ 283,508	\$ 125,505	\$ 389,004	
Other Miscellaneous	\$ 779,881						\$ 779,881	\$ 1,026,881		\$ 774,339	
	\$ 10,097,564	\$ 63,105					\$ 10,160,669	\$ 20,156,730	\$ 11,837,084	\$ 25,554,925	
Grants											
AB 1058 Commissioner/Facilitator			\$ (7,427)				\$ (7,427)	\$ 809,537	\$ (13,701)	\$ 815,180	
Other AOC Grants			\$ 0				\$ 0		\$ 10,004	\$ 60,227	
Non-AOC Grants			\$ 105,100				\$ 105,100	\$ 358,187	\$ 157,957	\$ 468,584	
			\$ 97,674				\$ 97,674	\$ 1,167,724	\$ 154,260	\$ 1,343,991	
Other Financing Sources											
Interest Income	\$ 7,897	\$ 898					\$ 8,794	\$ 36,939	\$ 56,769	\$ 35,560	
Investment Income											
Donations											
Local Fees	\$ 157,839						\$ 157,839	\$ 312,580	\$ 123,241	\$ 302,600	
Non-Fee Revenues									\$ 4,605	\$ 15,540	
Enhanced Collections		\$ 472,598					\$ 472,598	\$ 1,118,982	\$ 303,745	\$ 1,236,205	
Escheatment											
Prior Year Revenue	\$ 92,865	\$ (642)					\$ 92,222		\$ 326,717		
County Program - Restricted	\$ 0	\$ 4,122					\$ 4,122	\$ 44,614	\$ 6,520	\$ 28,913	
Reimbursement Other	\$ 35,851						\$ 35,851	\$ 59,450	\$ (25,729)	\$ 50,670	
Sale of Fixed Assets											
Other Miscellaneous	\$ 10,146						\$ 10,146	\$ 9,659			
	\$ 304,597	\$ 476,975					\$ 781,572	\$ 1,582,224	\$ 795,868	\$ 1,669,488	
Total Revenues	\$ 10,402,161	\$ 540,080	\$ 97,674				\$ 11,039,915	\$ 22,906,678	\$ 12,787,212	\$ 28,568,404	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,305,470	\$ 198,655	\$ 296,237				\$ 5,800,363	\$ 10,632,477	\$ 6,102,505	\$ 12,497,109	
Temp Help											
Overtime	\$ 8,870	\$ 0	\$ 155				\$ 9,025		\$ 7,340		
Staff Benefits	\$ 3,747,429	\$ 180,678	\$ 184,112				\$ 4,112,218	\$ 11,039,488	\$ 3,952,317	\$ 10,127,552	
	\$ 9,061,769	\$ 379,333	\$ 480,504				\$ 9,921,606	\$ 21,671,965	\$ 10,062,162	\$ 22,624,661	
Operating Expenses and Equipment											
General Expense	\$ 101,192	\$ 1,339	\$ 3,095				\$ 105,627	\$ 312,135	\$ 231,897	\$ 649,223	
Printing	\$ 42,860	\$ 3,128	\$ 55				\$ 46,043	\$ 144,120	\$ 54,589	\$ 165,430	
Telecommunications	\$ 57,681	\$ 2,557	\$ 2,096				\$ 62,334	\$ 154,660	\$ 87,601	\$ 195,083	
Postage	\$ 67,171	\$ 10,742	\$ 152				\$ 78,065	\$ 218,180	\$ 78,621	\$ 187,540	
Insurance	\$ 6,826	\$ 264	\$ 219				\$ 7,308	\$ 8,549	\$ 7,017	\$ 7,000	
In-State Travel	\$ 9,789		\$ 1,271				\$ 11,060	\$ 28,590	\$ 17,804	\$ 49,390	
Out-of-State Travel											
Training	\$ 3,001		\$ 395				\$ 3,396	\$ 24,525	\$ 15,565	\$ 40,785	
Security Services	\$ 187,443						\$ 187,443	\$ 385,000	\$ 181,857	\$ 417,612	
Facility Operations	\$ 223,165	\$ 1,878					\$ 225,043	\$ 680,364	\$ 45,503	\$ 662,155	
Utilities	\$ 19,389						\$ 19,389	\$ 68,000	\$ (2,307)	\$ 82,340	
Contracted Services	\$ 561,201	\$ 50,610	\$ 112,234				\$ 724,045	\$ 1,672,696	\$ 768,987	\$ 1,903,998	
Consulting and Professional Services	\$ 320,165	\$ 17,752	\$ 11,464				\$ 349,380	\$ 924,024	\$ 340,200	\$ 1,277,515	
Information Technology	\$ 28,434	\$ 4,152	\$ 448				\$ 33,033	\$ 88,936	\$ 119,289	\$ 394,893	
Major Equipment									\$ 15,124	\$ 15,000	
Other Items of Expense	\$ 942						\$ 942	\$ 2,960	\$ 3,668	\$ 6,558	
	\$ 1,629,258	\$ 92,422	\$ 131,429				\$ 1,853,109	\$ 4,712,739	\$ 1,965,414	\$ 6,054,522	
Special Items of Expense											
Grand Jury	\$ 230						\$ 230	\$ 440			
Jury Costs	\$ 180,056						\$ 180,056	\$ 233,960	\$ 97,277	\$ 257,990	
Judgements, Settlements and Claims	\$ 701						\$ 701				
Debt Service											
Other	\$ 300,000						\$ 300,000	\$ 600,000	\$ 300,000	\$ 600,000	
Capital Costs											
Internal Cost Recovery	\$ (141,373)	\$ 66,813	\$ 74,560				\$ 0	\$ 0	\$ 0	\$ 1	
Prior Year Expense Adjustment	\$ (4,413)						\$ (4,413)		\$ (2,800)		
	\$ 335,200	\$ 66,813	\$ 74,560				\$ 476,574	\$ 834,400	\$ 394,477	\$ 857,991	
Total Expenditures	\$ 11,026,227	\$ 538,568	\$ 686,492				\$ 12,251,288	\$ 27,219,104	\$ 12,422,053	\$ 29,537,174	
Excess (Deficit) of Revenues Over Expenditures	\$ (624,066)	\$ 1,512	\$ (588,818)				\$ (1,211,373)	\$ (4,312,426)	\$ 365,159	\$ (968,770)	
Operating Transfers In (Out)								\$ 1		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,366,075	\$ 981,441	\$ 0				\$ 7,347,515	\$ 7,347,515	\$ 7,021,578	\$ 7,021,578	
Ending Balance (Deficit)	\$ 5,742,008	\$ 982,952	\$ (588,818)				\$ 6,136,143	\$ 3,035,090	\$ 7,386,737	\$ 6,052,808	

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Sonoma

Court

12-13 Q2

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sonoma
 Court

12-13 Q2

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	226.95	180.45	175.25		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.