

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

*Brian Taylor*

01/03/2014

\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

\_\_\_\_\_  
Date

Solano

\_\_\_\_\_  
Court

FY13-14 Quarter ending 09-30-13

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Solano  
 \_\_\_\_\_  
 Court  
 \_\_\_\_\_  
 FY13-14 Quarter ending 09-30-13  
 \_\_\_\_\_  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	211.6	209.6			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Solano  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2013/14

2012/13

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (304.658)	\$ 414.432	\$ (406.228)				\$ 2.890.025	\$ 2.593.572	\$ 3.639.916
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury	\$ 12.000							\$ 12.000	\$ 12.000
Revolving	\$ 5.000							\$ 5.000	\$ 5.000
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (482.571)	\$ (482.571)	\$ (598.197)
Credit Card									
Cash on Hand	\$ 5.050							\$ 5.050	\$ 4.950
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (282.608)	\$ 414.432	\$ (406.228)				\$ 2.407.454	\$ 2.133.051	\$ 3.063.669
Short Term Investment	\$ 6.252.491						\$ 1.962.057	\$ 8.214.549	\$ 4.244.517
Investment in Financial Institution									
Total Investments	\$ 6.252.491						\$ 1.962.057	\$ 8.214.549	\$ 4.244.517
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 218.475					\$ 218.475	\$ 238.107
Dishonored Checks									
Due From Employee			\$ 1.000					\$ 1.000	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Governments	\$ 2.099	\$ 0	\$ 0					\$ 2.099	\$ 2.099
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 9.347					\$ 9.347	\$ 10.537
Trust Due To/From							\$ 231.241	\$ 231.241	\$ 272.215
Distribution Due To/From							\$ 994	\$ 994	\$ 2.234
Civil Filing Fee Due To/From									
General Due To/From	\$ 33.777	\$ 1						\$ 33.778	\$ 35.723
Total Receivables	\$ 35.876	\$ 1	\$ 228.822				\$ 232.235	\$ 496.934	\$ 560.916
Prepaid Expenses - General	\$ 1.923							\$ 1.923	\$ 1.456
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 1.923							\$ 1.923	\$ 1.456
Other Assets									
Total Other Assets									
Total Assets	\$ 6.007.683	\$ 414.433	\$ (177.406)				\$ 4.601.747	\$ 10.846.457	\$ 7.870.558
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 44.905	\$ 0	\$ 0				\$ 0	\$ 44.905	\$ 3.854
Due to Other Funds	\$ 994	\$ 0	\$ 0				\$ 265.019	\$ 266.013	\$ 310.172
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 648.349	\$ 648.349	\$ 634.222
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 547.901	\$ 547.901	\$ 509.195
Due to Other Public Agencies							\$ 0	\$ 0	\$ 0
Sales and Use Tax	\$ 323		\$ 38					\$ 361	\$ 1.234
Interest							\$ 328	\$ 328	\$ 588
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 46.222	\$ 0	\$ 38				\$ 1.461.596	\$ 1.507.857	\$ 1.459.264
Civil							\$ 285.920	\$ 285.920	\$ 844.479
Criminal	\$ 0						\$ 2.418.262	\$ 2.418.262	\$ 2.558.029
Unreconciled - Civil and Criminal							\$ 278.392	\$ 278.392	\$ 278.392
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 35.103	\$ 35.103	\$ 34.443
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 3.017.678	\$ 3.017.678	\$ 3.715.344
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 4.224							\$ 4.224	\$ 218.607
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 2.259
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 4.224	\$ 0						\$ 4.224	\$ 220.866
Revenue Collected in Advance									
Liabilities For Deposits	\$ 41.008						\$ 120.072	\$ 161.080	\$ 136.913
Jury Fees - Non-Interest							\$ 2.400	\$ 2.400	\$ 10.050
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 41.008						\$ 122.472	\$ 163.480	\$ 146.963
Total Liabilities	\$ 91.454	\$ 0	\$ 38				\$ 4.601.747	\$ 4.693.238	\$ 5.542.437
Total Fund Balance	\$ 5.916.229	\$ 414.433	\$ (177.444)					\$ 6.153.218	\$ 2.328.120
Total Liabilities and Fund Balance	\$ 6.007.683	\$ 414.433	\$ (177.406)				\$ 4.601.747	\$ 10.846.457	\$ 7.870.558

Superior Court of California, County of Solano  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September

Fiscal Year 2013/14

2012/13

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,793.816	\$ 181.208					\$ 1,975.024	\$ 9,603.027	\$ 1,853.204	\$ 9,127.271
Traffic & Other Infractions	\$ 304.030	\$ (70.705)					\$ 233.326	\$ 1,499.619	\$ 211.165	\$ 1,514.526
Other Criminal Cases	\$ 489.669	\$ 28.003					\$ 517.672	\$ 2,699.887	\$ 474.548	\$ 2,529.061
Civil	\$ 274.583	\$ 21.559					\$ 296.142	\$ 1,484.239	\$ 290.989	\$ 1,457.269
Family & Children Services	\$ 321.070	\$ 57.980					\$ 379.050	\$ 2,345.771	\$ 379.433	\$ 2,058.982
Probate, Guardianship & Mental Health Services	\$ 114.640	\$ 2.727					\$ 117.367	\$ 619.380	\$ 116.075	\$ 559.927
Juvenile Dependency Services	\$ 51.529	\$ 757					\$ 52.286	\$ 317.590	\$ 49.231	\$ 237.616
Juvenile Delinquency Services	\$ 33.749	\$ 3.029					\$ 36.778	\$ 100.330	\$ 36.999	\$ 175.146
Other Court Operations										
Court Interpreters	\$ 37.796	\$ 72.596					\$ 110.392	\$ 421.879	\$ 86.096	\$ 512.521
Jury Services	\$ 77.918	\$ 16.779	\$ 54.481				\$ 149.178	\$ 707.415	\$ 154.912	\$ 650.367
Security		\$ 188					\$ 188	\$ 10,000	\$ (205)	\$ 56.564
Trial Court Operations Program	\$ 3,498.799	\$ 314.122	\$ 54.481				\$ 3,867.402	\$ 19,809.137	\$ 3,652.448	\$ 18,879.250
Enhanced Collections										
Other Non-Court Operations										
Non-Court Operations Program										
Executive Office	\$ 111.331	\$ 7.732					\$ 119.063	\$ 717.756	\$ 124.430	\$ 759.361
Fiscal Services	\$ 120.300	\$ 36.736					\$ 157.036	\$ 704.733	\$ 122.076	\$ 732.380
Human Resources	\$ 151.821	\$ 10.287					\$ 162.108	\$ 355.088	\$ 159.262	\$ 337.948
Business & Facilities Services	\$ 83.954	\$ 106.107					\$ 190.061	\$ 811.634	\$ 182.345	\$ 743.454
Information Technology	\$ 96.611	\$ 78.784					\$ 175.395	\$ 1,435.081	\$ 204.185	\$ 1,173.652
Court Administration Program	\$ 564.017	\$ 239.646					\$ 803.663	\$ 4,024.292	\$ 792.298	\$ 3,746.795
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 4,062.816	\$ 553.768	\$ 54.481				\$ 4,671.065	\$ 23,833.429	\$ 4,444.746	\$ 22,626.045

Superior Court of California, County of Solano  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 7,784,922	\$ 29,016					\$ 7,813,938	\$ 19,151,539	\$ 4,251,669	\$ 17,683,149	
Improvement and Modernization Fund	\$ (1)						\$ (1)	\$ 64,068	\$ (4,492)	\$ 56,878	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 180,500	\$ 49,875	\$ 180,500	
Court Interpreter (45.45)	\$ 117,913						\$ 117,913	\$ 346,798	\$ 52,011	\$ 486,387	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 15,529						\$ 15,529	\$ 364,864	\$ 2,556	\$ 435,488	
Other Miscellaneous								\$ 356,717		\$ 2,880	
	\$ 7,968,239	\$ 29,016					\$ 7,997,255	\$ 20,464,486	\$ 4,351,619	\$ 18,845,282	
Grants											
AB 1058 Commissioner/Facilitator			\$ (156,373)				\$ (156,373)	\$ 759,041	\$ (118,185)	\$ 750,446	
Other AOC Grants			\$ (10,374)				\$ (10,374)	\$ 28,500	\$ (10,192)	\$ 38,696	
Non-AOC Grants			\$ 0				\$ 0	\$ 223,117	\$ (351)	\$ 41,800	
			\$ (166,748)				\$ (166,748)	\$ 1,010,658	\$ (128,727)	\$ 830,942	
Other Financing Sources											
Interest Income	\$ 1,144	\$ 6					\$ 1,150	\$ 14,031	\$ 1,734	\$ 34,650	
Investment Income									\$ 8,848	\$ 16,748	
Donations									\$ 150,914	\$ 811,780	
Local Fees	\$ 143,679	\$ 10					\$ 143,689	\$ 548,583	\$ 1,835	\$ 9,287	
Non-Fee Revenues	\$ 861						\$ 861	\$ 6,929		\$ 59	
Enhanced Collections									\$ 0	\$ 255,343	
Escheatment									\$ 9,279	\$ 11,697	
Prior Year Revenue		\$ 0	\$ 0				\$ 0	\$ 332,560		\$ 3,713	
County Program - Restricted									\$ 9,279	\$ 26,629	
Reimbursement Other	\$ 9,279						\$ 9,279	\$ 26,629	\$ 11,697	\$ 19,971	
Sale of Fixed Assets											
Other Miscellaneous	\$ 3,713						\$ 3,713	\$ 1,166	\$ 1,376		
	\$ 158,677	\$ 16	\$ 0				\$ 158,693	\$ 929,898	\$ 176,463	\$ 1,147,779	
Total Revenues	\$ 8,126,915	\$ 29,032	\$ (166,748)				\$ 7,989,200	\$ 22,405,042	\$ 4,399,355	\$ 20,824,003	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,584,812	\$ 5,708					\$ 2,590,520	\$ 12,776,579	\$ 2,516,243	\$ 12,148,909	
Temp Help	\$ 9,099	\$ 8,307					\$ 17,406	\$ 23,511	\$ 1,040		
Overtime	\$ 9						\$ 9				
Staff Benefits	\$ 1,451,472	\$ 3,409					\$ 1,454,881	\$ 7,158,383	\$ 1,341,866	\$ 6,595,536	
	\$ 4,045,392	\$ 17,424					\$ 4,062,816	\$ 19,958,473	\$ 3,859,149	\$ 18,744,445	
Operating Expenses and Equipment											
General Expense	\$ 63,750	\$ 0	\$ 4,391				\$ 68,140	\$ 510,946	\$ 77,108	\$ 545,698	
Printing	\$ 6,756	\$ 52					\$ 6,808	\$ 55,400	\$ 5,575	\$ 84,000	
Telecommunications	\$ 40,810						\$ 40,810	\$ 103,208	\$ 40,063	\$ 103,858	
Postage	\$ 27,181	\$ 46					\$ 27,227	\$ 139,367	\$ 27,205	\$ 142,330	
Insurance								\$ 7,922	\$ 8,998		
In-State Travel	\$ 2,381		\$ 7,566				\$ 9,947	\$ 51,250	\$ 9,061	\$ 60,000	
Out-of-State Travel											
Training	\$ 2,585		\$ 1,270				\$ 3,855	\$ 17,565	\$ 2,275	\$ 13,100	
Security Services	\$ 188						\$ 188	\$ 10,564	\$ (165)	\$ 56,564	
Facility Operations	\$ 67,921						\$ 67,921	\$ 397,266	\$ 65,170	\$ 397,046	
Utilities	\$ 1,170						\$ 1,170	\$ 5,844	\$ 1,144	\$ 7,668	
Contracted Services	\$ 295,958	\$ 15,244	\$ (2,531)				\$ 308,671	\$ 1,445,441	\$ 261,669	\$ 1,572,576	
Consulting and Professional Services	\$ 83,301						\$ 83,301	\$ 482,744	\$ 80,396	\$ 477,863	
Information Technology	\$ (64,384)						\$ (64,384)	\$ 443,268	\$ (37,784)	\$ 270,981	
Major Equipment								\$ 4,000			
Other Items of Expense	\$ 114						\$ 114	\$ 6,507	\$ 532	\$ 6,420	
	\$ 527,730	\$ 15,342	\$ 10,696				\$ 553,768	\$ 3,681,292	\$ 532,249	\$ 3,747,102	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 54,481						\$ 54,481	\$ 193,664	\$ 53,348	\$ 134,498	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0		\$ 0	
Prior Year Expense Adjustment											
	\$ 54,481						\$ 54,481	\$ 193,664	\$ 53,348	\$ 134,498	
Total Expenditures	\$ 4,627,603	\$ 32,766	\$ 10,696				\$ 4,671,065	\$ 23,833,429	\$ 4,444,746	\$ 22,626,045	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,499,312	\$ (3,734)	\$ (177,444)				\$ 3,318,135	\$ (1,428,387)	\$ (45,391)	\$ (1,802,042)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,416,916	\$ 418,167	\$ 0				\$ 2,835,083	\$ 2,835,083	\$ 2,373,512	\$ 2,373,512	
Ending Balance (Deficit)	\$ 5,916,229	\$ 414,433	\$ (177,444)				\$ 6,153,218	\$ 1,406,696	\$ 2,328,120	\$ 571,470	