

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

*Melina Antler-Bardley*  
Signature of Presiding Judge or Court Executive

5/2/13  
Date

Shasta  
Court

FY 2012-13, Qtr 3  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Shasta

Court

FY 2012-13, Qtr 3

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Shasta  
 \_\_\_\_\_  
 Court  
 \_\_\_\_\_  
 FY 2012-13, Qtr 3  
 \_\_\_\_\_  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	186	168	169	168	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Shasta  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 104,116	\$ 266,167	\$ (453,843)			\$ 42,689	\$ 432,309	\$ 391,439	\$ (64,302)
Payroll	\$ 14,610	\$ 0				\$ 0		\$ 14,610	\$ 0
Jury Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other Distribution							\$ 450	\$ 450	\$ (65)
Civil Filing Fees							\$ 0	\$ 0	\$ 449,179
Trust									
Credit Card									
Cash on Hand	\$ 2,635	\$ 600						\$ 3,235	\$ 3,235
Cash with County	\$ 1,244,924						\$ 763,417	\$ 2,008,341	\$ 905,079
Cash Outside of the AOC									
Total Cash	\$ 1,391,285	\$ 266,767	\$ (453,843)			\$ 42,689	\$ 1,196,177	\$ 2,443,076	\$ 1,318,126
Short Term Investment	\$ 798,050						\$ 280,673	\$ 1,078,722	\$ 3,503,543
Investment in Financial Institution									
Total Investments	\$ 798,050						\$ 280,673	\$ 1,078,722	\$ 3,503,543
Accrued Revenue	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments		\$ (33,565)				\$ 0		\$ (33,565)	\$ 114,043
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 330,990			\$ 0		\$ 330,990	\$ 478,293
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 56							\$ 56	\$ 147
Total Receivables	\$ 56	\$ (33,565)	\$ 330,990			\$ 0	\$ 0	\$ 297,480	\$ 592,483
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances	\$ 0							\$ 0	\$ 2,627
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 2,627
Other Assets									
Total Other Assets									
Total Assets	\$ 2,189,391	\$ 233,202	\$ (122,853)			\$ 42,689	\$ 1,476,849	\$ 3,819,278	\$ 5,416,779
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Accounts Payable - General	\$ 6,126	\$ 0	\$ 0			\$ 0	\$ 0	\$ 6,126	\$ 300
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 0	\$ 56	\$ 56	\$ 147
Due to Other Courts			\$ 0					\$ 0	\$ 0
Due to State									
TC145 Liability							\$ 333,037	\$ 333,037	\$ 349,349
Due to Other Governments									\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0	\$ 0						\$ 0	\$ 476
Interest							\$ 58	\$ 58	\$ 55
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 6,126	\$ 0	\$ 0			\$ 0	\$ 333,150	\$ 339,276	\$ 350,328
Civil							\$ 266,618	\$ 266,618	\$ 428,235
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 763,417	\$ 763,417	\$ 558,916
Trust Interest Payable							\$ 7,975	\$ 7,975	\$ 7,649
Miscellaneous Trust									
Total Trust Deposits							\$ 1,038,010	\$ 1,038,010	\$ 994,800
Accrued Payroll	\$ 0	\$ 0				\$ 0		\$ 0	\$ 0
Benefits Payable	\$ (22,373)							\$ (22,373)	\$ (29,862)
Deferred Compensation Payable	\$ 17,101							\$ 17,101	\$ 0
Deductions Payable	\$ 146,108							\$ 146,108	\$ 72,854
Payroll Clearing									
Total Payroll Liabilities	\$ 140,836	\$ 0				\$ 0		\$ 140,836	\$ 42,993
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 14,680						\$ 453	\$ 15,133	\$ 15,124
Jury Fees - Non-Interest							\$ 4,500	\$ 4,500	\$ 12,392
Fees - Partial Payment & Overpayment							\$ 100,736	\$ 100,736	\$ 0
Uncleared Collections	\$ (60)							\$ (60)	\$ (2,011)
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 16
Total Other Liabilities	\$ 14,620						\$ 105,690	\$ 120,309	\$ 25,522
Total Liabilities	\$ 161,582	\$ 0	\$ 0			\$ 0	\$ 1,476,849	\$ 1,638,431	\$ 1,413,642
Total Fund Balance	\$ 2,027,809	\$ 233,202	\$ (122,853)			\$ 42,689		\$ 2,180,847	\$ 4,003,136
Total Liabilities and Fund Balance	\$ 2,189,391	\$ 233,202	\$ (122,853)			\$ 42,689	\$ 1,476,849	\$ 3,819,278	\$ 5,416,779

Superior Court of California, County of Shasta  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended March											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 6,597,640	\$ 29,600					\$ 6,627,240	\$ 8,682,189	\$ 8,538,497	\$ 11,392,386	
Trial Court Improvement Fund								\$ 32,898	\$ 24,412	\$ 42,211	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 79,677						\$ 79,677	\$ 106,237	\$ 79,677	\$ 130,000	
Court Interpreter (45.45)	\$ 104,374						\$ 104,374	\$ 179,358	\$ 138,703	\$ 201,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 442,327						\$ 442,327	\$ 787,004	\$ 474,386	\$ 779,503	
Other Miscellaneous	\$ 262,222						\$ 262,222	\$ 165,395			
	<b>\$ 7,486,240</b>	<b>\$ 29,600</b>					<b>\$ 7,515,840</b>	<b>\$ 9,953,081</b>	<b>\$ 9,255,675</b>	<b>\$ 12,545,100</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 329,280				\$ 329,280	\$ 589,475	\$ 477,202	\$ 760,210	
Other AOC Grants			\$ 10,320				\$ 10,320	\$ 10,000		\$ 26,794	
Non-AOC Grants											
			<b>\$ 339,600</b>				<b>\$ 339,600</b>	<b>\$ 599,475</b>	<b>\$ 477,202</b>	<b>\$ 787,004</b>	
Other Financing Sources											
Interest Income	\$ 6,274	\$ 6				\$ 84	\$ 6,364	\$ 5,970	\$ 8,438	\$ 12,500	
Investment Income											
Donations											
Local Fees	\$ 211,731						\$ 211,731	\$ 295,566	\$ 213,400	\$ 289,400	
Non-Fee Revenues	\$ 169						\$ 169	\$ 80	\$ 419	\$ 175	
Enhanced Collections		\$ 1,406,908					\$ 1,406,908	\$ 2,475,000	\$ 1,436,519	\$ 2,154,800	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 67,253	\$ 3,093				\$ 223,486	\$ 293,833	\$ 411,362	\$ 308,354	\$ 406,605	
Reimbursement Other	\$ 35,108					\$ 1,800	\$ 36,908	\$ 15,744	\$ 44,894	\$ 135,625	
Sale of Fixed Assets											
Other Miscellaneous											
	<b>\$ 320,535</b>	<b>\$ 1,410,008</b>				<b>\$ 225,370</b>	<b>\$ 1,955,913</b>	<b>\$ 3,203,722</b>	<b>\$ 2,012,023</b>	<b>\$ 2,999,105</b>	
<b>Total Revenues</b>	<b>\$ 7,806,775</b>	<b>\$ 1,439,608</b>	<b>\$ 339,600</b>			<b>\$ 225,370</b>	<b>\$ 9,811,353</b>	<b>\$ 13,756,278</b>	<b>\$ 11,744,900</b>	<b>\$ 16,331,209</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,179,100	\$ 580,282	\$ 225,799			\$ 249,624	\$ 6,234,805	\$ 8,396,142	\$ 6,265,754	\$ 8,824,401	
Temp Help	\$ 202,846	\$ 27,806					\$ 230,652		\$ 293,966		
Overtime	\$ 18,482	\$ 54				\$ 359	\$ 18,895		\$ 20,609		
Staff Benefits	\$ 2,479,473	\$ 283,574	\$ 93,799			\$ 100,844	\$ 2,957,690	\$ 3,901,789	\$ 3,074,980	\$ 4,036,449	
	<b>\$ 7,879,900</b>	<b>\$ 891,716</b>	<b>\$ 319,598</b>			<b>\$ 350,827</b>	<b>\$ 9,442,042</b>	<b>\$ 12,297,931</b>	<b>\$ 9,655,310</b>	<b>\$ 12,860,850</b>	
Operating Expenses and Equipment											
General Expense	\$ 228,716	\$ 50,038	\$ 7,430			\$ 708	\$ 286,892	\$ 469,689	\$ 252,262	\$ 465,066	
Printing	\$ 21,507	\$ 4,722	\$ 636				\$ 26,865	\$ 44,180	\$ 29,602	\$ 51,135	
Telecommunications	\$ 59,173	\$ 7,972	\$ 3,792			\$ 795	\$ 71,732	\$ 77,735	\$ 74,637	\$ 89,265	
Postage	\$ 56,224	\$ 38,662	\$ 804				\$ 95,689	\$ 144,372	\$ 117,932	\$ 147,083	
Insurance	\$ 6,274						\$ 6,274	\$ 1,410	\$ 6,118	\$ 1,410	
In-State Travel	\$ 13,219	\$ 1,018	\$ 18,314				\$ 32,551	\$ 33,228	\$ 16,019	\$ 38,573	
Out-of-State Travel	\$ 0		\$ 0				\$ 0				
Training	\$ 2,982		\$ 990				\$ 3,972	\$ 15,885	\$ 5,927	\$ 14,785	
Security Services	\$ 1,490	\$ 80					\$ 1,571	\$ 1,010	\$ 1,410	\$ 1,010	
Facility Operations	\$ 188,975	\$ 38,525	\$ 28,385			\$ 6,812	\$ 262,697	\$ 373,949	\$ 255,491	\$ 420,896	
Utilities	\$ 19,938	\$ 1,502	\$ 9,086			\$ 231	\$ 30,758	\$ 270	\$ 1,222	\$ 270	
Contracted Services	\$ 809,550	\$ 137,491	\$ 24,715			\$ 3,812	\$ 975,568	\$ 1,490,777	\$ 924,324	\$ 2,108,194	
Consulting and Professional Services	\$ 4,095					\$ 103	\$ 4,198	\$ 15,900	\$ 9,297	\$ 27,250	
Information Technology	\$ 49,595	\$ (956)				\$ 60,304	\$ 108,943	\$ 179,092	\$ 136,079	\$ 156,679	
Major Equipment	\$ 104,940						\$ 104,940	\$ 650	\$ 39,758	\$ 650	
Other Items of Expense	\$ 6,096						\$ 6,096	\$ 25,760	\$ 14,609	\$ 24,060	
	<b>\$ 1,572,775</b>	<b>\$ 279,054</b>	<b>\$ 94,151</b>			<b>\$ 72,765</b>	<b>\$ 2,018,745</b>	<b>\$ 2,873,907</b>	<b>\$ 1,884,688</b>	<b>\$ 3,546,326</b>	
Special Items of Expense											
Grand Jury		\$ 744					\$ 744				
Jury Costs	\$ 61,422						\$ 61,422	\$ 85,100	\$ 56,220	\$ 82,200	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 289		
Capital Costs											
Internal Cost Recovery	\$ (39,310)	\$ 34,892	\$ 48,704			\$ (44,286)	\$ 0	\$ 1	\$ 0	\$ 88,826	
Prior Year Expense Adjustment											
	<b>\$ 22,113</b>	<b>\$ 35,636</b>	<b>\$ 48,704</b>			<b>\$ (44,286)</b>	<b>\$ 62,166</b>	<b>\$ 85,101</b>	<b>\$ 56,509</b>	<b>\$ 171,026</b>	
<b>Total Expenditures</b>	<b>\$ 9,474,788</b>	<b>\$ 1,206,406</b>	<b>\$ 462,453</b>			<b>\$ 379,306</b>	<b>\$ 11,522,953</b>	<b>\$ 15,256,939</b>	<b>\$ 11,596,508</b>	<b>\$ 16,578,202</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (1,668,013)	\$ 233,202	\$ (122,853)			\$ (153,936)	\$ (1,711,600)	\$ (1,500,661)	\$ 148,392	\$ (246,993)	
Operating Transfers In (Out)	\$ (192,274)		\$ 0			\$ 192,274	\$ 0	\$ 0	\$ 0	\$ 2	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,888,096	\$ 0	\$ 0			\$ 4,351	\$ 3,892,447	\$ 3,892,447	\$ 3,854,744	\$ 3,854,744	
Ending Balance (Deficit)	<b>\$ 2,027,809</b>	<b>\$ 233,202</b>	<b>\$ (122,853)</b>			<b>\$ 42,689</b>	<b>\$ 2,180,847</b>	<b>\$ 2,391,786</b>	<b>\$ 4,003,136</b>	<b>\$ 3,607,753</b>	

Superior Court of California, County of Shasta  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended March										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,969,623	\$ 402,551	\$ 82		\$ 3,070		\$ 2,375,326	\$ 3,127,580	\$ 2,582,235	\$ 3,437,843
Traffic & Other Infractions	\$ 300,805	\$ 67,922					\$ 368,727	\$ 460,902	\$ 334,904	\$ 614,172
Other Criminal Cases	\$ 603,470	\$ 60,768					\$ 664,238	\$ 875,377	\$ 667,829	\$ 894,992
Civil	\$ 605,701	\$ 65,079			\$ 1,099		\$ 671,879	\$ 892,486	\$ 717,297	\$ 609,709
Family & Children Services	\$ 759,211	\$ 108,798			\$ 5,226		\$ 873,234	\$ 1,109,845	\$ 786,796	\$ 1,539,466
Probate, Guardianship & Mental Health Services	\$ 313,177	\$ 9,624					\$ 322,801	\$ 447,801	\$ 336,565	\$ 447,806
Juvenile Dependency Services	\$ 21,618	\$ 328,382					\$ 350,000	\$ 622,743	\$ 387,539	\$ 1,165,885
Juvenile Delinquency Services	\$ 28,861	\$ 4,211					\$ 33,072	\$ 79,404	\$ 43,409	\$ 93,126
Other Court Operations	\$ 320,103	\$ 16,700					\$ 336,802	\$ 274,691	\$ 279,481	\$ 277,203
Court Interpreters	\$ 106,187	\$ 141,968					\$ 248,155	\$ 308,409	\$ 216,779	\$ 291,056
Jury Services	\$ 54,417	\$ 46,444	\$ 61,340				\$ 162,201	\$ 187,547	\$ 175,510	\$ 287,615
Security	\$ 1,517,378	\$ 68,953					\$ 1,586,330	\$ 2,182,150	\$ 1,928,866	\$ 2,534,441
Trial Court Operations Program	\$ 6,600,551	\$ 1,321,398	\$ 61,422		\$ 9,394		\$ 7,992,766	\$ 10,568,935	\$ 8,457,210	\$ 12,193,314
Enhanced Collections	\$ 891,716	\$ 271,796			\$ 34,892		\$ 1,198,405	\$ 1,703,908	\$ 1,235,051	\$ 1,522,552
Other Non-Court Operations	\$ 350,827	\$ 80,023	\$ 744		\$ (44,286)		\$ 387,307	\$ 619,915	\$ 465,232	\$ 536,435
Non-Court Operations Program	\$ 1,242,543	\$ 351,819	\$ 744		\$ (9,394)		\$ 1,585,712	\$ 2,323,823	\$ 1,700,283	\$ 2,058,987
Executive Office	\$ 442,084	\$ 59,516					\$ 501,599	\$ 678,925	\$ 506,678	\$ 791,336
Fiscal Services	\$ 514,852	\$ 69,163					\$ 584,015	\$ 884,806	\$ 632,715	\$ 724,070
Human Resources	\$ 481,088	\$ 12,236					\$ 493,325	\$ 193,079	\$ 246,859	\$ 364,393
Business & Facilities Services		\$ 10,881					\$ 10,881	\$ 2,600	\$ 131,570	\$ 2,600
Information Technology	\$ 160,924	\$ 193,733					\$ 354,656	\$ 604,771	\$ (78,807)	\$ 443,502
Court Administration Program	\$ 1,598,948	\$ 345,528					\$ 1,944,476	\$ 2,364,181	\$ 1,439,014	\$ 2,325,901
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 9,442,042	\$ 2,018,745	\$ 62,166		\$ 0		\$ 11,522,953	\$ 15,256,939	\$ 11,596,508	\$ 16,578,202