

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Melina Anter-Roadley
Signature of ~~Presiding Judge or~~ Court Executive

12/3/12
Date

Shasta
Court

FY 2012-13, Qtr 1
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Shasta

Court

FY 2012-13, Qtr 1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Shasta
 Court
 FY 2012-13, Qtr 1
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	186	168			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Shasta Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

For the month ended Sep									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 142,922	\$ (4,168)	\$ (177,234)			\$ 27,828	\$ 214,806	\$ 204,155	\$ (46,774)
Payroll	\$ 55	\$ 0				\$ 0		\$ 55	\$ (661)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 225
Trust							\$ (16,618)	\$ (16,618)	\$ 236,733
Credit Card									
Cash on Hand	\$ 2,635	\$ 600						\$ 3,235	\$ 3,235
Cash with County	\$ 556,400						\$ 581,273	\$ 1,137,673	\$ 850,059
Cash Outside of the AOC									
Total Cash	\$ 727,013	\$ (3,568)	\$ (177,234)			\$ 27,828	\$ 779,461	\$ 1,353,501	\$ 1,067,817
Short Term Investment	\$ 2,579,093						\$ 332,040	\$ 2,911,133	\$ 4,071,919
Investment in Financial Institution									
Total Investments	\$ 2,579,093						\$ 332,040	\$ 2,911,133	\$ 4,071,919
Accrued Revenue	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments		\$ 35,937				\$ 0		\$ 35,937	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 133,654			\$ 0		\$ 133,654	\$ 168,278
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 94							\$ 94	\$ 86
Total Receivables	\$ 94	\$ 35,937	\$ 133,654			\$ 0	\$ 0	\$ 169,685	\$ 168,364
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances	\$ 2,617							\$ 2,617	\$ 1,480
Counties									
Total Prepaid Expenses	\$ 2,617							\$ 2,617	\$ 1,480
Other Assets									
Total Other Assets									
Total Assets	\$ 3,308,817	\$ 32,370	\$ (43,579)			\$ 27,828	\$ 1,111,502	\$ 4,436,937	\$ 5,309,581
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Accounts Payable - General	\$ 4,686	\$ 0	\$ 0			\$ 0	\$ 10,785	\$ 15,471	\$ 10,552
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 0	\$ 94	\$ 94	\$ 86
Due to Other Courts			\$ 0					\$ 0	\$ 0
Due to State									
TC145 Liability							\$ 338,821	\$ 338,821	\$ 338,937
Due to Other Governments									\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 809	\$ 30						\$ 839	\$ 328
Interest							\$ 38	\$ 38	\$ 49
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 5,495	\$ 30	\$ 0			\$ 0	\$ 349,738	\$ 355,262	\$ 349,951
Civil							\$ 166,083	\$ 166,083	\$ 212,068
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 581,273	\$ 581,273	\$ 591,716
Trust Interest Payable							\$ 7,852	\$ 7,852	\$ 7,453
Miscellaneous Trust									
Total Trust Deposits							\$ 755,209	\$ 755,209	\$ 811,237
Accrued Payroll	\$ 0	\$ 0				\$ 0		\$ 0	\$ 0
Benefits Payable	\$ (29,779)							\$ (29,779)	\$ (203,519)
Deferred Compensation Payable	\$ 10,023							\$ 10,023	\$ 0
Deductions Payable	\$ 73,634							\$ 73,634	\$ 72,365
Payroll Clearing									
Total Payroll Liabilities	\$ 53,877	\$ 0				\$ 0		\$ 53,877	\$ (131,154)
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 14,680						\$ 453	\$ 15,133	\$ 14,585
Jury Fees - Non-Interest							\$ 6,102	\$ 6,102	\$ 16,646
Fees - Partial Payment & Overpayment							\$ 0	\$ 0	\$ 0
Uncleared Collections	\$ (20)							\$ (20)	\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 480
Total Other Liabilities	\$ 14,660						\$ 6,555	\$ 21,215	\$ 31,711
Total Liabilities	\$ 74,031	\$ 30	\$ 0			\$ 0	\$ 1,111,502	\$ 1,185,562	\$ 1,061,745
Total Fund Balance	\$ 3,234,786	\$ 32,340	\$ (43,579)			\$ 27,828		\$ 3,251,374	\$ 4,247,836
Total Liabilities and Fund Balance	\$ 3,308,817	\$ 32,370	\$ (43,579)			\$ 27,828	\$ 1,111,502	\$ 4,436,937	\$ 5,309,581

Shasta Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,142,211						\$ 2,142,211	\$ 8,682,189	\$ 2,937,870	\$ 11,392,386	
Trial Court Improvement Fund								\$ 32,898	\$ 1	\$ 42,211	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 26,559						\$ 26,559	\$ 106,237	\$ 26,559	\$ 130,000	
Court Interpreter (45.45)	\$ 19,538						\$ 19,538	\$ 179,358	\$ 34,096	\$ 201,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 100,714						\$ 100,714	\$ 787,004	\$ 114,455	\$ 779,503	
Other Miscellaneous								\$ 165,395			
	\$ 2,289,022						\$ 2,289,022	\$ 9,953,081	\$ 3,112,981	\$ 12,545,100	
Grants											
AB 1058 Commissioner/Facilitator			\$ (3,392)				\$ (3,392)	\$ 589,475	\$ 82,986	\$ 760,210	
Other AOC Grants			\$ 5,160				\$ 5,160	\$ 10,000		\$ 26,794	
Non-AOC Grants											
			\$ 1,768				\$ 1,768	\$ 599,475	\$ 82,986	\$ 787,004	
Other Financing Sources											
Interest Income	\$ 248	\$ 0				\$ 7	\$ 255	\$ 5,970	\$ 169	\$ 12,500	
Investment Income											
Donations											
Local Fees	\$ 63,944						\$ 63,944	\$ 295,566	\$ 60,319	\$ 289,400	
Non-Fee Revenues	\$ 49						\$ 49	\$ 80	\$ 99	\$ 175	
Enhanced Collections		\$ 401,283					\$ 401,283	\$ 2,475,000	\$ 399,688	\$ 2,154,800	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 22,418	\$ 1,156				\$ 75,470	\$ 99,044	\$ 411,362	\$ 116,001	\$ 406,605	
Reimbursement Other	\$ 3,465						\$ 3,465	\$ 15,744	\$ 18,627	\$ 135,625	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 90,123	\$ 402,440				\$ 75,477	\$ 568,040	\$ 3,203,722	\$ 594,904	\$ 2,999,105	
Total Revenues	\$ 2,379,145	\$ 402,440	\$ 1,768			\$ 75,477	\$ 2,858,830	\$ 13,756,278	\$ 3,790,871	\$ 16,331,209	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,690,372	\$ 184,734	\$ 16,112			\$ 66,349	\$ 1,957,567	\$ 8,396,142	\$ 1,919,102	\$ 8,824,401	
Temp Help	\$ 50,253	\$ 8,976					\$ 59,228		\$ 84,192		
Overtime	\$ 2,920					\$ 80	\$ 3,000		\$ 7,434		
Staff Benefits	\$ 843,151	\$ 88,861	\$ 9,408			\$ 28,302	\$ 969,722	\$ 3,901,789	\$ 758,997	\$ 4,036,449	
	\$ 2,586,696	\$ 282,571	\$ 25,521			\$ 94,730	\$ 2,989,517	\$ 12,297,931	\$ 2,769,724	\$ 12,860,850	
Operating Expenses and Equipment											
General Expense	\$ 64,915	\$ 11,238	\$ 2,884			\$ 18	\$ 79,056	\$ 469,689	\$ 62,878	\$ 465,066	
Printing	\$ 3,389	\$ 1,648	\$ 59				\$ 5,096	\$ 44,180	\$ 10,176	\$ 51,135	
Telecommunications	\$ 14,991	\$ 1,815	\$ 1,288			\$ 372	\$ 18,466	\$ 77,735	\$ 22,217	\$ 89,265	
Postage	\$ 10,858	\$ 8,737	\$ 173				\$ 19,768	\$ 144,372	\$ 28,311	\$ 147,083	
Insurance	\$ 0						\$ 0	\$ 1,410	\$ 1,362	\$ 1,410	
In-State Travel	\$ 3,123	\$ 897	\$ 84				\$ 4,104	\$ 33,228	\$ 7,257	\$ 38,573	
Out-of-State Travel	\$ 0		\$ 0				\$ 0				
Training	\$ 2,203		\$ 990				\$ 3,193	\$ 15,885	\$ 2,260	\$ 14,785	
Security Services	\$ 304	\$ 80					\$ 385	\$ 1,010	\$ 234	\$ 1,010	
Facility Operations	\$ 45,982	\$ 7,219	\$ 9,534			\$ 1,727	\$ 64,462	\$ 373,949	\$ 72,864	\$ 420,896	
Utilities								\$ 270	\$ 1,222	\$ 270	
Contracted Services	\$ 197,993	\$ 49,096	\$ 2,972			\$ 228	\$ 250,288	\$ 1,490,777	\$ 304,276	\$ 2,108,194	
Consulting and Professional Services	\$ 35					\$ 26	\$ 61	\$ 15,900	\$ 35	\$ 27,250	
Information Technology	\$ 10,820	\$ (956)				\$ 6,887	\$ 16,751	\$ 179,092	\$ 95,531	\$ 156,679	
Major Equipment	\$ 22,647						\$ 22,647	\$ 650		\$ 650	
Other Items of Expense	\$ 1,280						\$ 1,280	\$ 25,760	\$ 2,245	\$ 24,060	
	\$ 378,541	\$ 79,774	\$ 17,984			\$ 9,257	\$ 485,556	\$ 2,873,907	\$ 610,867	\$ 3,546,326	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 24,829						\$ 24,829	\$ 85,100	\$ 17,187	\$ 82,200	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ 244	\$ 7,754	\$ 1,843			\$ (9,841)	\$ 0	\$ 1	\$ 0	\$ 88,826	
Prior Year Expense Adjustment											
	\$ 25,073	\$ 7,754	\$ 1,843			\$ (9,841)	\$ 24,829	\$ 85,101	\$ 17,187	\$ 171,026	
Total Expenditures	\$ 2,990,310	\$ 370,099	\$ 45,348			\$ 94,146	\$ 3,499,903	\$ 15,256,939	\$ 3,397,779	\$ 16,578,202	
Excess (Deficit) of Revenues Over Expenditures	\$ (611,165)	\$ 32,340	\$ (43,579)			\$ (18,669)	\$ (641,073)	\$ (1,500,661)	\$ 393,092	\$ (246,993)	
Operating Transfers In (Out)	\$ (42,145)					\$ 42,145	\$ 0	\$ 0	\$ 0	\$ 2	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,888,096	\$ 0	\$ 0			\$ 4,351	\$ 3,892,447	\$ 3,892,447	\$ 3,854,744	\$ 3,854,744	
Ending Balance (Deficit)	\$ 3,234,786	\$ 32,340	\$ (43,579)			\$ 27,828	\$ 3,251,374	\$ 2,391,786	\$ 4,247,836	\$ 3,607,753	

Shasta Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep

Fiscal Year 2012/13

2011/12

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 643,584	\$ 96,211			\$ 682		\$ 740,477	\$ 3,127,580	\$ 705,497	\$ 3,437,843
Traffic & Other Infractions	\$ 83,939	\$ 16,412					\$ 100,351	\$ 460,902	\$ 104,457	\$ 614,172
Other Criminal Cases	\$ 179,536	\$ 16,930					\$ 196,466	\$ 875,377	\$ 198,230	\$ 894,992
Civil	\$ 186,040	\$ 19,150			\$ 244		\$ 205,435	\$ 892,486	\$ 202,577	\$ 609,709
Family & Children Services	\$ 233,678	\$ 16,191			\$ 1,161		\$ 251,030	\$ 1,109,845	\$ 250,215	\$ 1,539,466
Probate, Guardianship & Mental Health Services	\$ 94,803	\$ 2,759					\$ 97,561	\$ 447,801	\$ 98,312	\$ 447,806
Juvenile Dependency Services	\$ 7,160	\$ 88,211					\$ 95,371	\$ 622,743	\$ 114,195	\$ 1,165,885
Juvenile Delinquency Services	\$ 7,694	\$ 1,336					\$ 9,030	\$ 79,404	\$ 20,042	\$ 93,126
Other Court Operations	\$ 94,642	\$ 3,380					\$ 98,022	\$ 274,691	\$ 89,999	\$ 277,203
Court Interpreters	\$ 32,542	\$ 38,705					\$ 71,246	\$ 308,409	\$ 53,091	\$ 291,056
Jury Services	\$ 15,004	\$ 15,645	\$ 24,829				\$ 55,479	\$ 187,547	\$ 45,072	\$ 287,615
Security	\$ 510,469	\$ 20,372					\$ 530,841	\$ 2,182,150	\$ 559,615	\$ 2,534,441
Trial Court Operations Program	\$ 2,089,091	\$ 335,301	\$ 24,829		\$ 2,088		\$ 2,451,309	\$ 10,568,935	\$ 2,441,304	\$ 12,193,314
Enhanced Collections	\$ 282,571	\$ 77,397			\$ 7,754		\$ 367,722	\$ 1,703,908	\$ 340,042	\$ 1,522,552
Other Non-Court Operations	\$ 94,730	\$ 11,634			\$ (9,841)		\$ 96,523	\$ 619,915	\$ 192,548	\$ 536,435
Non-Court Operations Program	\$ 377,301	\$ 89,031			\$ (2,088)		\$ 464,245	\$ 2,323,823	\$ 532,591	\$ 2,058,987
Executive Office	\$ 138,718	\$ 15,149					\$ 153,867	\$ 678,925	\$ 158,001	\$ 791,336
Fiscal Services	\$ 148,367	\$ 17,081					\$ 165,449	\$ 884,806	\$ 157,705	\$ 724,070
Human Resources	\$ 162,573	\$ 3,984					\$ 166,556	\$ 193,079	\$ 77,125	\$ 364,393
Business & Facilities Services		\$ (6,771)					\$ (6,771)	\$ 2,600	\$ (58,915)	\$ 2,600
Information Technology	\$ 73,467	\$ 31,780					\$ 105,247	\$ 604,771	\$ 89,968	\$ 443,502
Court Administration Program	\$ 523,125	\$ 61,224					\$ 584,349	\$ 2,364,181	\$ 423,885	\$ 2,325,901
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,989,517	\$ 485,556	\$ 24,829		\$ 0		\$ 3,499,903	\$ 15,256,939	\$ 3,397,779	\$ 16,578,202