

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

[Handwritten Signature]

Court Executive

8/21/2013

Date

Santa Cruz

Court

12/13 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Cruz Superior
 Court
 Q4 FY 12-13
 Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
124.70					124.70

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Cruz Superior
Court

Q4 FY 12-13
Fiscal Year and Ending Quarter

FOOTNOTES

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Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June										
Fiscal Year 2012/13								2011/12		
Governmental Funds										
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Non-Grant	Grant							
ASSETS										
Operations	\$ (57,501)	\$ 3,949	\$ 0	\$ 0			\$ 194,304	\$ 140,752	\$ (578,381)	
Payroll	\$ (3,718)						\$ 0	\$ (3,718)	\$ (2,502)	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution										
Civil Filing Fees	\$ 0						\$ 144,964	\$ 144,964	\$ 0	
Trust							\$ (10,346)	\$ (10,346)	\$ 212,841	
Credit Card										
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350	
Cash with County							\$ 2,143,936	\$ 2,143,936	\$ 2,210,163	
Cash Outside of the AOC										
Total Cash	\$ (52,869)	\$ 3,949	\$ 0	\$ 0			\$ 2,472,857	\$ 2,423,937	\$ 1,850,470	
Short Term Investment	\$ 2,733,814						\$ 486,170	\$ 3,219,984	\$ 5,387,189	
Investment in Financial Institution							\$ 1,000,000	\$ 1,000,000		
Total Investments	\$ 2,733,814						\$ 1,486,170	\$ 4,219,984	\$ 5,387,189	
Accrued Revenue	\$ 1,739	\$ 28		\$ 0			\$ 0	\$ 1,768	\$ 9,923	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 171,457							\$ 171,457	\$ 158,589	
Due From Other Governments	\$ 19,441	\$ 20,255						\$ 39,696	\$ 0	
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 24,110	
Due From State	\$ 395,322	\$ 9,927	\$ 161,849					\$ 567,098	\$ 451,379	
Trust Due To/From									\$ 0	
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 24,463							\$ 24,463	\$ 45,568	
Total Receivables	\$ 612,422	\$ 30,211	\$ 161,849	\$ 0			\$ 0	\$ 804,482	\$ 689,570	
Prepaid Expenses - General										
Salary and Travel Advances			\$ 0					\$ 0	\$ 0	
Counties										
Total Prepaid Expenses			\$ 0					\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 3,293,366	\$ 34,160	\$ 161,849	\$ 0			\$ 3,959,027	\$ 7,448,403	\$ 7,927,229	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 51,370	\$ 3,750	\$ 0					\$ 55,120	\$ 1,182,069	
Accounts Payable - General	\$ 96,706	\$ 0	\$ 0	\$ 0			\$ 0	\$ 96,706	\$ 111,243	
Due to Other Funds	\$ 0	\$ 26,999	\$ 144,458				\$ 24,463	\$ 195,920	\$ 204,157	
Due to Other Courts										
Due to State	\$ 4,533							\$ 4,533	\$ 0	
TC145 Liability	\$ 0						\$ 642,063	\$ 642,063	\$ 492,155	
Due to Other Governments	\$ 73,633							\$ 73,633	\$ 47,392	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax	\$ (17)							\$ (17)	\$ 138	
Interest							\$ 15	\$ 15	\$ 69	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 226,225	\$ 30,749	\$ 144,458	\$ 0			\$ 666,541	\$ 1,067,973	\$ 2,037,223	
Civil							\$ 169,101	\$ 169,101	\$ 206,325	
Criminal										
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 2,119,608	\$ 2,119,608	\$ 2,165,861	
Trust Interest Payable										
Miscellaneous Trust										
Total Trust Deposits							\$ 2,288,709	\$ 2,288,709	\$ 2,372,186	
Accrued Payroll	\$ 204,759	\$ 3,183	\$ 2,892					\$ 210,833	\$ 256,438	
Benefits Payable	\$ (879)						\$ 1,000,000	\$ 999,121	\$ 98,855	
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0	
Deductions Payable	\$ (229)							\$ (229)	\$ 95	
Payroll Clearing	\$ (1)							\$ (1)	\$ 0	
Total Payroll Liabilities	\$ 203,650	\$ 3,183	\$ 2,892				\$ 1,000,000	\$ 1,209,725	\$ 355,389	
Revenue Collected in Advance			\$ 14,500					\$ 14,500		
Liabilities For Deposits	\$ 10,631						\$ 0	\$ 10,631	\$ 12,057	
Jury Fees - Non-Interest							\$ 525	\$ 525	\$ 8,850	
Fees - Partial Payment & Overpayment							\$ 3,253	\$ 3,253	\$ 2,338	
Uncleared Collections	\$ (10,225)							\$ (10,225)		
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 406		\$ 14,500				\$ 3,778	\$ 18,684	\$ 23,245	
Total Liabilities	\$ 430,281	\$ 33,932	\$ 161,849	\$ 0			\$ 3,959,027	\$ 4,585,090	\$ 4,788,041	
Total Fund Balance	\$ 2,863,139	\$ 228	\$ 0	\$ 0				\$ 2,863,367	\$ 3,139,188	
Total Liabilities and Fund Balance	\$ 3,293,420	\$ 34,160	\$ 161,849	\$ 0			\$ 3,959,027	\$ 7,448,457	\$ 7,927,229	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,460,477	\$ 387,910			\$ 13,803		\$ 3,862,190	\$ 3,800,063	\$ 4,445,126	\$ 4,388,784
Traffic & Other Infractions	\$ 428,909	\$ 28,742					\$ 457,651	\$ 603,462	\$ 533,987	\$ 726,042
Other Criminal Cases	\$ 756,526	\$ 95,486					\$ 852,012	\$ 944,536	\$ 862,500	\$ 1,029,316
Civil	\$ 778,800	\$ 87,839					\$ 866,639	\$ 898,577	\$ 937,955	\$ 1,312,230
Family & Children Services	\$ 626,450	\$ 58,279			\$ 42,504		\$ 727,233	\$ 1,050,392	\$ 682,756	\$ 1,005,292
Probate, Guardianship & Mental Health Services	\$ 315,778	\$ 4,085					\$ 319,864	\$ 332,695	\$ 319,712	\$ 381,579
Juvenile Dependency Services	\$ 192,761	\$ 859					\$ 193,620	\$ 97,451	\$ 215,827	\$ 272,473
Juvenile Delinquency Services	\$ 1,720	\$ 1,204					\$ 2,924	\$ 117,325	\$ 1,150	\$ 1,605
Other Court Operations	\$ 370,110	\$ 126,715					\$ 496,825	\$ 463,865	\$ 417,610	\$ 464,451
Court Interpreters	\$ 715,123	\$ 62,051					\$ 777,175	\$ 763,086	\$ 753,457	\$ 825,243
Jury Services	\$ 147,485	\$ 63,344	\$ 135,894				\$ 346,723	\$ 342,921	\$ 335,893	\$ 360,241
Security		\$ 272					\$ 272	\$ 800	\$ 146,901	\$ 200,000
Trial Court Operations Program	\$ 7,794,140	\$ 916,786	\$ 135,894		\$ 56,307		\$ 8,903,127	\$ 9,415,173	\$ 9,652,874	\$ 10,967,256
Enhanced Collections	\$ 198,058	\$ 15,751			\$ 25,291		\$ 239,100	\$ 233,290	\$ 370,610	\$ 252,600
Other Non-Court Operations	\$ 855,107	\$ 85,646	\$ 1,000,000			\$ (750,000)	\$ 1,190,754	\$ 740,817	\$ 1,697,372	\$ 1,690,677
Non-Court Operations Program	\$ 1,053,165	\$ 101,397	\$ 1,000,000		\$ 25,291	\$ (750,000)	\$ 1,429,854	\$ 974,107	\$ 2,067,982	\$ 1,943,277
Executive Office	\$ 269,227	\$ 2,040					\$ 271,267	\$ 289,174	\$ 278,572	\$ 571,431
Fiscal Services	\$ 487,154	\$ 95,747	\$ 599		\$ (81,598)		\$ 501,902	\$ 661,859	\$ 668,503	\$ 573,991
Human Resources	\$ 437,951	\$ 40,561					\$ 478,511	\$ 256,504	\$ 561,595	\$ 315,321
Business & Facilities Services	\$ 589,096	\$ 592,660					\$ 1,181,757	\$ 1,106,764	\$ 1,056,690	\$ 1,093,202
Information Technology	\$ 646,099	\$ 533,499					\$ 1,179,598	\$ 1,099,412	\$ 1,879,884	\$ 2,210,495
Court Administration Program	\$ 2,429,527	\$ 1,264,507	\$ 599		\$ (81,598)		\$ 3,613,035	\$ 3,413,713	\$ 4,445,244	\$ 4,764,440
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 11,276,832	\$ 2,282,690	\$ 1,136,493		\$ 0	\$ (750,000)	\$ 13,946,016	\$ 13,802,993	\$ 16,166,100	\$ 17,674,973

