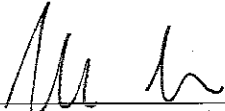


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

9/21/2012

Date

Santa Cruz Superior

Court

2011-2012 and Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Cruz
 Court

2011-2012 4th Quarter
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	118.95				117.45

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Cruz Superior

Court

2011-2012 and Q4

Fiscal Year and Ending Quarter

FOOTNOTES

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Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (600,140)	\$ 113	\$ 0	\$ 0			\$ 21,645	\$ (578,381)	\$ (604,761)
Payroll	\$ (2,502)						\$ 0	\$ (2,502)	\$ (84,845)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0	\$ 0					\$ 0	\$ 0	\$ 24
Trust							\$ 212,841	\$ 212,841	\$ 165,269
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 2,210,163	\$ 2,210,163	\$ 1,838,871
Cash Outside of the AOC									
Total Cash	\$ (594,291)	\$ 113	\$ 0	\$ 0			\$ 2,444,649	\$ 1,850,470	\$ 1,322,908
Short Term Investment	\$ 4,904,847						\$ 482,342	\$ 5,387,189	\$ 4,766,030
Investment in Financial Institution									
Total Investments	\$ 4,904,847						\$ 482,342	\$ 5,387,189	\$ 4,766,030
Accrued Revenue	\$ 9,912	\$ 11		\$ 0			\$ 0	\$ 9,923	\$ 4,284
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 158,589							\$ 158,589	\$ 298,256
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 54,367
Due From Other Courts	\$ 24,110						\$ 0	\$ 24,110	\$ 127,684
Due From State	\$ 291,501	\$ 0	\$ 159,878					\$ 451,379	\$ 760,818
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 129
General Due To/From	\$ 45,568							\$ 45,568	\$ 132
Total Receivables	\$ 529,681	\$ 11	\$ 159,878	\$ 0			\$ 0	\$ 689,570	\$ 1,245,671
Prepaid Expenses - General									\$ 0
Salary and Travel Advances			\$ 0					\$ 0	\$ 0
Counties									
Total Prepaid Expenses			\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 4,840,236	\$ 125	\$ 159,878	\$ 0			\$ 2,926,991	\$ 7,927,229	\$ 7,334,610
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 1,180,780	\$ 0	\$ 1,289					\$ 1,182,069	\$ 77,742
Accounts Payable - General	\$ 111,243	\$ 0	\$ 0	\$ 0			\$ 0	\$ 111,243	\$ (84,498)
Due to Other Funds	\$ 0	\$ 0	\$ 158,589				\$ 45,568	\$ 204,157	\$ 298,517
Due to Other Courts									\$ 0
Due to State	\$ 0							\$ 0	\$ 1,850
TC145 Liability	\$ 0						\$ 492,155	\$ 492,155	\$ 589,971
Due to Other Governments	\$ 47,392		\$ 0					\$ 47,392	\$ 82,665
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 138							\$ 138	\$ 0
Interest							\$ 69	\$ 69	\$ 32
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 1,339,553	\$ 0	\$ 159,878	\$ 0			\$ 537,792	\$ 2,037,223	\$ 966,280
Civil							\$ 206,325	\$ 206,325	\$ 149,712
Criminal									\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 2,165,861	\$ 2,165,861	\$ 1,838,871
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 2,372,186	\$ 2,372,186	\$ 1,988,583
Accrued Payroll	\$ 256,438	\$ 0	\$ 0					\$ 256,438	\$ 564,004
Benefits Payable	\$ 98,855							\$ 98,855	\$ 109,161
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 95							\$ 95	\$ 820
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 355,389	\$ 0	\$ 0					\$ 355,389	\$ 673,985
Revenue Collected in Advance									\$ 0
Liabilities For Deposits	\$ 6,231						\$ 5,826	\$ 12,057	\$ 12,109
Jury Fees - Non-Interest							\$ 8,850	\$ 8,850	\$ 9,520
Fees - Partial Payment & Overpayment							\$ 2,338	\$ 2,338	\$ 50
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 6,231						\$ 17,014	\$ 23,245	\$ 21,679
Total Liabilities	\$ 1,701,172	\$ 0	\$ 159,878	\$ 0			\$ 2,926,991	\$ 4,788,041	\$ 3,650,527
Total Fund Balance	\$ 3,139,063	\$ 125	\$ 0	\$ 0				\$ 3,139,188	\$ 3,684,083
Total Liabilities and Fund Balance	\$ 4,840,236	\$ 125	\$ 159,878	\$ 0			\$ 2,926,991	\$ 7,927,229	\$ 7,334,610

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 13,199,291						\$ 13,199,291	\$ 12,875,084	\$ 16,842,609	\$ 16,420,687	
Trial Court Improvement Fund	\$ 53,517						\$ 53,517	\$ 56,289	\$ 55,463	\$ 54,381	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 597,075						\$ 597,075	\$ 595,000	\$ 565,009	\$ 691,105	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 227,248	\$ 0					\$ 227,248	\$ 215,993	\$ 214,731	\$ 218,028	
Other Miscellaneous	\$ 94,526						\$ 94,526	\$ 94,526			
	\$ 14,171,657	\$ 0					\$ 14,171,657	\$ 13,836,892	\$ 17,677,813	\$ 17,384,201	
Grants											
AB 1058 Commissioner/Facilitator			\$ 261,648				\$ 261,648	\$ 326,152	\$ 297,714	\$ 285,712	
Other AOC Grants	\$ 584		\$ (584)				\$ 0	\$ 24,936	\$ 52,734	\$ 52,734	
Non-AOC Grants											
	\$ 584		\$ 261,063				\$ 261,648	\$ 351,088	\$ 350,448	\$ 338,446	
Other Financing Sources											
Interest Income	\$ 17,737	\$ 124					\$ 17,861	\$ 7,800	\$ 12,941	\$ 8,797	
Investment Income											
Donations											
Local Fees	\$ 506,531						\$ 506,531	\$ 463,000	\$ 465,757	\$ 454,348	
Non-Fee Revenues	\$ 6,356						\$ 6,356	\$ 0	\$ 22,635	\$ 22,715	
Enhanced Collections		\$ 338,079					\$ 338,079	\$ 296,400	\$ 228,235	\$ 181,616	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 59,489					\$ 59,489	\$ 75,828	\$ 64,971	\$ 75,310	
Reimbursement Other	\$ 244,954						\$ 244,954	\$ 223,575	\$ 259,701	\$ 228,057	
Sale of Fixed Assets											
Other Miscellaneous	\$ 14,631						\$ 14,631	\$ 10,200	\$ 6,777	\$ 7,241	
	\$ 790,208	\$ 397,692					\$ 1,187,900	\$ 1,076,803	\$ 1,061,018	\$ 978,084	
Total Revenues	\$ 14,962,449	\$ 397,692	\$ 261,063				\$ 15,621,205	\$ 15,264,783	\$ 19,089,279	\$ 18,700,731	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,767,812	\$ 221,075	\$ 186,119				\$ 8,175,006	\$ 8,582,641	\$ 7,505,326	\$ 7,697,835	
Temp Help	\$ 3,474						\$ 3,474	\$ 3,100	\$ 24,231		
Overtime	\$ 3,716	\$ 292					\$ 4,008	\$ 5,250	\$ (28)		
Staff Benefits	\$ 3,349,046	\$ 101,231	\$ 75,159				\$ 3,525,437	\$ 3,793,205	\$ 3,499,412	\$ 4,680,107	
	\$ 11,124,048	\$ 322,598	\$ 261,279				\$ 11,707,924	\$ 12,384,196	\$ 11,028,940	\$ 12,377,942	
Operating Expenses and Equipment											
General Expense	\$ 761,760		\$ 1,928				\$ 763,688	\$ 941,521	\$ 262,245	\$ 348,961	
Printing	\$ 70,393						\$ 70,393	\$ 84,000	\$ 68,927	\$ 50,000	
Telecommunications	\$ 111,911						\$ 111,911	\$ 249,523	\$ 82,163	\$ 216,523	
Postage	\$ 83,324	\$ 18,979					\$ 102,303	\$ 145,800	\$ 96,489	\$ 115,800	
Insurance	\$ 4,796						\$ 4,796	\$ 6,961	\$ 4,316	\$ 6,961	
In-State Travel	\$ 19,384		\$ 2,336				\$ 21,720	\$ 67,086	\$ 10,199	\$ 31,534	
Out-of-State Travel	\$ 777						\$ 777				
Training	\$ 7,556		\$ 675				\$ 8,231	\$ 4,550	\$ 1,951	\$ 2,300	
Security Services	\$ 300						\$ 300	\$ 580	\$ 2,568,942	\$ 2,783,020	
Facility Operations	\$ 396,027			\$ 825,000			\$ 1,221,027	\$ 1,354,013	\$ 284,826	\$ 394,600	
Utilities											
Contracted Services	\$ 564,296	\$ 55,000	\$ (584)				\$ 618,711	\$ 843,252	\$ 565,913	\$ 759,926	
Consulting and Professional Services	\$ 15,205						\$ 15,205	\$ 16,500	\$ 95,643	\$ 16,500	
Information Technology	\$ 744,476	\$ 4,160					\$ 748,636	\$ 786,879	\$ 442,660	\$ 747,387	
Major Equipment	\$ 651,217						\$ 651,217	\$ 668,512	\$ 25,962		
Other Items of Expense	\$ 2,610						\$ 2,610	\$ 2,900	\$ 2,713	\$ 2,900	
	\$ 3,434,031	\$ 78,139	\$ 4,355	\$ 825,000			\$ 4,341,525	\$ 5,172,077	\$ 4,512,948	\$ 5,476,412	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 116,650						\$ 116,650	\$ 118,700	\$ 75,255	\$ 103,200	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (94,852)	\$ 41,940	\$ 52,912				\$ 0	\$ 0	\$ 0	\$ 30,503	
Prior Year Expense Adjustment	\$ (785)	\$ 785					\$ 0		\$ 153,138		
	\$ 21,013	\$ 42,725	\$ 52,912				\$ 116,650	\$ 118,700	\$ 228,393	\$ 133,703	
Total Expenditures	\$ 14,579,093	\$ 443,462	\$ 318,545	\$ 825,000			\$ 16,166,100	\$ 17,674,973	\$ 15,770,281	\$ 17,988,057	
Excess (Deficit) of Revenues Over Expenditures	\$ 383,357	\$ (45,770)	\$ (57,482)	\$ (825,000)			\$ (544,895)	\$ (2,410,190)	\$ 3,318,998	\$ 712,674	
Operating Transfers In (Out)	\$ (922,592)	\$ 40,110	\$ 57,482	\$ 825,000			\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,678,298	\$ 5,785	\$ 0	\$ 0			\$ 3,684,083	\$ 3,684,083	\$ 365,085	\$ 365,085	
Ending Balance (Deficit)	\$ 3,139,063	\$ 125	\$ 0	\$ 0			\$ 3,139,188	\$ 1,273,893	\$ 3,684,083	\$ 1,077,759	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,654,909	\$ 790,218					\$ 4,445,126	\$ 4,388,784	\$ 3,654,394	\$ 3,892,953
Traffic & Other Infractions	\$ 442,942	\$ 91,044					\$ 533,987	\$ 726,042	\$ 667,687	\$ 673,261
Other Criminal Cases	\$ 774,067	\$ 88,433					\$ 862,500	\$ 1,029,316	\$ 892,054	\$ 749,650
Civil	\$ 844,090	\$ 97,196			\$ (3,331)	\$ 0	\$ 937,955	\$ 1,312,230	\$ 1,154,333	\$ 1,646,444
Family & Children Services	\$ 578,713	\$ 51,131			\$ 52,912		\$ 682,756	\$ 1,005,292	\$ 743,404	\$ 985,117
Probate, Guardianship & Mental Health Services	\$ 313,660	\$ 6,053					\$ 319,712	\$ 381,579	\$ 299,513	\$ 320,031
Juvenile Dependency Services	\$ 211,200	\$ 4,626					\$ 215,827	\$ 272,473	\$ 256,853	\$ 183,149
Juvenile Delinquency Services		\$ 1,150					\$ 1,150	\$ 1,605	\$ 1,746	\$ 1,605
Other Court Operations	\$ 383,579	\$ 34,031					\$ 417,610	\$ 464,451	\$ 364,808	\$ 365,990
Court Interpreters	\$ 688,527	\$ 64,930					\$ 753,457	\$ 825,243	\$ 677,168	\$ 712,497
Jury Services	\$ 141,274	\$ 77,969	\$ 116,650				\$ 335,893	\$ 360,241	\$ 285,260	\$ 301,661
Security		\$ 146,901					\$ 146,901	\$ 200,000	\$ 2,360,201	\$ 2,759,053
Trial Court Operations Program	\$ 8,032,962	\$ 1,453,681	\$ 116,650		\$ 49,581	\$ 0	\$ 9,652,874	\$ 10,967,256	\$ 11,357,423	\$ 12,591,411
Enhanced Collections	\$ 305,419	\$ 23,250			\$ 41,940		\$ 370,610	\$ 252,600	\$ 228,828	\$ 27,848
Other Non-Court Operations	\$ 848,338	\$ 849,034					\$ 1,697,372	\$ 1,690,677	\$ 844,308	\$ 761,602
Non-Court Operations Program	\$ 1,153,757	\$ 872,284			\$ 41,940		\$ 2,067,982	\$ 1,943,277	\$ 1,073,136	\$ 789,450
Executive Office	\$ 264,424	\$ 14,148					\$ 278,572	\$ 571,431	\$ 425,233	\$ 464,259
Fiscal Services	\$ 540,665	\$ 219,359			\$ (91,521)		\$ 668,503	\$ 573,991	\$ 649,442	\$ 826,331
Human Resources	\$ 544,673	\$ 16,922					\$ 561,595	\$ 315,321	\$ 579,729	\$ 905,930
Business & Facilities Services	\$ 644,699	\$ 411,991					\$ 1,056,690	\$ 1,093,202	\$ 666,886	\$ 811,874
Information Technology	\$ 526,744	\$ 1,353,140					\$ 1,879,884	\$ 2,210,495	\$ 1,018,432	\$ 1,598,802
Court Administration Program	\$ 2,521,205	\$ 2,015,560			\$ (91,521)		\$ 4,445,244	\$ 4,764,440	\$ 3,339,722	\$ 4,607,196
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 11,707,924	\$ 4,341,525	\$ 116,650		\$ 0	\$ 0	\$ 16,166,100	\$ 17,674,973	\$ 15,770,281	\$ 17,988,057

