QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive

8-18-14
Date

Superior Court – Santa Clara
Court

FY 2013-14 4th Quarter
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court- Santa Clara
Court

FY 13-14 4th Quarter
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court- Santa Clara
Court

FY 13-14 4th Quarter
Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs)[1] (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	800.12	729.21	726.85	714.23	706.22

[1] The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

	For the month ended June										
	Fiscal Year 2013/14									2012/13	
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Special Revenue									
	General	Non-Grant	Grant	Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
REVENUES											
State Financing Sources											
Trial Court Trust Fund	$ 77,299,414	$ 629,810		$ 1,725,762				$ 79,654,986	$ 78,975,485	$ 72,912,236	$ 70,963,416
Improvement and Modernization Fund	$ 685,603							$ 685,603	$ 795,605	$ 766,170	$ 796,542
Judges' Compensation (45.25)	$ 708,362							$ 708,362	$ 750,500	$ 709,333	$ 750,500
Court Interpreter (45.45)	$ 3,798,049	$ 0						$ 3,798,049	$ 3,300,000	$ 3,351,158	$ 3,000,000
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	$ 796,369							$ 796,369	$ 861,693	$ 862,720	$ 825,357
Other Miscellaneous	$ 2,309,467							$ 2,309,467	$ 2,309,467	$ 3,891,167	$ 1,810,282
	$ 85,597,264	$ 629,810		$ 1,725,762				$ 87,952,837	$ 86,992,750	$ 82,492,785	$ 78,146,097
Grants											
AB 1058 Commissioner/Facilitator			$ 2,606,208					$ 2,606,208	$ 2,606,208	$ 2,605,247	$ 2,606,199
Other AOC Grants			$ 145,244					$ 145,244	$ 127,813	$ 121,265	$ 126,634
Non-AOC Grants	$ 1		$ 937,908					$ 937,909	$ 1,291,307	$ 1,992,893	$ 2,101,623
	$ 1		$ 3,689,359					$ 3,689,360	$ 4,025,328	$ 4,719,405	$ 4,834,456
Other Financing Sources											
Interest Income	$ 62,705	$ 5,887		$ 3,853				$ 72,446	$ 112,000	$ 112,286	$ 75,000
Investment Income											
Donations		$ 57,852						$ 57,852	$ 18,000	$ 18,147	$ 14,000
Local Fees	$ 1,494,967							$ 1,494,967	$ 1,950,300	$ 1,954,674	$ 1,603,500
Non-Fee Revenues											
Enhanced Collections		$ 665,673						$ 665,673	$ 695,000	$ 694,719	$ 723,000
Escheatment	$ 156,825	$ 529						$ 157,354		$ (138,526)	
Prior Year Revenue										$ 323,765	
County Program - Restricted		$ 312,350						$ 312,350	$ 330,132	$ 410,518	$ 331,220
Reimbursement Other	$ 1,007,737	$ 1,787,518	$ 175,794					$ 2,971,049	$ 2,484,638	$ 2,298,127	$ 1,820,173
Sale of Fixed Assets											
Other Miscellaneous	$ 1,673,810							$ 1,673,810	$ 1,613,603	$ 1,613,529	$ 1,613,253
	$ 4,396,044	$ 2,829,809	$ 175,794	$ 3,853				$ 7,405,500	$ 7,203,673	$ 7,287,238	$ 6,180,146
Total Revenues	**$ 89,993,309**	**$ 3,459,619**	**$ 3,865,153**	**$ 1,729,616**				**$ 99,047,697**	**$ 98,221,751**	**$ 94,499,427**	**$ 89,160,699**
EXPENDITURES											
Personal Services											
Salaries - Permanent	$ 49,037,331	$ 539,835	$ 1,416,647					$ 50,993,813	$ 50,041,155	$ 52,604,100	$ 57,013,818
Temp Help	$ 699,869	$ 24,917	$ 73,065					$ 797,851	$ 471,271	$ 809,831	$ 483,907
[illegible]	$ 31,314							$ 31,314	$ 25,000	$ 23,780	$ 48,000
[illegible]efits	$ 33,210,637	$ 2,089,727	$ 1,236,792					$ 36,537,156	$ 39,089,590	$ 35,556,420	$ 38,774,753
	$ 82,979,151	$ 2,654,479	$ 2,726,504					$ 88,360,134	$ 89,627,016	$ 88,994,131	$ 96,320,478
Operating Expenses and Equipment											
General Expense	$ 1,587,068	$ 51,118	$ 57,770					$ 1,695,956	$ 1,582,231	$ 1,631,046	$ 1,706,065
Printing	$ 152,972	$ 426						$ 153,398	$ 185,000	$ 185,473	$ 191,200
Telecommunications	$ 720,104							$ 720,104	$ 745,000	$ 782,404	$ 800,280
Postage	$ 421,551							$ 421,551	$ 430,000	$ 429,610	$ 467,000
Insurance	$ 46,504							$ 46,504	$ 42,000	$ 41,498	$ 40,000
In-State Travel	$ 118,753	$ 2,941	$ 10,562					$ 132,256	$ 120,150	$ 112,279	$ 101,501
Out-of-State Travel	$ 5,287		$ 7,475					$ 12,762	$ 15,000	$ 14,882	$ 18,668
Training	$ 88,958		$ 8,302					$ 97,260	$ 98,000	$ 97,365	$ 105,217
Security Services			$ 308,712					$ 308,712	$ 308,712	$ 301,461	$ 301,656
Facility Operations	$ 1,274,216							$ 1,274,216	$ 1,277,204	$ 1,167,459	$ 1,134,778
Utilities	$ 164,736							$ 164,736	$ 163,000	$ 162,840	$ 160,000
Contracted Services	$ 4,586,179	$ 531,539	$ 847,716					$ 5,965,434	$ 5,897,501	$ 7,072,478	$ 6,711,369
Consulting and Professional Services	$ 1,613,390							$ 1,613,390	$ 1,597,904	$ 1,906,305	$ 1,906,413
Information Technology	$ 869,725		$ 5,804					$ 875,528	$ 425,000	$ 535,728	$ 878,939
Major Equipment	$ 314,521							$ 314,521		$ 135,714	$ 7,101
Other Items of Expense	$ 58,857							$ 58,857	$ 69,000	$ 64,387	$ 69,500
	$ 12,022,820	$ 586,023	$ 1,246,342					$ 13,855,185	$ 12,955,702	$ 14,640,926	$ 14,599,687
Special Items of Expense											
Grand Jury		$ 4,342						$ 4,342	$ 5,500	$ 5,466	$ 4,000
Jury Costs	$ 624,482	$ 98,934						$ 723,416	$ 798,500	$ 796,832	$ 713,770
Judgements, Settlements and Claims											
Debt Service											
Other										$ 0	
Capital Costs				$ 3,500,000				$ 3,500,000	$ 3,500,000	$ 5,250,000	$ 2,500,000
Internal Cost Recovery	$ (487,137)		$ 487,137					$ 0	$ 0	$ 0	$ 0
Prior Year Expense Adjustment											
	$ 137,345	$ 103,276	$ 487,137	$ 3,500,000				$ 4,227,758	$ 4,304,000	$ 6,052,298	$ 3,217,770
Total Expenditures	**$ 95,139,316**	**$ 3,343,778**	**$ 4,459,983**	**$ 3,500,000**				**$ 106,443,077**	**$ 106,886,718**	**$ 109,687,355**	**$ 114,137,935**
Excess (Deficit) of Revenues Over Expenditures	$ (5,146,007)	$ 115,841	$ (594,829)	$ (1,770,384)				$ (7,395,380)	$ (8,664,967)	$ (15,187,927)	$ (24,977,236)
Operating Transfers In (Out)	$ (870,759)	$ 275,930	$ 594,829					$ 0	$ 0	$ 0	$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	$ 8,381,535	$ 2,950,244	$ 0	$ 1,770,384				$ 13,102,163	$ 13,102,163	$ 28,290,091	$ 28,290,091
Ending Balance (Deficit)	**$ 2,364,769**	**$ 3,342,015**	**$ 0**	**$ 0**				**$ 5,706,784**	**$ 4,437,196**	**$ 13,102,163**	**$ 3,312,855**

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Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Balance Sheet
(Unaudited)

	For the month ended June								
	Fiscal Year 2013/14								2012/13
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
	General	Non-Grant	Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
ASSETS									
Operations	$ (5,054,084)	$ 3,271,457	$ 1	$ 1,749,996			$ 11,326,215	$ 11,293,584	$ 9,243,302
Payroll									
Jury									
Revolving									
Other	$ 0							$ 0	$ 0
Distribution							$ 337,350	$ 337,350	$ 346,285
Civil Filing Fees							$ 0	$ 0	$ 0
Trust							$ (253,608)	$ (253,608)	$ 235,027
Credit Card									
Cash on Hand	$ 16,160						$ 900	$ 17,060	$ 16,160
Cash with County	$ 3,699,942							$ 3,699,942	$ 3,695,726
Cash Outside of the AOC							$ 0	$ 0	$ 0
Total Cash	$ (1,337,982)	$ 3,271,457	$ 1	$ 1,749,996			$ 11,410,857	$ 15,094,328	$ 13,536,501
Short Term Investment	$ 2,761,648						$ 6,239,404	$ 9,001,052	$ 16,610,036
Investment in Financial Institution									
Total Investments	$ 2,761,648						$ 6,239,404	$ 9,001,052	$ 16,610,036
Accrued Revenue	$ 163,650	$ 596	$ 0	$ 4				$ 164,250	$ 66,993
Accounts Receivable - General	$ 250,312	$ 0	$ 757,501					$ 1,007,813	$ 2,288,980
Dishonored Checks									
Due From Employee									
Civil Jury Fees	$ 11,692							$ 11,692	
Trust									
Due From Other Funds	$ 1,823,013						$ 0	$ 1,823,013	$ 1,820,295
Due From Other Governments	$ 674,667	$ 629,690	$ 6,800					$ 1,311,158	$ 24,146
Due From Other Courts	$ 0	$ 0					$ 0	$ 0	$ 0
Due From State	$ 1,930,907	$ 108,887	$ 44,301					$ 2,084,095	$ 1,995,333
Trust Due To/From							$ 0	$ 0	$ 0
Distribution Due To/From							$ 0	$ 0	$ 0
Civil Filing Fee Due To/From									
General Due To/From	$ 56,943	$ 0						$ 56,943	$ 349,838
Total Receivables	$ 4,911,186	$ 739,172	$ 808,602	$ 4			$ 0	$ 6,458,965	$ 6,545,585
Prepaid Expenses - General	$ 15,120							$ 15,120	$ 40,180
Salary and Travel Advances									
Co[illegible]ns									
Total Prepaid Expenses	$ 15,120							$ 15,120	$ 40,180
Other Assets									
Total Other Assets									
Total Assets	$ 6,349,971	$ 4,010,629	$ 808,603	$ 1,750,000			$ 17,650,261	$ 30,569,465	$ 36,732,302
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	$ 409,193	$ 64,377	$ 55,362					$ 528,932	$ 678,299
Accounts Payable - General	$ 232,431	$ 719	$ 333	$ 0			$ 97,844	$ 331,327	$ 694,225
Due to Other Funds	$ 778,636	$ 601,835	$ 442,542				$ 56,943	$ 1,879,957	$ 2,170,133
Due to Other Courts	$ 475	$ 0						$ 475	$ 621
Due to State	$ 42,919		$ 0	$ 1,750,000				$ 1,792,919	$ 1,292,164
TC145 Liability							$ 2,088,144	$ 2,088,144	$ 2,254,897
Due to Other Governments	$ 106,979	$ 158	$ 27,825					$ 134,962	$ 210,772
AB145 Due to Other Government Agency	$ 0						$ 4,694,980	$ 4,694,980	$ 5,308,079
Due to Other Public Agencies									
Sales and Use Tax	$ 500							$ 500	$ 460
Interest							$ 22	$ 22	$ 48
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	$ 1,571,134	$ 667,089	$ 526,062	$ 1,750,000			$ 6,937,933	$ 11,452,218	$ 12,609,698
Civil							$ 7,542,773	$ 7,542,773	$ 4,086,641
Criminal							$ 3,084,147	$ 3,084,147	$ 4,585,283
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							$ 0	$ 0	$ 0
Trust Interest Payable							$ 12,111	$ 12,111	$ 13,494
Miscellaneous Trust									
Total Trust Deposits							$ 10,639,031	$ 10,639,031	$ 8,685,418
Accrued Payroll	$ 2,374,071							$ 2,374,071	$ 2,166,597
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	$ 2,374,071							$ 2,374,071	$ 2,166,597
Revenue Collected in Advance			$ 282,541					$ 282,541	$ 37,273
Liabilities For Deposits	$ 39,998	$ 1,525					$ 73,297	$ 114,820	$ 131,153
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	$ 0							$ 0	$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	$ 39,998	$ 1,525	$ 282,541				$ 73,297	$ 397,361	$ 168,426
Total Liabilities	$ 3,985,202	$ 668,614	$ 808,603	$ 1,750,000			$ 17,650,261	$ 24,862,681	$ 23,630,139
Total Fund Balance	$ 2,364,769	$ 3,342,015	$ 0	$ 0				$ 5,706,784	$ 13,102,163
Total Liabilities and Fund Balance	$ 6,349,971	$ 4,010,629	$ 808,603	$ 1,750,000			$ 17,650,261	$ 30,569,465	$ 36,732,302

Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)

	For the month ended June									
	Fiscal Year 2013/14								2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	$ 26,496,516	$ 1,772,182			$ 0		$ 28,268,697	$ 29,300,727	$ 28,542,188	$ 30,370,773
Traffic & Other Infractions	$ 5,401,026	$ 67,845					$ 5,468,872	$ 6,118,835	$ 6,077,650	$ 6,841,622
Other Criminal Cases	$ 10,388,825	$ 1,932,290					$ 12,321,114	$ 12,313,621	$ 13,583,611	$ 14,655,730
Civil	$ 8,853,404	$ 411,206					$ 9,264,610	$ 9,443,210	$ 9,260,547	$ 10,264,369
Family & Children Services	$ 10,436,588	$ 1,777,168			$ 0		$ 12,213,756	$ 13,200,885	$ 12,430,650	$ 14,185,088
Probate, Guardianship & Mental Health Services	$ 3,214,643	$ 85,307					$ 3,299,950	$ 3,120,240	$ 3,146,085	$ 3,317,004
Juvenile Dependency Services	$ 507,038	$ 132,035			$ 0		$ 639,073	$ 540,233	$ 652,925	$ 745,497
Juvenile Delinquency Services	$ 637,108	$ 327,009					$ 964,118	$ 731,382	$ 889,844	$ 952,830
Other Court Operations	$ 486,380	$ 3,314					$ 489,694	$ 556,956	$ 577,801	$ 550,832
Court Interpreters	$ 4,002,358	$ 597,741					$ 4,600,099	$ 4,223,388	$ 4,065,197	$ 4,116,090
Jury Services	$ 633,740	$ 156,614	$ 624,482				$ 1,414,835	$ 1,303,324	$ 1,604,686	$ 1,441,984
Security		$ 553,323					$ 553,323	$ 308,712	$ 359,011	$ 301,656
Trial Court Operations Program	**$ 71,057,626**	**$ 7,816,033**	**$ 624,482**		**$ 0**		**$ 79,498,141**	**$ 81,161,513**	**$ 81,190,195**	**$ 87,743,475**
Enhanced Collections										
Other Non-Court Operations	$ 1,870,093	$ 109,414	$ 103,276				$ 2,082,783	$ 2,014,976	$ 2,089,408	$ 1,741,394
Non-Court Operations Program	**$ 1,870,093**	**$ 109,414**	**$ 103,276**				**$ 2,082,783**	**$ 2,014,976**	**$ 2,089,408**	**$ 1,741,394**
Executive Office	$ 2,958,013	$ 249,573					$ 3,207,586	$ 1,430,208	$ 2,894,351	$ 1,717,603
Fiscal Services	$ 4,258,792	$ 1,575,038					$ 5,833,830	$ 4,758,441	$ 5,135,607	$ 3,425,433
Human Resources	$ 1,660,354	$ 89,674					$ 1,750,027	$ 2,051,494	$ 1,759,041	$ 2,259,331
Business & Facilities Services	$ 1,999,886	$ 1,519,315		$ 3,500,000			$ 7,019,201	$ 9,026,654	$ 10,026,585	$ 9,852,868
Information Technology	$ 4,555,372	$ 2,496,137					$ 7,051,508	$ 6,443,432	$ 6,592,168	$ 7,397,831
Court Administration Program	**$ 15,432,415**	**$ 5,929,737**		**$ 3,500,000**			**$ 24,862,152**	**$ 23,710,229**	**$ 26,407,752**	**$ 24,653,066**
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	**$ 88,360,134**	**$ 13,855,185**	**$ 727,758**	**$ 3,500,000**	**$ 0**		**$ 106,443,077**	**$ 106,886,718**	**$ 109,687,355**	**$ 114,137,935**

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Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Clara

	Governmental Funds							
		Special Revenue						
Classification	**General**	**Non-Grant**	**Grant**	**Capital Project**	**Debt Service**	**Proprietary Funds**	**Fiduciary Funds**	**Total Funds** (Info. Purposes Only)
Nonspendable	15,120	-	-	-	-	-	-	**15,120**
Restricted	-	3,342,015	-	-	-	-	-	**3,342,015**
Committed	1,495,774	-	-	-	-	-	-	**1,495,774**
Assigned	853,875	-	-	-	-	-	-	**853,875**
Unassigned	-	-	-	-	-	-	-	**-**
Total	**$ 2,364,769**	**$ 3,342,015**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 5,706,784**