

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

David H. Symon
Signature of Presiding Judge or Court Executive

5-6-14
Date

Superior Court – Santa Clara
Court

FY 2013-14 3rd Quarter
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court- Santa Clara
Court

FY 13-14 3rd Quarter
Fiscal Year and Ending Quarter

FOOTNOTES

Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court- Santa Clara
 Court

FY 13-14 3rd Quarter
 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	729.21	726.85	714.23	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

For the month ended March									
Fiscal Year 2013/14									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2012/13 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,068,428)	\$ 2,522,500	\$ (998,192)	\$ 23,332			\$ 7,177,360	\$ 7,656,572	\$ 9,893,434
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ (266,614)	\$ (266,614)	\$ 379,201
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (978)	\$ (978)	\$ (45,223)
Credit Card									
Cash on Hand	\$ 16,160							\$ 16,160	\$ 16,160
Cash with County	\$ 7,000,309							\$ 7,000,309	\$ 7,000,000
Cash Outside of the AOC								\$ 0	\$ 0
Total Cash	\$ 5,948,041	\$ 2,522,500	\$ (998,192)	\$ 23,332			\$ 6,909,769	\$ 14,405,449	\$ 17,243,572
Short Term Investment									
Investment in Financial Institution	\$ 3,689,438						\$ 7,034,206	\$ 10,723,644	\$ 19,426,469
Total Investments	\$ 3,689,438						\$ 7,034,206	\$ 10,723,644	\$ 19,426,469
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 12,180	\$ 0	\$ 462,079					\$ 474,259	\$ 1,683,927
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Trust Due To/From								\$ 556	\$ 556
Distribution Due To/From									\$ 88,921
Civil Filing Fee Due To/From									
General Due To/From	\$ 2,226	\$ 0						\$ 2,226	\$ 3,937
Total Receivables	\$ 14,406	\$ 0	\$ 462,079	\$ 0			\$ 556	\$ 477,041	\$ 1,776,785
Prepaid Expenses - General									
Salary and Travel Advances	\$ 15,120							\$ 15,120	\$ 40,180
Commodities									
Total Prepaid Expenses	\$ 15,120							\$ 15,120	\$ 40,180
Other Assets									
Total Other Assets									
Total Assets	\$ 9,667,005	\$ 2,522,500	\$ (536,113)	\$ 23,332			\$ 13,944,531	\$ 25,621,254	\$ 38,487,005
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 119,144	\$ 255	\$ 0	\$ 0			\$ 0	\$ 119,399	\$ 355,043
Due to Other Funds	\$ 556	\$ 0	\$ 0				\$ 2,226	\$ 2,782	\$ 92,858
Due to Other Courts	\$ 0	\$ 0						\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability								\$ 1,980,129	\$ 1,980,129
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency	\$ 0							\$ 5,030,796	\$ 5,491,030
Due to Other Public Agencies									
Sales and Use Tax	\$ 409							\$ 409	\$ 297
Interest								\$ 907	\$ 1,392
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 120,110	\$ 255	\$ 0	\$ 0			\$ 7,014,057	\$ 7,134,422	\$ 8,170,812
Civil									
Criminal							\$ 4,214,433	\$ 4,214,433	\$ 4,177,251
Unreconciled - Civil and Criminal							\$ 2,634,577	\$ 2,634,577	\$ 4,702,327
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 0	\$ 0	\$ 0
Miscellaneous Trust							\$ 10,471	\$ 10,471	\$ 16,914
Total Trust Deposits							\$ 6,859,481	\$ 6,859,481	\$ 8,896,492
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 405,132							\$ 405,132	\$ 405,132
Revenue Collected in Advance									
Liabilities For Deposits	\$ 34,220	\$ 1,525	\$ 29,965					\$ 29,965	\$ 37,273
Jury Fees - Non-Interest							\$ 70,992	\$ 106,737	\$ 115,589
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Miscellaneous Liabilities									
Total Other Liabilities	\$ 34,220	\$ 1,525	\$ 29,965				\$ 70,992	\$ 136,702	\$ 152,862
Total Liabilities	\$ 559,461	\$ 1,780	\$ 29,965	\$ 0			\$ 13,944,531	\$ 14,635,737	\$ 17,625,299
Total Fund Balance	\$ 9,107,543	\$ 2,520,720	\$ (566,078)	\$ 23,332				\$ 11,085,517	\$ 20,861,707
Total Liabilities and Fund Balance	\$ 9,667,005	\$ 2,522,500	\$ (536,113)	\$ 23,332			\$ 13,944,531	\$ 25,621,254	\$ 38,487,005

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended March										
	Fiscal Year 2013/14							2012/13			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 59,225,038	\$ 368,910					\$ 59,593,948	\$ 78,975,485	\$ 52,466,071	\$ 70,963,416	
Improvement and Modernization Fund	\$ 207,271						\$ 207,271	\$ 795,605	\$ 240,997	\$ 796,542	
Judges' Compensation (45.25)	\$ 562,877						\$ 562,877	\$ 750,500	\$ 562,876	\$ 750,500	
Court Interpreter (45.45)	\$ 2,364,092	\$ 0					\$ 2,364,092	\$ 3,300,000	\$ 2,274,555	\$ 3,000,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 585,544						\$ 585,544	\$ 861,693	\$ 632,971	\$ 825,357	
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 1,810,282	
	\$ 65,254,289	\$ 368,910					\$ 65,623,199	\$ 86,992,750	\$ 58,486,937	\$ 78,146,097	
Grants											
AB 1058 Commissioner/Facilitator			\$ 1,717,606				\$ 1,717,606	\$ 2,606,208	\$ 1,957,050	\$ 2,606,199	
Other AOC Grants			\$ 87,301				\$ 87,301	\$ 127,813	\$ 43,050	\$ 126,634	
Non-AOC Grants	\$ 1		\$ 488,809				\$ 488,810	\$ 1,291,307	\$ 1,245,639	\$ 2,101,623	
	\$ 1		\$ 2,293,716				\$ 2,293,717	\$ 4,025,328	\$ 3,245,739	\$ 4,834,456	
Other Financing Sources											
Interest Income	\$ 44,854	\$ 3,623		\$ 2,948			\$ 51,424	\$ 112,000	\$ 79,819	\$ 75,000	
Investment Income											
Donations		\$ 53,192					\$ 53,192	\$ 18,000	\$ 12,337	\$ 14,000	
Local Fees	\$ 928,229						\$ 928,229	\$ 1,950,300	\$ 1,287,530	\$ 1,603,500	
Non-Fee Revenues											
Enhanced Collections		\$ 445,301					\$ 445,301	\$ 695,000	\$ 478,128	\$ 723,000	
Escheatment	\$ 152,883	\$ 529					\$ 153,412		\$ 36,023		
Prior Year Revenue									\$ 323,765		
County Program - Restricted		\$ 153,786					\$ 153,786	\$ 330,132	\$ 188,407	\$ 331,220	
Reimbursement Other	\$ 357,269	\$ 851,759	\$ 103,140				\$ 1,312,167	\$ 2,484,638	\$ 1,312,850	\$ 1,820,173	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,131,151						\$ 1,131,151	\$ 1,613,603	\$ 1,076,188	\$ 1,613,253	
	\$ 2,614,386	\$ 1,508,189	\$ 103,140	\$ 2,948			\$ 4,228,662	\$ 7,203,673	\$ 4,795,026	\$ 6,180,146	
Total Revenues	\$ 67,868,676	\$ 1,877,099	\$ 2,398,855	\$ 2,948			\$ 72,145,577	\$ 98,221,751	\$ 66,527,703	\$ 89,160,699	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 34,851,078	\$ 403,843	\$ 966,426				\$ 36,221,348	\$ 50,041,155	\$ 37,711,149	\$ 57,013,818	
Temp Help	\$ 504,993	\$ 15,831	\$ 32,639				\$ 553,463	\$ 471,271	\$ 581,905	\$ 483,907	
Overtime	\$ 25,545						\$ 25,545	\$ 25,000	\$ 14,223	\$ 48,000	
Staff Benefits	\$ 23,918,100	\$ 1,501,017	\$ 848,760				\$ 26,267,877	\$ 39,089,590	\$ 24,983,906	\$ 38,774,753	
	\$ 59,299,717	\$ 1,920,692	\$ 1,847,825				\$ 63,068,233	\$ 89,627,016	\$ 63,291,183	\$ 96,320,478	
Oper. Expenses and Equipment											
General Expense	\$ 1,037,772	\$ 32,352	\$ 30,327				\$ 1,100,451	\$ 1,582,231	\$ 1,091,812	\$ 1,706,065	
Printing	\$ 104,877	\$ 426					\$ 105,302	\$ 185,000	\$ 137,730	\$ 191,200	
Telecommunications	\$ 510,878						\$ 510,878	\$ 745,000	\$ 583,894	\$ 800,280	
Postage	\$ 364,192						\$ 364,192	\$ 430,000	\$ 290,035	\$ 467,000	
Insurance	\$ 44,523						\$ 44,523	\$ 42,000	\$ 39,246	\$ 40,000	
In-State Travel	\$ 91,490	\$ 1,629	\$ 3,373				\$ 96,492	\$ 120,150	\$ 78,957	\$ 101,501	
Out-of-State Travel	\$ 3,889		\$ 6,012				\$ 9,901	\$ 15,000	\$ 4,972	\$ 18,668	
Training	\$ 61,574		\$ 5,077				\$ 66,651	\$ 98,000	\$ 66,060	\$ 105,217	
Security Services			\$ 231,534				\$ 231,534	\$ 308,712	\$ 226,096	\$ 301,656	
Facility Operations	\$ 907,609						\$ 907,609	\$ 1,277,204	\$ 805,074	\$ 1,134,778	
Utilities	\$ 108,422						\$ 108,422	\$ 163,000	\$ 107,674	\$ 160,000	
Contracted Services	\$ 2,934,387	\$ 290,085	\$ 507,945				\$ 3,732,416	\$ 5,897,501	\$ 4,629,644	\$ 6,711,369	
Consulting and Professional Services	\$ 1,040,125						\$ 1,040,125	\$ 1,597,904	\$ 1,366,941	\$ 1,906,413	
Information Technology	\$ 507,136						\$ 507,136	\$ 425,000	\$ 490,734	\$ 878,939	
Major Equipment	\$ 6,729						\$ 6,729		\$ 128,434	\$ 7,101	
Other Items of Expense	\$ 35,293						\$ 35,293	\$ 69,000	\$ 40,282	\$ 69,500	
	\$ 7,758,895	\$ 324,491	\$ 784,267				\$ 8,867,654	\$ 12,955,702	\$ 10,087,584	\$ 14,599,687	
Special Items of Expense											
Grand Jury		\$ 3,852					\$ 3,852	\$ 5,500	\$ 4,059	\$ 4,000	
Jury Costs	\$ 414,897	\$ 57,588					\$ 472,486	\$ 798,500	\$ 573,261	\$ 713,770	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (330,841)		\$ 330,841	\$ 1,750,000			\$ 1,750,000	\$ 3,500,000	\$ 0	\$ 2,500,000	
Prior Year Expense Adjustment							\$ 0	\$ 0	\$ 0	\$ 0	
	\$ 84,056	\$ 61,440	\$ 330,841	\$ 1,750,000			\$ 2,226,337	\$ 4,304,000	\$ 577,320	\$ 3,217,770	
Total Expenditures	\$ 67,142,668	\$ 2,306,623	\$ 2,962,933	\$ 1,750,000			\$ 74,162,224	\$ 106,886,718	\$ 73,956,087	\$ 114,137,935	
Excess (Deficit) of Revenues Over Expenditures	\$ 726,008	\$ (429,524)	\$ (566,078)	\$ (1,747,052)			\$ (2,016,646)	\$ (8,664,967)	\$ (7,428,384)	\$ (24,977,236)	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 8,381,535	\$ 2,950,244	\$ 0	\$ 1,770,384			\$ 13,102,163	\$ 13,102,163	\$ 28,290,091	\$ 28,290,091	
Ending Balance (Deficit)	\$ 9,107,543	\$ 2,520,720	\$ (566,078)	\$ 23,332			\$ 11,085,517	\$ 4,437,196	\$ 20,861,707	\$ 3,312,855	

Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)

For the month ended March										
Fiscal Year 2013/14										
2012/13										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 18,893,254	\$ 1,147,023			\$ 0		\$ 20,040,277	\$ 29,300,727	\$ 20,394,740	\$ 30,370,773
Traffic & Other Infractions	\$ 3,895,125	\$ 45,667					\$ 3,940,792	\$ 6,118,835	\$ 4,350,658	\$ 6,841,622
Other Criminal Cases	\$ 7,430,135	\$ 1,261,423					\$ 8,691,558	\$ 12,313,621	\$ 9,866,780	\$ 14,655,730
Civil	\$ 6,253,141	\$ 279,623					\$ 6,532,764	\$ 9,443,210	\$ 6,591,825	\$ 10,264,369
Family & Children Services	\$ 7,410,517	\$ 995,493			\$ 0		\$ 8,406,010	\$ 13,200,885	\$ 8,778,489	\$ 14,185,088
Probate, Guardianship & Mental Health Services	\$ 2,253,899	\$ 53,713					\$ 2,307,613	\$ 3,120,240	\$ 2,254,627	\$ 3,317,004
Juvenile Dependency Services	\$ 346,775	\$ 88,545			\$ 0		\$ 435,320	\$ 540,233	\$ 487,200	\$ 745,497
Juvenile Delinquency Services	\$ 439,251	\$ 194,892					\$ 634,142	\$ 731,382	\$ 535,836	\$ 952,830
Other Court Operations	\$ 370,748	\$ 2,653					\$ 373,400	\$ 556,956	\$ 387,890	\$ 550,832
Court Interpreters	\$ 2,807,744	\$ 432,594					\$ 3,240,338	\$ 4,223,388	\$ 2,850,979	\$ 4,116,090
Jury Services	\$ 457,994	\$ 136,592	\$ 414,897				\$ 1,009,484	\$ 1,303,324	\$ 1,135,073	\$ 1,441,984
Security		\$ 302,951					\$ 302,951	\$ 308,712	\$ 251,516	\$ 301,656
Trial Court Operations Program	\$ 50,558,584	\$ 4,941,169	\$ 414,897		\$ 0		\$ 55,914,650	\$ 81,161,513	\$ 57,885,613	\$ 87,743,475
Enhanced Collections										
Other Non-Court Operations	\$ 1,314,905	\$ 77,361	\$ 61,440				\$ 1,453,705	\$ 2,014,976	\$ 1,489,167	\$ 1,741,394
Non-Court Operations Program	\$ 1,314,905	\$ 77,361	\$ 61,440				\$ 1,453,705	\$ 2,014,976	\$ 1,489,167	\$ 1,741,394
Executive Office	\$ 2,156,499	\$ 162,541					\$ 2,319,040	\$ 1,430,208	\$ 2,032,809	\$ 1,717,603
Fiscal Services	\$ 3,237,234	\$ 1,092,376					\$ 4,329,610	\$ 4,758,441	\$ 2,855,302	\$ 3,425,433
Human Resources	\$ 1,172,364	\$ 60,149					\$ 1,232,513	\$ 2,051,494	\$ 1,277,267	\$ 2,259,331
Business & Facilities Services	\$ 1,419,565	\$ 1,068,866		\$ 1,750,000			\$ 4,238,431	\$ 9,026,654	\$ 3,677,665	\$ 9,852,868
Information Technology	\$ 3,209,083	\$ 1,465,193					\$ 4,674,276	\$ 6,443,432	\$ 4,738,263	\$ 7,397,831
Court Administration Program	\$ 11,194,745	\$ 3,849,124		\$ 1,750,000			\$ 16,793,869	\$ 23,710,229	\$ 14,581,307	\$ 24,653,066
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 63,068,233	\$ 8,867,654	\$ 476,337	\$ 1,750,000	\$ 0		\$ 74,162,224	\$ 105,886,718	\$ 73,956,087	\$ 114,137,935