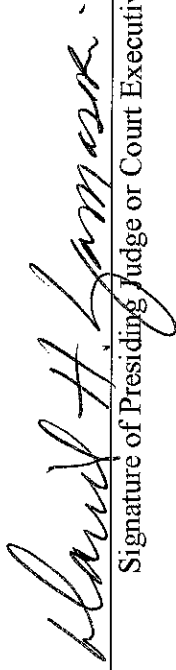


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

May 14, 2013

Date

Santa Clara

Court

2012-2013, Third Quarter

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Superior Court - Santa Clara  
Court

FY 2012-13 Third Quarter  
Fiscal Year and Ending Quarter

**FOOTNOTES**

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Superior Court - Santa Clara  
Court

---

FY 2012-13 Q3

---

Fiscal Year and Ending Quarter

	Positions (FTEs) Filled				
	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	909.9	764.56	757.01	741.52	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2012/13								2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (3,600,370)	\$ 506,972	\$ (1,959,885)	\$ 5,266,540			\$ 9,680,177	\$ 9,893,434	\$ (425,746)
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 379,201	\$ 379,201	\$ 567,704
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (45,223)	\$ (45,223)	\$ 12,850,443
Credit Card									
Cash on Hand	\$ 16,160							\$ 16,160	\$ 16,160
Cash with County	\$ 7,000,000							\$ 7,000,000	\$ 7,000,000
Cash Outside of the AOC							\$ 0	\$ 0	\$ 0
<b>Total Cash</b>	<b>\$ 3,415,790</b>	<b>\$ 506,972</b>	<b>\$ (1,959,885)</b>	<b>\$ 5,266,540</b>			<b>\$ 10,014,155</b>	<b>\$ 17,243,572</b>	<b>\$ 20,008,562</b>
Short Term Investment	\$ 12,406,962							\$ 7,019,507	\$ 19,426,469
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 12,406,962</b>						<b>\$ 7,019,507</b>	<b>\$ 19,426,469</b>	<b>\$ 25,454,296</b>
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 48,590	\$ 0	\$ 1,635,337					\$ 1,683,927	\$ 2,226,382
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 403
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From								\$ 0	\$ 0
Distribution Due To/From							\$ 88,921	\$ 88,921	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,937							\$ 3,937	\$ 6,115
<b>Total Receivables</b>	<b>\$ 52,527</b>	<b>\$ 0</b>	<b>\$ 1,635,337</b>	<b>\$ 0</b>			<b>\$ 88,921</b>	<b>\$ 1,776,785</b>	<b>\$ 2,232,899</b>
Prepaid Expenses - General	\$ 40,180							\$ 40,180	\$ 65,468
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 40,180</b>							<b>\$ 40,180</b>	<b>\$ 65,468</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 15,915,459</b>	<b>\$ 506,972</b>	<b>\$ (324,548)</b>	<b>\$ 5,266,540</b>			<b>\$ 17,122,583</b>	<b>\$ 38,487,005</b>	<b>\$ 47,761,225</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 28,586	\$ 0	\$ 0	\$ 0			\$ 326,457	\$ 355,043	\$ 844,428
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 92,858	\$ 92,858	\$ 6,115
Due to Other Courts									
Due to State	\$ 0			\$ 0				\$ 0	\$ 0
TC145 Liability							\$ 2,230,192	\$ 2,230,192	\$ 2,299,738
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 5,491,030	\$ 5,491,030	\$ 5,804,595
Due to Other Public Agencies									
Sales and Use Tax	\$ 297							\$ 297	\$ 233
Interest							\$ 1,392	\$ 1,392	\$ 1,926
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 28,882</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 8,141,930</b>	<b>\$ 8,170,812</b>	<b>\$ 8,957,038</b>
Civil							\$ 4,177,251	\$ 4,177,251	\$ 6,721,584
Criminal							\$ 4,702,327	\$ 4,702,327	\$ 5,969,830
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 16,914	\$ 16,914	\$ 27,476
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 8,896,492</b>	<b>\$ 8,896,492</b>	<b>\$ 12,718,890</b>
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
<b>Total Payroll Liabilities</b>	<b>\$ 405,132</b>							<b>\$ 405,132</b>	<b>\$ 405,132</b>
Revenue Collected in Advance			\$ 37,273					\$ 37,273	\$ 47,103
Liabilities For Deposits	\$ 29,815	\$ 1,613	\$ 0				\$ 84,161	\$ 115,589	\$ 138,671
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 29,815</b>	<b>\$ 1,613</b>	<b>\$ 37,273</b>				<b>\$ 84,161</b>	<b>\$ 152,862</b>	<b>\$ 185,774</b>
<b>Total Liabilities</b>	<b>\$ 463,830</b>	<b>\$ 1,613</b>	<b>\$ 37,273</b>	<b>\$ 0</b>			<b>\$ 17,122,583</b>	<b>\$ 17,625,299</b>	<b>\$ 22,266,830</b>
<b>Total Fund Balance</b>	<b>\$ 15,451,629</b>	<b>\$ 505,358</b>	<b>\$ (361,821)</b>	<b>\$ 5,266,540</b>				<b>\$ 20,861,707</b>	<b>\$ 25,494,395</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 15,915,459</b>	<b>\$ 506,972</b>	<b>\$ (324,548)</b>	<b>\$ 5,266,540</b>			<b>\$ 17,122,583</b>	<b>\$ 38,487,005</b>	<b>\$ 47,761,225</b>

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended March										
Fiscal Year 2012/13							2011/12			
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 19,181,146	\$ 1,213,595			\$ 0		\$ 20,394,740	\$ 30,370,773	\$ 20,610,160	\$ 33,353,898
Traffic & Other Infractions	\$ 4,298,897	\$ 51,761					\$ 4,350,658	\$ 6,841,622	\$ 4,857,010	\$ 8,185,837
Other Criminal Cases	\$ 7,810,627	\$ 2,056,153			\$ 0		\$ 9,866,780	\$ 14,655,730	\$ 9,945,248	\$ 16,092,855
Civil	\$ 6,357,284	\$ 234,541					\$ 6,591,825	\$ 10,264,369	\$ 7,063,210	\$ 11,209,438
Family & Children Services	\$ 7,633,423	\$ 1,145,065			\$ 0		\$ 8,778,489	\$ 14,185,088	\$ 8,562,182	\$ 13,895,059
Probate, Guardianship & Mental Health Services	\$ 2,159,079	\$ 95,548			\$ 0		\$ 2,254,627	\$ 3,317,004	\$ 2,185,436	\$ 3,487,978
Juvenile Dependency Services	\$ 397,686	\$ 89,514					\$ 487,200	\$ 745,497	\$ 479,644	\$ 990,832
Juvenile Delinquency Services	\$ 388,429	\$ 147,407					\$ 535,836	\$ 952,830	\$ 521,070	\$ 781,058
Other Court Operations	\$ 376,991	\$ 10,899					\$ 387,890	\$ 550,832	\$ 388,546	\$ 749,979
Court Interpreters	\$ 2,413,723	\$ 437,256					\$ 2,850,979	\$ 4,116,090	\$ 2,650,312	\$ 5,416,558
Jury Services	\$ 542,173	\$ 90,630	\$ 502,270				\$ 1,135,073	\$ 1,441,984	\$ 1,180,770	\$ 1,570,822
Security		\$ 251,516					\$ 251,516	\$ 301,656	\$ 451,074	\$ 345,376
<b>Trial Court Operations Program</b>	<b>\$ 51,559,458</b>	<b>\$ 5,823,885</b>	<b>\$ 502,270</b>		<b>\$ 0</b>		<b>\$ 57,885,613</b>	<b>\$ 87,743,475</b>	<b>\$ 58,894,663</b>	<b>\$ 96,069,690</b>
Enhanced Collections										
Other Non-Court Operations	\$ 1,321,712	\$ 92,405	\$ 75,050				\$ 1,489,167	\$ 1,741,394	\$ 1,292,005	\$ 288,860
<b>Non-Court Operations Program</b>	<b>\$ 1,321,712</b>	<b>\$ 92,405</b>	<b>\$ 75,050</b>				<b>\$ 1,489,167</b>	<b>\$ 1,741,394</b>	<b>\$ 1,292,005</b>	<b>\$ 288,860</b>
Executive Office	\$ 1,938,820	\$ 93,989					\$ 2,032,809	\$ 1,717,603	\$ 2,097,925	\$ 1,700,992
Fiscal Services	\$ 1,782,701	\$ 1,072,601					\$ 2,855,302	\$ 3,425,433	\$ 2,403,182	\$ 4,317,269
Human Resources	\$ 1,221,204	\$ 56,063					\$ 1,277,267	\$ 2,259,331	\$ 1,515,509	\$ 2,517,916
Business & Facilities Services	\$ 2,364,802	\$ 1,312,863		\$ 0			\$ 3,677,665	\$ 9,852,868	\$ 5,760,433	\$ 10,820,616
Information Technology	\$ 3,102,486	\$ 1,635,777					\$ 4,738,263	\$ 7,397,831	\$ 5,199,412	\$ 6,808,584
<b>Court Administration Program</b>	<b>\$ 10,410,012</b>	<b>\$ 4,171,294</b>		<b>\$ 0</b>			<b>\$ 14,581,307</b>	<b>\$ 24,653,066</b>	<b>\$ 16,976,461</b>	<b>\$ 26,165,377</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 63,291,183</b>	<b>\$ 10,087,584</b>	<b>\$ 577,320</b>	<b>\$ 0</b>	<b>\$ 0</b>		<b>\$ 73,956,087</b>	<b>\$ 114,137,935</b>	<b>\$ 77,163,129</b>	<b>\$ 122,523,927</b>

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended March								2011/12		
	Fiscal Year 2012/13										
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service							
		Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 52,088,195	\$ 377,877					\$ 52,466,071	\$ 70,963,416	\$ 66,645,306	\$ 93,233,935	
Trial Court Improvement Fund								\$ 332,172	\$ 282,011	\$ 332,173	
Judicial Administration Efficiency & Mod Fund	\$ 240,997						\$ 240,997	\$ 464,370	\$ 262,834	\$ 526,387	
Judges' Compensation (45.25)	\$ 562,876						\$ 562,876	\$ 750,500	\$ 562,876	\$ 750,500	
Court Interpreter (45.45)	\$ 2,274,555						\$ 2,274,555	\$ 3,000,000	\$ 1,883,907	\$ 3,000,000	
Civil Coordination Reimbursement (45.55)	\$ 632,971						\$ 632,971	\$ 825,357	\$ 1,091,286	\$ 1,320,576	
MOU Reimbursements (45.10 and General)	\$ 2,309,467						\$ 2,309,467	\$ 1,810,282	\$ 1,797,417	\$ 1,797,417	
Other Miscellaneous	\$ 58,109,061	\$ 377,877					\$ 58,486,937	\$ 76,146,097	\$ 70,728,222	\$ 100,960,988	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator			\$ 1,957,050				\$ 1,957,050	\$ 2,606,199	\$ 1,869,158	\$ 2,625,607	
Other AOC Grants	\$ 0		\$ 43,050				\$ 43,050	\$ 126,634	\$ 197,140	\$ 302,486	
Non-AOC Grants	\$ 0		\$ 1,245,639				\$ 1,245,639	\$ 2,101,623	\$ 817,480	\$ 1,906,202	
	\$ 0		\$ 3,245,739				\$ 3,245,739	\$ 4,834,456	\$ 2,884,778	\$ 4,834,295	
<b>Other Financing Sources</b>											
Interest Income	\$ 67,916	\$ 1,594		\$ 10,309			\$ 79,819	\$ 75,000	\$ 98,361	\$ 150,000	
Investment Income											
Donations	\$ 0	\$ 12,337					\$ 12,337	\$ 14,000	\$ 42,687	\$ 20,000	
Local Fees	\$ 1,287,530						\$ 1,287,530	\$ 1,603,500	\$ 939,725	\$ 1,406,000	
Non-Fee Revenues											
Enhanced Collections		\$ 478,128					\$ 478,128	\$ 723,000	\$ 489,841	\$ 700,000	
Escheatment	\$ 35,487	\$ 536					\$ 36,023		\$ 20,033	\$ 1,000,000	
Prior Year Revenue	\$ 323,765						\$ 323,765		\$ 46,213		
County Program - Restricted		\$ 188,407					\$ 188,407	\$ 331,220	\$ 188,187	\$ 351,714	
Reimbursement Other	\$ 479,728	\$ 731,393	\$ 101,729				\$ 1,312,850	\$ 1,820,173	\$ 926,227	\$ 1,913,317	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,076,168						\$ 1,076,168	\$ 1,613,253	\$ 1,608,132	\$ 2,168,253	
	\$ 3,270,593	\$ 1,412,396	\$ 101,729	\$ 10,309			\$ 4,795,026	\$ 6,180,146	\$ 4,359,406	\$ 7,709,284	
<b>Total Revenues</b>	<b>\$ 61,379,654</b>	<b>\$ 1,790,272</b>	<b>\$ 3,347,468</b>	<b>\$ 10,309</b>			<b>\$ 66,527,703</b>	<b>\$ 89,160,699</b>	<b>\$ 77,971,406</b>	<b>\$ 113,504,567</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 36,362,851	\$ 445,601	\$ 902,698				\$ 37,711,149	\$ 57,013,818	\$ 39,159,639	\$ 59,222,889	
Temp Help	\$ 467,944	\$ 16,611	\$ 97,349				\$ 581,905	\$ 483,907	\$ 704,838	\$ 1,163,960	
Overtime	\$ 14,223						\$ 14,223	\$ 48,000	\$ 56,683	\$ 50,000	
Staff Benefits	\$ 22,796,066	\$ 1,389,419	\$ 798,421				\$ 24,983,906	\$ 38,774,753	\$ 25,250,376	\$ 43,606,882	
	\$ 59,641,083	\$ 1,851,632	\$ 1,798,468				\$ 63,291,183	\$ 96,320,478	\$ 65,171,535	\$ 104,043,531	
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 1,045,945	\$ 12,972	\$ 32,896				\$ 1,091,812	\$ 1,706,065	\$ 1,265,435	\$ 1,876,911	
Printing	\$ 136,999	\$ 659	\$ 73				\$ 137,730	\$ 191,200	\$ 154,314	\$ 200,000	
Telecommunications	\$ 583,360		\$ 534				\$ 583,894	\$ 800,280	\$ 548,219	\$ 850,000	
Postage	\$ 290,029		\$ 6				\$ 290,035	\$ 467,000	\$ 381,798	\$ 460,000	
Insurance	\$ 39,246						\$ 39,246	\$ 40,000	\$ 38,246	\$ 40,000	
In-State Travel	\$ 76,414	\$ 1,970	\$ 574				\$ 78,957	\$ 101,501	\$ 59,242	\$ 163,880	
Out-of-State Travel	\$ 2,080		\$ 2,892				\$ 4,972	\$ 18,668	\$ 10,750		
Training	\$ 64,185		\$ 1,875				\$ 66,060	\$ 105,217	\$ 75,163	\$ 167,942	
Security Services			\$ 226,096				\$ 226,096	\$ 301,656	\$ 226,242	\$ 295,376	
Facility Operations	\$ 804,504	\$ 569					\$ 805,074	\$ 1,134,778	\$ 573,270	\$ 1,169,236	
Utilities	\$ 107,674						\$ 107,674	\$ 160,000	\$ 109,317	\$ 160,000	
Contracted Services	\$ 3,000,963	\$ 298,176	\$ 1,330,504				\$ 4,629,644	\$ 6,711,369	\$ 4,424,284	\$ 6,963,705	
Consulting and Professional Services	\$ 1,366,941						\$ 1,366,941	\$ 1,906,413	\$ 1,384,211	\$ 2,100,113	
Information Technology	\$ 490,734						\$ 490,734	\$ 878,939	\$ 525,216	\$ 631,684	
Major Equipment	\$ 128,434						\$ 128,434	\$ 7,101	\$ 463,585	\$ 112,548	
Other Items of Expense	\$ 40,282						\$ 40,282	\$ 69,500	\$ 27,884	\$ 73,000	
	\$ 8,177,790	\$ 314,346	\$ 1,595,449				\$ 10,087,584	\$ 14,599,687	\$ 10,267,177	\$ 15,264,397	
<b>Special Items of Expense</b>											
Grand Jury	\$ 0	\$ 4,059					\$ 4,059	\$ 4,000	\$ 4,061	\$ 11,000	
Jury Costs	\$ 502,270	\$ 70,991					\$ 573,261	\$ 713,770	\$ 482,485	\$ 705,000	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0	\$ 0	
<b>Capital Costs</b>							\$ 0	\$ 2,500,000	\$ 1,250,000	\$ 2,500,000	
<b>Internal Cost Recovery</b>	\$ (316,333)		\$ 316,333				\$ 0	\$ 0	\$ 1,119	\$ 0	
<b>Prior Year Expense Adjustment</b>	\$ 185,937	\$ 75,050	\$ 316,333	\$ 0			\$ 577,320	\$ 3,217,770	\$ 1,724,417	\$ 3,216,000	
<b>Total Expenditures</b>	<b>\$ 68,004,811</b>	<b>\$ 2,241,027</b>	<b>\$ 3,710,249</b>	<b>\$ 0</b>			<b>\$ 73,956,087</b>	<b>\$ 114,137,935</b>	<b>\$ 77,163,129</b>	<b>\$ 122,523,927</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ (6,625,157)</b>	<b>\$ (450,755)</b>	<b>\$ (362,781)</b>	<b>\$ 10,309</b>			<b>\$ (7,428,384)</b>	<b>\$ (24,977,236)</b>	<b>\$ 808,276</b>	<b>\$ (9,019,360)</b>	
<b>Operating Transfers In (Out)</b>	<b>\$ (960)</b>		<b>\$ 960</b>				<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 22,077,746	\$ 956,113	\$ 0	\$ 5,256,232			\$ 28,290,091	\$ 28,290,091	\$ 24,686,118	\$ 24,686,118	
Ending Balance (Deficit)	<b>\$ 15,451,629</b>	<b>\$ 505,358</b>	<b>\$ (361,821)</b>	<b>\$ 5,266,540</b>			<b>\$ 20,861,707</b>	<b>\$ 3,312,855</b>	<b>\$ 25,494,395</b>	<b>\$ 15,666,758</b>	