

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Clara H. Gamboa
Signature of Presiding Judge or Court Executive

9.23.2011
Date

Superior Court - Santa Clara
Court

FY 2010-11 Quarter 1
Fiscal Year and Ending Quarter

Santa Clara Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

For the month ended Sep									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,529,828)	\$ 672,900	\$ (855,132)				\$ 118,025	\$ (3,594,035)	\$ 203,364
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 811,437	\$ 811,437	\$ 6,120,411
Civil Filing Fees							\$ 0	\$ 0	\$ 1,817,020
Trust							\$ 23,492,164	\$ 23,492,164	\$ 4,701,478
Credit Card									
Cash on Hand	\$ 16,285						\$ 0	\$ 16,285	\$ 15,385
Cash with County	\$ 7,000,000						\$ 0	\$ 7,000,000	\$ 7,062,306
Total Cash	\$ 3,486,457	\$ 672,900	\$ (855,132)				\$ 24,421,626	\$ 27,725,851	\$ 19,919,963
Short Term Investment	\$ 13,938,393	\$ 0					\$ 9,888,391	\$ 23,826,783	\$ 27,300,186
Investment in Financial Institution									
Total Investments	\$ 13,938,393	\$ 0					\$ 9,888,391	\$ 23,826,783	\$ 27,300,186
Accrued Revenue	\$ (130,550)	\$ 0	\$ 0				\$ 0	\$ (130,550)	\$ 20,167
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									\$ 0
Due From Other Funds	\$ 9,313						\$ 1,419	\$ 10,732	\$ 3,372,647
Due From Other Governments	\$ 0	\$ 22,857	\$ 0				\$ 0	\$ 22,857	\$ 25,507
Due From Other Courts							\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 343,867
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ (121,237)	\$ 22,857	\$ 0				\$ 1,419	\$ (96,961)	\$ 3,762,188
Prepaid Expenses - General	\$ 102,890							\$ 102,890	\$ 329,744
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 102,890							\$ 102,890	\$ 329,744
Other Assets									
Total Other Assets									
Total Assets	\$ 17,406,503	\$ 695,758	\$ (855,132)				\$ 34,311,435	\$ 51,558,564	\$ 51,312,081
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 20,675	\$ 698	\$ 2,668				\$ 279,329	\$ 303,369	\$ 3,254,459
Due to Other Funds	\$ 1,419	\$ 0	\$ 0				\$ 9,313	\$ 10,732	\$ 3,372,647
Due to Other Courts									
Due to State									
TC145 Liability							\$ 2,885,191	\$ 2,885,191	\$ 1,817,020
Due to Other Governments							\$ 7,932,362	\$ 7,932,362	\$ 7,917,083
AB145 Due to Other Government Agency	\$ 0								
Due to Other Public Agencies									
Sales and Use Tax	\$ 549							\$ 549	\$ 1,235
Interest							\$ 3,381	\$ 3,381	\$ 767
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 22,642	\$ 698	\$ 2,668				\$ 11,109,577	\$ 11,135,584	\$ 16,363,210
Civil							\$ 19,505,964	\$ 19,505,964	\$ 4,353,818
Criminal							\$ 3,633,049	\$ 3,633,049	\$ 1,031,345
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 62,306
Trust Interest Payable							\$ 44,740	\$ 44,740	\$ 20,423
Miscellaneous Trust									
Total Trust Deposits							\$ 23,183,753	\$ 23,183,753	\$ 5,467,893
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 405,132							\$ 405,132	\$ 405,132
Revenue Collected in Advance	\$ 17,000		\$ 123,134					\$ 140,134	\$ 247,560
Liabilities For Deposits	\$ 19,420	\$ 2,261					\$ 18,106	\$ 39,787	
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 36,420	\$ 2,261	\$ 123,134				\$ 18,106	\$ 179,921	\$ 247,560
Total Liabilities	\$ 464,194	\$ 2,959	\$ 125,802				\$ 34,311,435	\$ 34,904,390	\$ 22,483,795
Fund Balance - Restricted	\$ 2,413,876	\$ 879,031	\$ 363					\$ 3,293,269	\$ 3,287,681
Fund Balance - Unrestricted									
Designated	\$ 16,998,539							\$ 16,998,539	\$ 16,998,539
Undesignated	\$ 3,916,538	\$ 0	\$ 0					\$ 3,916,538	\$ 1,100,527
Excess (Deficit) of Rev. Over Expenses/Op.	\$ (6,386,644)	\$ (186,232)	\$ (981,296)					\$ (7,554,172)	\$ 7,441,539
Total Fund Balance	\$ 16,942,309	\$ 692,799	\$ (980,934)					\$ 16,654,174	\$ 28,828,286
Total Liabilities and Fund Balance	\$ 17,406,503	\$ 695,758	\$ (855,132)				\$ 34,311,435	\$ 51,558,564	\$ 51,312,081

Santa Clara Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended Sep										
	Fiscal Year 2010/11									2009/10	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 20,110,464	\$ 0					\$ 20,110,464	\$ 125,844,205	\$ 28,570,397	\$ 117,484,748	
Trial Court Improvement Fund	\$ (19,443)						\$ (19,443)	\$ 371,662	\$ 0	\$ 596,576	
Judicial Administration Efficiency & Mod Fund	\$ (37,548)						\$ (37,548)	\$ 872,365	\$ (28,023)	\$ 682,469	
Judges' Compensation (45.25)	\$ 140,605						\$ 140,605	\$ 750,500	\$ 187,626	\$ 740,500	
Court Interpreter (45.45)	\$ 792,861						\$ 792,861	\$ 3,178,213	\$ 566,269	\$ 3,190,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ (136)	\$ 0					\$ (136)	\$ 2,025,195	\$ 168,849	\$ 970,120	
Other Miscellaneous											
	\$ 20,986,803	\$ 0					\$ 20,986,803	\$ 133,042,140	\$ 29,465,118	\$ 123,664,413	
Grants											
AB 1058 Commissioner/Facilitator			\$ (134,570)				\$ (134,570)	\$ 2,625,606		\$ 2,639,528	
Other AOC Grants			\$ 6,420				\$ 6,420	\$ 115,785	\$ (15,241)	\$ 132,300	
Non-AOC Grants			\$ 58,812				\$ 58,812	\$ 2,236,750	\$ 3,226	\$ 1,191,315	
			\$ (69,338)				\$ (69,338)	\$ 4,978,141	\$ (12,015)	\$ 3,963,143	
Other Financing Sources											
Interest Income	\$ 21,186	\$ 0	\$ 116				\$ 21,302	\$ 150,000	\$ (2,690)	\$ 550,000	
Investment Income											
Donations	\$ 0	\$ 4,444					\$ 4,444	\$ 40,000	\$ 14,024	\$ 40,000	
Local Fees	\$ (20,946)						\$ (20,946)	\$ 1,764,000	\$ 369,765	\$ 1,754,000	
Non-Fee Revenues											
Enhanced Collections		\$ 0					\$ 0	\$ 490,000	\$ 141,381	\$ 575,000	
Escheatment									\$ (1,306)		
Prior Year Revenue	\$ 169,122						\$ 169,122				
County Program - Restricted		\$ 20,590					\$ 20,590	\$ 369,498	\$ (5,106)	\$ 381,615	
Reimbursement Other	\$ 4,789	\$ 6,878					\$ 11,667	\$ 1,773,707	\$ (2,609)	\$ 1,678,062	
Sale of Fixed Assets											
Other Miscellaneous	\$ 393,397						\$ 393,397	\$ 1,608,253	\$ 335,030	\$ 1,500,717	
	\$ 567,548	\$ 31,911	\$ 116				\$ 599,576	\$ 6,195,458	\$ 848,489	\$ 6,479,394	
Total Revenues	\$ 21,554,351	\$ 31,911	\$ (69,222)				\$ 21,517,041	\$ 144,215,739	\$ 30,301,592	\$ 134,106,950	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,616,680	\$ 102,655	\$ 259,084				\$ 11,978,418	\$ 59,929,752	\$ 12,638,006	\$ 55,591,527	
Temp Help	\$ 232,207	\$ 5,368	\$ 59,095				\$ 296,669	\$ 1,077,649	\$ 198,215	\$ 316,203	
Overtime	\$ 16,721						\$ 16,721	\$ 50,000	\$ 11,121		
Staff Benefits	\$ 6,912,581	\$ 65,028	\$ 221,320				\$ 7,198,929	\$ 41,748,888	\$ 7,573,653	\$ 39,004,906	
	\$ 18,778,188	\$ 173,051	\$ 539,499				\$ 19,490,738	\$ 102,806,289	\$ 20,420,994	\$ 94,912,636	
Operating Expenses and Equipment											
General Expense	\$ 298,573	\$ 6,398	\$ 1,045				\$ 306,016	\$ 2,210,499	\$ 358,141	\$ 2,094,705	
Printing	\$ 29,485	\$ 427					\$ 29,911	\$ 190,000	\$ 15,836	\$ 300,000	
Telecommunications	\$ 290,633						\$ 290,633	\$ 900,000	\$ 268,401	\$ 980,000	
Postage	\$ 85,427	\$ 0					\$ 85,427	\$ 530,000	\$ 145,559	\$ 480,000	
Insurance	\$ 4,284						\$ 4,284	\$ 40,000	\$ 4,261	\$ 75,000	
In-State Travel	\$ 26,133	\$ 0	\$ 487				\$ 26,620	\$ 185,001	\$ 33,443	\$ 133,104	
Out-of-State Travel	\$ 2,431		\$ 2,487				\$ 4,918				
Training	\$ 27,221						\$ 27,221	\$ 175,000	\$ 40,278	\$ 177,196	
Security Services	\$ 6,856,115		\$ 64,164				\$ 6,920,279	\$ 29,152,191	\$ 0	\$ 28,754,518	
Facility Operations	\$ 269,557						\$ 269,557	\$ 1,424,650	\$ 293,139	\$ 1,417,953	
Utilities	\$ 32,328						\$ 32,328	\$ 160,000	\$ 32,734	\$ 190,000	
Contracted Services	\$ 889,315	\$ 28,500	\$ 204,479				\$ 1,122,294	\$ 8,032,582	\$ 1,113,402	\$ 5,682,955	
Consulting and Professional Services	\$ 231,733						\$ 231,733	\$ 1,918,562	\$ 4,489	\$ 2,439,288	
Information Technology	\$ 124,936						\$ 124,936	\$ 565,000	\$ 73,687	\$ 460,300	
Major Equipment									\$ (87,885)		
Other Items of Expense	\$ 6,943						\$ 6,943	\$ 58,000	\$ 7,170	\$ 54,500	
	\$ 9,175,112	\$ 35,325	\$ 272,661				\$ 9,483,099	\$ 45,541,485	\$ 2,302,656	\$ 43,239,519	
Special Items of Expense											
Grand Jury		\$ 4,319					\$ 4,319	\$ 11,000	\$ 8,914	\$ 5,300	
Jury Costs	\$ 85,434	\$ 5,449					\$ 90,883	\$ 710,000	\$ 127,488	\$ 720,000	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0		\$ 0	\$ 0	
Internal Cost Recovery	\$ (99,915)		\$ 99,915				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 2,175						\$ 2,175				
	\$ (12,305)	\$ 9,767	\$ 99,915				\$ 97,377	\$ 721,000	\$ 136,402	\$ 725,300	
Total Expenditures	\$ 27,940,995	\$ 218,143	\$ 912,075				\$ 29,071,213	\$ 149,068,774	\$ 22,860,053	\$ 138,877,455	
Excess (Deficit) of Revenues Over Expenditures	\$ (6,386,644)	\$ (186,232)	\$ (981,296)				\$ (7,554,172)	\$ (4,853,035)	\$ 7,441,539	\$ (4,770,505)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 23,328,953	\$ 879,031	\$ 363				\$ 24,208,346	\$ 24,208,346	\$ 21,386,747	\$ 21,386,747	
Ending Balance (Deficit)	\$ 16,942,309	\$ 692,799	\$ (980,934)				\$ 16,654,174	\$ 19,355,311	\$ 28,828,286	\$ 16,616,242	

Santa Clara Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep									
Fiscal Year 2010/11								2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:									
Judges & Courtroom Support	\$ 6,188,940	\$ 397,766	\$ 0	\$ 0		\$ 6,586,705	\$ 32,895,798	\$ 6,904,269	\$ 30,160,127
Traffic & Other Infractions	\$ 1,592,350	\$ 19,983				\$ 1,612,333	\$ 8,011,623	\$ 1,575,412	\$ 7,612,155
Other Criminal Cases	\$ 2,462,267	\$ 220,112		\$ 0		\$ 2,682,378	\$ 15,701,490	\$ 2,721,595	\$ 13,572,538
Civil	\$ 2,097,022	\$ 44,945				\$ 2,141,967	\$ 11,485,728	\$ 2,006,751	\$ 10,726,725
Family & Children Services	\$ 2,310,556	\$ 203,206		\$ 0	\$ 2,175	\$ 2,515,938	\$ 13,929,079	\$ 2,408,991	\$ 12,262,666
Probate, Guardianship & Mental Health Services	\$ 674,695	\$ 4,548				\$ 679,243	\$ 3,447,020	\$ 761,960	\$ 3,245,855
Juvenile Dependency Services	\$ 109,027	\$ 17,904				\$ 126,931	\$ 1,501,447	\$ 223,643	\$ 612,197
Juvenile Delinquency Services	\$ 146,513	\$ 36,458				\$ 182,971	\$ 807,526	\$ 163,284	\$ 762,547
Other Court Operations	\$ 113,112	\$ 85				\$ 113,197	\$ 970,771	\$ 176,992	\$ 1,016,003
Court Interpreters	\$ 559,026	\$ 246,787				\$ 805,814	\$ 5,298,457	\$ 808,422	\$ 4,980,802
Jury Services	\$ 193,697	\$ 125,034	\$ 85,434			\$ 404,165	\$ 1,674,113	\$ 331,986	\$ 1,644,095
Security		\$ 6,920,279				\$ 6,920,279	\$ 29,202,591	\$ 39	\$ 28,804,918
Trial Court Operations Program	\$ 16,447,204	\$ 8,237,106	\$ 85,434	\$ 0	\$ 2,175	\$ 24,771,920	\$ 124,925,643	\$ 18,083,345	\$ 115,400,628
Enhanced Collections									
Other Non-Court Operations	\$ 66,940	\$ 14,338	\$ 9,767			\$ 91,045	\$ 323,679	\$ 100,208	\$ 523,699
Non-Court Operations Program	\$ 66,940	\$ 14,338	\$ 9,767			\$ 91,045	\$ 323,679	\$ 100,208	\$ 523,699
Executive Office	\$ 250,694	\$ 50,146				\$ 300,840	\$ 2,164,744	\$ 663,275	\$ 2,894,691
Fiscal Services	\$ 436,377	\$ 151,277				\$ 587,654	\$ 3,330,119	\$ 455,888	\$ 2,592,971
Human Resources	\$ 483,926	\$ 10,918				\$ 494,843	\$ 2,526,137	\$ 461,073	\$ 2,446,561
Business & Facilities Services	\$ 938,756	\$ 479,331				\$ 1,418,087	\$ 9,013,430	\$ 1,794,881	\$ 8,328,948
Information Technology	\$ 866,841	\$ 539,983				\$ 1,406,824	\$ 6,785,022	\$ 1,301,383	\$ 6,689,957
Court Administration Program	\$ 2,976,593	\$ 1,231,655				\$ 4,208,248	\$ 23,819,452	\$ 4,676,500	\$ 22,953,128
Prior Year Adjustments Not Posted to a Program									
Total	\$ 19,490,738	\$ 9,483,099	\$ 95,201	\$ 0	\$ 2,175	\$ 29,071,213	\$ 149,068,774	\$ 22,860,053	\$ 138,877,455