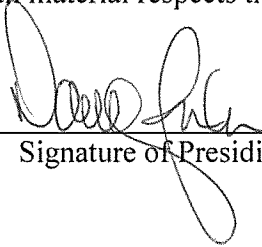


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

8/5/14

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Date

Santa Barbara

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Court

2014 June 30

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Barbara

Court

2014 June 30

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Barbara

Court

2014 June 30

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		252.58	246.43	235	239.43

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended June								
	Fiscal Year 2013/14							2012/13	
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds			
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,616,448)	\$ 2,705,531	\$ 0				\$ 634,926	\$ 724,010	\$ 1,630,483
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 163,382	\$ 163,382	\$ 295,963
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (62,443)	\$ (62,443)	\$ (82,457)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (2,604,318)	\$ 2,705,531	\$ 0				\$ 735,866	\$ 837,079	\$ 1,856,119
Short Term Investment	\$ 5,383,656						\$ 5,247,873	\$ 10,631,529	\$ 8,860,543
Investment in Financial Institution									
Total Investments	\$ 5,383,656						\$ 5,247,873	\$ 10,631,529	\$ 8,860,543
Accrued Revenue	\$ 1,892	\$ 1,302					\$ 0	\$ 3,194	\$ 3,762
Accounts Receivable - General			\$ 0					\$ 0	\$ 41,788
Dishonored Checks									
Due From Employee	\$ 1,000							\$ 1,000	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 214,145						\$ 0	\$ 214,145	\$ 513,275
Due From Other Governments	\$ 1,075	\$ 328,773	\$ 0					\$ 329,848	\$ 236,108
Due From Other Courts		\$ 0					\$ 0	\$ 0	\$ (33,309)
Due From State	\$ 363,153	\$ 17,426	\$ 139,325				\$ 519,904	\$ 1,067,500	\$ 1,067,500
Trust Due To/From							\$ 2,279,351	\$ 2,279,351	\$ 113,999
Distribution Due To/From							\$ 285,858	\$ 285,858	\$ 17,407
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,574	\$ 62						\$ 1,636	\$ 3,637
Total Receivables	\$ 582,840	\$ 347,563	\$ 139,325				\$ 2,565,209	\$ 3,634,937	\$ 1,964,165
Prepaid Expenses - General	\$ 9,952	\$ 139						\$ 10,091	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 9,952	\$ 139						\$ 10,091	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,372,130	\$ 3,053,234	\$ 139,325				\$ 8,548,948	\$ 15,113,636	\$ 12,680,827
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 207,473	\$ 14,557	\$ 22,969					\$ 244,999	\$ 157,993
Accounts Payable - General	\$ 4,165	\$ 0	\$ 0				\$ 159,537	\$ 163,702	\$ 2,746
Due to Other Funds	\$ 186	\$ 188,804	\$ 66,288				\$ 2,525,712	\$ 2,780,990	\$ 648,317
Due to Other Courts									
Due to State	\$ 206	\$ 33,429						\$ 33,635	\$ 34,147
TC145 Liability							\$ 522,967	\$ 522,967	\$ 546,218
Due to Other Governments	\$ 8,583	\$ 1,163	\$ 5,631					\$ 15,377	\$ 15,826
AB145 Due to Other Government Agency							\$ 3,113,000	\$ 3,113,000	\$ 2,917,957
Due to Other Public Agencies									
Sales and Use Tax	\$ 567							\$ 567	\$ 83
Interest							\$ 6	\$ 6	\$ 13
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 255							\$ 255	\$ 323
Total Accounts Payable and Accrued Liab.	\$ 221,434	\$ 237,954	\$ 94,888				\$ 6,321,222	\$ 6,875,498	\$ 4,323,622
Civil							\$ 1,547,147	\$ 1,547,147	\$ 660,406
Criminal							\$ 642,250	\$ 642,250	\$ 646,150
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 20,899	\$ 20,899	\$ 20,082
Miscellaneous Trust									
Total Trust Deposits							\$ 2,213,949	\$ 2,213,949	\$ 1,330,292
Accrued Payroll	\$ 1,192,051	\$ 95,559	\$ 44,437					\$ 1,332,046	\$ 1,426,750
Benefits Payable	\$ (1,137)	\$ 0	\$ 0					\$ (1,137)	\$ (179,400)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ (1,504)
Payroll Clearing	\$ 0							\$ 0	\$ 15
Total Payroll Liabilities	\$ 1,190,913	\$ 95,559	\$ 44,437					\$ 1,330,908	\$ 1,245,861
Revenue Collected in Advance									
Liabilities For Deposits	\$ 28,817						\$ 11,010	\$ 39,827	\$ 35,642
Jury Fees - Non-Interest							\$ 2,536	\$ 2,536	\$ 5,986
Fees - Partial Payment & Overpayment							\$ 230	\$ 230	\$ 785
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 28,817						\$ 13,776	\$ 42,593	\$ 42,413
Total Liabilities	\$ 1,441,165	\$ 333,512	\$ 139,325				\$ 8,548,948	\$ 10,462,950	\$ 6,942,189
Total Fund Balance	\$ 1,930,965	\$ 2,719,721	\$ 0					\$ 4,650,687	\$ 5,738,638
Total Liabilities and Fund Balance	\$ 3,372,130	\$ 3,053,234	\$ 139,325				\$ 8,548,948	\$ 15,113,636	\$ 12,680,827

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June										
	Fiscal Year 2013/14							2012/13		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 20,234,154	\$ 188,599					\$ 20,422,753	\$ 20,165,948	\$ 18,124,406	\$ 16,701,566
Improvement and Modernization Fund	\$ 56,712						\$ 56,712		\$ 26,092	
Judges' Compensation (45.25)	\$ 188,907						\$ 188,907	\$ 199,500	\$ 196,333	\$ 209,000
Court Interpreter (45.45)	\$ 1,428,941						\$ 1,428,941	\$ 1,300,000	\$ 1,318,036	\$ 1,302,974
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 407,456						\$ 407,456	\$ 617,240	\$ 390,884	\$ 622,180
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,846,763	\$ 1,148,182
	<b>\$ 23,913,831</b>	<b>\$ 188,599</b>					<b>\$ 24,102,430</b>	<b>\$ 23,880,350</b>	<b>\$ 22,002,513</b>	<b>\$ 19,983,902</b>
Grants										
AB 1058 Commissioner/Facilitator	\$ 0		\$ 730,424				\$ 730,424	\$ 814,937	\$ 881,360	\$ 814,937
Other AOC Grants			\$ 41,197				\$ 41,197	\$ 45,000	\$ 41,788	
Non-AOC Grants	\$ 0						\$ 0	\$ 0	\$ 0	\$ 0
	<b>\$ 0</b>		<b>\$ 771,621</b>				<b>\$ 771,621</b>	<b>\$ 859,937</b>	<b>\$ 923,148</b>	<b>\$ 814,937</b>
Other Financing Sources										
Interest Income	\$ 12,252	\$ 5,799					\$ 18,051	\$ 17,000	\$ 29,674	\$ 36,000
Investment Income										
Donations										
Local Fees	\$ 335,146	\$ 0					\$ 335,146	\$ 215,000	\$ 544,604	\$ 571,000
Non-Fee Revenues	\$ 125,001						\$ 125,001	\$ 155,000	\$ 157,888	\$ 141,000
Enhanced Collections			\$ 1,042,710				\$ 1,042,710	\$ 998,028	\$ 976,858	\$ 982,200
Escheatment									\$ 31,142	
Prior Year Revenue									\$ 21,364	
County Program - Restricted	\$ 17,411	\$ 1,041,099					\$ 1,058,511	\$ 1,096,197	\$ 1,005,120	\$ 1,060,300
Reimbursement Other	\$ 111,848						\$ 111,848	\$ 48,500	\$ 47,165	\$ 50,000
Sale of Fixed Assets	\$ 1,961						\$ 1,961	\$ 0	\$ 0	\$ 0
Other Miscellaneous	\$ 2,280						\$ 2,280	\$ 8,000	\$ 9,485	\$ 10,000
	<b>\$ 605,899</b>	<b>\$ 2,089,609</b>					<b>\$ 2,695,508</b>	<b>\$ 2,537,723</b>	<b>\$ 2,823,280</b>	<b>\$ 2,850,500</b>
<b>Total Revenues</b>	<b>\$ 24,519,730</b>	<b>\$ 2,278,208</b>	<b>\$ 771,621</b>				<b>\$ 27,569,560</b>	<b>\$ 27,276,010</b>	<b>\$ 25,748,941</b>	<b>\$ 23,649,339</b>
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 12,607,352	\$ 891,479	\$ 482,081				\$ 13,980,912	\$ 15,411,221	\$ 15,769,461	\$ 16,605,353
Temp Help	\$ 647,733	\$ 85,593					\$ 733,326	\$ 1,050,000	\$ 770,367	\$ 478,365
Overtime	\$ 24,112	\$ 1,514	\$ 1,385				\$ 27,011	\$ 0	\$ 15,042	
Staff Benefits	\$ 7,836,156	\$ 588,698	\$ 263,964				\$ 8,688,818	\$ 8,138,916	\$ 7,946,380	\$ 8,364,540
	<b>\$ 21,115,353</b>	<b>\$ 1,567,284</b>	<b>\$ 747,430</b>				<b>\$ 23,430,067</b>	<b>\$ 24,600,137</b>	<b>\$ 24,501,249</b>	<b>\$ 25,448,258</b>
Operating Expenses and Equipment										
General Expense	\$ 639,392	\$ 107,357	\$ 2,946				\$ 749,695	\$ 830,270	\$ 758,190	\$ 863,538
Printing	\$ 91,540	\$ 5,891	\$ 70				\$ 97,501	\$ 90,000	\$ 92,441	\$ 112,154
Telecommunications	\$ 178,297	\$ 5,954	\$ 276				\$ 184,528	\$ 225,000	\$ 225,708	\$ 171,466
Postage	\$ 133,479	\$ 18,556	\$ 329				\$ 152,363	\$ 179,900	\$ 165,490	\$ 153,163
Insurance	\$ 21,270		\$ 657				\$ 21,927	\$ 18,058	\$ 18,211	\$ 18,839
In-State Travel	\$ 32,244	\$ 2,091	\$ 1,322				\$ 35,658	\$ 34,350	\$ 28,118	\$ 34,418
Out-of-State Travel	\$ 2,404						\$ 2,404			
Training	\$ 2,164	\$ 270	\$ 1,786				\$ 4,220	\$ 15,000	\$ 8,609	\$ 9,343
Security Services	\$ 704,357		\$ 111,862				\$ 816,218	\$ 845,500	\$ 843,576	\$ 1,642,846
Facility Operations	\$ 324,974	\$ 33,320					\$ 358,294	\$ 413,150	\$ 389,767	\$ 450,517
Utilities		\$ 2,804					\$ 2,804	\$ 4,000	\$ 3,385	\$ 4,862
Contracted Services	\$ 1,167,266	\$ 380,477	\$ 73,442				\$ 1,621,185	\$ 1,542,434	\$ 1,534,384	\$ 1,865,757
Consulting and Professional Services	\$ 93,360	\$ 10,517	\$ 1,218				\$ 105,095	\$ 108,340	\$ 109,288	\$ 104,370
Information Technology	\$ 708,734	\$ 23,219	\$ 5,259				\$ 737,212	\$ 761,603	\$ 703,822	\$ 755,132
Major Equipment	\$ 103,408						\$ 103,408	\$ 2,000	\$ 2,850	\$ 17,840
Other Items of Expense	\$ 26,214		\$ 2,901				\$ 29,115	\$ 37,000	\$ 29,383	\$ 24,339
	<b>\$ 4,229,102</b>	<b>\$ 590,456</b>	<b>\$ 202,069</b>				<b>\$ 5,021,627</b>	<b>\$ 5,106,605</b>	<b>\$ 4,913,221</b>	<b>\$ 6,224,584</b>
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 206,961						\$ 206,961	\$ 200,500	\$ 195,304	\$ 243,120
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery	\$ (108,921)		\$ 108,921				\$ 0		\$ 0	\$ 164,002
Prior Year Expense Adjustment	\$ 1,488	\$ (2,640)	\$ 8				\$ (1,144)			
	<b>\$ 99,528</b>	<b>\$ (2,640)</b>	<b>\$ 108,929</b>				<b>\$ 205,818</b>	<b>\$ 200,500</b>	<b>\$ 195,304</b>	<b>\$ 407,122</b>
<b>Total Expenditures</b>	<b>\$ 25,443,983</b>	<b>\$ 2,155,100</b>	<b>\$ 1,058,428</b>				<b>\$ 28,657,311</b>	<b>\$ 29,907,242</b>	<b>\$ 29,609,774</b>	<b>\$ 32,079,994</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ (924,253)</b>	<b>\$ 123,108</b>	<b>\$ (286,807)</b>				<b>\$ (1,087,952)</b>	<b>\$ (2,629,232)</b>	<b>\$ (3,860,832)</b>	<b>\$ (8,430,625)</b>
Operating Transfers In (Out)	\$ (301,561)	\$ 14,753	\$ 286,807				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 3,156,779	\$ 2,581,860	\$ 0				\$ 5,738,638	\$ 5,738,638	\$ 9,599,471	\$ 9,599,471
Ending Balance (Deficit)	\$ 1,930,965	\$ 2,719,721	\$ 0				\$ 4,650,687	\$ 3,109,406	\$ 5,738,638	\$ 1,168,846

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended June									
	Fiscal Year 2013/14							2012/13		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 7,487,378	\$ 613,493	\$ 0		\$ 0	\$ (40)	\$ 8,100,831	\$ 8,406,947	\$ 7,974,639	\$ 8,520,375
Traffic & Other Infractions	\$ 937,744	\$ 78,932					\$ 1,016,676	\$ 1,101,825	\$ 1,116,300	\$ 1,367,077
Other Criminal Cases	\$ 2,214,590	\$ 253,848				\$ 32	\$ 2,468,470	\$ 2,576,432	\$ 2,546,066	\$ 2,584,963
Civil	\$ 1,544,885	\$ 259,036					\$ 1,803,922	\$ 1,790,966	\$ 1,907,060	\$ 2,043,874
Family & Children Services	\$ 1,206,471	\$ 30,549					\$ 1,237,020	\$ 1,253,462	\$ 1,329,282	\$ 1,404,378
Probate, Guardianship & Mental Health Services	\$ 459,481	\$ 35,584					\$ 495,065	\$ 572,397	\$ 548,297	\$ 635,938
Juvenile Dependency Services	\$ 31,735						\$ 31,735	\$ 203,245	\$ 56,730	\$ 253,133
Juvenile Delinquency Services	\$ 235,468	\$ 46,130					\$ 281,598	\$ 132,565	\$ 366,195	\$ 138,958
Other Court Operations	\$ 2,755,369	\$ 533,960					\$ 3,289,329	\$ 3,241,209	\$ 3,651,728	\$ 3,581,871
Court Interpreters	\$ 960,815	\$ 350,989					\$ 1,311,804	\$ 1,429,760	\$ 1,294,535	\$ 1,483,505
Jury Services	\$ 433,669	\$ 129,324	\$ 206,961				\$ 769,954	\$ 805,327	\$ 712,680	\$ 778,938
Security		\$ 826,202					\$ 826,202	\$ 886,175	\$ 965,380	\$ 1,685,955
Trial Court Operations Program	\$ 18,267,606	\$ 3,158,047	\$ 206,961		\$ 0	\$ (8)	\$ 21,632,607	\$ 22,400,310	\$ 22,468,891	\$ 24,478,965
Enhanced Collections	\$ 402,489	\$ 504,625				\$ (2,640)	\$ 904,473	\$ 998,026	\$ 973,974	\$ 977,199
Other Non-Court Operations	\$ 894,776	\$ 22,614					\$ 917,389	\$ 929,907	\$ 883,442	\$ 943,284
Non-Court Operations Program	\$ 1,297,264	\$ 527,238				\$ (2,640)	\$ 1,821,862	\$ 1,927,933	\$ 1,857,416	\$ 1,920,483
Executive Office	\$ 528,430	\$ 72,390					\$ 600,820	\$ 769,896	\$ 762,343	\$ 755,991
Fiscal Services	\$ 1,242,822	\$ 98,446				\$ 0	\$ 1,341,267	\$ 1,426,414	\$ 1,195,401	\$ 1,472,157
Human Resources	\$ 547,517	\$ 102,343				\$ 1,504	\$ 651,365	\$ 698,643	\$ 669,234	\$ 593,386
Business & Facilities Services		\$ 18,501					\$ 18,501	\$ 121,658	\$ 84,135	\$ 280,616
Information Technology	\$ 1,546,428	\$ 1,044,662					\$ 2,591,090	\$ 2,562,388	\$ 2,572,353	\$ 2,578,366
Court Administration Program	\$ 3,865,197	\$ 1,336,342				\$ 1,504	\$ 5,203,043	\$ 5,578,999	\$ 5,283,467	\$ 5,680,516
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 23,430,067</b>	<b>\$ 5,021,627</b>	<b>\$ 206,961</b>		<b>\$ 0</b>	<b>\$ (1,144)</b>	<b>\$ 28,657,511</b>	<b>\$ 29,907,242</b>	<b>\$ 29,609,774</b>	<b>\$ 32,079,964</b>

## Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Barbara

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	2,316,014	-	-	-	-	-	2,316,014
Committed	1,624,112	403,708	-	-	-	-	-	2,027,820
Assigned	306,853	-	-	-	-	-	-	306,853
Unassigned	-	-	-	-	-	-	-	-
<b>Total</b>	<b>\$ 1,930,965</b>	<b>\$ 2,719,722</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,650,687</b>