QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive

8/27/13
Date

Santa Barbara County
Court

FY 2012/2013 4th Quarter
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara County

Court

FY 2012/2013 4th Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara County

Court

FY 2012/2013 4th Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs)[1] (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	300.41	265.175	270.13	269.73	249.63

[1] The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
Trial Court Operations Fund
Balance Sheet
(Unaudited)

	For the month ended June								
	Fiscal Year 2012/13								2011/12
	Governmental Funds								
		Special Revenue							
	General	Non-Grant	Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
ASSETS									
Operations	$ (2,491,107)	$ 2,588,096	$ 0				$ 1,533,495	$ 1,630,483	$ (513,706)
Payroll	$ 0	$ 0	$ 0					$ 0	$ 0
Jury									
Revolving	$ 5,000							$ 5,000	$ 5,000
Other									
Distribution							$ 295,963	$ 295,963	$ 3,276,401
Civil Filing Fees							$ 0	$ 0	$ 0
Trust	$ 0						$ (82,457)	$ (82,457)	$ 1,896,868
Credit Card									
Cash on Hand	$ 7,130							$ 7,130	$ 7,130
Cash with County									
Cash Outside of the AOC									
Total Cash	$ (2,478,977)	$ 2,588,096	$ 0				$ 1,747,001	$ 1,856,119	$ 4,671,693
Short Term Investment	$ 5,792,873						$ 3,067,669	$ 8,860,543	$ 10,577,379
Investment in Financial Institution									
Total Investments	$ 5,792,873						$ 3,067,669	$ 8,860,543	$ 10,577,379
Accrued Revenue	$ 2,344	$ 1,418					$ 0	$ 3,762	$ 8,025
Accounts Receivable - General			$ 41,788					$ 41,788	
Dishonored Checks									
Due From Employee	$ 0							$ 0	$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	$ 513,275						$ 0	$ 513,275	$ 420,050
Due From Other Governments	$ 0	$ 236,108	$ 0					$ 236,108	$ 669,787
Due From Other Courts	$ 0	$ (33,309)					$ 0	$ (33,309)	$ 0
Due From State	$ 586,291	$ 32,179	$ 449,030					$ 1,067,500	$ 917,590
Trust Due To/From							$ 113,999	$ 113,999	$ 86,104
Distribution Due To/From							$ 17,407	$ 17,407	$ 2,193
Civil Filing Fee Due To/From									
General Due To/From	$ 3,633	$ 4						$ 3,637	$ 1,344
Total Receivables	$ 1,105,543	$ 236,399	$ 490,817				$ 131,406	$ 1,964,165	$ 2,105,094
Prepaid Expenses - General	$ 0							$ 0	$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	$ 0							$ 0	$ 0
Other Assets									
Total Other Assets									
Total Assets	$ 4,419,439	$ 2,824,495	$ 490,817				$ 4,946,076	$ 12,680,827	$ 17,354,165
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	$ 121,656	$ 14,202	$ 22,134					$ 157,993	$ 209,024
Accounts Payable - General	$ 2,746	$ 0	$ 0				$ 0	$ 2,746	$ 0
Due to Other Funds	$ 0	$ 97,464	$ 416,332				$ 134,521	$ 648,317	$ 509,691
Due to Other Courts									
Due to State	$ 0	$ 34,147						$ 34,147	$ 32,216
TC145 Liability							$ 546,218	$ 546,218	$ 542,879
Due to Other Governments	$ 9,242	$ 367	$ 6,217					$ 15,826	$ 16,610
AB145 Due to Other Government Agency							$ 2,917,957	$ 2,917,957	$ 3,191,424
Due to Other Public Agencies									
Sales and Use Tax	$ 83							$ 83	$ 371
Interest							$ 13	$ 13	$ 71
Miscellaneous Accts. Pay. and Accrued Liab.	$ 323							$ 323	$ 241
Total Accounts Payable and Accrued Liab.	$ 134,049	$ 146,180	$ 444,684				$ 3,598,709	$ 4,323,622	$ 4,502,527
Civil							$ 660,406	$ 660,406	$ 1,366,160
Criminal							$ 646,150	$ 646,150	$ 566,130
Unreconciled - Civil and Criminal	$ 0						$ 3,654	$ 3,654	$ 3,729
Trust Held Outside of the AOC									
Trust Interest Payable							$ 20,082	$ 20,082	$ 20,238
Miscellaneous Trust									
Total Trust Deposits	$ 0						$ 1,330,292	$ 1,330,292	$ 1,956,258
Accrued Payroll	$ 1,284,161	$ 96,455	$ 46,134					$ 1,426,750	$ 1,414,717
Benefits Payable	$ (179,400)	$ 0	$ 0					$ (179,400)	$ (204,439)
Deferred Compensation Payable	$ 0	$ 0	$ 0					$ 0	$ 0
Deductions Payable	$ (1,504)	$ 0	$ 0					$ (1,504)	$ (1,504)
Payroll Clearing	$ 15							$ 15	
Total Payroll Liabilities	$ 1,103,273	$ 96,455	$ 46,134					$ 1,245,861	$ 1,208,774
Revenue Collected in Advance									
Liabilities For Deposits	$ 25,339						$ 10,303	$ 35,642	$ 50,540
Jury Fees - Non-Interest							$ 5,986	$ 5,986	$ 35,235
Fees - Partial Payment & Overpayment							$ 785	$ 785	$ 1,361
Uncleared Collections	$ 0						$ 0	$ 0	$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	$ 25,339						$ 17,074	$ 42,413	$ 87,136
Total Liabilities	$ 1,262,661	$ 242,635	$ 490,817				$ 4,946,076	$ 6,942,189	$ 7,754,694
Total Fund Balance	$ 3,156,779	$ 2,581,860	$ 0					$ 5,738,638	$ 9,599,471
Total Liabilities and Fund Balance	$ 4,419,439	$ 2,824,495	$ 490,817				$ 4,946,076	$ 12,680,827	$ 17,354,165

Superior Court of California, County of Santa Barbara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

	For the month ended June										
	Fiscal Year 2012/13									2011/12	
	Governmental Funds										
		Special Revenue									
	General	Non-Grant	Grant	Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
REVENUES											
State Financing Sources											
Trial Court Trust Fund	$ 17,913,039	$ 211,367						$ 18,124,406	$ 16,701,566	$ 22,722,104	$ 22,743,049
Trial Court Improvement Fund	$ 26,092							$ 26,092		$ 115,371	$ 58,613
Judicial Administration Efficiency & Mod Fund	$ 0							$ 0		$ 13,038	
Judges' Compensation (45.25)	$ 196,333							$ 196,333	$ 209,000	$ 193,149	$ 199,500
Court Interpreter (45.45)	$ 1,318,036							$ 1,318,036	$ 1,302,974	$ 1,228,113	$ 1,356,731
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	$ 385,837	$ 5,047						$ 390,884	$ 622,180	$ 715,221	$ 392,624
Other Miscellaneous	$ 1,946,763							$ 1,946,763	$ 1,148,182	$ 1,148,182	$ 1,148,182
	$ 21,786,099	$ 216,414						$ 22,002,513	$ 19,983,902	$ 26,135,178	$ 25,898,699
Grants											
AB 1058 Commissioner/Facilitator	$ 25,937		$ 855,423					$ 881,360	$ 814,937	$ 825,950	$ 820,616
Other AOC Grants			$ 41,788					$ 41,788		$ 35,117	$ 40,000
Non-AOC Grants											
	$ 25,937		$ 897,211					$ 923,148	$ 814,937	$ 861,067	$ 860,616
Other Financing Sources											
Interest Income	$ 24,164	$ 5,510						$ 29,674	$ 36,000	$ 42,318	$ 55,600
Investment Income											
Donations											
Local Fees	$ 544,604							$ 544,604	$ 571,000	$ 568,921	$ 679,700
Non-Fee Revenues	$ 157,888							$ 157,888	$ 141,000	$ 171,164	$ 185,000
Enhanced Collections		$ 976,858						$ 976,858	$ 982,200	$ 1,028,961	$ 1,066,308
Escheatment	$ 31,142							$ 31,142		$ 185,280	
Prior Year Revenue	$ 21,364							$ 21,364			
County Program - Restricted		$ 1,005,120						$ 1,005,120	$ 1,060,300	$ 1,030,304	$ 1,164,689
Reimbursement Other	$ 47,165							$ 47,165	$ 50,000	$ 50,414	$ 44,000
Sale of Fixed Assets											
Other Miscellaneous	$ 9,465							$ 9,465	$ 10,000	$ 341,668	$ 163,864
	$ 835,792	$ 1,987,488						$ 2,823,280	$ 2,850,500	$ 3,419,030	$ 3,359,161
Total Revenues	$ 22,647,828	$ 2,203,902	$ 897,211					$ 25,748,941	$ 23,649,339	$ 30,415,275	$ 30,118,476
EXPENDITURES											
Personal Services											
Salaries - Permanent	$ 14,110,014	$ 1,134,821	$ 524,626					$ 15,769,461	$ 16,605,353	$ 16,384,444	$ 16,337,132
Temp Help	$ 714,747	$ 54,084	$ 1,536					$ 770,367	$ 478,365	$ 408,942	$ 298,819
Overtime	$ 13,966	$ 1,076	$ 0					$ 15,042		$ 19,763	
Staff Benefits	$ 7,168,770	$ 531,113	$ 246,496					$ 7,946,380	$ 8,364,540	$ 8,125,747	$ 9,128,632
	$ 22,007,496	$ 1,721,094	$ 772,658					$ 24,501,249	$ 25,448,258	$ 24,938,896	$ 25,764,583
Operating Expenses and Equipment											
General Expense	$ 642,287	$ 114,067	$ 1,835					$ 758,190	$ 863,538	$ 1,471,027	$ 1,852,310
Printing	$ 88,093	$ 4,132	$ 216					$ 92,441	$ 112,154	$ 84,888	$ 114,137
Telecommunications	$ 223,703	$ 1,593	$ 412					$ 225,708	$ 171,466	$ 186,102	$ 198,780
Postage	$ 142,969	$ 22,476	$ 46					$ 165,490	$ 153,163	$ 150,441	$ 136,686
Insurance	$ 17,821		$ 390					$ 18,211	$ 16,839	$ 15,609	$ 12,967
In-State Travel	$ 27,047	$ 43	$ 1,028					$ 28,118	$ 34,418	$ 34,226	$ 27,497
Out-of-State Travel											
Training	$ 8,076	$ 135	$ 398					$ 8,609	$ 9,343	$ 18,518	$ 4,724
Security Services	$ 750,836		$ 92,740					$ 843,576	$ 1,642,846	$ 835,849	$ 879,504
Facility Operations	$ 348,234	$ 41,533						$ 389,767	$ 450,517	$ 426,144	$ 402,172
Utilities	$ 708	$ 2,677						$ 3,385	$ 4,862	$ 5,986	$ 2,115
Contracted Services	$ 1,099,366	$ 364,667	$ 70,350					$ 1,534,384	$ 1,863,757	$ 2,002,211	$ 1,966,866
Consulting and Professional Services	$ 100,534	$ 8,247	$ 507					$ 109,288	$ 104,370	$ 103,347	$ 120,606
Information Technology	$ 651,581	$ 46,924	$ 5,317					$ 703,822	$ 755,132	$ 980,382	$ 679,969
Major Equipment	$ 2,850							$ 2,850	$ 17,840	$ 136,580	$ 332,705
Other Items of Expense	$ 24,600		$ 4,783					$ 29,383	$ 24,339	$ 24,976	$ 24,075
	$ 4,128,705	$ 606,493	$ 178,022					$ 4,913,221	$ 6,224,584	$ 6,476,286	$ 6,755,113
Special Items of Expense											
Grand Jury											
Jury Costs	$ 195,304							$ 195,304	$ 243,120	$ 227,647	$ 241,429
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	$ (124,817)		$ 124,817					$ 0	$ 164,002	$ 0	$ 0
Prior Year Expense Adjustment										$ 5,689	
	$ 70,487		$ 124,817					$ 195,304	$ 407,122	$ 233,335	$ 241,429
Total Expenditures	$ 26,206,688	$ 2,327,588	$ 1,075,498					$ 29,609,774	$ 32,079,964	$ 31,648,518	$ 32,761,125
Excess (Deficit) of Revenues Over Expenditures	$ (3,558,860)	$ (123,686)	$ (178,286)					$ (3,860,832)	$ (8,430,625)	$ (1,233,243)	$ (2,642,649)
Operating Transfers In (Out)	$ (178,286)		$ 178,286					$ 0	$ 0	$ 0	$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	$ 6,893,925	$ 2,705,546	$ 0					$ 9,599,471	$ 9,599,471	$ 10,832,714	$ 10,832,714
Ending Balance (Deficit)	$ 3,156,779	$ 2,581,860	$ 0					$ 5,738,638	$ 1,168,846	$ 9,599,471	$ 8,190,065

Superior Court of California, County of Santa Barbara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)

	For the month ended June									
	Fiscal Year 2012/13								2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	$ 7,390,986	$ 583,653					$ 7,974,639	$ 8,520,375	$ 8,236,802	$ 8,565,930
Traffic & Other Infractions	$ 1,069,492	$ 46,808					$ 1,116,300	$ 1,367,077	$ 1,300,676	$ 1,549,828
Other Criminal Cases	$ 2,351,549	$ 194,517					$ 2,546,066	$ 2,584,963	$ 2,568,619	$ 2,505,155
Civil	$ 1,708,069	$ 198,991					$ 1,907,060	$ 2,043,874	$ 1,924,935	$ 1,914,236
Family & Children Services	$ 1,177,318	$ 27,146			$ 124,817		$ 1,329,282	$ 1,404,378	$ 1,440,874	$ 1,470,936
Probate, Guardianship & Mental Health Services	$ 507,933	$ 40,363					$ 548,297	$ 635,938	$ 601,024	$ 591,876
Juvenile Dependency Services	$ 56,730						$ 56,730	$ 253,133	$ 351,571	$ 416,804
Juvenile Delinquency Services	$ 308,755	$ 57,440					$ 366,195	$ 138,958	$ 330,209	$ 245,199
Other Court Operations	$ 3,018,870	$ 632,857					$ 3,651,728	$ 3,581,871	$ 4,402,054	$ 4,922,938
Court Interpreters	$ 1,026,969	$ 267,566					$ 1,294,535	$ 1,483,505	$ 1,298,072	$ 1,358,631
Jury Services	$ 392,709	$ 124,667	$ 195,304				$ 712,680	$ 778,938	$ 799,323	$ 839,895
Security		$ 965,380					$ 965,380	$ 1,685,955	$ 1,057,496	$ 923,455
Trial Court Operations Program	$ 19,009,382	$ 3,139,388	$ 195,304		$ 124,817		$ 22,468,891	$ 24,478,965	$ 24,311,655	$ 25,304,883
Enhanced Collections	$ 488,757	$ 485,217					$ 973,974	$ 977,199	$ 890,351	$ 1,066,308
Other Non-Court Operations	$ 858,310	$ 25,132					$ 883,442	$ 943,284	$ 912,175	$ 1,034,651
Non-Court Operations Program	$ 1,347,067	$ 510,349					$ 1,857,416	$ 1,920,483	$ 1,802,526	$ 2,100,959
Executive Office	$ 687,373	$ 74,970					$ 762,343	$ 755,991	$ 770,871	$ 759,419
Fiscal Services	$ 1,229,073	$ 91,146			$ (124,817)		$ 1,195,401	$ 1,472,157	$ 1,182,691	$ 1,195,733
Human Resources	$ 569,495	$ 99,739					$ 669,234	$ 593,386	$ 482,206	$ 619,525
Business & Facilities Services		$ 84,135					$ 84,135	$ 280,616	$ 262,502	$ 238,190
Information Technology	$ 1,658,860	$ 913,494					$ 2,572,353	$ 2,578,366	$ 2,836,066	$ 2,542,416
Court Administration Program	$ 4,144,800	$ 1,263,484			$ (124,817)		$ 5,283,467	$ 5,680,516	$ 5,534,337	$ 5,355,283
Expenditures Not Distributed or Posted to a Program	$ 0						$ 0			
Prior Year Adjustments Not Posted to a Program										
Total	$ 24,501,249	$ 4,913,221	$ 195,304		$ 0		$ 29,609,774	$ 32,079,964	$ 31,648,518	$ 32,761,125

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Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Barbara
as of June 30, 2013

	Governmental Funds							
		Special Revenue						
Classification	**General**	**Non-Grant**	**Grant**	**Capital Project**	**Debt Service**	**Proprietary Funds**	**Fiduciary Funds**	**Total Funds** (Info. Purposes Only)
Nonspendable	-	-	-	-	-	-	-	**-**
Restricted	-	2,581,860	-	-	-	-	-	**2,581,860**
Committed	2,573,419	-	-	-	-	-	-	**2,573,419**
Assigned	575,664	-	-	-	-	-	-	**575,664**
Unassigned	7,695	-	-	-	-	N/A	N/A	**7,695**
Total	**$ 3,156,778**	**$ 2,581,860**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 5,738,638**