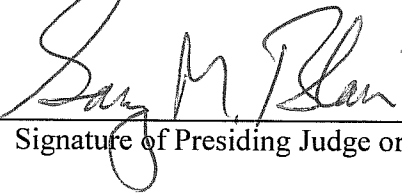


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

09/13/2012

Date

Santa Barbara

Court

FY11-12 Quarter 4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

FY11-12 Quarter 4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara

Court

FY11-12 Quarter 4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		268.425	271.75	268.65	271.75

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,842,882)	\$ 1,279,027	\$ 0				\$ 50,150	\$ (513,706)	\$ (441,560)
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 3,276,401	\$ 3,276,401	\$ 159,098
Civil Filing Fees							\$ 0	\$ 0	\$ (30,137)
Trust							\$ 1,896,868	\$ 1,896,868	\$ 2,123,463
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,152
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (1,830,752)	\$ 1,279,027	\$ 0				\$ 5,223,418	\$ 4,671,693	\$ 1,823,017
Short Term Investment	\$ 10,046,399						\$ 530,980	\$ 10,577,379	\$ 15,156,999
Investment in Financial Institution									
Total Investments	\$ 10,046,399						\$ 530,980	\$ 10,577,379	\$ 15,156,999
Accrued Revenue	\$ 6,999	\$ 1,026					\$ 0	\$ 8,025	\$ 12,653
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 420,050						\$ 0	\$ 420,050	\$ 464,330
Due From Other Governments	\$ 395,244	\$ 274,543	\$ 0					\$ 669,787	\$ 319,863
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 568,711	\$ 5,843	\$ 343,036					\$ 917,590	\$ 1,291,092
Trust Due To/From	\$ 0						\$ 86,104	\$ 86,104	\$ 101,414
Distribution Due To/From							\$ 2,193	\$ 2,193	\$ 38,984
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,344							\$ 1,344	\$ 1,477
Total Receivables	\$ 1,392,348	\$ 281,413	\$ 343,036				\$ 88,297	\$ 2,105,094	\$ 2,229,812
Prepaid Expenses - General	\$ 0							\$ 0	\$ 63,495
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 63,495
Other Assets									
Total Other Assets									
Total Assets	\$ 9,607,994	\$ 1,560,440	\$ 343,036				\$ 5,842,696	\$ 17,354,165	\$ 19,273,322
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 171,562	\$ 19,341	\$ 18,120					\$ 209,024	\$ 150,544
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due to Other Funds	\$ 5	\$ 146,654	\$ 275,584				\$ 87,448	\$ 509,691	\$ 606,205
Due to Other Courts									\$ 0
Due to State	\$ 2,962	\$ 29,254						\$ 32,216	\$ 49,347
TC145 Liability							\$ 542,879	\$ 542,879	\$ 505,922
Due to Other Governments	\$ 6,994	\$ 337	\$ 9,279					\$ 16,610	\$ 325,038
AB145 Due to Other Government Agency							\$ 3,191,424	\$ 3,191,424	\$ 3,323,982
Due to Other Public Agencies									
Sales and Use Tax	\$ 371							\$ 371	\$ 455
Interest							\$ 71	\$ 71	\$ 31
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 241							\$ 241	\$ 1,726
Total Accounts Payable and Accrued Liab.	\$ 182,135	\$ 195,586	\$ 302,983				\$ 3,821,823	\$ 4,502,527	\$ 4,963,249
Civil							\$ 1,366,160	\$ 1,366,160	\$ 1,462,545
Criminal							\$ 566,130	\$ 566,130	\$ 601,747
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 20,238	\$ 20,238	\$ 27,903
Miscellaneous Trust									
Total Trust Deposits							\$ 1,956,258	\$ 1,956,258	\$ 2,095,924
Accrued Payroll	\$ 1,290,045	\$ 84,619	\$ 40,053					\$ 1,414,717	\$ 1,274,913
Benefits Payable	\$ (204,439)	\$ 0	\$ 0					\$ (204,439)	\$ (5,689)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (1,504)	\$ 0	\$ 0					\$ (1,504)	\$ (1,504)
Payroll Clearing									
Total Payroll Liabilities	\$ 1,084,102	\$ 84,619	\$ 40,053					\$ 1,208,774	\$ 1,267,720
Revenue Collected in Advance									\$ 0
Liabilities For Deposits	\$ 22,521						\$ 28,018	\$ 50,540	\$ 85,040
Jury Fees - Non-Interest							\$ 35,235	\$ 35,235	\$ 27,950
Fees - Partial Payment & Overpayment							\$ 1,361	\$ 1,361	\$ 725
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 22,521						\$ 64,615	\$ 87,136	\$ 113,716
Total Liabilities	\$ 1,288,757	\$ 280,205	\$ 343,036				\$ 5,842,696	\$ 7,754,694	\$ 8,440,609
Total Fund Balance	\$ 8,319,236	\$ 1,280,235	\$ 0					\$ 9,599,471	\$ 10,832,714
Total Liabilities and Fund Balance	\$ 9,607,994	\$ 1,560,440	\$ 343,036				\$ 5,842,696	\$ 17,354,165	\$ 19,273,322

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 22,671,533	\$ 50,571					\$ 22,722,104	\$ 22,743,049	\$ 30,810,969	\$ 30,239,801	
Trial Court Improvement Fund	\$ 115,371						\$ 115,371	\$ 58,613	\$ 58,659	\$ 56,713	
Judicial Administration Efficiency & Mod Fund	\$ 13,038						\$ 13,038		\$ 93,016		
Judges' Compensation (45.25)	\$ 193,149						\$ 193,149	\$ 199,500	\$ 198,433	\$ 199,500	
Court Interpreter (45.45)	\$ 1,228,113						\$ 1,228,113	\$ 1,356,731	\$ 1,216,525	\$ 1,017,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 712,768	\$ 2,453					\$ 715,221	\$ 392,624	\$ 392,639	\$ 305,976	
Other Miscellaneous	\$ 1,148,182						\$ 1,148,182	\$ 1,148,182			
	\$ 26,082,154	\$ 53,024					\$ 26,135,178	\$ 25,898,699	\$ 32,770,242	\$ 31,818,990	
Grants											
AB 1058 Commissioner/Facilitator			\$ 825,950				\$ 825,950	\$ 820,616	\$ 820,616	\$ 820,616	
Other AOC Grants			\$ 35,117				\$ 35,117	\$ 40,000	\$ 40,190		
Non-AOC Grants											
			\$ 861,067				\$ 861,067	\$ 860,616	\$ 860,806	\$ 820,616	
Other Financing Sources											
Interest Income	\$ 37,779	\$ 4,539					\$ 42,318	\$ 55,600	\$ 58,815	\$ 50,000	
Investment Income									\$ 250		
Donations											
Local Fees	\$ 568,921						\$ 568,921	\$ 679,700	\$ 679,617	\$ 698,120	
Non-Fee Revenues	\$ 171,164						\$ 171,164	\$ 185,000	\$ 185,053	\$ 170,900	
Enhanced Collections		\$ 1,028,961					\$ 1,028,961	\$ 1,066,308	\$ 1,170,502	\$ 815,226	
Escheatment	\$ 185,280						\$ 185,280				
Prior Year Revenue											
County Program - Restricted		\$ 1,030,304					\$ 1,030,304	\$ 1,164,689	\$ 1,016,847	\$ 1,145,968	
Reimbursement Other	\$ 50,414						\$ 50,414	\$ 44,000	\$ 43,970	\$ 55,815	
Sale of Fixed Assets											
Other Miscellaneous	\$ 341,668						\$ 341,668	\$ 163,864	\$ 59,250	\$ 3,500	
	\$ 1,355,225	\$ 2,063,804					\$ 3,419,030	\$ 3,359,161	\$ 3,214,305	\$ 2,939,529	
Total Revenues	\$ 27,437,379	\$ 2,116,829	\$ 861,067				\$ 30,415,275	\$ 30,118,476	\$ 36,845,353	\$ 35,579,135	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 14,882,601	\$ 992,448	\$ 509,395				\$ 16,384,444	\$ 16,337,132	\$ 16,583,385	\$ 16,511,902	
Temp Help	\$ 381,390	\$ 25,536	\$ 2,016				\$ 408,942	\$ 298,819	\$ 290,599	\$ 408,455	
Overtime	\$ 18,312	\$ 1,451	\$ 0				\$ 19,763		\$ 29,597	\$ 22,750	
Staff Benefits	\$ 7,352,635	\$ 526,351	\$ 246,761				\$ 8,125,747	\$ 9,128,632	\$ 7,472,801	\$ 8,293,556	
	\$ 22,634,938	\$ 1,545,786	\$ 758,172				\$ 24,938,896	\$ 25,764,583	\$ 24,376,382	\$ 25,236,663	
Operating Expenses and Equipment											
General Expense	\$ 1,346,413	\$ 123,099	\$ 1,514				\$ 1,471,027	\$ 1,852,310	\$ 1,032,251	\$ 1,082,341	
Printing	\$ 82,347	\$ 2,258	\$ 284				\$ 84,888	\$ 114,137	\$ 114,779	\$ 88,962	
Telecommunications	\$ 184,425	\$ 1,254	\$ 423				\$ 186,102	\$ 198,780	\$ 196,327	\$ 228,858	
Postage	\$ 131,146	\$ 19,071	\$ 223				\$ 150,441	\$ 136,686	\$ 95,517	\$ 131,409	
Insurance	\$ 15,281		\$ 328				\$ 15,609	\$ 12,967	\$ 12,967	\$ 12,840	
In-State Travel	\$ 31,885	\$ 191	\$ 2,150				\$ 34,226	\$ 27,497	\$ 27,368	\$ 22,724	
Out-of-State Travel									\$ 23	\$ 1,977	
Training	\$ 17,921		\$ 598				\$ 18,518	\$ 4,724	\$ 6,499	\$ 4,265	
Security Services	\$ 734,897		\$ 100,953				\$ 835,849	\$ 879,504	\$ 6,468,556	\$ 6,646,646	
Facility Operations	\$ 388,426	\$ 37,718					\$ 426,144	\$ 402,172	\$ 426,311	\$ 671,703	
Utilities	\$ 3,043	\$ 2,943					\$ 5,986	\$ 2,115	\$ 3,624		
Contracted Services	\$ 1,620,122	\$ 323,091	\$ 58,998				\$ 2,002,211	\$ 1,966,866	\$ 1,725,356	\$ 1,653,849	
Consulting and Professional Services	\$ 94,801	\$ 8,135	\$ 412				\$ 103,347	\$ 120,606	\$ 134,658	\$ 65,284	
Information Technology	\$ 921,518	\$ 53,521	\$ 5,343				\$ 980,382	\$ 679,969	\$ 696,785	\$ 715,887	
Major Equipment	\$ 136,580						\$ 136,580	\$ 332,705	\$ 105,539	\$ 7,131	
Other Items of Expense	\$ 21,695	\$ 50	\$ 3,231				\$ 24,976	\$ 24,075	\$ 24,107	\$ 20,420	
	\$ 5,730,500	\$ 571,331	\$ 174,455				\$ 6,476,286	\$ 6,755,113	\$ 11,070,666	\$ 11,354,296	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 227,647						\$ 227,647	\$ 241,429	\$ 241,429	\$ 167,465	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (164,002)		\$ 164,002				\$ 0	\$ 0	\$ 0		
Prior Year Expense Adjustment	\$ 5,689						\$ 5,689		\$ 9,241		
	\$ 69,333		\$ 164,002				\$ 233,335	\$ 241,429	\$ 250,671	\$ 167,465	
Total Expenditures	\$ 28,434,771	\$ 2,117,117	\$ 1,096,629				\$ 31,648,518	\$ 32,761,125	\$ 35,697,719	\$ 36,758,424	
Excess (Deficit) of Revenues Over Expenditures	\$ (997,392)	\$ (289)	\$ (235,562)				\$ (1,233,243)	\$ (2,642,649)	\$ 1,147,633	\$ (1,179,289)	
Operating Transfers In (Out)	\$ (235,562)		\$ 235,562				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 9,552,190	\$ 1,280,523	\$ 0				\$ 10,832,714	\$ 10,832,714	\$ 9,685,080	\$ 9,685,080	
Ending Balance (Deficit)	\$ 8,319,236	\$ 1,280,235	\$ 0				\$ 9,599,471	\$ 8,190,065	\$ 10,832,714	\$ 8,505,791	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 7,592,681	\$ 644,000	\$ 120				\$ 8,236,802	\$ 8,565,930	\$ 7,925,712	\$ 8,541,895
Traffic & Other Infractions	\$ 1,252,029	\$ 48,647					\$ 1,300,676	\$ 1,549,828	\$ 1,293,704	\$ 1,441,637
Other Criminal Cases	\$ 2,384,032	\$ 184,587					\$ 2,568,619	\$ 2,505,155	\$ 2,454,660	\$ 2,353,183
Civil	\$ 1,677,388	\$ 247,546					\$ 1,924,935	\$ 1,914,236	\$ 1,871,166	\$ 2,012,792
Family & Children Services	\$ 1,248,409	\$ 28,463			\$ 164,002		\$ 1,440,874	\$ 1,470,936	\$ 1,448,004	\$ 1,207,434
Probate, Guardianship & Mental Health Services	\$ 516,524	\$ 84,500					\$ 601,024	\$ 591,876	\$ 561,147	\$ 573,583
Juvenile Dependency Services	\$ 56,489	\$ 295,082					\$ 351,571	\$ 416,804	\$ 56,487	\$ 86,504
Juvenile Delinquency Services	\$ 275,254	\$ 54,955					\$ 330,209	\$ 245,199	\$ 310,179	\$ 267,762
Other Court Operations	\$ 3,157,853	\$ 1,244,201					\$ 4,402,054	\$ 4,922,938	\$ 3,604,414	\$ 4,286,146
Court Interpreters	\$ 986,653	\$ 311,420					\$ 1,298,072	\$ 1,358,631	\$ 1,212,252	\$ 1,286,459
Jury Services	\$ 448,297	\$ 123,498	\$ 227,527				\$ 799,323	\$ 839,895	\$ 833,583	\$ 679,836
Security		\$ 1,057,496					\$ 1,057,496	\$ 923,455	\$ 6,644,417	\$ 6,569,455
Trial Court Operations Program	\$ 19,595,610	\$ 4,324,396	\$ 227,647		\$ 164,002		\$ 24,311,655	\$ 25,304,883	\$ 28,215,726	\$ 29,306,686
Enhanced Collections	\$ 405,072	\$ 485,279					\$ 890,351	\$ 1,066,308	\$ 1,037,390	\$ 824,795
Other Non-Court Operations	\$ 885,526	\$ 26,649					\$ 912,175	\$ 1,034,651	\$ 906,294	\$ 1,024,380
Non-Court Operations Program	\$ 1,290,597	\$ 511,928					\$ 1,802,526	\$ 2,100,959	\$ 1,943,685	\$ 1,849,175
Executive Office	\$ 698,763	\$ 72,109					\$ 770,871	\$ 759,419	\$ 766,070	\$ 665,503
Fiscal Services	\$ 1,266,456	\$ 80,237			\$ (164,002)		\$ 1,182,691	\$ 1,195,733	\$ 1,335,828	\$ 1,674,398
Human Resources	\$ 430,406	\$ 46,111				\$ 5,689	\$ 482,206	\$ 619,525	\$ 927,716	\$ 590,187
Business & Facilities Services	\$ 814	\$ 261,688					\$ 262,502	\$ 238,190	\$ 100,733	\$ 137,509
Information Technology	\$ 1,656,250	\$ 1,179,817					\$ 2,836,066	\$ 2,542,416	\$ 2,407,963	\$ 2,534,966
Court Administration Program	\$ 4,052,689	\$ 1,639,962			\$ (164,002)	\$ 5,689	\$ 5,534,337	\$ 5,355,283	\$ 5,538,309	\$ 5,602,563
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 24,938,896	\$ 6,476,286	\$ 227,647		\$ 0	\$ 5,689	\$ 31,648,518	\$ 32,761,125	\$ 35,697,719	\$ 36,758,424

