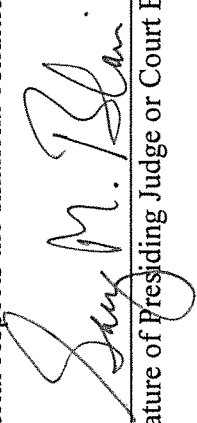


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

6/21/13
Date

Santa Barbara

Court

FY12-13 Quarter ending 03/31/2013

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

FY12-13 Quarter ending 03/31/2013

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Santa Barbara

Court

FY12-13 Quarter ending 03/31/2013

Fiscal Year and Ending Quarter

	Positions (FTEs) Filled				
	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	300.41	265.175	270.13	269.73	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March							2011/12	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2012/13									
	Governmental Funds									
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds			
		Non-Grant	Grant							
ASSETS										
Operations	\$ (1,774,883)	\$ 2,431,920	\$ (624,122)				\$ 2,213,094	\$ 2,246,009	\$ (35,100)	
Payroll	\$ (2,252)	\$ 0	\$ 0					\$ (2,252)	\$ 51	
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other Distribution							\$ 202,891	\$ 202,891	\$ (116,224)	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust Credit Card							\$ (40,948)	\$ (40,948)	\$ 1,015,327	
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,152	
Cash with County										
Cash Outside of the AOC										
Total Cash	\$ (1,765,005)	\$ 2,431,920	\$ (624,122)				\$ 2,375,037	\$ 2,417,830	\$ 878,206	
Short Term Investment	\$ 5,807,702						\$ 3,646,862	\$ 9,454,564	\$ 12,725,290	
Investment in Financial Institution										
Total Investments	\$ 5,807,702						\$ 3,646,862	\$ 9,454,564	\$ 12,725,290	
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks	\$ 0							\$ 0	\$ 0	
Due From Employee										
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Trust Due To/From							\$ 130,586	\$ 130,586	\$ 222,388	
Distribution Due To/From							\$ 50,240	\$ 50,240	\$ 54,025	
Civil Filing Fee Due To/From										
General Due To/From	\$ 2,351							\$ 2,351	\$ 825	
Total Receivables	\$ 2,351	\$ 0	\$ 0				\$ 180,826	\$ 183,177	\$ 277,238	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 4,045,048	\$ 2,431,920	\$ (624,122)				\$ 6,202,725	\$ 12,055,571	\$ 13,878,734	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 9,309	\$ 0	\$ 0				\$ 0	\$ 9,309	\$ 708	
Due to Other Funds	\$ 45	\$ 9,478	\$ 0				\$ 173,654	\$ 183,177	\$ 277,238	
Due to Other Courts										
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 514,864	\$ 514,864	\$ 547,771	
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 3,450,004	\$ 3,450,004	\$ 3,298,416	
Due to Other Public Agencies										
Sales and Use Tax	\$ 95							\$ 95	\$ 337	
Interest							\$ 433	\$ 433	\$ 164	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 885							\$ 885	\$ 1,054	
Total Accounts Payable and Accrued Liab.	\$ 10,335	\$ 9,478	\$ 0				\$ 4,138,955	\$ 4,158,767	\$ 4,125,689	
Civil							\$ 1,295,244	\$ 1,295,244	\$ 575,781	
Criminal							\$ 689,483	\$ 689,483	\$ 527,396	
Unreconciled - Civil and Criminal	\$ 0						\$ 4,154	\$ 4,154	\$ 3,729	
Trust Held Outside of the AOC										
Trust Interest Payable							\$ 20,866	\$ 20,866	\$ 20,589	
Miscellaneous Trust										
Total Trust Deposits	\$ 0						\$ 2,009,747	\$ 2,009,747	\$ 1,127,496	
Accrued Payroll	\$ 813,743	\$ 74,899	\$ 32,319					\$ 920,961	\$ 931,377	
Benefits Payable	\$ (193,995)	\$ 0	\$ 0					\$ (193,995)	\$ 21,676	
Deferred Compensation Payable	\$ 754	\$ 0	\$ 0					\$ 754	\$ 0	
Deductions Payable	\$ (1,887)	\$ 0	\$ 0					\$ (1,887)	\$ 3,411	
Payroll Clearing										
Total Payroll Liabilities	\$ 618,615	\$ 74,899	\$ 32,319					\$ 725,833	\$ 956,463	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 24,812						\$ 25,535	\$ 50,346	\$ 51,893	
Jury Fees - Non-Interest							\$ 27,493	\$ 27,493	\$ 32,686	
Fees - Partial Payment & Overpayment							\$ 995	\$ 995	\$ 25	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 24,812						\$ 54,023	\$ 78,835	\$ 84,604	
Total Liabilities	\$ 653,761	\$ 84,377	\$ 32,319				\$ 6,202,725	\$ 6,973,182	\$ 6,294,251	
Total Fund Balance	\$ 3,391,287	\$ 2,347,543	\$ (656,441)					\$ 5,082,389	\$ 7,584,483	
Total Liabilities and Fund Balance	\$ 4,045,048	\$ 2,431,920	\$ (624,122)				\$ 6,202,725	\$ 12,055,571	\$ 13,878,734	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 12,535,927	\$ 124,098					\$ 12,660,025	\$ 16,701,566	\$ 16,352,082	\$ 22,743,049	
Trial Court Improvement Fund	\$ 0						\$ 0		\$ 56,712	\$ 58,613	
Judicial Administration Efficiency & Mod Fund	\$ 0						\$ 0		\$ 6,519		
Judges' Compensation (45.25)	\$ 149,625						\$ 149,625	\$ 209,000	\$ 149,625	\$ 199,500	
Court Interpreter (45.45)	\$ 941,825						\$ 941,825	\$ 1,302,974	\$ 922,219	\$ 1,356,731	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 196,668	\$ 5,047					\$ 201,714	\$ 622,180	\$ 317,941	\$ 392,624	
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,148,182		\$ 1,148,182	
	\$ 15,421,707	\$ 129,145					\$ 15,550,852	\$ 19,983,902	\$ 17,805,098	\$ 25,898,699	
Grants											
AB 1058 Commissioner/Facilitator			\$ 9,234				\$ 9,234	\$ 814,937	\$ (422)	\$ 820,616	
Other AOC Grants			\$ 999				\$ 999		\$ 0	\$ 40,000	
Non-AOC Grants											
			\$ 10,233				\$ 10,233	\$ 814,937	\$ (422)	\$ 860,616	
Other Financing Sources											
Interest Income	\$ 15,296	\$ 2,409					\$ 17,705	\$ 36,000	\$ 23,363	\$ 55,600	
Investment Income											
Donations											
Local Fees	\$ 365,405						\$ 365,405	\$ 571,000	\$ 405,154	\$ 679,700	
Non-Fee Revenues	\$ 142,036						\$ 142,036	\$ 141,000	\$ 153,416	\$ 185,000	
Enhanced Collections		\$ 519,664					\$ 519,664	\$ 982,200	\$ 598,647	\$ 1,066,308	
Escheatment									\$ 185,280		
Prior Year Revenue	\$ 11,023						\$ 11,023				
County Program - Restricted		\$ 595,799					\$ 595,799	\$ 1,060,300	\$ 635,073	\$ 1,164,689	
Reimbursement Other	\$ 25,650						\$ 25,650	\$ 50,000	\$ 34,821	\$ 44,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 6,486						\$ 6,486	\$ 10,000	\$ 8,848	\$ 163,864	
	\$ 565,896	\$ 1,117,872					\$ 1,683,769	\$ 2,850,500	\$ 2,044,602	\$ 3,359,161	
Total Revenues	\$ 15,987,603	\$ 1,247,017	\$ 10,233				\$ 17,244,854	\$ 23,649,339	\$ 19,849,278	\$ 30,118,476	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 10,609,038	\$ 792,689	\$ 396,933				\$ 11,798,659	\$ 16,605,353	\$ 12,325,048	\$ 16,337,132	
Temp Help	\$ 517,762	\$ 40,702	\$ 1,536				\$ 560,000	\$ 478,365	\$ 279,147	\$ 298,819	
Overtime	\$ 10,307	\$ 843	\$ 0				\$ 11,150		\$ 13,602		
Staff Benefits	\$ 5,331,683	\$ 404,364	\$ 182,331				\$ 5,918,379	\$ 8,364,540	\$ 6,188,464	\$ 9,128,632	
	\$ 16,468,790	\$ 1,238,598	\$ 580,800				\$ 18,288,188	\$ 25,448,258	\$ 18,806,260	\$ 25,764,593	
Operating Expenses and Equipment											
General Expense	\$ 420,743	\$ 83,975	\$ 1,474				\$ 506,191	\$ 863,538	\$ 1,040,987	\$ 1,852,310	
Printing	\$ 59,966	\$ 4,132	\$ 65				\$ 64,163	\$ 112,154	\$ 65,417	\$ 114,137	
Telecommunications	\$ 159,398	\$ 1,141	\$ 260				\$ 160,799	\$ 171,466	\$ 123,279	\$ 198,780	
Postage	\$ 113,271	\$ 14,380	\$ (9)				\$ 127,641	\$ 153,163	\$ 128,021	\$ 136,686	
Insurance	\$ 16,012	\$ 390	\$ 390				\$ 16,402	\$ 16,839	\$ 13,921	\$ 12,967	
In-State Travel	\$ 17,644		\$ 629				\$ 18,273	\$ 34,418	\$ 25,292	\$ 27,497	
Out-of-State Travel											
Training	\$ 8,076		\$ 398				\$ 8,474	\$ 9,343	\$ 17,673	\$ 4,724	
Security Services	\$ 511,295		\$ 57,703				\$ 568,998	\$ 1,842,846	\$ 479,704	\$ 879,504	
Facility Operations	\$ 265,003	\$ 23,124					\$ 288,127	\$ 450,517	\$ 257,489	\$ 402,172	
Utilities	\$ 708	\$ 1,714					\$ 2,422	\$ 4,862	\$ 2,765	\$ 2,115	
Contracted Services	\$ 719,986	\$ 192,078	\$ 18,371				\$ 930,435	\$ 1,863,757	\$ 1,365,148	\$ 1,966,866	
Consulting and Professional Services	\$ 68,676	\$ 6,025	\$ 328				\$ 75,029	\$ 104,370	\$ 67,385	\$ 120,606	
Information Technology	\$ 520,561	\$ 39,853	\$ 4,252				\$ 564,666	\$ 755,132	\$ 515,664	\$ 679,959	
Major Equipment	\$ 2,850						\$ 2,850	\$ 17,840		\$ 332,705	
Other Items of Expense	\$ 14,862		\$ 2,014				\$ 16,876	\$ 24,339	\$ 15,749	\$ 24,075	
	\$ 2,899,050	\$ 366,422	\$ 85,874				\$ 3,351,346	\$ 6,224,584	\$ 4,118,494	\$ 6,755,113	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 122,401						\$ 122,401	\$ 243,120	\$ 172,754	\$ 241,429	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 164,002	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 122,401						\$ 122,401	\$ 407,122	\$ 172,754	\$ 241,429	
Total Expenditures	\$ 19,490,241	\$ 1,605,020	\$ 666,675				\$ 21,761,935	\$ 32,079,964	\$ 23,097,509	\$ 32,761,125	
Excess (Deficit) of Revenues Over Expenditures	\$ (3,502,638)	\$ (358,003)	\$ (656,441)				\$ (4,517,082)	\$ (8,430,625)	\$ (3,248,231)	\$ (2,642,649)	
Operating Transfers In (Out)	\$ 0						\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,893,925	\$ 2,705,546	\$ 0				\$ 9,599,471	\$ 9,599,471	\$ 10,832,714	\$ 10,832,714	
Ending Balance (Deficit)	\$ 3,391,287	\$ 2,347,543	\$ (656,441)				\$ 5,082,389	\$ 1,168,846	\$ 7,584,483	\$ 8,190,065	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2012/13										
2011/12										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,508,114	\$ 397,918					\$ 5,906,032	\$ 8,520,375	\$ 6,141,779	\$ 8,565,930
Traffic & Other Infractions	\$ 806,516	\$ 36,409					\$ 842,925	\$ 1,367,077	\$ 969,586	\$ 1,549,828
Other Criminal Cases	\$ 1,749,868	\$ 99,491					\$ 1,849,359	\$ 2,584,963	\$ 1,892,902	\$ 2,505,155
Civil	\$ 1,269,864	\$ 131,425					\$ 1,401,289	\$ 2,043,874	\$ 1,418,049	\$ 1,914,236
Family & Children Services	\$ 872,095	\$ 18,328					\$ 890,423	\$ 1,404,378	\$ 967,712	\$ 1,470,936
Probate, Guardianship & Mental Health Services	\$ 375,039	\$ 28,347					\$ 403,386	\$ 635,938	\$ 436,505	\$ 591,876
Juvenile Dependency Services	\$ 47,325						\$ 47,325	\$ 253,133	\$ 208,690	\$ 416,804
Juvenile Delinquency Services	\$ 219,203	\$ 37,589					\$ 256,791	\$ 138,958	\$ 234,378	\$ 245,199
Other Court Operations	\$ 2,222,316	\$ 436,867					\$ 2,659,183	\$ 3,581,871	\$ 3,255,061	\$ 4,922,938
Court Interpreters	\$ 767,771	\$ 193,734					\$ 961,505	\$ 1,483,505	\$ 970,699	\$ 1,358,631
Jury Services	\$ 293,466	\$ 86,204	\$ 122,401				\$ 502,071	\$ 778,938	\$ 596,437	\$ 839,895
Security		\$ 667,814					\$ 667,814	\$ 1,685,955	\$ 541,888	\$ 923,455
Trial Court Operations Program	\$ 14,131,577	\$ 2,134,125	\$ 122,401				\$ 16,388,103	\$ 24,478,965	\$ 17,633,686	\$ 25,304,883
Enhanced Collections	\$ 312,328	\$ 281,098					\$ 593,426	\$ 977,199	\$ 631,254	\$ 1,066,308
Other Non-Court Operations	\$ 643,492	\$ 16,008					\$ 659,499	\$ 943,284	\$ 891,693	\$ 1,034,651
Non-Court Operations Program	\$ 955,820	\$ 297,105					\$ 1,252,925	\$ 1,920,483	\$ 1,322,948	\$ 2,100,959
Executive Office	\$ 505,171	\$ 54,453					\$ 559,625	\$ 755,991	\$ 560,398	\$ 759,419
Fiscal Services	\$ 956,624	\$ 57,360					\$ 1,013,984	\$ 1,472,157	\$ 1,003,568	\$ 1,195,733
Human Resources	\$ 483,823	\$ 43,040					\$ 526,863	\$ 593,386	\$ 570,112	\$ 619,525
Business & Facilities Services		\$ 48,767					\$ 48,767	\$ 280,616	\$ 190,837	\$ 238,190
Information Technology	\$ 1,255,173	\$ 716,496					\$ 1,971,669	\$ 2,578,366	\$ 1,815,961	\$ 2,542,416
Court Administration Program	\$ 3,200,791	\$ 920,116					\$ 4,120,907	\$ 5,680,516	\$ 4,140,876	\$ 5,355,283
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0			
Prior Year Adjustments Not Posted to a Program										
Total	\$ 18,288,188	\$ 3,351,346	\$ 122,401				\$ 21,761,935	\$ 32,079,984	\$ 23,097,509	\$ 32,781,125