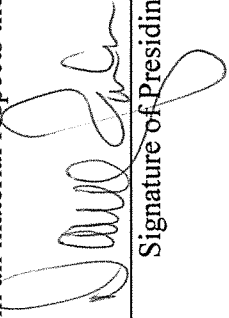


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

1/21/14

Date

Santa Barbara County

Court

2013 December 31

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara Superior Court
Court
2013 December 31
Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Santa Barbara County

 Court
 2013 December 31

 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	252.58	246.43		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended December								
	Fiscal Year 2013/14							2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,381,522)	\$ 2,393,783	\$ (225,538)				\$ 1,218,965	\$ 1,005,688	\$ 1,853,613
Payroll	\$ 336,501	\$ 26,590	\$ 12,000					\$ 375,091	\$ (9,518)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 264,537	\$ 264,537	\$ 108,015
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (123,981)	\$ (123,981)	\$ (33,627)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (2,032,892)	\$ 2,420,372	\$ (213,537)				\$ 1,359,522	\$ 1,533,465	\$ 1,930,613
Short Term Investment	\$ 6,684,280							\$ 3,055,593	\$ 11,572,877
Investment in Financial Institution									
Total Investments	\$ 6,684,280						\$ 3,055,593	\$ 11,739,873	\$ 11,572,877
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 60,529					\$ 60,529	\$ 0
Trust Due To/From							\$ 265,568	\$ 265,568	\$ 1,144,693
Distribution Due To/From							\$ 58,193	\$ 58,193	\$ 51,140
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,185	\$ 5						\$ 1,190	\$ 1,087
Total Receivables	\$ 1,185	\$ 5	\$ 60,529				\$ 323,760	\$ 385,479	\$ 1,196,921
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 6,652,572	\$ 2,420,378	\$ (153,008)				\$ 4,738,876	\$ 13,658,818	\$ 14,700,411
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 2,653	\$ 0	\$ 0				\$ 13,399	\$ 16,052	\$ 13,271
Due to Other Funds	\$ 1,875	\$ 8,795	\$ 0				\$ 314,280	\$ 324,950	\$ 1,196,921
Due to Other Courts									
Due to State		\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 424,865	\$ 424,865	\$ 511,824
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,826,985	\$ 2,826,985	\$ 2,807,987
Due to Other Public Agencies									
Sales and Use Tax	\$ 269							\$ 269	\$ 51
Interest							\$ 185	\$ 185	\$ 426
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,026							\$ 1,026	\$ 781
Total Accounts Payable and Accrued Liab.	\$ 5,822	\$ 8,795	\$ 0				\$ 3,579,715	\$ 3,594,332	\$ 4,531,261
Civil							\$ 422,276	\$ 422,276	\$ 1,652,192
Criminal							\$ 692,037	\$ 692,037	\$ 645,339
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,933
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 20,428	\$ 20,428	\$ 20,428
Miscellaneous Trust									
Total Trust Deposits							\$ 1,138,395	\$ 1,138,395	\$ 2,321,892
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1,489,963
Benefits Payable	\$ (7,716)	\$ 0	\$ 0					\$ (7,716)	\$ (180,493)
Deferred Compensation Payable	\$ (18,116)	\$ 0	\$ 0					\$ (18,116)	\$ 0
Deductions Payable	\$ (334,091)	\$ (8,804)	\$ (6,084)					\$ (348,978)	\$ 2,707
Payroll Clearing	\$ 0							\$ 0	
Total Payroll Liabilities	\$ (359,922)	\$ (8,804)	\$ (6,084)					\$ (374,810)	\$ 1,312,177
Revenue Collected in Advance									
Liabilities For Deposits	\$ 27,360						\$ 10,385	\$ 37,744	\$ 46,716
Jury Fees - Non-Interest							\$ 9,786	\$ 9,786	\$ 24,536
Fees - Partial Payment & Overpayment							\$ 595	\$ 595	\$ 1,421
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 27,360						\$ 20,766	\$ 48,125	\$ 72,672
Total Liabilities	\$ (326,741)	\$ (9)	\$ (6,084)				\$ 4,738,876	\$ 4,406,043	\$ 8,238,003
Total Fund Balance	\$ 6,979,314	\$ 2,420,386	\$ (146,925)					\$ 9,252,775	\$ 6,462,408
Total Liabilities and Fund Balance	\$ 6,652,572	\$ 2,420,378	\$ (153,008)				\$ 4,738,876	\$ 13,658,818	\$ 14,700,411

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December										
	Fiscal Year 2013/14							2012/13		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 12,502,733	\$ 68,185					\$ 12,570,918	\$ 20,165,948	\$ 8,126,079	\$ 16,701,566
Improvement and Modernization Fund								\$ 0		
Judges' Compensation (45.25)	\$ 99,750						\$ 99,750	\$ 199,500	\$ 99,750	\$ 209,000
Court Interpreter (45.45)	\$ 715,837						\$ 715,837	\$ 1,300,000	\$ 676,392	\$ 1,302,974
Civil Coordination Reimbursement (45.55)								\$ 617,240	\$ 136,613	\$ 622,180
MOU Reimbursements (45.10 and General)	\$ 140,871						\$ 140,871	\$ 1,597,662	\$ 1,148,182	\$ 1,148,182
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662			
	\$ 15,056,853	\$ 68,185					\$ 15,125,038	\$ 23,880,350	\$ 10,187,017	\$ 19,983,902
Grants										
AB 1058 Commissioner/Facilitator	\$ 0		\$ 254,826				\$ 254,826	\$ 814,937	\$ 9,234	\$ 814,937
Other AOC Grants								\$ 45,000	\$ 999	
Non-AOC Grants	\$ 0		\$ 254,826				\$ 254,826	\$ 859,937	\$ 10,233	\$ 814,937
Other Financing Sources										
Interest Income	\$ 1,744	\$ 1,508					\$ 3,252	\$ 17,000	\$ 10,562	\$ 36,000
Investment Income										
Donations										
Local Fees	\$ 39,378	\$ 154,131					\$ 193,509	\$ 215,000	\$ 236,151	\$ 571,000
Non-Fee Revenues	\$ 125,001						\$ 125,001	\$ 155,000	\$ 142,036	\$ 141,000
Enhanced Collections		\$ 228,725					\$ 228,725	\$ 998,026	\$ 363,688	\$ 982,200
Escheatment									\$ 11,023	
Prior Year Revenue										
County Program - Restricted		\$ 298,937					\$ 298,937	\$ 1,096,197	\$ 367,713	\$ 1,060,300
Reimbursement Other	\$ 33,314						\$ 33,314	\$ 48,500	\$ 14,295	\$ 50,000
Sale of Fixed Assets	\$ 1,961						\$ 1,961			
Other Miscellaneous	\$ 18,751						\$ 18,751	\$ 8,000	\$ 2,514	\$ 10,000
	\$ 220,149	\$ 683,300					\$ 903,449	\$ 2,537,723	\$ 1,147,982	\$ 2,850,500
Total Revenues	\$ 15,277,001	\$ 751,485	\$ 254,826				\$ 16,283,313	\$ 27,278,010	\$ 11,345,232	\$ 23,649,339
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 5,963,579	\$ 403,570	\$ 219,816				\$ 6,586,965	\$ 15,411,221	\$ 7,985,502	\$ 16,605,353
Temp Help	\$ 326,878	\$ 36,158					\$ 363,036	\$ 1,050,000	\$ 351,381	\$ 478,365
Overtime	\$ 4,465	\$ 765					\$ 5,231		\$ 9,634	
Staff Benefits	\$ 3,445,109	\$ 253,005	\$ 115,819				\$ 3,813,934	\$ 8,138,916	\$ 3,988,996	\$ 8,364,540
	\$ 9,740,032	\$ 693,499	\$ 335,635				\$ 10,769,165	\$ 24,600,137	\$ 12,345,514	\$ 25,448,258
Operating Expenses and Equipment										
General Expense	\$ 207,444	\$ 55,248	\$ 445				\$ 263,136	\$ 830,270	\$ 301,119	\$ 863,538
Printing	\$ 37,934	\$ 63	\$ 70				\$ 38,068	\$ 90,000	\$ 35,298	\$ 112,154
Telecommunications	\$ 73,453	\$ 3,428	\$ 174				\$ 77,055	\$ 225,000	\$ 81,620	\$ 171,466
Postage	\$ 62,711	\$ 8,197	\$ 156				\$ 71,065	\$ 179,900	\$ 93,884	\$ 153,163
Insurance	\$ 19,318		\$ 657				\$ 19,975	\$ 18,058	\$ 16,402	\$ 16,839
In-State Travel	\$ 12,220	\$ 1,059	\$ 589				\$ 13,868	\$ 34,350	\$ 11,106	\$ 34,418
Out-of-State Travel	\$ 2,404						\$ 2,404			
Training	\$ 25		\$ 1,168				\$ 1,193	\$ 15,000	\$ 5,490	\$ 9,343
Security Services	\$ 287,904		\$ 44,999				\$ 332,903	\$ 845,500	\$ 360,369	\$ 1,642,846
Facility Operations	\$ 162,251	\$ 12,329					\$ 174,580	\$ 413,150	\$ 201,366	\$ 450,517
Utilities		\$ 1,071					\$ 1,071	\$ 4,000	\$ 1,654	\$ 4,862
Contracted Services	\$ 493,863	\$ 123,611	\$ 14,097				\$ 631,570	\$ 1,542,434	\$ 566,809	\$ 1,863,757
Consulting and Professional Services	\$ 26,384	\$ 5,166	\$ 582				\$ 32,132	\$ 108,340	\$ 50,705	\$ 104,370
Information Technology	\$ 197,083	\$ 11,929	\$ 2,131				\$ 211,142	\$ 761,603	\$ 311,283	\$ 755,132
Major Equipment								\$ 2,000	\$ 0	\$ 17,840
Other Items of Expense	\$ 12,520		\$ 1,048				\$ 13,568	\$ 37,000	\$ 8,344	\$ 24,339
	\$ 1,595,513	\$ 222,100	\$ 66,116				\$ 1,883,730	\$ 5,106,605	\$ 2,045,448	\$ 6,224,584
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 118,937						\$ 118,937	\$ 200,500	\$ 91,334	\$ 243,120
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery										\$ 164,002
Prior Year Expense Adjustment	\$ (15)	\$ (2,640)					\$ (2,655)			
	\$ 118,921	\$ (2,640)					\$ 116,281	\$ 200,500	\$ 91,334	\$ 407,122
Total Expenditures	\$ 11,454,468	\$ 912,959	\$ 401,751				\$ 12,766,176	\$ 29,907,242	\$ 14,492,296	\$ 32,079,964
Excess (Deficit) of Revenues Over Expenditures	\$ 3,822,535	\$ (161,474)	\$ (146,925)				\$ 3,514,137	\$ (2,629,232)	\$ (3,137,063)	\$ (8,430,625)
Operating Transfers In (Out)										
								\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 3,156,779	\$ 2,581,860	\$ 0				\$ 5,738,638	\$ 5,738,638	\$ 9,599,471	\$ 9,599,471
Ending Balance (Deficit)	\$ 6,979,314	\$ 2,420,386	\$ (146,925)				\$ 9,252,775	\$ 3,109,406	\$ 6,462,408	\$ 1,168,848

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2013/14										
2012/13										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,366,850	\$ 266,349	\$ 0			\$ (48)	\$ 3,633,151	\$ 8,406,947	\$ 3,955,130	\$ 8,520,375
Traffic & Other Infractions	\$ 433,627	\$ 28,088					\$ 461,715	\$ 1,101,825	\$ 571,567	\$ 1,367,077
Other Criminal Cases	\$ 990,837	\$ 85,344				\$ 33	\$ 1,076,214	\$ 2,576,432	\$ 1,265,162	\$ 2,584,963
Civil	\$ 728,868	\$ 112,183					\$ 841,051	\$ 1,790,966	\$ 937,067	\$ 2,043,874
Family & Children Services	\$ 532,887	\$ 8,732					\$ 541,619	\$ 1,253,462	\$ 599,592	\$ 1,404,378
Probate, Guardianship & Mental Health Services	\$ 223,039	\$ 15,261					\$ 238,300	\$ 572,397	\$ 275,089	\$ 635,938
Juvenile Dependency Services	\$ 15,008						\$ 15,008	\$ 203,245	\$ 34,748	\$ 253,133
Juvenile Delinquency Services	\$ 103,458	\$ 19,669					\$ 123,126	\$ 132,565	\$ 175,109	\$ 138,958
Other Court Operations	\$ 1,245,222	\$ 259,812					\$ 1,505,033	\$ 3,241,209	\$ 1,800,977	\$ 3,581,871
Court Interpreters	\$ 468,046	\$ 129,519					\$ 597,565	\$ 1,429,760	\$ 637,784	\$ 1,483,505
Jury Services	\$ 188,788	\$ 69,645	\$ 118,937				\$ 377,370	\$ 805,327	\$ 356,580	\$ 778,938
Security		\$ 335,421					\$ 335,421	\$ 886,175	\$ 432,364	\$ 1,685,955
Trial Court Operations Program	\$ 8,296,629	\$ 1,330,023	\$ 118,937			\$ (15)	\$ 9,745,573	\$ 22,400,310	\$ 11,041,170	\$ 24,478,965
Enhanced Collections	\$ 167,797	\$ 189,057				\$ (2,640)	\$ 354,214	\$ 998,026	\$ 360,190	\$ 977,199
Other Non-Court Operations	\$ 399,182	\$ 9,744					\$ 408,926	\$ 929,907	\$ 439,581	\$ 943,284
Non-Court Operations Program	\$ 566,979	\$ 198,801				\$ (2,640)	\$ 763,140	\$ 1,927,933	\$ 799,771	\$ 1,920,483
Executive Office	\$ 321,253	\$ 14,578					\$ 335,831	\$ 769,896	\$ 373,183	\$ 755,991
Fiscal Services	\$ 596,667	\$ 34,086				\$ 0	\$ 630,752	\$ 1,426,414	\$ 688,406	\$ 1,472,157
Human Resources	\$ 266,600	\$ 53,383					\$ 319,983	\$ 698,643	\$ 309,726	\$ 593,386
Business & Facilities Services		\$ 12,990					\$ 12,990	\$ 121,658	\$ 33,539	\$ 280,616
Information Technology	\$ 721,038	\$ 239,868					\$ 960,907	\$ 2,562,388	\$ 1,236,501	\$ 2,578,366
Court Administration Program	\$ 1,905,558	\$ 354,906				\$ 0	\$ 2,260,463	\$ 5,578,999	\$ 2,641,355	\$ 5,680,516
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 10,769,155	\$ 1,883,730	\$ 118,937			\$ (2,655)	\$ 12,769,176	\$ 29,907,242	\$ 14,482,296	\$ 32,079,964