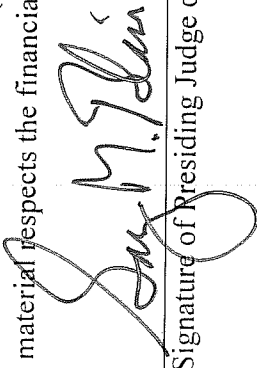


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/11/2012

Date

Santa Barbara

Court

Fiscal Year 2011-2012

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara
 Court
 Fiscal Year 2011-2012
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	293.78	268,425			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

Fiscal Year 2011-2012

Fiscal Year and Ending Quarter

FOOTNOTES

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Santa Barbara Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

		For the month ended Sep Fiscal Year 2011/12						2010/11	
		Governmental Funds				Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
		Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS	General	Non-Grant	Grant			Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
Operations Payroll	\$ (1,210,777)	\$ 876,906	\$ (194,044)			\$ 9,629	\$ (518,285)	\$ (268,303)	
July	\$ 0	\$ 0	\$ 0				\$ 0	\$ (155)	
Revolving Other	\$ 5,000					\$ 114,836	\$ 5,000	\$ 5,000	
Distribution Civil Filing Fees						\$ 0	\$ 114,836	\$ 83,900	
Trust						\$ 912,760	\$ 0	\$ 0	
Cash on Hand	\$ 7,152						\$ 912,760	\$ 2,171,309	
Cash with County							\$ 7,152	\$ 7,152	
Total Cash	\$ (1,198,625)	\$ 876,906	\$ (194,044)			\$ 1,037,226	\$ 521,464	\$ 1,998,903	
Short Term Investment	\$ 10,428,863					\$ 3,816,101	\$ 14,244,964	\$ 14,326,577	
Investment in Financial Institution									
Total Investments	\$ 10,428,863					\$ 3,816,101	\$ 14,244,964	\$ 14,326,577	
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Accounts Receivable - General									
Dishonored Checks	\$ 0						\$ 0	\$ 0	
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0					\$ 0	\$ 0	\$ 286,565	
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Due From Other Courts									
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Trust Due To/From	\$ 0								
Distribution Due To/From									
Civil Filing Fee Due To/From						\$ 349,177	\$ 349,177	\$ 0	
General Due To/From	\$ 1,080					\$ 80,325	\$ 80,325	\$ 0	
Total Receivables	\$ 1,080	\$ 0	\$ 0			\$ 429,502	\$ 430,582	\$ 286,565	
Prepaid Expenses - General	\$ 0						\$ 0	\$ 0	
Salary and Travel Advances									
Countries									
Total Prepaid Expenses	\$ 0						\$ 0	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 9,231,318	\$ 876,906	\$ (194,044)			\$ 5,282,829	\$ 15,197,010	\$ 16,612,045	

LIABILITIES AND FUND BALANCES

Accrued Liabilities	\$ 0						\$ 0		\$ 0
Accounts Payable - General	\$ 1,460						\$ 0		\$ 1,460
Due to Other Funds	\$ 11						\$ 0		\$ 430,562
Due to Other Courts							\$ 9,254		\$ 286,565
Due to State	\$ 0						\$ 0		\$ 0
TC145 Liability	\$ 0						\$ 0		\$ 0
Due to Other Governments	\$ 0						\$ 0		\$ 537,374
AB145 Due to Other Government Agency							\$ 0		\$ 0
Due to Other Public Agencies							\$ 0		\$ 3,133,841
Sales and Use Tax	\$ 39						\$ 39		\$ 249
Interest	\$ 346						\$ 210		\$ 408
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,856						\$ 9,254		\$ 796
Total Accounts Payable and Accrued Liab.							\$ 9,254		\$ 4,103,853
Civil							\$ 0		\$ 1,510,459
Criminal							\$ 0		\$ 701,454
Unreconciled - Civil and Criminal Trust Held Outside of the AOC							\$ 0		\$ 3,729
Trust Interest Payable							\$ 0		\$ 28,262
Miscellaneous Trust							\$ 0		\$ 0
Total Trust Deposits							\$ 0		\$ 2,243,904
Accrued Payroll	\$ 846,954						\$ 61,800		\$ 940,787
Benefits Payable	\$ 42,080					\$ 32,033	\$ 0		\$ 616,872
Deferred Compensation Payable	\$ 0					\$ 0	\$ 0		\$ (766)
Deductions Payable	\$ (1,504)					\$ 0	\$ 0		\$ (5)
Payroll Clearing	\$ 887,530					\$ 32,033	\$ 0		\$ 3,984
Total Payroll Liabilities							\$ 61,800		\$ 981,363
Revenue Collected in Advance							\$ 0		\$ 0
Liabilities For Deposits	\$ 18,692						\$ 0		\$ 43,242
Jury Fees - Non-Interest							\$ 0		\$ 32,169
Fees - Partial Payment & Overpayment							\$ 0		\$ 610
Uncleared Collections							\$ 0		\$ 0
Other Miscellaneous Liabilities							\$ 0		\$ 0
Total Other Liabilities							\$ 0		\$ 76,021
Total Liabilities	\$ 908,078						\$ 71,055		\$ 6,293,995
Total Fund Balance	\$ 6,323,240						\$ 32,033		\$ 7,349,208
Total Liabilities and Fund Balance	\$ 9,231,318						\$ 805,852		\$ 9,262,837
							\$ (194,044)		\$ 15,197,010
							\$ 5,282,829		\$ 16,612,045

Santa Barbara Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep

2010/11

Governmental Funds	Fiscal Year 2011/12				Fiduciary Funds	Proprietary Funds	Debt Service	Capital Projects	Special Revenue		Total Revenue
	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)					Grant	Non-Grant	
State Financing Sources	\$ 4,914,566	\$ 5,067,768	\$ 4,918,674	\$ 5,067,768					\$ 4,108		\$ 4,108
Trial Court Trust Fund	\$ 56,712	\$ 56,712	\$ 56,712	\$ 56,712							\$ 56,712
Judicial Administration Efficiency & Mod Fund	\$ 6,519	\$ 6,519	\$ 6,519	\$ 6,519							\$ 6,519
Judges' Compensation (45.25)	\$ 49,875	\$ 49,875	\$ 49,875	\$ 49,875							\$ 49,875
Court Interpreter (45.45)	\$ 256,878	\$ 256,878	\$ 256,878	\$ 256,878							\$ 256,878
Civil Coordination Reimbursement (45.55)		\$ 292,392	\$ 1,356,731	\$ 292,392							\$ 1,017,000
MOU Reimbursements (45.10 and General)	\$ 53,531	\$ 1,760	\$ 392,624	\$ 1,760							\$ 305,976
Other Miscellaneous	\$ 5,338,081	\$ 6,907,507	\$ 5,342,189	\$ 6,907,507					\$ 4,108		\$ 31,818,990
Grants	\$ (422)	\$ (20,996)	\$ (22)	\$ (20,996)					\$ (422)		\$ (20,996)
AB 1058 Commissioner/Facilitator		\$ (161,168)	\$ 40,000	\$ (161,168)							\$ (20,616)
Other AOC Grants		\$ (8,390)		\$ (8,390)							\$ (20,616)
Non-AOC Grants											\$ (20,616)
Other Financing Sources	\$ 1,146	\$ 2,092	\$ 1,162	\$ 2,092							\$ 820,616
Interest Income		\$ 55,600		\$ 55,600							\$ 820,616
Investment Income											\$ 820,616
Local Fees	\$ 108,583	\$ 124,508	\$ 108,583	\$ 124,508							\$ 820,616
Non-Fee Revenues	\$ 12,416	\$ 10,531	\$ 12,416	\$ 10,531							\$ 820,616
Enhanced Collections	\$ 144,209	\$ 59,188	\$ 144,209	\$ 59,188							\$ 820,616
Escalation		\$ 170,900		\$ 170,900							\$ 820,616
Prior Year Revenue - Restricted	\$ 9,926	\$ 1,145,968	\$ 9,926	\$ 1,145,968							\$ 820,616
County Program - Restricted	\$ 151	\$ 80,099	\$ 151	\$ 80,099							\$ 820,616
Reimbursement Other	\$ 9,926	\$ 80,099	\$ 9,926	\$ 80,099							\$ 820,616
Sale of Fixed Assets	\$ (48,444)	\$ 410	\$ (48,444)	\$ 410							\$ 820,616
Other Miscellaneous	\$ 227,697	\$ 285,903	\$ 243,774	\$ 285,903							\$ 820,616
Total Revenues	\$ 5,565,778	\$ 7,023,251	\$ 5,565,446	\$ 7,023,251					\$ (20,517)		\$ 7,023,251
Personal Services	\$ 3,792,966	\$ 4,192,988	\$ 3,792,966	\$ 4,192,988							\$ 7,023,251
Salaries - Permanent	\$ 263,870	\$ 16,337,132	\$ 263,870	\$ 16,337,132							\$ 7,023,251
Temp Help	\$ 8,293	\$ 298,819	\$ 8,293	\$ 298,819							\$ 7,023,251
Overtime	\$ 945	\$ 67,204	\$ 945	\$ 67,204							\$ 7,023,251
Coverline	\$ 848	\$ 9,153	\$ 848	\$ 9,153							\$ 7,023,251
Staff Benefits	\$ 1,889,072	\$ 1,788,306	\$ 1,889,072	\$ 1,788,306							\$ 7,023,251
Operating Expenses and Equipment	\$ 5,772,872	\$ 6,577,663	\$ 5,772,872	\$ 6,577,663							\$ 7,023,251
General Expense	\$ 171,327	\$ 136,755	\$ 171,327	\$ 136,755							\$ 7,023,251
Printing	\$ 13,435	\$ 136,755	\$ 13,435	\$ 136,755							\$ 7,023,251
Telecommunications	\$ 34,148	\$ 32,745	\$ 34,148	\$ 32,745							\$ 7,023,251
Postage	\$ 66,628	\$ 32,745	\$ 66,628	\$ 32,745							\$ 7,023,251
Insurance	\$ 5,248	\$ 20,228	\$ 5,248	\$ 20,228							\$ 7,023,251
In-State Travel	\$ 7,385	\$ 3,011	\$ 7,385	\$ 3,011							\$ 7,023,251
Out-of-State Travel	\$ 191	\$ 27,497	\$ 191	\$ 27,497							\$ 7,023,251
Training	\$ 300	\$ 4,724	\$ 300	\$ 4,724							\$ 7,023,251
Security Services	\$ 139,259	\$ 2,222	\$ 139,259	\$ 2,222							\$ 7,023,251
Facility Operations	\$ 62,537	\$ 650,729	\$ 62,537	\$ 650,729							\$ 7,023,251
Utilities	\$ (284)	\$ 49,184	\$ (284)	\$ 49,184							\$ 7,023,251
Contracted Services	\$ 267,338	\$ 274,061	\$ 267,338	\$ 274,061							\$ 7,023,251
Consulting and Professional Services	\$ 2,034	\$ 19,793	\$ 2,034	\$ 19,793							\$ 7,023,251
Information Technology	\$ 183,763	\$ 126,706	\$ 183,763	\$ 126,706							\$ 7,023,251
Major Equipment	\$ 1,373	\$ 7,105	\$ 1,373	\$ 7,105							\$ 7,023,251
Other Items of Expense	\$ 971,344	\$ 24,075	\$ 971,344	\$ 24,075							\$ 7,023,251
Special Items of Expense	\$ 50,513	\$ 241,429	\$ 50,513	\$ 241,429							\$ 7,023,251
Grand Jury	\$ 50,513	\$ 241,429	\$ 50,513	\$ 241,429							\$ 7,023,251
Jury Costs											\$ 7,023,251
Judgments, Settlements and Claims											\$ 7,023,251
Debt Service											\$ 7,023,251
Other											\$ 7,023,251
Capital Costs											\$ 7,023,251
Internal Cost Recovery											\$ 7,023,251
Prior Year Expense Adjustment	\$ 0	\$ 0	\$ 0	\$ 0							\$ 7,023,251
Total Expenditures	\$ 6,794,728	\$ 7,445,494	\$ 6,794,728	\$ 7,445,494					\$ (20,517)		\$ 7,445,494
Excess (Deficit) of Revenues Over Expenditures	\$ (1,228,950)	\$ (422,243)	\$ (1,228,950)	\$ (422,243)							\$ (422,243)
Operating Transfers In (Out)											\$ 0
Fund Balance (Deficit)	\$ 9,552,190	\$ 9,685,080	\$ 9,552,190	\$ 9,685,080							\$ 9,685,080
Ending Balance (Deficit)	\$ 8,323,240	\$ 9,262,837	\$ 8,323,240	\$ 9,262,837							\$ 9,262,837

Santa Barbara Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep										
Fiscal Year 2011/12										
2010/11										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,916,652	\$ 170,629					\$ 2,087,281	\$ 8,565,930	\$ 1,971,473	\$ 8,541,895
Traffic & Other Infractions	\$ 328,442	\$ 9,689					\$ 338,131	\$ 1,549,828	\$ 308,941	\$ 1,441,637
Other Criminal Cases	\$ 597,585	\$ 24,531					\$ 622,117	\$ 2,505,155	\$ 578,391	\$ 2,353,193
Civil	\$ 420,496	\$ 49,030					\$ 469,527	\$ 1,914,236	\$ 454,192	\$ 2,012,792
Family & Children Services	\$ 328,567	\$ 6,273					\$ 334,841	\$ 1,470,936	\$ 326,480	\$ 1,207,434
Probate, Guardianship & Mental Health Services	\$ 128,157	\$ (78)					\$ 128,078	\$ 591,876	\$ 126,282	\$ 573,583
Juvenile Dependency Services	\$ 13,709	\$ 7,927					\$ 13,709	\$ 123,263	\$ 14,520	\$ 86,504
Juvenile Delinquency Services	\$ 65,930	\$ 7,927					\$ 73,856	\$ 245,199	\$ 73,635	\$ 267,762
Other Court Operations	\$ 755,587	\$ 187,215					\$ 942,803	\$ 4,047,938	\$ 840,923	\$ 4,286,146
Court Interpreters	\$ 248,276	\$ 72,397					\$ 320,673	\$ 1,358,631	\$ 291,558	\$ 1,286,459
Jury Services	\$ 109,962	\$ 29,997	\$ 50,513				\$ 190,472	\$ 839,895	\$ 237,747	\$ 679,836
Security		\$ 161,465					\$ 161,465	\$ 923,455	\$ 655,053	\$ 6,569,455
	\$ 4,913,365	\$ 719,075	\$ 50,513				\$ 5,682,953	\$ 24,136,342	\$ 5,879,194	\$ 29,306,666
Trial Court Operations Program										
Enhanced Collections	\$ 106,538	\$ 78,228					\$ 184,766	\$ 1,066,308	\$ 198,963	\$ 824,795
Other Non-Court Operations	\$ 229,588	\$ 4,554					\$ 234,142	\$ 1,034,651	\$ 223,612	\$ 1,024,380
	\$ 336,126	\$ 82,781					\$ 418,907	\$ 2,100,959	\$ 422,575	\$ 1,849,175
Non-Court Operations Program										
Executive Office	\$ 168,210	\$ 17,176					\$ 185,386	\$ 759,419	\$ 200,266	\$ 665,503
Fiscal Services	\$ 323,068	\$ 13,652					\$ 336,721	\$ 1,195,733	\$ 942,671	\$ 1,674,398
Human Resources	\$ 222,315	\$ 9,739				\$ 0	\$ 232,054	\$ 619,525	\$ 145,529	\$ 590,187
Business & Facilities Services		\$ 15,490					\$ 15,490	\$ 238,190	\$ 20,856	\$ 137,509
Information Technology	\$ 411,390	\$ 212,244					\$ 623,634	\$ 2,542,416	\$ 434,403	\$ 2,534,966
Court Administration Program	\$ 1,124,983	\$ 268,302				\$ 0	\$ 1,393,285	\$ 5,355,283	\$ 1,143,726	\$ 5,602,563
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 6,374,474	\$ 1,070,168	\$ 50,513			\$ 0	\$ 7,495,144	\$ 31,592,584	\$ 7,445,494	\$ 36,758,424