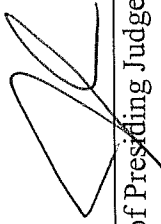


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

John Fitton – Court Executive Officer

5/20/14

Date

San Mateo

Court

2013-2014 Quarter Ending March 31st, 2014

Fiscal Year and Ending Quarter

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2013/14									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
Non-Grant		Grant							
ASSETS									
Operations	\$ (1,565,319)	\$ 1,649,754	\$ (517,697)			\$ 0	\$ 16,650,422	\$ 16,217,160	\$ 8,617,988
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 155,831	\$ 155,831	\$ 517,229
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 3,750						\$ (167,362)	\$ (163,611)	\$ (425,888)
Credit Card	\$ 5,170							\$ 5,170	\$ 5,170
Cash on Hand	\$ 1,343,047						\$ 0	\$ 1,343,047	\$ 2,680,000
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (213,352)	\$ 1,649,754	\$ (517,697)			\$ 0	\$ 16,638,892	\$ 17,557,596	\$ 11,394,497
Short Term Investment	\$ 6,924,811						\$ 4,192,586	\$ 11,117,397	\$ 9,142,074
Investment in Financial Institution							\$ 1,503,198	\$ 1,503,198	\$ 170,000
Total Investments	\$ 6,924,811						\$ 5,695,784	\$ 12,620,595	\$ 9,312,074
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	\$ 844
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 140,373	\$ 0				\$ 0	\$ 0	\$ 140,373	\$ 37,566
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 293,037					\$ 293,037	\$ 498,770
Trust Due To/From							\$ 284,084	\$ 284,084	\$ 285,738
Distribution Due To/From							\$ 490	\$ 490	\$ 1,610
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,131	\$ 0						\$ 3,131	\$ 3,123
Total Receivables	\$ 143,505	\$ 0	\$ 293,037			\$ 0	\$ 284,574	\$ 721,116	\$ 807,651
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 6,854,864	\$ 1,649,754	\$ (224,860)			\$ 0	\$ 22,819,250	\$ 30,899,308	\$ 21,514,221
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 13,002	\$ 0	\$ 0			\$ 0	\$ 0	\$ 13,002	\$ 49,467
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 287,706	\$ 287,706	\$ 270,470
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 843,013	\$ 843,013	\$ 791,020
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,372,886	\$ 3,372,886	\$ 3,429,825
Due to Other Public Agencies									
Sales and Use Tax	\$ 41							\$ 41	\$ 0
Interest							\$ 2,158	\$ 2,158	\$ 1,243
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 3,750							\$ 3,750	\$ 5
Total Accounts Payable and Accrued Liab.	\$ 16,793	\$ 0	\$ 0			\$ 0	\$ 4,505,763	\$ 4,522,556	\$ 4,642,031
Civil							\$ 12,663,724	\$ 12,663,724	\$ 4,292,695
Criminal							\$ 1,804,559	\$ 1,804,559	\$ 2,097,476
Unreconciled - Civil and Criminal							\$ 1,518,502	\$ 1,518,502	\$ 1,518,502
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 104,504	\$ 104,504	\$ 98,391
Miscellaneous Trust									
Total Trust Deposits							\$ 16,091,289	\$ 16,091,289	\$ 8,007,065
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable							\$ 1,503,198	\$ 1,503,198	\$ 170,000
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 0						\$ 1,503,198	\$ 1,503,198	\$ 170,000
Revenue Collected in Advance						\$ 0		\$ 0	\$ 0
Liabilities For Deposits	\$ 55,455						\$ 35,723	\$ 91,178	\$ 58,971
Jury Fees - Non-Interest							\$ 471,174	\$ 471,174	\$ 483,665
Fees - Partial Payment & Overpayment							\$ 12,103	\$ 12,103	\$ 10,134
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 55,455					\$ 0	\$ 519,000	\$ 574,456	\$ 552,770
Total Liabilities	\$ 72,249	\$ 0	\$ 0			\$ 0	\$ 22,819,250	\$ 22,691,498	\$ 13,271,865
Total Fund Balance	\$ 8,782,715	\$ 1,649,754	\$ (224,860)			\$ 0		\$ 8,207,809	\$ 8,242,356
Total Liabilities and Fund Balance	\$ 8,854,864	\$ 1,649,754	\$ (224,860)			\$ 0	\$ 22,819,250	\$ 30,899,308	\$ 21,514,221

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March										
Fiscal Year 2013/14										
	Governmental Funds						Total Funds (Info. Purpose Only)	Current Budget (Annual)	Total Funds (Info. Purpose Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 23,073,431	\$ 271,558					\$ 23,044,989	\$ 30,500,445	\$ 21,384,477	\$ 27,332,588
Improvement and Modernization Fund	\$ (1)						\$ (1)	\$ 107,978	\$ 4,711	\$ 111,682
Judges' Compensation (45.25)	\$ 188,931						\$ 188,931	\$ 259,500	\$ 185,250	\$ 247,000
Court Interpreter (45.45)	\$ 1,155,410						\$ 1,155,410	\$ 1,528,000	\$ 924,503	\$ 1,874,570
Civil Coordination Reimbursement (45.55)	\$ 458,483						\$ 458,483	\$ 601,383	\$ 472,833	\$ 814,009
MOU Reimbursements (45.10 and General)	\$ 2,411,112						\$ 2,411,112	\$ 2,411,112	\$ 2,411,112	\$ 2,240,517
Other Miscellaneous	\$ 27,885,366	\$ 271,558					\$ 28,156,924	\$ 35,585,318	\$ 26,363,048	\$ 32,620,564
Grants										
AG 1058 Commissioner/Facilitator			\$ 534,836				\$ 534,836	\$ 603,853	\$ 498,770	\$ 698,501
Other AOC Grants	\$ 4		\$ 18,508				\$ 18,513	\$ 45,513	\$ 17,200	\$ 36,339
Non-AOC Grants	\$ 4		\$ 553,345				\$ 553,349	\$ 739,398	\$ 515,970	\$ 732,840
Other Financing Sources										
Interest Income	\$ 24,730	\$ 2,180					\$ 26,911	\$ 50,000	\$ 27,508	\$ 95,000
Investment Income										
Donations										
Local Fees	\$ 473,074	\$ 47,324					\$ 520,397	\$ 740,130	\$ 573,694	\$ 791,400
Non-Fee Revenues	\$ 6,266						\$ 6,268	\$ 11,000	\$ 5,836	
Enhanced Collections										
Escheatment	\$ 1,939						\$ 1,939		\$ 1749	
Prior Year Revenue	\$ 15,300						\$ 15,300	\$ 177,300	\$ 123,826	\$ 180,000
County Program - Restricted	\$ 39,594	\$ 105,122					\$ 39,594	\$ 84,000	\$ 46,504	\$ 105,220
Reimbursement Other	\$ 6,939						\$ 6,939	\$ 10,000	\$ 9,030	\$ 13,000
Sale of Fixed Assets	\$ 588,442	\$ 154,020					\$ 723,088	\$ 1,072,430	\$ 785,640	\$ 1,184,020
Other Miscellaneous										
Total Revenues	\$ 28,453,812	\$ 428,184	\$ 553,345				\$ 29,433,341	\$ 37,397,244	\$ 26,604,665	\$ 34,538,024
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 13,048,880		\$ 238,917				\$ 13,287,777	\$ 18,169,853	\$ 14,521,228	\$ 20,535,599
Temp Help	\$ 57,075						\$ 57,075	\$ 12,550	\$ 12,550	
Overtime	\$ 16,285						\$ 16,285	\$ 12,632,880	\$ 7,650	\$ 12,526,883
Staff Benefits	\$ 8,169,411		\$ 459,512				\$ 8,300,905	\$ 12,632,880	\$ 8,587,054	\$ 12,526,883
Operating Expenses and Equipment										
General Expense	\$ 351,110	\$ 48,812	\$ 3,522				\$ 401,444	\$ 748,928	\$ 349,505	\$ 618,071
Printing	\$ 48,981						\$ 48,981	\$ 172,775	\$ 121,458	\$ 131,440
Telecommunications	\$ 359,927	\$ 28,234					\$ 388,161	\$ 318,520	\$ 223,735	\$ 419,120
Postage	\$ 174,138						\$ 174,138	\$ 280,055	\$ 182,487	\$ 318,570
Insurance	\$ 8,452						\$ 8,452	\$ 9,500	\$ 9,250	\$ 11,570
In-State Travel	\$ 17,808	\$ 546	\$ 1,039				\$ 19,391	\$ 36,044	\$ 15,989	\$ 36,790
Out-of-State Travel										
Training	\$ 4,498						\$ 4,498	\$ 15,225	\$ 13,730	\$ 13,050
Security Services	\$ 445,036						\$ 445,036	\$ 446,402	\$ 2,304	\$ 2,400
Facility Operations	\$ 363,351						\$ 363,351	\$ 509,730	\$ 368,051	\$ 602,901
Utilities	\$ 3,927						\$ 3,927	\$ 5,850	\$ 4,305	\$ 6,500
Contracted Services	\$ 936,487	\$ 283,218	\$ 22,435				\$ 1,242,140	\$ 1,051,755	\$ 1,220,140	\$ 2,135,378
Consulting and Professional Services	\$ 425,005	\$ 18,008					\$ 443,013	\$ 2,232,206	\$ 367,373	\$ 928,843
Information Technology	\$ 331,200	\$ 293,306					\$ 624,506	\$ 1,898,120	\$ 722,993	\$ 2,118,280
Major Equipment	\$ 42,254						\$ 42,254	\$ 132,000	\$ 200,195	\$ 200,000
Other Items of Expense	\$ 3,308						\$ 3,308	\$ 17,223	\$ 2,203	\$ 19,523
Special Items of Expense										
Grand Jury	\$ 760						\$ 760	\$ 2,000	\$ 600	\$ 2,000
Jury Costs	\$ 236,167						\$ 236,167	\$ 287,000	\$ 210,980	\$ 256,600
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 810,000						\$ 810,000	\$ 1,380,000	\$ 340,000	
Capital Costs										
Internal Cost Recovery	\$ (300,200)		\$ 300,200				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 844						\$ 844		\$ 28,504	
Total Expenditures	\$ 26,850,877	\$ 870,123	\$ 786,714				\$ 27,907,514	\$ 41,675,876	\$ 27,482,501	\$ 41,977,109
Excess (Deficit) of Revenues Over Expenditures	\$ 2,003,135	\$ (243,939)	\$ (233,369)				\$ 2,425,827	\$ (3,678,732)	\$ (817,836)	\$ (6,539,085)
Operating Transfers In (Out)	\$ (8,709)		\$ 8,709				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 3,088,280	\$ 1,803,693	\$ 0				\$ 5,781,983	\$ 5,781,983	\$ 9,060,192	\$ 9,080,192
Ending Balance (Deficit)	\$ 6,782,715	\$ 1,846,754	\$ (224,660)				\$ 8,207,809	\$ 2,303,251	\$ 8,242,356	\$ 2,521,107

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2013/14										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 8,692,999	\$ 478,769	\$ 0				\$ 9,171,768	\$ 11,763,916	\$ 9,810,426	\$ 14,040,488
Traffic & Other Infractions	\$ 876,023	\$ 323,681					\$ 1,299,704	\$ 1,971,293	\$ 1,252,105	\$ 1,954,769
Other Criminal Cases	\$ 1,778,693	\$ 143,934					\$ 1,922,627	\$ 3,628,552	\$ 2,621,632	\$ 3,517,059
Civil	\$ 2,254,239	\$ 174,593					\$ 2,428,832	\$ 3,166,714	\$ 2,123,891	\$ 3,399,000
Family & Children Services	\$ 1,572,291	\$ 159,171					\$ 1,731,462	\$ 2,835,653	\$ 1,787,987	\$ 2,619,344
Probate, Guardianship & Mental Health Services	\$ 908,712	\$ 15,792					\$ 924,504	\$ 1,448,329	\$ 954,640	\$ 1,512,061
Juvenile Dependency Services	\$ 0	\$ 202,008					\$ 202,008	\$ 673,030	\$ 378,954	\$ 499,989
Juvenile Delinquency Services	\$ 218,564	\$ 23,541					\$ 242,105	\$ 349,401	\$ 231,042	\$ 344,163
Other Court Operations	\$ 291,544	\$ 291,544					\$ 583,088	\$ 404,603	\$ 285,449	\$ 499,989
Court Interpreters	\$ 978,061	\$ 212,605					\$ 1,190,666	\$ 1,832,226	\$ 1,176,365	\$ 1,751,461
Jury Services	\$ 282,230	\$ 138,314	\$ 236,167				\$ 656,712	\$ 966,529	\$ 671,346	\$ 921,847
Security		\$ 462,427					\$ 462,427	\$ 443,042	\$ 2,928	
Trial Court Operations Program	\$ 17,953,355	\$ 2,334,836	\$ 236,167				\$ 20,524,359	\$ 29,473,288	\$ 21,296,764	\$ 30,760,181
Enhanced Collections		\$ 1,153	\$ 760				\$ 1,153	\$ 760	\$ 802	
Other Non-Court Operations		\$ 1,153	\$ 760				\$ 1,914	\$ 699	\$ 1,501	
Non-Court Operations Program										
Executive Office	\$ 1,298,441	\$ 176,099	\$ 810,000			\$ 844	\$ 2,285,384	\$ 3,440,839	\$ 1,810,369	\$ 2,556,250
Fiscal Services	\$ 978,204	\$ 11,492					\$ 989,696	\$ 1,509,031	\$ 1,023,818	\$ 1,517,813
Human Resources	\$ 186,775	\$ 138,826					\$ 325,601	\$ 332,581	\$ 339,285	\$ 519,627
Business & Facilities Services	\$ 326,334	\$ 368,916	\$ 0				\$ 715,251	\$ 1,201,588	\$ 709,938	\$ 1,221,446
Information Technology	\$ 1,007,033	\$ 1,158,277					\$ 2,165,310	\$ 5,118,649	\$ 2,300,824	\$ 4,495,792
Court Administration Program	\$ 3,796,788	\$ 1,873,610	\$ 810,000			\$ 844	\$ 6,481,242	\$ 11,602,688	\$ 6,184,235	\$ 10,318,928
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 21,750,143	\$ 4,209,599	\$ 1,046,928		\$ 0	\$ 844	\$ 27,007,514	\$ 41,075,976	\$ 27,482,501	\$ 41,077,109

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Mateo
 Court

FY2013-2014 March 31st, 2014
 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	251.25	247.25	244.25	
	268.25			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.