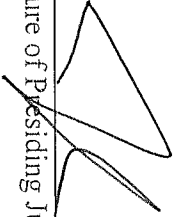


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive



Date

11/29/12

San Mateo

Court

FY12-13 9/30/2012

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Mateo

Court

FY12-13 9/30/2012

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	271.5	268			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep		Fiscal Year 2012/13							2011/12	
ASSETS	General	Governmental Funds							Total Funds	Total Funds (Info. Only)
		Special Revenue	Grant	Capital	Debt	Proprietary	Fiduciary	(Info. Only)		
Operations	\$ (3,086,720)	\$ 2,017,415	\$ (211,091)	\$ 0	\$ 7,965,949	\$ 6,885,553	\$ (2,696,514)	\$ 0	\$ (2,696,514)	
Payroll										
July										
Other										
Revolving										
Distribution										
Civil Filing Fees										
Trust										
Credit Card										
Cash on Hand	\$ 5,070				\$ 5,070		\$ 5,530	\$ 5,530		
Cash with County	\$ 2,612,245			\$ 0	\$ 2,612,245		\$ 3,292,412	\$ 3,292,412		
Cash Outside of the AOC										
Total Cash	\$ (469,405)	\$ 2,017,415	\$ (211,091)	\$ 0	\$ 8,381,223	\$ 9,718,141	\$ 10,759,409	\$ 10,759,409		
Short Term Investment	\$ 7,403,330				\$ 4,351,914	\$ 11,755,244	\$ 13,653,339	\$ 13,653,339		
Investment in Financial Institution										
Total Investments	\$ 7,403,330				\$ 4,351,914	\$ 11,755,244	\$ 13,653,339	\$ 13,653,339		
Accrued Revenue	\$ 844	\$ 0	\$ 0	\$ 0	\$ 844	\$ 844	\$ 844	\$ 844		
Accounts Receivable - General										
Dishonored Checks										
Due From Employee										
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Due From Other Governments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Due From Other Courts	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Due From State	\$ 1,921	\$ 192,138	\$ 0	\$ 0	\$ 194,059	\$ 60,884	\$ 60,884	\$ 60,884		
Trust Due To/From	\$ 4,386	\$ 0	\$ 0	\$ 0	\$ 830,281	\$ 1,527	\$ 4,386	\$ 4,386		
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 7,151	\$ 192,138	\$ 0	\$ 0	\$ 831,808	\$ 1,031,097	\$ 1,302,83	\$ 1,302,83		
Prepaid Expenses - General										
Salary and Travel Advances										
Counties										
Total Prepaid Expenses										
Other Assets										
Total Other Assets										
Total Assets	\$ 6,941,076	\$ 2,017,415	\$ (18,954)	\$ 0	\$ 13,564,945	\$ 22,504,483	\$ 24,543,031	\$ 24,543,031		
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Accounts Payable - General	\$ 4,285	\$ 0	\$ 0	\$ 0	\$ 8,725	\$ 13,010	\$ 102,440	\$ 102,440		
Due to Other Funds	\$ 117	\$ 0	\$ 0	\$ 0	\$ 836,077	\$ 836,195	\$ 4,529	\$ 4,529		
Due to State										
Due to Other Courts										
TC145 Liability										
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 938,417	\$ 938,417	\$ 847,151	\$ 847,151		
AB145 Due to Other Government Agency										
Due to Public Agencies										
Sales and Use Tax										
Interest										
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,316	\$ 1,316	\$ 1,105	\$ 1,105		
Total Accounts Payable and Accrued Liab.	\$ 4,402	\$ 0	\$ 0	\$ 0	\$ 5,222,264	\$ 5,226,666	\$ 5,370,796	\$ 5,370,796		
Civil										
Criminal										
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC										
Trust Interest Payable										
Miscellaneous Trust										
Total Trust Deposits										
Accrued Payroll	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing										
Total Payroll Liabilities	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		
Revenue Collected in Advance	\$ 45,998	\$ 0	\$ 0	\$ 0	\$ 12,973	\$ 58,971	\$ (391,052)	\$ (391,052)		
Liabilities For Deposits										
July Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections										
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (25)	\$ (25)		
Total Other Liabilities	\$ 45,998	\$ 0	\$ 0	\$ 0	\$ 12,973	\$ 58,971	\$ (391,052)	\$ (391,052)		
Total Liabilities	\$ 50,400	\$ 0	\$ 0	\$ 0	\$ 502,554	\$ 548,551	\$ 91,323	\$ 91,323		
Total Fund Balance	\$ 6,890,676	\$ 2,017,415	\$ (18,954)	\$ 0	\$ 13,564,945	\$ 22,504,483	\$ 24,543,031	\$ 24,543,031		
Total Liabilities and Fund Balance	\$ 6,941,076	\$ 2,017,415	\$ (18,954)	\$ 0	\$ 13,564,945	\$ 22,504,483	\$ 24,543,031	\$ 24,543,031		

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep

Fiscal Year 2012/13		2011/12	
Governmental Funds			
REVENUES	General	Special Revenue	
		Non-Grant	Grant
		Debt Service	Capital Projects
		Proprietary Funds	Fiduciary Funds
		Total (Info. Purposes Only)	Current Budget (Annual)
		Total (Info. Purposes Only)	Total Funds (Annual)
State Financing Sources	\$ 6,892,098	\$ 27,332,686	\$ 8,069,972
Trial Court Trust Fund		\$ 6,906,478	
Trial Court Improvement Fund	\$ 14,380	\$ 27,332,686	\$ 8,069,972
Judicial Administration Efficiency & Mod Fund	\$ 5,000	\$ 106,882	\$ 7,500
Judges' Compensation (45.25)	\$ 61,749	\$ 247,000	\$ 61,749
Court Interpreter (45.45)	\$ 293,872	\$ 1,874,570	\$ 370,951
Civil Coordination Reimbursement (45.55)	\$ 57,033	\$ 814,009	\$ 37,573
MCU Reimbursements (45.10 and General)	\$ 57,033	\$ 2,240,517	\$ 2,240,517
Other Miscellaneous	\$ 14,380	\$ 7,324,132	\$ 8,547,745
Grants		\$ 696,501	\$ 684,227
Non-AOC Grants		\$ 36,339	\$ 19,139
Other Financing Sources			
Interest Income	\$ (23)	\$ 95,000	\$ 4,749
Investment Income			
Local Fees	\$ 105,985	\$ 149,508	\$ 135,884
Donations			
Enhanced Collections			
Non-Fee Revenues			
Escheatment			
Prior Year Revenue	\$ (5,385)	\$ (5,385)	\$ 190,000
County Program - Restricted	\$ 39,371	\$ 39,371	\$ 4,153
Reimbursement Other			
Sale of Fixed Assets	\$ 2,180	\$ 2,180	\$ 6,397
Other Miscellaneous	\$ 98,466	\$ 181,453	\$ 212,945
Total Revenues	\$ 7,408,218	\$ 7,505,585	\$ 8,760,690
EXPENDITURES			
Personal Services	\$ 4,188,769	\$ 4,188,769	\$ 4,712,264
Salaries - Permanent	\$ 6,127	\$ 6,127	\$ 75,000
Temp Help	\$ 1,425	\$ 1,425	\$ 13,887
Overtime	\$ 2,629,202	\$ 2,629,202	\$ 2,834,046
Staff Benefits	\$ 6,825,522	\$ 6,825,522	\$ 7,586,297
Operating Expenses and Equipment	\$ 65,875	\$ 67,637	\$ 160,814
Printing	\$ 22,066	\$ 22,066	\$ 34,902
Telecommunications	\$ 22,464	\$ 22,464	\$ 122,943
Postage	\$ 39,413	\$ 39,413	\$ 549,300
Insurance	\$ 40,181	\$ 40,181	\$ 272,750
In-State Travel			\$ 8,370
Out-of-State Travel	\$ 5,270	\$ 5,270	\$ 33,400
Training	\$ 888	\$ 888	\$ 40,660
Security Services	\$ 86,764	\$ 86,764	\$ 2,000
Facility Operations	\$ 937	\$ 937	\$ 562,050
Utilities	\$ 215,352	\$ 215,352	\$ 2,047,272
Contracted Services	\$ 36,137	\$ 36,137	\$ 6,500
Information Technology	\$ 5,756	\$ 5,756	\$ 983,220
Consulting and Professional Services	\$ 189,904	\$ 189,904	\$ 1,568,400
Major Equipment	\$ 30,000	\$ 30,000	\$ 200,000
Other Items of Expense	\$ 357	\$ 357	\$ 38,850
Special Items of Expense	\$ 699	\$ 699	\$ 2,000
Grand Jury	\$ 51,141	\$ 51,141	\$ 2,000
Jury Costs			\$ 257,000
Judgments, Settlements and Claims			\$ 821
Other			\$ 1,356
Capital Costs			
Internal Cost Recovery			
Prior Year Expense Adjustment	\$ 13,703	\$ 13,703	
Total Expenditures	\$ 7,615,657	\$ 7,676,639	\$ 8,942,793
Excess (Deficit) of Revenues Over Expenditures	\$ (207,439)	\$ (171,054)	\$ (182,103)
Operating Transfers In (Out)	\$ 14	\$ 0	\$ 0
Fund Balance (Deficit)	\$ 7,098,102	\$ 9,060,192	\$ 9,060,192
Beginning Balance (Deficit)	\$ 1,962,090	\$ 9,060,192	\$ 9,881,612
Ending Balance (Deficit)	\$ 6,890,676	\$ 8,889,138	\$ 9,699,509

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	Fiscal Year 2012/13						For the month ended Sep		2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,742,601	\$ 120,565					\$ 2,863,166	\$ 14,040,488	\$ 3,075,666	\$ 14,142,345
Traffic & Other Infractions	\$ 305,308	\$ 47,372					\$ 352,680	\$ 1,955,769	\$ 731,611	\$ 3,555,443
Other Criminal Cases	\$ 698,897	\$ 30,858					\$ 729,754	\$ 3,517,059	\$ 761,397	\$ 3,591,611
Civil	\$ 566,123	\$ 23,727					\$ 589,850	\$ 3,388,625	\$ 589,270	\$ 2,981,430
Family & Children Services	\$ 477,334	\$ 33,462					\$ 510,795	\$ 2,819,344	\$ 640,868	\$ 3,144,669
Probate, Guardianship & Mental Health Services	\$ 281,151	\$ 3,268					\$ 284,418	\$ 1,510,861	\$ 288,379	\$ 1,455,004
Juvenile Dependency Services	\$ 20,489	\$ 40,188					\$ 60,677	\$ 499,989	\$ 96,315	\$ 512,810
Juvenile Delinquency Services	\$ 63,467	\$ 1,333					\$ 64,800	\$ 344,163	\$ 68,425	\$ 340,277
Other Court Operations	\$ 314,822	\$ 441					\$ 315,262	\$ 499,989	\$ 533,583	\$ 2,374,810
Court Interpreters	\$ 294,861	\$ 60,503					\$ 355,364	\$ 1,751,461	\$ 388,494	\$ 1,845,321
Jury Services	\$ 83,238	\$ 45,449	\$ 51,141				\$ 179,827	\$ 921,847	\$ 229,986	\$ 1,110,359
Security									\$ 99,151	
Trial Court Operations Program	\$ 5,848,289	\$ 407,165	\$ 51,141				\$ 6,306,594	\$ 30,749,606	\$ 7,503,146	\$ 35,054,079
Enhanced Collections		\$ 0					\$ 0		\$ 821	
Other Non-Court Operations		\$ 0		\$ 699			\$ 699		\$ 821	
Non-Court Operations Program		\$ 0		\$ 699			\$ 699		\$ 821	
Executive Office	\$ 196,566	\$ 46,940					\$ 243,506	\$ 2,556,250	\$ 265,925	\$ 1,604,296
Fiscal Services	\$ 287,457	\$ 3,184					\$ 270,642	\$ 1,517,813	\$ 304,633	\$ 1,362,866
Human Resources	\$ 67,224	\$ 9,896					\$ 77,119	\$ 519,627	\$ 68,933	\$ 493,718
Business & Facilities Services	\$ 106,087	\$ 77,682					\$ 183,769	\$ 1,238,021	\$ 79,390	\$ 907,207
Information Technology	\$ 339,899	\$ 240,707					\$ 594,309	\$ 4,495,792	\$ 719,946	\$ 4,034,622
Court Administration Program	\$ 977,233	\$ 378,410					\$ 1,369,346	\$ 10,327,503	\$ 1,438,826	\$ 8,402,699
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 6,825,522	\$ 765,575	\$ 51,840			\$ 13,703	\$ 7,676,639	\$ 41,077,109	\$ 8,942,793	\$ 43,456,778