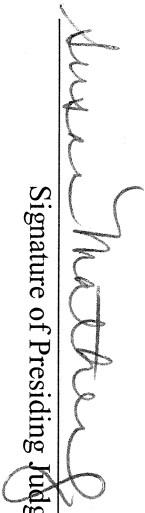


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/06/2012

Date

San Luis Obispo

Court

FY2011/12 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filed Court Employee Positions (FTEs)

San Luis Obispo

Court

FY2011/12 Q1

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
162.75	144.75				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Luis Obispo

Court

FY2011/12 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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San Luis Obispo Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

For the month ended Sep									
Fiscal Year 2011/12									
	Governmental Funds							Total Funds	Total Funds
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		Non-Grant	Grant						
ASSETS									
Operations	\$ (205,902)	\$ 149,831	\$ (75,981)				\$ 5,228	\$ (126,824)	\$ (204,844)
Payroll	\$ 0	\$ 0						\$ 0	\$ 844
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ 2,118,833	\$ 2,118,833	\$ 821,260
Credit Card									
Cash on Hand	\$ 3,670							\$ 3,670	\$ 3,670
Cash with County									
Total Cash	\$ (197,232)	\$ 149,831	\$ (75,981)				\$ 2,124,060	\$ 2,000,679	\$ 625,930
Short Term Investment	\$ 3,275,540							\$ 286,535	\$ 3,998,848
Investment in Financial Institution									
Total Investments	\$ 3,275,540						\$ 286,535	\$ 3,562,074	\$ 3,998,848
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 1,240							\$ 1,240	\$ 2
Civil Jury Fees									\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 540
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts								\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 64,622					\$ 64,622	\$ 68,894
Trust Due To/From							\$ 0	\$ 0	
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 718							\$ 718	
Total Receivables	\$ 1,958	\$ 0	\$ 64,622				\$ 0	\$ 66,580	\$ 69,436
Prepaid Expenses - General	\$ 0							\$ 0	\$ 752
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0							\$ 0	\$ 752
Other Assets									
Total Other Assets									
Total Assets	\$ 3,080,266	\$ 149,831	\$ (11,359)				\$ 2,410,595	\$ 5,629,333	\$ 4,694,965
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 900	\$ 0	\$ 0				\$ 0	\$ 900	\$ 17,283
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 718	\$ 718	\$ 540
Due to Other Courts									
Due to State		\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 291,746	\$ 291,746	\$ 328,027
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,089							\$ 3,089	\$ 0
Interest							\$ 241	\$ 241	\$ 153
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 3,989	\$ 0	\$ 0				\$ 292,705	\$ 296,694	\$ 346,004
Civil							\$ 1,369,118	\$ 1,369,118	\$ 386,908
Criminal							\$ 606,056	\$ 606,056	\$ 279,253
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 70,797	\$ 70,797	\$ 69,962
Miscellaneous Trust									
Total Trust Deposits							\$ 2,045,972	\$ 2,045,972	\$ 736,124
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ (16,824)							\$ (16,824)	\$ (835)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 87
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (16,824)	\$ 0						\$ (16,824)	\$ (748)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 5,018						\$ 51,818	\$ 56,837	\$ 56,339
Jury Fees - Non-Interest							\$ 20,100	\$ 20,100	\$ 18,000
Fees - Partial Payment & Overpayment									
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 5,018						\$ 71,918	\$ 76,937	\$ 74,339
Total Liabilities	\$ (7,817)	\$ 0	\$ 0				\$ 2,410,595	\$ 2,402,778	\$ 1,155,718
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 1,028,898	\$ 180,662						\$ 1,209,561	\$ 1,500,105
Fund Balance - Committed	\$ 0							\$ 0	
Fund Balance - Assigned	\$ 2,497,372							\$ 2,497,372	\$ 3,178,131
Fund Balance - Unassigned	\$ (221,882)	\$ 49,012	\$ 0					\$ (172,869)	\$ (971,303)
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ (216,306)	\$ (79,844)	\$ (11,359)					\$ (307,509)	\$ (167,686)
Total Fund Balance	\$ 3,088,083	\$ 149,831	\$ (11,359)					\$ 3,226,554	\$ 3,539,247
Total Liabilities and Fund Balance	\$ 3,080,266	\$ 149,831	\$ (11,359)				\$ 2,410,595	\$ 5,629,333	\$ 4,694,965

San Luis Obispo Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended Sep											
	Fiscal Year 2011/12								2010/11		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects							Debt Service
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 3,184,870	\$ 5,752					\$ 3,190,622	\$ 13,716,723	\$ 3,516,408	\$ 18,997,779	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 35,408		\$ 35,408	
Judicial Administration Efficiency & Mod Fund	\$ (1,655)						\$ (1,655)	\$ 91,060	\$ 4,000	\$ 113,103	
Judges' Compensation (45.25)	\$ 22,500						\$ 22,500	\$ 90,000	\$ 21,473	\$ 90,000	
Court Interpreter (45.45)	\$ 92,289						\$ 92,289	\$ 487,888	\$ 101,566	\$ 423,616	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ (29,653)						\$ (29,653)	\$ 1,416,252	\$ 103,534	\$ 608,701	
Other Miscellaneous								\$ 247,336		\$ 6,654	
	\$ 3,268,351	\$ 5,752					\$ 3,274,103	\$ 16,084,667	\$ 3,746,981	\$ 20,275,261	
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ (5,979)				\$ (5,979)	\$ 381,008	\$ 0	\$ 381,008	
Other AOC Grants								\$ 19,139			
Non-AOC Grants											
	\$ 0		\$ (5,979)				\$ (5,979)	\$ 400,147	\$ 0	\$ 381,008	
Other Financing Sources											
Interest Income	\$ 801	\$ 8					\$ 809	\$ 22,125	\$ 654	\$ 27,070	
Investment Income											
Donations											
Local Fees	\$ 40,170	\$ 12,585					\$ 52,754	\$ 646,800		\$ 802,518	
Non-Fee Revenues	\$ 15,942						\$ 15,942	\$ 83,300	\$ 4,890	\$ 99,849	
Enhanced Collections		\$ 3,854					\$ 3,854	\$ 475,540		\$ 312,861	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 9,442					\$ 9,442	\$ 60,000		\$ 59,214	
Reimbursement Other	\$ 3,314						\$ 3,314	\$ 43,500	\$ (2,400)	\$ 34,033	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 60,226	\$ 25,889					\$ 86,115	\$ 1,331,265	\$ 3,144	\$ 1,335,545	
Total Revenues	\$ 3,328,577	\$ 31,641	\$ (5,979)				\$ 3,354,239	\$ 17,816,079	\$ 3,750,125	\$ 21,991,814	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,985,762	\$ 25,457	\$ 0				\$ 2,011,218	\$ 8,859,299	\$ 2,044,785	\$ 8,302,745	
Temp Help	\$ 23,357						\$ 23,357		\$ 5,023	\$ 35,971	
Overtime											
Staff Benefits	\$ 1,077,782	\$ 11,738	\$ 0				\$ 1,089,520	\$ 5,445,788	\$ 1,049,761	\$ 6,180,586	
	\$ 3,086,901	\$ 37,195	\$ 0				\$ 3,124,095	\$ 14,305,087	\$ 3,099,569	\$ 14,519,302	
Operating Expenses and Equipment											
General Expense	\$ 105,841		\$ 1,605				\$ 107,446	\$ 514,376	\$ 90,636	\$ 611,799	
Printing	\$ 15,921	\$ 117					\$ 16,038	\$ 107,900	\$ 11,561	\$ 129,473	
Telecommunications	\$ 936						\$ 936	\$ 149,811	\$ 18,449	\$ 239,478	
Postage	\$ 12,107						\$ 12,107	\$ 126,300	\$ 22,883	\$ 129,055	
Insurance	\$ 153						\$ 153	\$ 5,000	\$ 122	\$ 4,261	
In-State Travel	\$ 4,139						\$ 4,139	\$ 5,865	\$ 383	\$ 13,448	
Out-of-State Travel										\$ 107	
Training								\$ 3,725	\$ 105	\$ 20,142	
Security Services	\$ 18,829						\$ 18,829	\$ 3,300	\$ 296,941	\$ 3,593,297	
Facility Operations	\$ 15,477						\$ 15,477	\$ 114,370	\$ 10,002	\$ 234,600	
Utilities	\$ 792						\$ 792	\$ 9,000		\$ 9,090	
Contracted Services	\$ 148,213	\$ 71,974	\$ 0				\$ 220,187	\$ 1,412,344	\$ 190,293	\$ 1,274,924	
Consulting and Professional Services	\$ 95,073						\$ 95,073	\$ 985,000	\$ 111,273	\$ 1,167,556	
Information Technology	\$ 3,473	\$ 2,200					\$ 5,673	\$ 146,125	\$ 29,151	\$ 194,165	
Major Equipment								\$ 30,000	\$ 15,592	\$ 4,985	
Other Items of Expense	\$ 2,339						\$ 2,339	\$ 10,675	\$ 1,681	\$ 3,948	
	\$ 423,294	\$ 74,291	\$ 1,605				\$ 499,189	\$ 3,623,791	\$ 799,072	\$ 7,630,328	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 38,463						\$ 38,463	\$ 123,100	\$ 19,149	\$ 115,424	
Judgements, Settlements and Claims								\$ 150	\$ 21		
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ 0		\$ 0								
Prior Year Expense Adjustment											
	\$ 38,463		\$ 0				\$ 38,463	\$ 197,474	\$ 19,170	\$ 115,424	
Total Expenditures	\$ 3,548,658	\$ 111,485	\$ 1,605				\$ 3,661,748	\$ 18,126,352	\$ 3,917,811	\$ 22,265,054	
Excess (Deficit) of Revenues Over Expenditures	\$ (220,081)	\$ (79,844)	\$ (7,584)				\$ (307,509)	\$ (310,273)	\$ (167,686)	\$ (273,240)	
Operating Transfers In (Out)	\$ 3,775		\$ (3,775)				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,304,389	\$ 229,675	\$ 0				\$ 3,534,064	\$ 3,534,064	\$ 3,706,933	\$ 3,706,933	
Ending Balance (Deficit)	\$ 3,088,083	\$ 149,831	\$ (11,359)				\$ 3,226,554	\$ 3,223,791	\$ 3,539,247	\$ 3,433,693	

San Luis Obispo Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended Sep						2010/11	
	Fiscal Year 2011/12						Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Final Budget (Annual)
Judges & Courtroom Support	\$ 1,035,950	\$ 95,468					\$ 1,131,419	\$ 5,213,950
Traffic & Other Infractions	\$ 133,470	\$ 29,640					\$ 163,110	\$ 746,255
Other Criminal Cases	\$ 457,004	\$ 41,493					\$ 498,497	\$ 2,193,008
Civil	\$ 347,446	\$ 28,201					\$ 375,647	\$ 1,401,354
Family & Children Services	\$ 335,677	\$ 47,551			\$ 0		\$ 383,228	\$ 2,145,368
Probate, Guardianship & Mental Health Services	\$ 68,829	\$ 157					\$ 68,986	\$ 343,404
Juvenile Delinquency Services	\$ 18,083	\$ 4,000					\$ 4,000	\$ 8,000
Other Court Operations	\$ 69,069	\$ 26,181					\$ 69,069	\$ 464,975
Court Interpreters	\$ 60,397	\$ 13,869	\$ 38,463				\$ 86,578	\$ 487,888
Jury Services	\$ 40,619	\$ 18,958					\$ 92,952	\$ 432,364
Security		\$ 18,958					\$ 18,958	\$ 4,700
Trial Court Operations Program	\$ 2,566,543	\$ 305,520	\$ 38,463		\$ 0		\$ 2,910,527	\$ 13,441,266
Enhanced Collections	\$ 37,195	\$ 21,714					\$ 58,909	\$ 484,290
Other Non-Court Operations		\$ 142					\$ 142	
Non-Court Operations Program	\$ 37,195	\$ 21,857					\$ 59,051	\$ 484,290
Executive Office	\$ 135,577	\$ 782					\$ 136,359	\$ 660,415
Fiscal Services	\$ 72,102	\$ 17,382					\$ 89,485	\$ 443,862
Human Resources	\$ 121,516	\$ 1,511					\$ 123,027	\$ 401,974
Business & Facilities Services	\$ 95,766	\$ 95,766					\$ 95,766	\$ 645,350
Information Technology	\$ 191,161	\$ 56,372					\$ 247,533	\$ 2,049,195
Court Administration Program	\$ 520,357	\$ 171,812					\$ 692,170	\$ 4,200,796
Expenditures Not Distributed or Posted to a Program Prior Year Adjustments Not Posted to a Program								
Total	\$ 3,124,095	\$ 499,189	\$ 38,463		\$ 0		\$ 3,661,748	\$ 18,126,352
							\$ 3,917,811	\$ 22,265,054