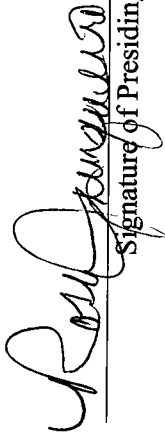


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

02/21/2012

Date

San Joaquin

Court

FY2011-2012, Qtr 2

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

FY2011-2012, Qtr 2

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin
 Court
 FY2011-2012, Qtr 2
 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	318.95	277		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**San Joaquin Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

	Fiscal Year 2011/12							2010/11	
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,948,329)	\$ (186,361)	\$ (531,275)	\$ 2,605,615			\$ 32,163	\$ (28,187)	\$ (16,823)
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 5,000
Other									
Distribution							\$ 4,467	\$ 4,467	\$ 17,578
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ 1,474,730	\$ 1,474,730	\$ 1,745,969
Credit Card									
Cash on Hand	\$ 9,460							\$ 9,460	\$ 10,010
Cash with County	\$ 556,248	\$ 344,517	\$ (57,953)					\$ 842,813	\$ 794,209
Cash Outside of the AOC									\$ 6,273
Total Cash	\$ (1,382,621)	\$ 158,156	\$ (589,228)	\$ 2,605,615			\$ 1,517,523	\$ 2,562,216	\$ 2,562,216
Short Term Investment									
Investment in Financial Institution	\$ 3,067,445						\$ 2,053,815	\$ 5,121,260	\$ 11,129,619
Total Investments	\$ 3,067,445						\$ 2,053,815	\$ 5,121,260	\$ 11,129,619
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 2,975	\$ 0	\$ 0					\$ 2,975	\$ 55,615
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees	\$ 0							\$ 0	\$ 8,740
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 1,026
Due From Other Governments	\$ 16,659	\$ 0	\$ 0					\$ 16,659	\$ 2,695
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 58,439		\$ 0					\$ 58,439	\$ 0
Trust Due To/From							\$ 3	\$ 3	
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 808							\$ 808	
Total Receivables	\$ 78,881	\$ 0	\$ 0	\$ 0			\$ 3	\$ 68,076	\$ 68,076
Prepaid Expenses - General	\$ 0	\$ 0						\$ 0	\$ 0
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
Total Prepaid Expenses	\$ 0	\$ 0						\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,763,705	\$ 158,156	\$ (589,228)	\$ 2,605,615			\$ 3,571,341	\$ 13,759,911	\$ 13,759,911
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 6,425	\$ 0	\$ 0				\$ 0	\$ 6,425	\$ 7,193
Due to Other Funds	\$ (174)	\$ 177	\$ 0	\$ 0			\$ 808	\$ 811	\$ 1,026
Due to Other Courts									
Due to State	\$ 182,894							\$ 182,894	\$ 0
TC145 Liability							\$ 1,332,241	\$ 1,332,241	\$ 1,674,552
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 2,605,615				\$ 2,605,615	\$ 6,464,604
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 21							\$ 21	\$ 0
Interest							\$ 285	\$ 285	\$ 417
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 189,166	\$ 177	\$ 0	\$ 2,605,615			\$ 1,333,335	\$ 8,147,793	\$ 8,147,793
Civil							\$ 1,049,020	\$ 1,049,020	\$ 1,042,858
Criminal							\$ 815,107	\$ 815,107	\$ 718,116
Unreconciled - Civil and Criminal							\$ 10,447	\$ 10,447	\$ 10,447
Trust Held Outside of the AOC							\$ 6,163	\$ 6,163	\$ 6,273
Trust Interest Payable							\$ 166,337	\$ 166,337	\$ 165,408
Miscellaneous Trust									
Total Trust Deposits							\$ 2,047,075	\$ 2,047,075	\$ 1,942,902
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 13,226							\$ 13,226	\$ 11,725
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 13,226	\$ 0	\$ 0					\$ 13,226	\$ 11,725
Revenue Collected in Advance	\$ 4,100							\$ 4,100	\$ 5,150
Liabilities For Deposits	\$ 37,206	\$ 25					\$ 12,523	\$ 49,754	\$ 77,883
Jury Fees - Non-Interest							\$ 158,491	\$ 158,491	\$ 157,983
Fees - Partial Payment & Overpayment							\$ 19,917	\$ 19,917	\$ 19,940
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 41,307	\$ 25					\$ 190,931	\$ 260,657	\$ 260,657
Total Liabilities	\$ 243,699	\$ 202	\$ 0	\$ 2,605,615			\$ 3,571,341	\$ 10,363,077	\$ 10,363,077
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 0	\$ 195,547						\$ 195,547	\$ 1,083,432
Fund Balance - Committed	\$ 1,037,653							\$ 1,037,653	
Fund Balance - Assigned	\$ 0							\$ 0	\$ 2,586,162
Fund Balance - Unassigned	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 37,293
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 482,353	\$ (37,592)	\$ (589,228)	\$ 0				\$ (144,467)	\$ (310,054)
Total Fund Balance	\$ 1,520,006	\$ 157,955	\$ (589,228)	\$ 0				\$ 3,386,834	\$ 3,386,834
Total Liabilities and Fund Balance	\$ 1,763,705	\$ 158,156	\$ (589,228)	\$ 2,605,615			\$ 3,571,341	\$ 13,759,911	\$ 13,759,911

**San Joaquin Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

	Fiscal Year 2011/12							2010/11		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue Non-Grant	Grant	Capital Projects	Debt Service	Proprietary Funds				
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 14,765,768						\$ 14,765,768	\$ 28,808,235	\$ 18,845,629	\$ 38,656,902
Trial Court Improvement Fund	\$ 2,765						\$ 2,765	\$ 103,804	\$ 0	\$ 145,888
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)	\$ 151,998						\$ 151,998	\$ 276,000	\$ 116,889	\$ 304,000
Court Interpreter (45.45)	\$ 548,744						\$ 548,744	\$ 1,342,831	\$ 620,834	\$ 1,573,737
Civil Coordination Reimbursement (45.55)								\$ 30,927	\$ 11,936	\$ 15,372
MOU Reimbursements (45.10 and General)	\$ 181,023						\$ 181,023	\$ 770,548	\$ 185,549	\$ 777,525
Other Miscellaneous										
	\$ 15,650,298								\$ 19,780,837	\$ 41,473,422
Grants										
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 931,252	\$ 0	\$ 970,663
Other AOC Grants			\$ 24,598				\$ 24,598	\$ 82,000	\$ 48,600	\$ 130,657
Non-AOC Grants			\$ 383,745				\$ 383,745	\$ 1,531,747	\$ 222,035	\$ 1,071,363
			\$ 408,342						\$ 270,635	\$ 2,172,683
Other Financing Sources										
Interest Income	\$ 4,739	\$ 48		\$ 0			\$ 4,787	\$ 34,698	\$ 12,330	\$ 41,798
Investment Income										
Donations									\$ 500	
Local Fees	\$ 88,221						\$ 88,221	\$ 261,823	\$ 105,776	\$ 264,086
Non-Fee Revenues	\$ 1,614						\$ 1,614	\$ 47,376	\$ 19,525	\$ 51,732
Enhanced Collections	\$ 49,668						\$ 49,668	\$ 179,033	\$ 66,478	\$ 162,918
Escheatment										
Prior Year Revenue	\$ 20,003	\$ (1,647)	\$ (1,265)				\$ 17,091		\$ (159,698)	
County Program - Restricted		\$ 156,662					\$ 156,662	\$ 577,807	\$ 156,815	\$ 628,652
Reimbursement Other	\$ 22,928						\$ 22,928	\$ 61,000	\$ 1,955	\$ 60,100
Sale of Fixed Assets										
Other Miscellaneous	\$ 3,059						\$ 3,059	\$ 3,937	\$ 560	\$ 1,556
	\$ 190,232	\$ 155,063	\$ (1,265)	\$ 0					\$ 204,240	\$ 1,210,842
Total Revenues	\$ 15,840,530	\$ 155,063	\$ 407,077	\$ 0					\$ 204,240	\$ 44,856,949
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 7,841,394	\$ 61,927	\$ 401,264				\$ 8,304,586	\$ 15,820,143	\$ 9,028,523	\$ 18,487,139
Temp Help	\$ 83,113	\$ 0	\$ 14,897				\$ 98,010	\$ 76,229	\$ 82,874	\$ 295,827
Overtime	\$ 137						\$ 137		\$ 629	
Staff Benefits	\$ 4,633,812	\$ 30,631	\$ 200,671				\$ 4,865,113	\$ 12,332,526	\$ 4,828,818	\$ 11,363,450
	\$ 12,558,456	\$ 92,558	\$ 616,832						\$ 13,940,844	\$ 30,146,416
Operating Expenses and Equipment										
General Expense	\$ 263,276	\$ 5,173	\$ 14,178				\$ 282,627	\$ 743,446	\$ 294,163	\$ 797,226
Printing	\$ 22,204	\$ 25	\$ 872				\$ 23,102	\$ 171,325	\$ 75,172	\$ 215,750
Telecommunications	\$ 139,228		\$ 1,518				\$ 140,746	\$ 346,580	\$ 93,787	\$ 389,739
Postage	\$ 104,257		\$ 347				\$ 104,604	\$ 228,640	\$ 114,106	\$ 252,767
Insurance	\$ 9,544						\$ 9,544	\$ 11,700	\$ 9,919	\$ 9,919
In-State Travel	\$ 7,986	\$ 54	\$ 1,564				\$ 9,604	\$ 24,488	\$ 13,500	\$ 49,382
Out-of-State Travel	\$ 0						\$ 0	\$ 80		
Training	\$ 450	\$ 0	\$ 375				\$ 825	\$ 7,320	\$ 399	\$ 5,808
Security Services	\$ 277,130		\$ 1,084				\$ 278,214	\$ 759,277	\$ 4,077,779	\$ 9,966,008
Facility Operations	\$ 72,929		\$ 1,853				\$ 74,782	\$ 451,140	\$ 84,256	\$ 494,233
Utilities	\$ 102						\$ 102			\$ 40,000
Contracted Services	\$ 923,703	\$ 73,360	\$ 316,554				\$ 1,313,617	\$ 3,086,254	\$ 932,928	\$ 3,057,776
Consulting and Professional Services	\$ 709,935	\$ 207	\$ 26,810				\$ 736,952	\$ 1,889,785	\$ 612,091	\$ 1,762,649
Information Technology	\$ 84,589		\$ 5,883				\$ 90,482	\$ 195,058	\$ 55,040	\$ 375,036
Major Equipment	\$ 33,924						\$ 33,924	\$ 35,000		\$ 40,000
Other Items of Expense	\$ 8,596						\$ 8,596	\$ 22,775	\$ 8,304	\$ 20,000
	\$ 2,657,865	\$ 78,819	\$ 371,037						\$ 6,371,443	\$ 17,476,293
Special Items of Expense										
Grand Jury	\$ 0	\$ 21,279					\$ 21,279	\$ 164,617	\$ 68,781	\$ 125,000
Jury Costs	\$ 155,496						\$ 155,496	\$ 408,000	\$ 176,867	\$ 396,100
Judgements, Settlements and Claims									\$ 4,318	\$ 4,000
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery	\$ (9,701)		\$ 9,701				\$ 0	\$ 0		\$ (1)
Prior Year Expense Adjustment	\$ (3,939)		\$ (1,265)				\$ (5,204)		\$ 3,511	
	\$ 141,857	\$ 21,279	\$ 8,436						\$ 253,478	\$ 525,099
Total Expenditures	\$ 15,358,177	\$ 192,656	\$ 996,305						\$ 20,565,766	\$ 48,147,808
Excess (Deficit) of Revenues Over Expenditures	\$ 482,353	\$ (37,592)	\$ (589,228)	\$ 0			\$ (144,467)	\$ (1,731,365)	\$ (310,054)	\$ (3,290,859)
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,037,653	\$ 195,547	\$ 0	\$ 0			\$ 1,233,200	\$ 1,233,200	\$ 3,706,888	\$ 3,706,888
Ending Balance (Deficit)	\$ 1,520,006	\$ 157,955	\$ (589,228)	\$ 0					\$ 3,396,834	\$ 416,029

**San Joaquin Superior Court
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

Fiscal Year 2011/12										2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 4,863,031	\$ 354,325				\$ (1,189)	\$ 5,216,166	\$ 11,357,318	\$ 5,196,085	\$ 11,406,554	
Traffic & Other Infractions	\$ 1,081,613	\$ 91,885				\$ 1,741	\$ 1,175,239	\$ 2,906,864	\$ 1,357,278	\$ 3,112,113	
Other Criminal Cases	\$ 1,789,193	\$ 446,049					\$ 2,235,242	\$ 4,710,756	\$ 2,089,316	\$ 4,744,451	
Civil	\$ 1,240,863	\$ 9,099					\$ 1,249,962	\$ 2,206,577	\$ 1,280,348	\$ 2,779,490	
Family & Children Services	\$ 1,106,324	\$ 26,993			\$ 9,701	\$ 0	\$ 1,143,018	\$ 2,525,388	\$ 1,200,618	\$ 2,630,376	
Probate, Guardianship & Mental Health Services	\$ 369,872	\$ 1,660					\$ 371,532	\$ 841,052	\$ 378,247	\$ 866,639	
Juvenile Dependency Services	\$ 126,975	\$ 19,696					\$ 146,670	\$ 294,499	\$ 182,839	\$ 448,150	
Juvenile Delinquency Services	\$ 204,967	\$ 29,336					\$ 234,303	\$ 379,767	\$ 226,034	\$ 558,890	
Other Court Operations	\$ 505,367	\$ 162,561					\$ 667,928	\$ 1,963,089	\$ 731,163	\$ 2,187,668	
Court Interpreters	\$ 433,138	\$ 181,285					\$ 614,423	\$ 1,444,457	\$ 724,548	\$ 1,718,188	
Jury Services	\$ 105,816	\$ 95,968	\$ 155,496				\$ 357,280	\$ 820,115	\$ 346,363	\$ 855,330	
Security		\$ 316,602					\$ 316,602	\$ 831,469	\$ 4,083,993	\$ 10,036,228	
Trial Court Operations Program	\$ 11,827,158	\$ 1,735,459	\$ 155,496		\$ 9,701	\$ 552			\$ 17,796,832	\$ 41,344,077	
Enhanced Collections	\$ 28,213	\$ 14,220					\$ 42,433	\$ 179,033	\$ 61,476	\$ 161,625	
Other Non-Court Operations	\$ 18,818	\$ 73,360	\$ 21,279				\$ 113,457	\$ 377,468	\$ 159,395	\$ 339,443	
Non-Court Operations Program	\$ 47,032	\$ 87,580	\$ 21,279						\$ 220,871	\$ 501,068	
Executive Office	\$ 360,876	\$ 1,375					\$ 359,947	\$ 799,497	\$ 370,317	\$ 778,771	
Fiscal Services	\$ 368,688	\$ 120,489			\$ (2,304)		\$ 486,592	\$ 1,063,827	\$ 452,146	\$ 1,031,223	
Human Resources	\$ 267,240	\$ 10,802			\$ (1,796)		\$ 276,246	\$ 567,156	\$ 299,100	\$ 647,153	
Business & Facilities Services	\$ 159,188	\$ 286,633			\$ (875)		\$ 444,946	\$ 848,262	\$ 496,329	\$ 1,118,008	
Information Technology	\$ 237,663	\$ 865,383			\$ (2,141)	\$ (5,756)	\$ 1,095,149	\$ 2,657,788	\$ 930,170	\$ 2,727,508	
Court Administration Program	\$ 1,393,656	\$ 1,284,682			\$ (9,701)	\$ (5,756)			\$ 2,548,062	\$ 6,302,663	
Expenditures Not Distributed or Posted to a Program											
Prior Year Adjustments Not Posted to a Program											
Total	\$ 13,267,845	\$ 3,107,721	\$ 176,775		\$ 0	\$ (5,204)			\$ 20,565,766	\$ 48,147,808	