

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/31/2012

Date

San Joaquin

Court

FY 2011-2012 - Qtr 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

FY 2011-2012 - Qtr 1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

San Joaquin

 Court

 FY 2011-2012 - Qtr 1

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	365.05	318.95			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**San Joaquin Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

	Fiscal Year 2011/12							2010/11	
	Governmental Funds							Total Funds	Total Funds
	General	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
ASSETS									
Operations	\$ (2,101,052)	\$ (180,529)	\$ (458,724)	\$ 2,602,404			\$ 27,461	\$ (110,440)	\$ (236,973)
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 5,000
Other									
Distribution							\$ 68,202	\$ 68,202	\$ 22,454
Civil Filing Fees							\$ (220)	\$ (220)	\$ 0
Trust	\$ 0						\$ 1,937,345	\$ 1,937,345	\$ 2,014,916
Credit Card									
Cash on Hand	\$ 9,460							\$ 9,460	\$ 10,010
Cash with County	\$ 569,446	\$ 344,738	\$ (54,361)	\$ 2,602,404			\$ 6,644	\$ 866,466	\$ 2,640,538
Total Cash	\$ (1,522,146)	\$ 164,209	\$ (513,085)	\$ 2,602,404			\$ 2,039,432	\$ 7,455,945	\$ 4,455,945
Short Term Investment	\$ 3,993,306						\$ 1,864,137	\$ 5,857,443	\$ 11,006,365
Investment in Financial Institution									
Total Investments	\$ 3,993,306						\$ 1,864,137	\$ 5,857,443	\$ 11,006,365
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 1,889	\$ 0	\$ 72,199					\$ 74,089	\$ 117,472
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees	\$ 0							\$ 0	\$ 8,740
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 2,095
Due From Other Governments	\$ 16,659	\$ 17,777	\$ 0					\$ 34,436	\$ 52,530
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 61,265		\$ 0					\$ 61,265	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,175							\$ 1,175	
Total Receivables	\$ 80,989	\$ 17,777	\$ 72,199	\$ 0			\$ 0	\$ 1,175	\$ 180,837
Prepaid Expenses - General	\$ 146	\$ (146)						\$ 0	\$ 0
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 146	\$ (146)							\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 2,552,295	\$ 181,840	\$ (440,886)	\$ 2,602,404			\$ 3,903,569	\$ 15,643,147	\$ 15,643,147
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 23,922	\$ 0	\$ 0				\$ 0	\$ 23,922	\$ 18,446
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0			\$ 1,175	\$ 1,175	\$ 2,095
Due to Other Courts									
Due to State	\$ 2,361,439							\$ 2,361,439	\$ 0
TC145 Liability							\$ 1,532,281	\$ 1,532,281	\$ 1,754,735
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 2,602,404				\$ 2,602,404	\$ 6,456,855
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 355	\$ 355	\$ 720
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 2,385,361	\$ 0	\$ 0	\$ 2,602,404			\$ 1,533,812	\$ 8,232,852	\$ 8,232,852
Civil							\$ 1,123,592	\$ 1,123,592	\$ 1,197,730
Criminal							\$ 872,103	\$ 872,103	\$ 912,082
Unreconciled - Civil and Criminal							\$ 10,447	\$ 10,447	\$ 10,447
Trust Held Outside of the AOC							\$ 6,644	\$ 6,644	\$ 7,036
Trust Interest Payable							\$ 166,110	\$ 166,110	\$ 165,770
Miscellaneous Trust									
Total Trust Deposits							\$ 2,178,866	\$ 2,178,866	\$ 2,293,064
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (26,867)							\$ (26,867)	\$ (10,164)
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ (26,867)	\$ 0	\$ 0					\$ (26,867)	\$ (10,164)
Revenue Collected in Advance	\$ 4,100							\$ 4,100	\$ 5,150
Liabilities For Deposits	\$ 37,407	\$ 25					\$ 12,523	\$ 49,955	\$ 31,793
Jury Fees - Non-Interest							\$ 159,792	\$ 159,792	\$ 144,347
Fees - Partial Payment & Overpayment							\$ 18,546	\$ 18,546	\$ 18,362
Uncleared Collections									\$ 34,696
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 41,508	\$ 25					\$ 190,862	\$ 234,348	\$ 234,348
Total Liabilities	\$ 2,400,002	\$ 25	\$ 0	\$ 2,602,404			\$ 3,903,569	\$ 10,750,100	\$ 10,750,100
Fund Balance - Nonspendable	\$ 925,828	\$ 157,604						\$ 1,083,432	\$ 1,083,432
Fund Balance - Restricted	\$ 0							\$ 0	\$ 0
Fund Balance - Committed	\$ 2,586,162							\$ 2,586,162	\$ 2,586,162
Fund Balance - Assigned	\$ (2,474,337)	\$ 37,942	\$ 0	\$ 0				\$ (2,436,394)	\$ 0
Fund Balance - Unassigned	\$ (885,360)	\$ (13,731)	\$ (440,886)	\$ 0				\$ (1,339,976)	\$ 1,223,453
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 152,293	\$ 181,816	\$ (440,886)	\$ 0					\$ 4,893,047
Total Fund Balance	\$ 152,293	\$ 181,816	\$ (440,886)	\$ 0				\$ 1,223,453	\$ 4,893,047
Total Liabilities and Fund Balance	\$ 2,552,295	\$ 181,840	\$ (440,886)	\$ 2,602,404			\$ 3,903,569	\$ 15,643,147	\$ 15,643,147

**San Joaquin Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

	Fiscal Year 2011/12							2010/11		
	Governmental Funds						Total Funds (Info. Purpose Only)	Current Budget (Annual)	Total Funds (Info. Purpose Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 6,276,493						\$ 6,276,493	\$ 28,808,235	\$ 7,302,976	\$ 38,656,902
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 103,804	\$ 0	\$ 145,888
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)	\$ 75,999						\$ 75,999	\$ 276,000	\$ 40,888	\$ 304,000
Court Interpreter (45.45)	\$ 280,776						\$ 280,776	\$ 1,342,831	\$ 386,082	\$ 1,573,737
Civil Coordination Reimbursement (45.55)								\$ 30,927	\$ 6,010	\$ 15,372
MOU Reimbursements (45.10 and General)	\$ 52,759						\$ 52,759	\$ 770,548	\$ 19,857	\$ 777,526
Other Miscellaneous										
	\$ 6,686,027								\$ 7,755,813	\$ 41,473,424
Grants										
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 931,252	\$ 0	\$ 970,669
Other AOC Grants			\$ 0				\$ 0	\$ 82,000	\$ (1,400)	\$ 130,657
Non-AOC Grants			\$ 0				\$ 0	\$ 1,591,747	\$ 0	\$ 1,071,363
			\$ 0						\$ (1,400)	\$ 2,172,689
Other Financing Sources										
Interest Income	\$ 1,376	\$ 0		\$ 0			\$ 1,376	\$ 34,698	\$ 5,170	\$ 41,798
Investment Income									\$ 500	
Donations										\$ 264,086
Local Fees	\$ 38,372						\$ 38,372	\$ 261,823	\$ 34,864	\$ 51,732
Non-Fee Revenues	\$ 1,216						\$ 1,216	\$ 47,376	\$ 1,965	\$ 162,918
Enhanced Collections	\$ 22,879						\$ 22,879	\$ 179,033	\$ 0	\$ (31,277)
Escheatment										\$ 5,890
Prior Year Revenue	\$ 38,242						\$ 38,242			\$ 61,000
County Program - Restricted		\$ 63,619					\$ 63,619	\$ 577,807	\$ 5,890	\$ 80,100
Reimbursement Other	\$ 1,330						\$ 1,330	\$ 61,000	\$ 1,955	\$ (75)
Sale of Fixed Assets										\$ 1,556
Other Miscellaneous	\$ 783						\$ 783	\$ 3,937	\$ (75)	\$ 18,792
	\$ 104,198	\$ 63,620	\$ 0	\$ 0						\$ 1,210,842
Total Revenues	\$ 8,790,228	\$ 63,620	\$ 0	\$ 0					\$ 7,773,206	\$ 44,856,946
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 4,070,097	\$ 25,829	\$ 210,574				\$ 4,306,500	\$ 15,820,143	\$ 3,831,624	\$ 18,487,139
Temp Help	\$ 27,826	\$ 0	\$ 3,569				\$ 31,395	\$ 76,229	\$ 41,806	\$ 295,827
Overtime	\$ 137						\$ 137		\$ 89	
Staff Benefits	\$ 2,450,092	\$ 12,400	\$ 107,887				\$ 2,570,379	\$ 12,332,526	\$ 2,123,128	\$ 11,363,450
	\$ 6,548,152	\$ 38,229	\$ 322,030						\$ 5,996,647	\$ 30,146,416
Operating Expenses and Equipment										
General Expense	\$ 136,915	\$ 1,111	\$ 4,359				\$ 142,385	\$ 743,446	\$ 91,682	\$ 797,226
Printing	\$ 14,941	\$ 25	\$ 250				\$ 15,217	\$ 171,325	\$ 9,925	\$ 215,750
Telecommunications	\$ 59,345		\$ 605				\$ 59,949	\$ 346,580	\$ 22,405	\$ 389,739
Postage	\$ 47,172		\$ 190				\$ 47,362	\$ 228,640	\$ 44,937	\$ 252,767
Insurance								\$ 11,700		\$ 9,919
In-State Travel	\$ 2,939	\$ (182)	\$ 1,688				\$ 4,445	\$ 24,488	\$ 5,237	\$ 49,382
Out-of-State Travel								\$ 80		\$ 5,808
Training	\$ 525	\$ 475	\$ 275				\$ 1,275	\$ 7,320	\$ 399	\$ 9,968,008
Security Services	\$ 145,031						\$ 145,031	\$ 759,277	\$ 7,549	\$ 494,233
Facility Operations	\$ 9,708						\$ 9,708	\$ 451,140	\$ 288	\$ 40,000
Utilities										\$ 3,057,776
Contracted Services	\$ 327,654	\$ 29,344	\$ 111,489				\$ 468,487	\$ 3,086,264	\$ 243,733	\$ 1,762,649
Consulting and Professional Services	\$ 204,784	\$ 67	\$ 0				\$ 204,851	\$ 1,889,785	\$ 30	\$ 375,036
Information Technology	\$ 78,668						\$ 78,668	\$ 195,058	\$ 21,718	\$ 40,000
Major Equipment	\$ 21,734						\$ 21,734	\$ 35,000		\$ 20,000
Other Items of Expense	\$ 1,977						\$ 1,977	\$ 22,775	\$ 3,307	\$ 451,170
	\$ 1,051,393	\$ 30,840	\$ 118,856							\$ 17,476,293
Special Items of Expense										
Grand Jury		\$ 8,281					\$ 8,281	\$ 164,617	\$ 22,768	\$ 125,000
Jury Costs	\$ 76,040						\$ 76,040	\$ 408,000	\$ 79,183	\$ 396,100
Judgements, Settlements and Claims										\$ 4,000
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery								\$ 0		\$ (1)
Prior Year Expense Adjustment									\$ (15)	
	\$ 76,040	\$ 8,281							\$ 101,936	\$ 525,099
Total Expenditures	\$ 7,675,586	\$ 77,350	\$ 440,886						\$ 6,545,753	\$ 46,147,608
Excess (Deficit) of Revenues Over Expenditures	\$ (885,360)	\$ (13,731)	\$ (440,886)	\$ 0			\$ (1,339,976)	\$ (1,731,365)	\$ 1,223,453	\$ (3,200,859)
Operating Transfers In (Out)										
								\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,037,653	\$ 195,547	\$ 0	\$ 0			\$ 1,233,200	\$ 1,233,200	\$ 3,669,594	\$ 3,706,888
Ending Balance (Deficit)	\$ 152,293	\$ 181,816	\$ (440,886)	\$ 0					\$ 4,893,047	\$ 416,020

**San Joaquin Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)**

		Fiscal Year 2011/12							2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,480,067	\$ 187,240					\$ 2,667,307	\$ 11,357,318	\$ 2,230,744	\$ 11,406,554
Traffic & Other Infractions	\$ 629,092	\$ 30,840					\$ 659,933	\$ 2,906,864	\$ 575,244	\$ 3,112,113
Other Criminal Cases	\$ 893,681	\$ 1,47,929					\$ 1,041,610	\$ 4,710,756	\$ 838,114	\$ 4,744,451
Civil	\$ 668,333	\$ 2,919					\$ 671,252	\$ 2,206,577	\$ 2,779,490	\$ 2,779,490
Family & Children Services	\$ 570,642	\$ 8,737					\$ 579,379	\$ 2,525,369	\$ 509,836	\$ 2,630,376
Probate, Guardianship & Mental Health Services	\$ 195,778	\$ 1,219					\$ 196,997	\$ 841,052	\$ 160,073	\$ 866,639
Juvenile Dependency Services	\$ 67,386	\$ 3,535					\$ 70,921	\$ 294,499	\$ 59,654	\$ 448,150
Juvenile Delinquency Services	\$ 107,008	\$ 11,188					\$ 118,196	\$ 379,767	\$ 88,511	\$ 558,890
Other Court Operations	\$ 282,589	\$ 39,763					\$ 322,352	\$ 1,963,089	\$ 257,975	\$ 2,187,668
Court Interpreters	\$ 210,814	\$ 101,463					\$ 312,277	\$ 1,444,457	\$ 315,734	\$ 1,718,188
Jury Services	\$ 55,656	\$ 57,120	\$ 76,040				\$ 188,817	\$ 820,115	\$ 144,127	\$ 855,330
Security	\$ 169,461	\$ 169,461					\$ 169,461	\$ 831,469	\$ 7,873	\$ 10,036,228
	\$ 6,161,047	\$ 761,413	\$ 76,040				\$ 5,748,797		\$ 41,344,077	
Trial Court Operations Program										
Enhanced Collections	\$ 14,038	\$ 2,870					\$ 16,908	\$ 179,033	\$ 20,343	\$ 161,625
Other Non-Court Operations	\$ 8,771	\$ 29,344	\$ 8,281				\$ 46,396	\$ 377,468	\$ 30,217	\$ 339,443
	\$ 22,809	\$ 32,214	\$ 8,281						\$ 50,560	\$ 501,068
Non-Court Operations Program										
Executive Office	\$ 181,685	\$ 811					\$ 182,496	\$ 799,497	\$ 146,327	\$ 778,771
Fiscal Services	\$ 185,272	\$ 10,959					\$ 196,231	\$ 1,063,827	\$ 150,321	\$ 1,031,223
Human Resources	\$ 144,064	\$ 5,868					\$ 149,932	\$ 567,156	\$ 123,761	\$ 647,153
Business & Facilities Services	\$ 82,043	\$ 117,448					\$ 199,491	\$ 848,262	\$ 150,666	\$ 1,118,008
Information Technology	\$ 131,492	\$ 272,376					\$ 403,868	\$ 2,657,768	\$ 179,321	\$ 2,727,508
	\$ 724,556	\$ 407,462							\$ 750,396	\$ 6,302,663
Court Administration Program										
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 6,908,411	\$ 1,201,089	\$ 84,322				\$ 6,549,753		\$ 49,147,908	