

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/04/2012

Date

San Joaquin

Court

FY2012 - 2013, Quarter 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

FY2012 - 2013, Quarter 1

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

San Joaquin

Court

FY2012 - 2013, Quarter 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	267.22	251.44			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

	Fiscal Year 2012/13							2011/12	
	Governmental Funds						Total Funds	Total Funds	
	General	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
ASSETS									
Operations	\$ (2,036,923)	\$ 80,145	\$ (574,609)	\$ 2,609,657			\$ 2,201,549	\$ 2,279,820	\$ (110,440)
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ (58,098)	\$ (58,098)	\$ 68,202
Civil Filing Fees							\$ 0	\$ 0	\$ (220)
Trust							\$ (5,781)	\$ (5,781)	\$ 1,937,345
Credit Card									
Cash on Hand	\$ 9,460							\$ 9,460	\$ 9,460
Cash with County	\$ (724,859)	\$ 42,102	\$ (112,165)					\$ (794,922)	\$ 859,824
Cash Outside of the AOC							\$ 0	\$ 0	\$ 6,644
Total Cash	\$ (2,752,322)	\$ 122,247	\$ (686,774)	\$ 2,609,657			\$ 2,137,670		\$ 2,170,835
Short Term Investment	\$ 4,248,706						\$ 2,074,760	\$ 6,323,466	\$ 5,857,443
Investment in Financial Institution									
Total Investments	\$ 4,248,706						\$ 2,074,760		\$ 5,857,443
Accrued Revenue	\$ 45	\$ 0		\$ 0			\$ 0	\$ 45	\$ 0
Accounts Receivable - General	\$ 30,940		\$ 378,463					\$ 409,403	\$ 74,089
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 34,436
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 80,045		\$ 0					\$ 80,045	\$ 61,265
Trust Due To/From							\$ 3	\$ 3	\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 923							\$ 923	\$ 1,175
Total Receivables	\$ 111,953	\$ 0	\$ 378,463	\$ 0			\$ 3		\$ 170,965
Prepaid Expenses - General	\$ 457	\$ 0						\$ 457	\$ 0
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses	\$ 457	\$ 0							\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,808,794	\$ 122,247	\$ (308,311)	\$ 2,609,657			\$ 4,212,433		\$ 6,759,223
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 15,925	\$ 0	\$ 1,509				\$ 650	\$ 18,083	\$ 23,922
Due to Other Funds	\$ 3	\$ 0	\$ 0				\$ 923	\$ 926	\$ 1,175
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 2,361,439
TC145 Liability							\$ 1,601,147	\$ 1,601,147	\$ 1,532,281
Due to Other Governments	\$ (314)		\$ 0	\$ 2,609,657				\$ 2,609,343	\$ 2,602,404
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 433	\$ 433	\$ 355
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 15,614	\$ 0	\$ 1,509	\$ 2,609,657			\$ 1,603,153		\$ 6,521,578
Civil							\$ 1,856,920	\$ 1,856,920	\$ 1,123,592
Criminal							\$ 565,178	\$ 565,178	\$ 872,103
Unreconciled - Civil and Criminal							\$ 10,447	\$ 10,447	\$ 10,447
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 6,644
Trust Interest Payable							\$ 20,623	\$ 20,623	\$ 166,110
Miscellaneous Trust									
Total Trust Deposits							\$ 2,453,169		\$ 2,178,896
Accrued Payroll	\$ 0		\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 55,173							\$ 55,173	\$ (26,867)
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 55,173		\$ 0						\$ (26,867)
Revenue Collected in Advance	\$ 3,726							\$ 3,726	\$ 4,100
Liabilities For Deposits	\$ 37,184	\$ 25					\$ 12,083	\$ 49,292	\$ 49,955
Jury Fees - Non-Interest							\$ 119,751	\$ 119,751	\$ 159,792
Fees - Partial Payment & Overpayment							\$ 24,278	\$ 24,278	\$ 18,546
Uncleared Collections									
Other Miscellaneous Liabilities	\$ 916,000							\$ 916,000	
Total Other Liabilities	\$ 956,910	\$ 25					\$ 156,111		\$ 232,394
Total Liabilities	\$ 1,027,697	\$ 25	\$ 1,509	\$ 2,609,657			\$ 4,212,433		\$ 8,905,999
Total Fund Balance	\$ 661,097	\$ 122,222	\$ (309,819)	\$ 0					\$ (108,776)
Total Liabilities and Fund Balance	\$ 1,608,794	\$ 122,247	\$ (308,311)	\$ 2,609,657			\$ 4,212,433		\$ 8,796,223

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

	Fiscal Year 2012/13							2011/12		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 5,837,073						\$ 5,837,073		\$ 6,276,493	\$ 28,808,235
Trial Court Improvement Fund	\$ (286)						\$ (286)		\$ 0	\$ 103,804
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)									\$ 75,999	\$ 276,000
Court Interpreter (45.45)	\$ 211,112						\$ 211,112		\$ 280,776	\$ 1,342,831
Civil Coordination Reimbursement (45.55)										\$ 30,927
MOU Reimbursements (45.10 and General)	\$ 39,667						\$ 39,667		\$ 52,759	\$ 770,548
Other Miscellaneous										
	\$ 6,087,566								\$ 6,688,027	\$ 21,332,345
Grants										
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 998,910	\$ 0	\$ 931,252
Other AOC Grants			\$ 0				\$ 0	\$ 27,516	\$ 0	\$ 82,000
Non-AOC Grants			\$ 12,968				\$ 12,968	\$ 918,834	\$ 0	\$ 1,531,747
			\$ 12,968						\$ 0	\$ 2,544,999
Other Financing Sources										
Interest Income	\$ 1,338	\$ 3					\$ 1,340		\$ 1,376	\$ 34,698
Investment Income										
Donations										
Local Fees	\$ 29,810						\$ 29,810		\$ 38,372	\$ 261,823
Non-Fee Revenues									\$ 1,216	\$ 47,376
Enhanced Collections	\$ (5,828)						\$ (5,828)		\$ 22,879	\$ 179,033
Escheatment										
Prior Year Revenue	\$ (5,163)	\$ 344					\$ (4,819)		\$ 38,242	
County Program - Restricted		\$ 31,913					\$ 31,913	\$ 172,362	\$ 63,619	\$ 577,807
Reimbursement Other	\$ 3,495						\$ 3,495		\$ 1,330	\$ 61,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 156						\$ 156		\$ 783	\$ 3,937
	\$ 23,806	\$ 32,259							\$ 167,818	\$ 1,165,674
Total Revenues	\$ 6,111,372	\$ 32,259	\$ 12,968						\$ 6,853,845	\$ 36,043,018
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 3,434,849	\$ 43,043	\$ 196,172				\$ 3,674,065	\$ 952,508	\$ 4,306,500	\$ 15,820,143
Temp Help	\$ 92,402		\$ 5,194				\$ 97,596		\$ 31,395	\$ 76,229
Overtime	\$ 567						\$ 567		\$ 137	
Staff Benefits	\$ 2,074,571	\$ 23,862	\$ 97,463				\$ 2,195,897	\$ 458,835	\$ 2,570,379	\$ 12,332,526
	\$ 5,602,389	\$ 66,906	\$ 298,830						\$ 6,908,411	\$ 26,228,898
Operating Expenses and Equipment										
General Expense	\$ 131,911	\$ 3,085	\$ 2,325				\$ 137,320	\$ 46,867	\$ 142,385	\$ 743,446
Printing	\$ 8,528	\$ 89	\$ 1,578				\$ 10,195	\$ 5,679	\$ 15,217	\$ 171,325
Telecommunications	\$ 25,955		\$ 458				\$ 26,413	\$ 3,834	\$ 59,949	\$ 346,580
Postage	\$ 49,971		\$ 503				\$ 50,475	\$ 1,165	\$ 47,362	\$ 228,640
Insurance										\$ 11,700
In-State Travel	\$ 6,741		\$ 198				\$ 6,939	\$ 8,858	\$ 4,445	\$ 24,488
Out-of-State Travel										\$ 80
Training	\$ 431						\$ 431	\$ 1,215	\$ 1,275	\$ 7,320
Security Services	\$ 100,758						\$ 100,758	\$ 163,561	\$ 145,031	\$ 759,277
Facility Operations	\$ 54,600						\$ 54,600	\$ 6,600	\$ 9,708	\$ 451,140
Utilities										
Contracted Services	\$ 287,752	\$ 12,628	\$ 18,896				\$ 319,277	\$ 271,271	\$ 468,487	\$ 3,086,254
Consulting and Professional Services	\$ 251,077		\$ 0				\$ 251,077	\$ 97,248	\$ 204,851	\$ 1,889,765
Information Technology	\$ 43,911						\$ 43,911	\$ 6,383	\$ 78,668	\$ 195,058
Major Equipment	\$ 0						\$ 0		\$ 21,734	\$ 35,000
Other Items of Expense	\$ 1,713						\$ 1,713		\$ 1,977	\$ 22,775
	\$ 963,348	\$ 15,803	\$ 23,957						\$ 1,201,089	\$ 7,972,868
Special Items of Expense										
Grand Jury	\$ 1,335	\$ 21,367					\$ 22,703		\$ 8,281	\$ 164,617
Jury Costs	\$ 92,904						\$ 92,904		\$ 76,040	\$ 408,000
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery								\$ 93,598		\$ 0
Prior Year Expense Adjustment	\$ (117)	\$ (436)					\$ (553)			
	\$ 94,122	\$ 20,931							\$ 84,322	\$ 572,617
Total Expenditures	\$ 6,659,859	\$ 103,640	\$ 322,787						\$ 8,193,822	\$ 36,774,363
Excess (Deficit) of Revenues Over Expenditures	\$ (548,488)	\$ (71,380)	\$ (309,819)				\$ (929,687)	\$ 0	\$ (1,339,976)	\$ (1,731,365)
Operating Transfers In (Out)										\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,129,585	\$ 193,603	\$ 0	\$ 0			\$ 1,323,187	\$ 1,323,187	\$ 1,233,200	\$ 1,233,200
Ending Balance (Deficit)	\$ 681,097	\$ 122,222	\$ (309,819)	\$ 0					\$ (106,776)	\$ (498,165)

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

Fiscal Year 2012/13										2011/12
PROGRAM EXPENDITURES:	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
Judges & Courtroom Support	\$ 2,234,081	\$ 132,869	\$ 0			\$ 2	\$ 2,366,952	\$ 212,381	\$ 2,667,307	\$ 1,357,318
Traffic & Other Infractions	\$ 399,288	\$ 33,866					\$ 433,153	\$ 543,782	\$ 659,933	\$ 2,906,864
Other Criminal Cases	\$ 797,605	\$ 100,086					\$ 897,691	\$ 553,957	\$ 1,041,610	\$ 4,710,756
Civil	\$ 474,949	\$ 2,394					\$ 477,343		\$ 671,252	\$ 2,206,577
Family & Children Services	\$ 564,343	\$ 16,388					\$ 580,731	\$ 660,529	\$ 579,379	\$ 2,525,389
Probate, Guardianship & Mental Health Services	\$ 147,654	\$ 779					\$ 148,434		\$ 196,997	\$ 841,052
Juvenile Dependency Services	\$ 38,558	\$ 5,399					\$ 43,957		\$ 70,921	\$ 294,499
Juvenile Delinquency Services	\$ 95,414	\$ 6,060					\$ 101,474		\$ 118,196	\$ 379,767
Other Court Operations	\$ 201,042	\$ 70,314				\$ 1,147	\$ 272,503		\$ 322,352	\$ 1,963,089
Court Interpreters	\$ 228,637	\$ 63,411					\$ 292,047		\$ 312,277	\$ 1,444,457
Jury Services	\$ 52,273	\$ 52,418	\$ 92,904				\$ 197,595		\$ 188,817	\$ 820,115
Security	\$ 52,273	\$ 52,418	\$ 92,904			\$ 0	\$ 105,026	\$ 146,973	\$ 169,461	\$ 831,459
Trial Court Operations Program	\$ 5,233,846	\$ 589,008	\$ 92,904			\$ 1,149	\$ 998,500		\$ 998,500	\$ 3,021,352
Enhanced Collections	\$ 18,286	\$ 6,217					\$ 24,502		\$ 16,908	\$ 179,033
Other Non-Court Operations	\$ 9,171	\$ 12,628	\$ 22,703			\$ (436)	\$ 44,066		\$ 46,396	\$ 377,468
Non-Court Operations Program	\$ 27,456	\$ 18,845	\$ 22,703			\$ (436)			\$ 63,304	\$ 556,501
Executive Office	\$ 199,327	\$ 1,157					\$ 200,483		\$ 182,496	\$ 799,497
Fiscal Services	\$ 170,842	\$ 3,976				\$ 301	\$ 175,120		\$ 196,231	\$ 1,063,827
Human Resources	\$ 115,556	\$ 4,661					\$ 120,216		\$ 149,932	\$ 567,156
Business & Facilities Services	\$ 77,308	\$ 131,159					\$ 208,467		\$ 199,491	\$ 848,262
Information Technology	\$ 143,791	\$ 254,302				\$ (1,568)	\$ 396,526		\$ 403,868	\$ 2,657,788
Court Administration Program	\$ 706,823	\$ 395,255				\$ (1,266)			\$ 1,132,018	\$ 5,936,650
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,968,125	\$ 1,003,108	\$ 115,607			\$ (653)	\$ 1,193,822		\$ 1,193,822	\$ 36,774,983