QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive

12/03/2012

Date

San Francisco

Court

2012-13/ 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Francisco
Court

2012-13 / 1st Quarter
Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Francisco
Court

2012-13/ 1st Quarter
Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs)[1] (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	440.8	417			

[1] The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Francisco
Trial Court Operations Fund
Balance Sheet
(Unaudited)

	For the month ended Sep								
	Fiscal Year 2012/13								2011/12
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
	General	Non-Grant	Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
ASSETS									
Operations	$ 483,890	$ 124,622	$ (670,108)				$ 13,736,141	$ 13,674,545	$ (2,230,588)
Payroll									
Jury									
Revolving	$ 450							$ 450	$ 450
Other									
Distribution							$ 0	$ 0	$ 0
Civil Filing Fees							$ (1,149,628)	$ (1,149,628)	$ 11,510,826
Trust									
Credit Card									
Cash on Hand	$ 650							$ 650	$ 650
Cash with County	$ 5,841,794	$ 0					$ 1,510,963	$ 7,352,757	$ 4,075,900
Cash Outside of the AOC									
Total Cash	$ 6,326,783	$ 124,622	$ (670,108)				$ 14,097,476	$ 19,878,774	$ 13,357,238
Short Term Investment	$ 10,534,979						$ 4,264,072	$ 14,799,052	$ 7,825,280
Investment in Financial Institution									
Total Investments	$ 10,534,979						$ 4,264,072	$ 14,799,052	$ 7,825,280
Accrued Revenue	$ 0	$ 0	$ 0				$ 0	$ 0	$ 0
Accounts Receivable - General	$ 66,000		$ 522,716					$ 588,716	$ 486,358
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	$ 0							$ 0	$ 0
Due From Other Governments	$ 0	$ 0	$ 0					$ 0	$ 0
Due From Other Courts	$ 0						$ 0	$ 0	$ 0
Due From State	$ 0	$ 0	$ 0					$ 0	$ 0
Trust Due To/From									$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	$ 3,273							$ 3,273	$ 3,172
Total Receivables	$ 69,273	$ 0	$ 522,716				$ 0	$ 591,989	$ 489,530
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	$ 16,931,036	$ 124,622	$ (147,392)				$ 18,361,548	$ 35,269,814	$ 21,672,048
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	$ 0	$ 0	$ 0					$ 0	$ 0
Accounts Payable - General	$ 31,966	$ 0	$ 0				$ 500,473	$ 532,439	$ 160,882
Due to Other Funds	$ 0	$ 0	$ 0				$ 3,273	$ 3,273	$ 3,172
Due to Other Courts	$ 0							$ 0	$ 0
Due to State	$ 0							$ 0	$ 0
TC145 Liability							$ 4,351,198	$ 4,351,198	$ 2,957,650
Due to Other Governments	$ 0	$ 0	$ 0					$ 0	$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	$ 0	$ 0						$ 0	$ 0
Interest							$ 1,682	$ 1,682	$ 1,259
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	$ 31,966	$ 0	$ 0				$ 4,856,626	$ 4,888,592	$ 3,122,963
Civil							$ 11,173,052	$ 11,173,052	$ 10,671,620
Criminal							$ 2,624	$ 2,624	$ 1,758
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							$ 1,510,963	$ 1,510,963	$ 2,035,707
Trust Interest Payable							$ 54,051	$ 54,051	$ 120,173
Miscellaneous Trust									
Total Trust Deposits							$ 12,740,690	$ 12,740,690	$ 12,829,258
Accrued Payroll	$ 0	$ 0	$ 0					$ 0	$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	$ 0	$ 0	$ 0					$ 0	$ 0
Revenue Collected in Advance	$ 0		$ 0					$ 0	$ 43,270
Liabilities For Deposits	$ 102,151						$ 20,869	$ 123,020	$ 123,405
Jury Fees - Non-Interest							$ 657,000	$ 657,000	$ 645,750
Fees - Partial Payment & Overpayment							$ 86,363	$ 86,363	$ 46,425
Uncleared Collections	$ 0							$ 0	$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	$ 102,151		$ 0				$ 764,233	$ 866,384	$ 858,850
Total Liabilities	$ 134,117	$ 0	$ 0				$ 18,361,548	$ 18,495,665	$ 16,811,071
Total Fund Balance	$ 16,796,919	$ 124,622	$ (147,392)					$ 16,774,149	$ 4,860,977
Total Liabilities and Fund Balance	$ 16,931,036	$ 124,622	$ (147,392)				$ 18,361,548	$ 35,269,814	$ 21,672,048

Superior Court of California, County of San Francisco
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

	For the month ended Sep										
	Fiscal Year 2012/13									2011/12	
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Special Revenue									
	General	Non-Grant	Grant	Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
REVENUES											
State Financing Sources											
Trial Court Trust Fund	$ 12,546,141	$ 20,490						$ 12,566,631	$ 50,878,671	$ 14,789,122	$ 67,098,765
Trial Court Improvement Fund									$ 173,430	$ 45,014	$ 173,430
Judicial Administration Efficiency & Mod Fund									$ 645,910	$ (15,027)	$ 787,910
Judges' Compensation (45.25)	$ 123,501							$ 123,501	$ 494,000	$ 123,501	$ 494,000
Court Interpreter (45.45)	$ 415,245							$ 415,245	$ 2,176,756	$ 211,408	$ 2,176,756
Civil Coordination Reimbursement (45.55)	$ 5,202							$ 5,202	$ 50,000	$ 17,191	$ 40,000
MOU Reimbursements (45.10 and General)	$ 140,168							$ 140,168	$ 4,749,249	$ 291,349	$ 5,096,840
Other Miscellaneous	$ 0							$ 0	$ 3,993,883		$ 2,500,000
	$ 13,230,257	$ 20,490						$ 13,250,747	$ 63,161,899	$ 15,462,557	$ 78,347,701
Grants											
AB 1058 Commissioner/Facilitator			$ 29,580					$ 29,580	$ 1,520,781	$ (323)	$ 1,472,711
Other AOC Grants			$ (17,358)					$ (17,358)	$ 330,914	$ (212,200)	$ 220,200
Non-AOC Grants			$ (6,720)					$ (6,720)	$ 759,169	$ 9,299	$ 2,238,976
			$ 5,501					$ 5,501	$ 2,610,864	$ (203,223)	$ 3,931,887
Other Financing Sources											
Interest Income	$ 3,696	$ 8						$ 3,704	$ 122,500	$ 2,680	$ 49,160
Investment Income											
Donations	$ 1,380							$ 1,380		$ 12,993	
Local Fees	$ 39,095							$ 39,095	$ 163,500	$ 25,266	$ 123,000
Non-Fee Revenues	$ 0							$ 0	$ 15,000	$ 10,238	$ 8,000
Enhanced Collections		$ 17,084						$ 17,084	$ 1,600,000	$ 131,428	$ 1,545,625
Escheatment										$ (18)	
Prior Year Revenue											
County Program - Restricted	$ 192,000							$ 192,000	$ 822,000	$ 297,420	$ 324,390
Reimbursement Other	$ 61,296							$ 61,296	$ 692,306	$ 53,359	$ 815,145
Sale of Fixed Assets											
Other Miscellaneous	$ 16,203							$ 16,203		$ 890	$ 5,000
	$ 313,671	$ 17,092						$ 330,763	$ 3,415,306	$ 534,256	$ 2,870,320
Total Revenues	**$ 13,543,928**	**$ 37,582**	**$ 5,501**					**$ 13,587,011**	**$ 69,188,069**	**$ 15,793,590**	**$ 85,149,908**
EXPENDITURES											
Personal Services											
Salaries - Permanent	$ 4,431,212	$ 40,248	$ 56,894					$ 4,528,354	$ 35,949,986	$ 9,159,123	$ 39,512,736
Temp Help	$ 14,497							$ 14,497		$ 216,274	
Overtime	$ 266							$ 266		$ 724	
Staff Benefits	$ 2,179,464	$ 16,421	$ 38,468					$ 2,234,354	$ 20,567,485	$ 4,312,555	$ 22,810,338
	$ 6,625,439	$ 56,670	$ 95,362					$ 6,777,471	$ 56,517,471	$ 13,688,677	$ 62,323,074
Operating Expenses and Equipment											
General Expense	$ 666,734	$ 57	$ 6,921					$ 673,712	$ 1,536,669	$ 189,233	$ 1,833,173
Printing	$ 19,585	$ 40	$ 0					$ 19,626	$ 118,800	$ 542	$ 132,000
Telecommunications	$ 11,601							$ 11,601	$ 70,000	$ 9,034	$ 120,143
Postage	$ 20,570							$ 20,570	$ 280,000	$ 16,211	$ 360,000
Insurance									$ 15,000		
In-State Travel	$ 4,070		$ 889					$ 4,959	$ 5,500	$ 4,318	$ 4,000
Out-of-State Travel	$ 4,030		$ 3,153					$ 7,183	$ 6,761	$ 3,257	
Training	$ 2,056							$ 2,056	$ 33,167	$ 2,524	$ 30,000
Security Services	$ 0		$ 1,237					$ 1,237		$ 0	
Facility Operations	$ 133,945	$ 2,463						$ 136,408	$ 559,000	$ 44,831	$ 634,780
Utilities											
Contracted Services	$ 731,305	$ (60,549)	$ 14,622					$ 685,377	$ 10,339,639	$ 872,209	$ 12,061,350
Consulting and Professional Services	$ 579,481							$ 579,481	$ 2,226,233	$ 425,464	$ 1,917,678
Information Technology	$ 366,578		$ 20,141					$ 386,719	$ 2,351,000	$ 430,298	$ 2,788,306
Major Equipment	$ 27,910							$ 27,910	$ 415,000	$ 51,260	
Other Items of Expense	$ 394							$ 394	$ 5,000	$ 390	$ 4,000
	$ 2,568,259	$ (57,989)	$ 46,963					$ 2,557,233	$ 17,961,769	$ 2,049,572	$ 19,885,430
Special Items of Expense											
Grand Jury											
Jury Costs	$ 151,886							$ 151,886	$ 650,000	$ 146,705	$ 650,000
Judgements, Settlements and Claims	$ 0							$ 0			
Debt Service											
Other	$ 0							$ 0			
Capital Costs											
Internal Cost Recovery	$ (10,569)		$ 10,569					$ 0	$ 0	$ 0	$ 0
Prior Year Expense Adjustment											
	$ 141,317		$ 10,569					$ 151,886	$ 650,000	$ 146,705	$ 650,000
Total Expenditures	**$ 9,335,016**	**$ (1,320)**	**$ 152,893**					**$ 9,486,589**	**$ 75,129,240**	**$ 15,884,953**	**$ 82,858,504**
Excess (Deficit) of Revenues Over Expenditures	$ 4,208,913	$ 38,902	$ (147,392)					$ 4,100,422	$ (5,941,171)	$ (91,363)	$ 2,291,404
Operating Transfers In (Out)									$ 0		$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	$ 12,588,006	$ 85,721	$ 0					$ 12,673,727	$ 12,673,727	$ 4,952,340	$ 4,952,340
Ending Balance (Deficit)	**$ 16,796,919**	**$ 124,622**	**$ (147,392)**					**$ 16,774,149**	**$ 6,732,556**	**$ 4,860,977**	**$ 7,243,744**

Superior Court of California, County of San Francisco
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)

	For the month ended Sep									
	Fiscal Year 2012/13								2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	$ 2,612,856	$ 158,568	$ 0				$ 2,771,424	$ 24,628,930	$ 5,973,089	$ 26,221,473
Traffic & Other Infractions	$ 377,340	$ 15,499					$ 392,839	$ 3,461,780	$ 640,615	$ 3,760,954
Other Criminal Cases	$ 475,358	$ 42,436			$ 0		$ 517,793	$ 4,509,505	$ 1,002,331	$ 8,091,416
Civil	$ 441,365	$ 9,555					$ 450,920	$ 4,976,648	$ 946,470	$ 5,311,381
Family & Children Services	$ 410,467	$ 6,337			$ 0		$ 416,804	$ 4,939,319	$ 953,468	$ 4,572,942
Probate, Guardianship & Mental Health Services	$ 283,632	$ 3,374					$ 287,006	$ 2,977,986	$ 661,803	$ 2,664,380
Juvenile Dependency Services	$ 25,671	$ 361,725					$ 387,396	$ 4,174,637	$ 483,441	$ 4,479,110
Juvenile Delinquency Services	$ 40,445	$ 3,351					$ 43,796	$ 672,171	$ 121,334	$ 636,595
Other Court Operations	$ 308,447	$ 39,364					$ 347,811	$ 3,512,783	$ 694,062	$ 3,466,357
Court Interpreters	$ 229,439	$ 139,499					$ 368,938	$ 2,663,390	$ 550,185	$ 2,561,158
Jury Services	$ 221,623	$ 32,548	$ 151,886				$ 406,057	$ 2,367,659	$ 516,181	$ 2,728,043
Security		$ 35,036					$ 35,036		$ 56,962	
Trial Court Operations Program	**$ 5,426,643**	**$ 847,291**	**$ 151,886**		**$ 0**		**$ 6,425,820**	**$ 58,884,808**	**$ 12,599,941**	**$ 64,493,809**
Enhanced Collections	$ 56,670	$ (88,586)					$ (31,916)	$ 1,859,583	$ 153,151	$ 1,616,235
Other Non-Court Operations		$ (17)					$ (17)		$ 34,870	
Non-Court Operations Program	**$ 56,670**	**$ (88,603)**					**$ (31,933)**	**$ 1,859,583**	**$ 188,022**	**$ 1,616,235**
Executive Office	$ 68,839	$ 6,673					$ 75,512	$ 832,642	$ 145,134	$ 637,950
Fiscal Services	$ 269,611	$ 4,788					$ 274,399	$ 2,161,841	$ 512,049	$ 2,960,697
Human Resources	$ 569,789	$ 584,308	$ 0				$ 1,154,097	$ 3,933,498	$ 1,150,952	$ 4,203,991
Business & Facilities Services	$ 81,828	$ 273,988			$ 0		$ 355,817	$ 859,319	$ 221,921	$ 1,635,293
Information Technology	$ 304,091	$ 928,788					$ 1,232,879	$ 6,597,549	$ 1,066,935	$ 7,310,529
Court Administration Program	**$ 1,294,158**	**$ 1,798,544**	**$ 0**		**$ 0**		**$ 3,092,703**	**$ 14,384,849**	**$ 3,096,990**	**$ 16,748,460**
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	**$ 6,777,471**	**$ 2,557,233**	**$ 151,886**		**$ 0**		**$ 9,486,589**	**$ 75,129,240**	**$ 15,884,953**	**$ 82,858,504**