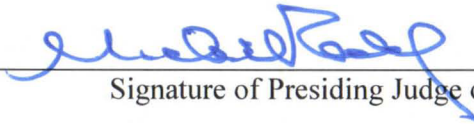


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/07/2013

Date

San Diego

Court

FY 13-14 and September 2013

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Diego

Court

FY 13-14 and September 2013

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Diego

Court

FY 13-14 and September 2013

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		1,252.25			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2013/14

2012/13

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (11,534,072)	\$ 7,331,597	\$ (1,104,797)				\$ 30,336,062	\$ 25,028,791	\$ 20,288,337
Payroll									
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 7,350
Other									
Distribution							\$ 521,122	\$ 521,122	\$ 213,314
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (4,820,749)	\$ (4,820,749)	\$ (5,571,667)
Credit Card									
Cash on Hand	\$ 24,294	\$ 300					\$ 0	\$ 24,594	\$ 24,744
Cash with County	\$ 8,270,260	\$ (178,590)	\$ (91,670)					\$ 8,000,000	\$ 779,085
Cash Outside of the AOC									
Total Cash	\$ (3,234,519)	\$ 7,153,308	\$ (1,196,467)				\$ 26,036,436	\$ 28,758,758	\$ 15,741,163
Short Term Investment	\$ 36,703,749						\$ 21,907,859	\$ 58,611,607	\$ 34,637,559
Investment in Financial Institution									
Total Investments	\$ 36,703,749						\$ 21,907,859	\$ 58,611,607	\$ 34,637,559
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 94,619	\$ 0	\$ 1,039,611					\$ 1,134,231	\$ 758,287
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 36,293
Trust Due To/From							\$ 6,877,505	\$ 6,877,505	\$ 2,199,031
Distribution Due To/From							\$ 30,878	\$ 30,878	\$ 55,712
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,474	\$ 0						\$ 3,474	\$ 6,692
Total Receivables	\$ 98,094	\$ 0	\$ 1,039,611				\$ 6,908,383	\$ 8,046,088	\$ 3,056,015
Prepaid Expenses - General	\$ 22,229							\$ 22,229	\$ 85,720
Salary and Travel Advances	\$ 50		\$ 0					\$ 50	\$ 1,542
Counties									
Total Prepaid Expenses	\$ 22,279		\$ 0					\$ 22,279	\$ 87,262
Other Assets									
Total Other Assets									
Total Assets	\$ 33,589,602	\$ 7,153,308	\$ (156,855)				\$ 54,852,677	\$ 95,438,732	\$ 53,521,998
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 599,960	\$ 0	\$ 0				\$ 16,182	\$ 616,141	\$ 210,934
Due to Other Funds	\$ 33	\$ 0	\$ 0				\$ 6,911,825	\$ 6,911,858	\$ 2,262,468
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 1,200							\$ 1,200	\$ 3,800
TC145 Liability							\$ 3,801,567	\$ 3,801,567	\$ 4,609,650
Due to Other Governments	\$ 7,573		\$ 0					\$ 7,573	\$ 180
AB145 Due to Other Government Agency							\$ 4,153,183	\$ 4,153,183	\$ 4,404,933
Due to Other Public Agencies									
Sales and Use Tax	\$ 4,822	\$ 0	\$ 0					\$ 4,822	\$ 79
Interest							\$ 3,392	\$ 3,392	\$ 3,522
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 613,588	\$ 0	\$ 0				\$ 14,886,149	\$ 15,499,737	\$ 11,495,565
Civil							\$ 28,697,676	\$ 28,697,676	\$ 12,581,132
Criminal							\$ 11,183,158	\$ 11,183,158	\$ 12,596,173
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 81,455	\$ 81,455	\$ 56,959
Miscellaneous Trust							\$ 0	\$ 0	\$ 0
Total Trust Deposits							\$ 39,962,289	\$ 39,962,289	\$ 25,234,264
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Revenue Collected in Advance	\$ 14,522		\$ 0					\$ 14,522	\$ 91,499
Liabilities For Deposits	\$ 49,262						\$ 0	\$ 49,262	\$ 32,999
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 4,239	\$ 4,239	\$ 4,239
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 63,784		\$ 0				\$ 4,239	\$ 68,023	\$ 128,737
Total Liabilities	\$ 677,372	\$ 0	\$ 0				\$ 54,852,677	\$ 55,530,049	\$ 36,858,565
Total Fund Balance	\$ 32,912,230	\$ 7,153,308	\$ (156,855)					\$ 39,908,682	\$ 16,663,433
Total Liabilities and Fund Balance	\$ 33,589,602	\$ 7,153,308	\$ (156,855)				\$ 54,852,677	\$ 95,438,732	\$ 53,521,998

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14								2012/13		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects						
Non-Grant		Grant								
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 54,786,771	\$ 94,341					\$ 54,881,111	\$ 139,640,505	\$ 29,896,341	\$ 133,313,763
Improvement and Modernization Fund	\$ 14						\$ 14	\$ 531,517	\$ (13,319)	\$ 547,109
Judges' Compensation (45.25)										
Court Interpreter (45.45)	\$ 1,011,595						\$ 1,011,595	\$ 6,100,000	\$ 1,153,512	\$ 6,100,000
Civil Coordination Reimbursement (45.55)	\$ 0						\$ 0	\$ 20,000	\$ 7,521	\$ 20,000
MOU Reimbursements (45.10 and General)	\$ 231,707						\$ 231,707	\$ 1,604,825	\$ 100,439	\$ 2,525,102
Other Miscellaneous								\$ 2,853,598		\$ 583,172
	\$ 56,030,087	\$ 94,341					\$ 56,124,427	\$ 150,750,445	\$ 31,144,494	\$ 143,089,146
Grants										
AB 1058 Commissioner/Facilitator			\$ 568,679				\$ 568,679	\$ 3,049,925	\$ 1,087	\$ 3,049,925
Other AOC Grants			\$ 6,108				\$ 6,108	\$ 171,000	\$ (596)	\$ 170,116
Non-AOC Grants								\$ 11,500	\$ 0	\$ 415,888
			\$ 574,788				\$ 574,788	\$ 3,232,425	\$ 491	\$ 3,635,929
Other Financing Sources										
Interest Income	\$ 111,341	\$ 47					\$ 111,388	\$ 107,007	\$ 10,954	\$ 164,000
Investment Income										
Donations										
Local Fees	\$ 0	\$ 122,542					\$ 122,542	\$ 921,500	\$ 218,289	\$ 1,138,175
Non-Fee Revenues	\$ (96,478)	\$ 55,318					\$ (41,160)	\$ 790,000	\$ 0	\$ 650,000
Enhanced Collections		\$ 1,141,479					\$ 1,141,479	\$ 7,730,719	\$ 1,182,629	\$ 7,421,036
Escheatment	\$ 4,841						\$ 4,841	\$ 38,177	\$ (1,033)	\$ 82,000
Prior Year Revenue										
County Program - Restricted		\$ 477,000					\$ 477,000	\$ 2,120,000	\$ 449,547	\$ 1,950,000
Reimbursement Other	\$ 25,584						\$ 25,584	\$ 264,000	\$ 62,152	\$ 416,575
Sale of Fixed Assets										
Other Miscellaneous	\$ 1,942						\$ 1,942	\$ 30,323	\$ 540	\$ 5,250
	\$ 47,229	\$ 1,796,387					\$ 1,843,616	\$ 12,001,726	\$ 1,923,077	\$ 11,827,036
Total Revenues	\$ 56,077,316	\$ 1,890,727	\$ 574,788				\$ 58,542,831	\$ 165,984,596	\$ 33,068,062	\$ 158,552,111
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 16,878,928	\$ 596,451	\$ 404,149				\$ 17,879,527	\$ 78,890,382	\$ 22,039,401	\$ 85,314,373
Temp Help	\$ 43,621	\$ 62,133	\$ 24,102				\$ 129,856	\$ 463,495	\$ 227,267	\$ 369,371
Overtime	\$ 39,108	\$ 167					\$ 39,275	\$ 102,500	\$ 27,833	\$ 0
Staff Benefits	\$ 12,042,395	\$ 413,273	\$ 270,936				\$ 12,726,604	\$ 57,839,058	\$ 14,426,150	\$ 59,104,251
	\$ 29,004,052	\$ 1,072,025	\$ 699,186				\$ 30,775,263	\$ 137,295,435	\$ 36,720,651	\$ 144,787,995
Operating Expenses and Equipment										
General Expense	\$ 892,243	\$ 21,908	\$ 25,002				\$ 939,153	\$ 3,871,792	\$ 432,226	\$ 3,200,768
Printing	\$ 109,328		\$ 130				\$ 109,458	\$ 905,300	\$ 113,170	\$ 918,095
Telecommunications	\$ 71,827	\$ 111					\$ 71,938	\$ 882,814	\$ 112,174	\$ 1,142,802
Postage	\$ 220,675						\$ 220,675	\$ 1,182,000	\$ 549,480	\$ 1,102,025
Insurance								\$ 45,000		\$ 41,000
In-State Travel	\$ 24,313	\$ 820	\$ 458				\$ 25,591	\$ 261,150	\$ 27,578	\$ 213,812
Out-of-State Travel	\$ 9,679						\$ 9,679	\$ 9,000		\$ 3,000
Training	\$ 54,607	\$ 1,467	\$ 200				\$ 56,274	\$ 221,130	\$ 16,844	\$ 165,177
Security Services	\$ 43,910		\$ 0				\$ 43,910	\$ 833,312	\$ 45,679	\$ 669,322
Facility Operations	\$ 11,085						\$ 11,085	\$ 162,562	\$ 14,468	\$ 159,040
Utilities										
Contracted Services	\$ 847,789	\$ 840,587	\$ 6,667				\$ 1,695,044	\$ 11,293,581	\$ 1,726,056	\$ 11,894,533
Consulting and Professional Services	\$ 211,118						\$ 211,118	\$ 4,541,617	\$ 193,624	\$ 4,008,868
Information Technology	\$ 2,415,921						\$ 2,415,921	\$ 9,590,711	\$ 1,454,284	\$ 11,209,006
Major Equipment	\$ 28,827						\$ 28,827	\$ 199,080	\$ 7,229	\$ 425,906
Other Items of Expense	\$ (13,315)						\$ (13,315)	\$ 126,600	\$ 9,288	\$ 126,600
	\$ 4,928,008	\$ 864,894	\$ 32,457				\$ 5,825,358	\$ 34,125,649	\$ 4,702,099	\$ 35,279,953
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 208,487						\$ 208,487	\$ 1,142,000	\$ 171,209	\$ 1,150,000
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 278						\$ 278	\$ 85,000	\$ (4,147)	\$ 100,000
Capital Costs										
Internal Cost Recovery										
Prior Year Expense Adjustment	\$ (83)						\$ (83)		\$ (5,789)	
	\$ 208,682						\$ 208,682	\$ 1,227,000	\$ 161,273	\$ 1,250,000
Total Expenditures	\$ 34,140,743	\$ 1,936,918	\$ 731,643				\$ 36,809,304	\$ 172,648,084	\$ 41,584,024	\$ 181,317,948
Excess (Deficit) of Revenues Over Expenditures	\$ 21,936,573	\$ (46,191)	\$ (156,855)				\$ 21,733,527	\$ (6,663,488)	\$ (8,515,962)	\$ (22,765,838)
Operating Transfers In (Out)	\$ (336,241)	\$ 336,241					\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 11,311,898	\$ 6,863,257	\$ 0				\$ 18,175,156	\$ 18,175,156	\$ 25,179,395	\$ 25,179,395
Ending Balance (Deficit)	\$ 32,912,230	\$ 7,153,308	\$ (156,855)				\$ 39,908,682	\$ 11,511,667	\$ 16,663,433	\$ 2,413,557

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14									2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 12,510,423	\$ 556,004					\$ 13,066,428	\$ 57,057,541	\$ 15,572,792	\$ 61,874,112
Traffic & Other Infractions	\$ 1,241,133	\$ 94,411					\$ 1,335,545	\$ 8,855,813	\$ 1,480,521	\$ 8,977,453
Other Criminal Cases	\$ 3,729,248	\$ 343,230					\$ 4,072,478	\$ 15,481,057	\$ 4,362,417	\$ 14,441,370
Civil	\$ 1,739,681	\$ 106,964					\$ 1,846,645	\$ 8,427,803	\$ 2,547,215	\$ 10,083,682
Family & Children Services	\$ 3,450,968	\$ 100,934					\$ 3,551,902	\$ 16,039,236	\$ 3,614,030	\$ 15,859,279
Probate, Guardianship & Mental Health Services	\$ 663,944	\$ 17,683					\$ 681,627	\$ 3,066,349	\$ 853,624	\$ 3,229,582
Juvenile Dependency Services	\$ 397,648	\$ 57,713					\$ 455,361	\$ 2,628,965	\$ 623,735	\$ 2,716,128
Juvenile Delinquency Services	\$ 303,027	\$ 42,483					\$ 345,509	\$ 1,478,835	\$ 485,570	\$ 1,807,439
Other Court Operations	\$ 488,863	\$ 6,877					\$ 495,741	\$ 2,126,612	\$ 725,585	\$ 2,847,820
Court Interpreters	\$ 1,174,011	\$ 89,554				\$ (83)	\$ 1,263,483	\$ 6,121,758	\$ 1,346,308	\$ 6,585,102
Jury Services	\$ 320,683	\$ 185,777	\$ 208,487				\$ 714,946	\$ 3,193,311	\$ 868,643	\$ 3,133,647
Security		\$ 54,177					\$ 54,177	\$ 1,296,232	\$ 45,679	\$ 728,822
Trial Court Operations Program	\$ 26,019,629	\$ 1,655,808	\$ 208,487			\$ (83)	\$ 27,883,841	\$ 125,773,513	\$ 32,526,119	\$ 132,284,435
Enhanced Collections	\$ 393,210	\$ 840,585					\$ 1,233,796	\$ 1,751,285	\$ 1,361,047	\$ 7,699,061
Other Non-Court Operations	\$ 316,425	\$ 16,612					\$ 333,038	\$ 1,357,149	\$ 551,159	\$ 1,342,401
Non-Court Operations Program	\$ 709,636	\$ 857,198					\$ 1,566,834	\$ 3,108,434	\$ 1,912,205	\$ 9,041,462
Executive Office	\$ 640,784	\$ 30,662					\$ 671,445	\$ 3,576,866	\$ 790,283	\$ 3,370,770
Fiscal Services	\$ 1,145,090	\$ 332,177	\$ 278				\$ 1,477,545	\$ 12,947,997	\$ 1,690,891	\$ 7,447,038
Human Resources	\$ 417,139	\$ 18,725					\$ 435,863	\$ 2,183,280	\$ 497,622	\$ 2,182,914
Business & Facilities Services	\$ 370,594	\$ 253,179					\$ 623,773	\$ 7,288,694	\$ 704,931	\$ 6,395,131
Information Technology	\$ 1,472,391	\$ 2,677,611					\$ 4,150,003	\$ 17,769,301	\$ 3,461,972	\$ 20,596,199
Court Administration Program	\$ 4,045,998	\$ 3,312,353	\$ 278				\$ 7,358,629	\$ 43,766,137	\$ 7,145,699	\$ 39,992,052
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 30,775,263	\$ 5,825,358	\$ 208,765			\$ (83)	\$ 36,809,304	\$ 172,648,084	\$ 41,584,024	\$ 181,317,948