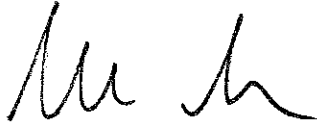


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive

8/13/2015

Date

SANTA CRUZ SUPERIOR COURT
Court

2014-2015 and Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT

Court

2014-2015 and Q4

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SANTA CRUZ SUPERIOR COURT

Court

2014-2015 and Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	126.70	119.40	119.60	120.00	122.20

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2014/15											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 11,554,447	\$ 113,208						\$ 11,667,655	\$ 11,724,594	\$ 11,230,928	\$ 11,722,245
Improvement and Modernization Fund	\$ 35,288						\$ 35,288	\$ 35,288	\$ 35,288	\$ 35,288	\$ 43,143
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 867,740						\$ 867,740	\$ 707,780	\$ 742,457	\$ 828,551	\$ 828,551
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 194,074						\$ 194,074	\$ 196,778	\$ 193,821	\$ 203,335	\$ 203,335
Other Miscellaneous	\$ 203,557						\$ 203,557	\$ 203,557	\$ 203,557	\$ 203,557	\$ 203,557
	\$ 12,855,106	\$ 113,208					\$ 12,968,314	\$ 12,967,938	\$ 12,406,051	\$ 13,000,831	
Grants											
AB 1058 Commissioner/Facilitator			\$ 313,260				\$ 313,260	\$ 332,686	\$ 322,313	\$ 270,940	
Other AOC Grants			\$ 37,564				\$ 37,564	\$ 34,000	\$ 29,000	\$ 63,798	
Non-AOC Grants											
			\$ 350,824				\$ 350,824	\$ 366,686	\$ 351,313	\$ 334,738	
Other Financing Sources											
Interest Income	\$ 6,734	\$ 831					\$ 7,565	\$ 9,313	\$ 9,375	\$ 10,862	
Investment Income											
Donations											
Local Fees	\$ 161,963	\$ 99,099					\$ 261,062	\$ 293,359	\$ 293,359	\$ 376,580	
Non-Fee Revenues											
Enhanced Collections		\$ 226,529					\$ 226,529	\$ 215,239	\$ 215,239	\$ 245,290	
Escheatment	\$ 650						\$ 650				
Prior Year Revenue											
County Program - Restricted		\$ 46,315					\$ 46,315	\$ 47,441	\$ 54,211	\$ 53,772	
Reimbursement Other	\$ 171,193						\$ 171,193	\$ 149,293	\$ 180,068	\$ 220,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 17,225						\$ 17,225	\$ 16,475	\$ 17,061	\$ 15,801	
	\$ 357,764	\$ 372,774					\$ 730,538	\$ 731,424	\$ 789,312	\$ 922,305	
Total Revenues	\$ 13,212,870	\$ 485,982	\$ 350,824				\$ 14,049,676	\$ 14,065,603	\$ 13,526,676	\$ 14,257,874	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,673,767	\$ 134,334	\$ 237,543				\$ 8,045,644	\$ 7,844,950	\$ 8,634,800	\$ 8,845,653	
Temp Help	\$ 17,680						\$ 17,680		\$ 1,533		
Overtime	\$ 2,977						\$ 2,977		\$ 13,598		
Staff Benefits	\$ 3,781,682	\$ 80,615	\$ 109,396				\$ 3,971,693	\$ 3,959,452	\$ 3,903,602	\$ 4,246,503	
	\$ 11,476,106	\$ 214,949	\$ 346,939				\$ 11,997,994	\$ 11,804,402	\$ 12,553,535	\$ 13,092,156	
Operating Expenses and Equipment											
General Expense	\$ 178,526		\$ 690				\$ 179,216	\$ 220,575	\$ 271,429	\$ 311,406	
Printing	\$ 70,191						\$ 70,191	\$ 78,103	\$ 76,979	\$ 71,579	

Telecommunications	\$ 106,938						\$ 106,938	\$ 98,009	\$ 96,428	\$ 118,700
Postage	\$ 39,641	\$ 26,014					\$ 65,655	\$ 88,451	\$ 65,735	\$ 89,551
Insurance	\$ 5,495						\$ 5,495	\$ 6,030	\$ 6,029	\$ 5,175
In-State Travel	\$ 22,067		\$ 2,111				\$ 24,178	\$ 25,883	\$ 25,503	\$ 32,145
Out-of-State Travel										
Training	\$ 7,246		\$ 1,193				\$ 8,438	\$ 5,888	\$ 10,838	\$ 17,085
Security Services	\$ 100						\$ 100	\$ 300	\$ 300	\$ 300
Facility Operations	\$ 189,024			\$ 75,000			\$ 264,024	\$ 453,096	\$ 450,685	\$ 655,826
Utilities										
Contracted Services	\$ 448,353	\$ 38,000	\$ 34,798				\$ 521,151	\$ 581,718	\$ 593,834	\$ 651,722
Consulting and Professional Services	\$ 13,140						\$ 13,140	\$ 11,760	\$ 11,760	\$ 23,765
Information Technology	\$ 1,505,613						\$ 1,505,613	\$ 2,002,491	\$ 343,572	\$ 507,160
Major Equipment	\$ 1,118						\$ 1,118	\$ 30,661	\$ 166,097	\$ 199,250
Other Items of Expense	\$ 1,766						\$ 1,766	\$ 1,995	\$ 4,790	\$ 6,050
	\$ 2,589,218	\$ 64,014	\$ 38,792	\$ 75,000			\$ 2,767,924	\$ 3,604,980	\$ 2,423,979	\$ 2,689,714
Special Items of Expense										
Grand Jury									\$ 651	
Jury Costs	\$ 131,273						\$ 131,273	\$ 134,899	\$ 133,899	\$ 136,000
Judgements, Settlements and Claims										
Debt Service										
Other									\$ (478)	
Capital Costs										
Internal Cost Recovery	\$ (88,660)	\$ 22,660	\$ 66,001				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment										
	\$ 42,613	\$ 22,660	\$ 66,001				\$ 42,613	\$ 22,660	\$ 134,072	\$ 136,000
Total Expenditures	\$ 14,107,936	\$ 301,623	\$ 451,732	\$ 75,000			\$ 14,936,291	\$ 16,524,224	\$ 14,811,585	\$ 15,917,870
Excess (Deficit) of Revenues Over Expenditures	\$ (895,066)	\$ 184,359	\$ (100,908)	\$ (75,000)			\$ (886,615)	\$ (1,578,458)	\$ (1,284,909)	\$ (1,669,996)
Operating Transfers In (Out)	\$ (204,686)	\$ 28,779	\$ 100,908	\$ 75,000			\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,343,430	\$ 235,028	\$ 0	\$ 0			\$ 1,578,458	\$ 1,578,458	\$ 2,863,367	\$ 2,863,367
Ending Balance (Deficit)	\$ 243,678	\$ 448,165	\$ 0	\$ 0			\$ 691,843	\$ 30	\$ 1,578,458	\$ 1,203,371

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2014/15								2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,884,060	\$ 294,830					\$ 4,178,889	\$ 4,258,595	\$ 4,544,872	\$ 4,446,346
Traffic & Other Infractions	\$ 670,505	\$ 27,706					\$ 698,210	\$ 792,916	\$ 421,089	\$ 717,657
Other Criminal Cases	\$ 741,560	\$ 92,707					\$ 834,267	\$ 868,721	\$ 970,749	\$ 1,170,057
Civil	\$ 930,533	\$ 48,583					\$ 979,116	\$ 942,110	\$ 888,179	\$ 912,976
Family & Children Services	\$ 702,712	\$ 83,104			\$ 58,680		\$ 844,496	\$ 588,822	\$ 851,190	\$ 1,214,590
Probate, Guardianship & Mental Health Services	\$ 345,945	\$ 2,620					\$ 348,565	\$ 344,536	\$ 356,966	\$ 359,605
Juvenile Dependency Services	\$ 207,487	\$ 0					\$ 207,487	\$ 195,481	\$ 210,484	\$ 105,921
Juvenile Delinquency Services		\$ 1,347					\$ 1,347	\$ 198	\$ 2,588	\$ 116,245
Other Court Operations	\$ 753,806	\$ 35,390					\$ 789,196	\$ 795,795	\$ 575,916	\$ 668,714
Court Interpreters	\$ 780,429	\$ 41,063					\$ 821,493	\$ 779,580	\$ 834,044	\$ 949,138
Jury Services	\$ 148,738	\$ 63,247	\$ 131,273				\$ 343,258	\$ 361,089	\$ 371,933	\$ 397,554
Security		\$ 100					\$ 100	\$ 11,185	\$ 11,185	\$ 300
Trial Court Operations Program	\$ 9,165,775	\$ 690,697	\$ 131,273		\$ 58,680		\$ 10,046,221	\$ 9,940,026	\$ 10,039,494	\$ 11,059,104
Enhanced Collections	\$ 192,674	\$ 26,014			\$ 22,660		\$ 241,347	\$ 215,239	\$ 215,239	\$ 251,253
Other Non-Court Operations	\$ 603,078	\$ 82,669					\$ 685,747	\$ 818,569	\$ 855,291	\$ 960,961
Non-Court Operations Program	\$ 795,752	\$ 108,683			\$ 22,660		\$ 927,094	\$ 1,033,808	\$ 1,070,529	\$ 1,212,214
Executive Office	\$ 280,332	\$ 2,416					\$ 282,748	\$ 268,541	\$ 310,037	\$ 324,116
Fiscal Services	\$ 811,729	\$ 27,587			\$ (81,339)		\$ 757,977	\$ 765,911	\$ 665,270	\$ 364,887
Human Resources	\$ 200,249	\$ 4,013					\$ 204,262	\$ 238,040	\$ 365,969	\$ 542,887
Business & Facilities Services	\$ 64,009	\$ 228,173					\$ 292,182	\$ 408,170	\$ 1,096,375	\$ 1,130,741
Information Technology	\$ 720,149	\$ 1,705,455					\$ 2,425,604	\$ 2,889,765	\$ 1,264,212	\$ 1,283,921
Court Administration Program	\$ 2,076,468	\$ 1,967,644			\$ (81,339)		\$ 3,922,773	\$ 4,452,426	\$ 3,701,862	\$ 3,646,552
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0			
Prior Year Adjustments Not Posted to a Program										
Total	\$ 12,037,994	\$ 2,767,024	\$ 131,273		\$ 0		\$ 14,926,291	\$ 15,524,259	\$ 14,811,585	\$ 15,917,870

LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 345,479	\$ 3,167	\$ 0					\$ 348,645	\$ 225,930
Accounts Payable - General	\$ 169,822	\$ 0	\$ 0	\$ 0			\$ 0	\$ 169,822	\$ 167,060
Due to Other Funds	\$ 36,179	\$ 36,038	\$ 0				\$ 5,008	\$ 77,224	\$ 77,291
Due to Other Courts									
Due to State	\$ 3,437							\$ 3,437	\$ 4,084
TC145 Liability	\$ 0						\$ 403,546	\$ 403,546	\$ 500,320
Due to Other Governments	\$ 53,917							\$ 53,917	\$ 4,016
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 658
Interest							\$ 13	\$ 13	\$ 5
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 608,833	\$ 39,205	\$ 0	\$ 0			\$ 408,566	\$ 1,059,892	\$ 979,365
Civil							\$ 34,806	\$ 34,806	\$ 33,618
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 2,082,210	\$ 2,082,210	\$ 1,160,508
Trust Interest Payable							\$ 0	\$ 0	
Miscellaneous Trust									
Total Trust Deposits							\$ 2,117,016	\$ 2,117,016	\$ 1,194,126
Accrued Payroll	\$ 294,436	\$ 4,799	\$ 5,947					\$ 305,183	\$ 268,004
Benefits Payable	\$ 129,094							\$ 129,094	\$ 10,026
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 534
Payroll Clearing	\$ (11)							\$ (11)	\$ (1)
Total Payroll Liabilities	\$ 423,519	\$ 4,799	\$ 5,947					\$ 434,266	\$ 278,564
Revenue Collected in Advance	\$ 955,249		\$ 88,267					\$ 1,043,516	\$ 0
Liabilities For Deposits	\$ 15,185						\$ 8,102	\$ 23,287	\$ 20,957
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 2,258	\$ 2,258	\$ 2,653
Uncleared Collections	\$ (100)						\$ 0	\$ (100)	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 970,334		\$ 88,267				\$ 10,359	\$ 1,068,961	\$ 23,610
Total Liabilities	\$ 2,002,687	\$ 44,004	\$ 94,215	\$ 0			\$ 2,535,941	\$ 4,678,647	\$ 2,475,664
Total Fund Balance	\$ 243,670	\$ 448,165	\$ 0	\$ 0				\$ 691,835	\$ 1,578,458
Total Liabilities and Fund Balance	\$ 2,246,357	\$ 492,169	\$ 94,215	\$ 0			\$ 2,535,941	\$ 5,370,482	\$ 4,054,122

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2014/15									
	Governmental Funds						Total Funds (Info. Purposes Only)	2013/14 Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (864,842)	\$ 399,672	\$ 29,334	\$ 0			\$ 28,459	\$ (407,377)	\$ (261,162)
Payroll	\$ (1,622)						\$ 0	\$ (1,622)	\$ (8,392)
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution									
Civil Filing Fees	\$ 0						\$ 102,224	\$ 102,224	\$ 161,663
Trust Credit Card							\$ (18,693)	\$ (18,693)	\$ (11,774)
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 2,082,210	\$ 2,082,210	\$ 1,160,508
Cash Outside of the AOC									
Total Cash	\$ (858,114)	\$ 399,672	\$ 29,334	\$ 0			\$ 2,194,200	\$ 1,765,093	\$ 1,049,393
Short Term Investment	\$ 2,683,809						\$ 329,798	\$ 3,013,607	\$ 2,485,897
Investment in Financial Institution									\$ 0
Total Investments	\$ 2,683,809						\$ 329,798	\$ 3,013,607	\$ 2,485,897
Accrued Revenue	\$ 1,322	\$ 229		\$ 0			\$ 0	\$ 1,552	\$ 1,424
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 72,217							\$ 72,217	\$ 77,251
Due From Other Governments	\$ 77,352	\$ 73,400					\$ 11,944	\$ 162,696	\$ 94,992
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 263,449	\$ 18,868	\$ 64,880					\$ 347,197	\$ 345,323
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 5,008							\$ 5,008	\$ 40
Total Receivables	\$ 419,348	\$ 92,498	\$ 64,880	\$ 0			\$ 11,944	\$ 539,638	\$ 519,031
Prepaid Expenses - General	\$ 992							\$ 992	
Salary and Travel Advances	\$ 330							\$ 330	\$ 0
Counties									
Total Prepaid Expenses	\$ 1,322							\$ 1,322	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 2,246,365	\$ 492,169	\$ 94,215	\$ 0			\$ 2,535,941	\$ 5,368,590	\$ 4,054,122

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Cruz

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	1,322	-	1,322	-	-	-	-	-	1,322	
Restricted	-	-	-	448,165	-	-	-	-	448,165	
Committed	242,356	-	242,356	-	-	-	-	-	242,356	
Assigned	-	-	-	-	-	-	-	-	-	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 243,678	\$ -	\$ 243,678	\$ 448,165	\$ -	\$ -	\$ -	\$ -	\$ 691,843	