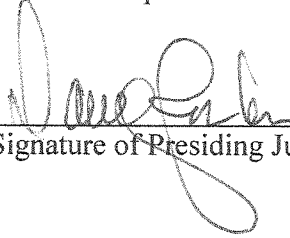


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/12/2015

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Date

Santa Barbara Superior Court

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Court

2014, 4

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Barbara Superior Court

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Court

2014, 4

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Fiscal Year and Ending Quarter

**FOOTNOTES**

1	None.
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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Barbara Superior Court

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Court

2014, 4

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	240.03	241.98	232.13	231.63	235.08

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended June								
	Fiscal Year 2014/15							2013/14	
	Governmental Funds						Total Funds	Total Funds	
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,472,546)	\$ 2,518,744	\$ 0				\$ 196,795	\$ 242,993	\$ 724,010
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 187,145	\$ 187,145	\$ 163,382
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (86,194)	\$ (86,194)	\$ (62,443)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the AOC									
<b>Total Cash</b>	<b>\$ (2,460,416)</b>	<b>\$ 2,518,744</b>	<b>\$ 0</b>				<b>\$ 297,746</b>	<b>\$ 356,074</b>	<b>\$ 837,079</b>
Short Term Investment	\$ 3,958,083						\$ 7,033,390	\$ 10,991,473	\$ 10,631,529
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 3,958,083</b>						<b>\$ 7,033,390</b>	<b>\$ 10,991,473</b>	<b>\$ 10,631,529</b>
Accrued Revenue	\$ 1,961	\$ 1,586					\$ 0	\$ 3,547	\$ 3,194
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 324,996	\$ 25,331	\$ 12,404					\$ 362,731	\$ 1,000
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 512,841						\$ 0	\$ 512,841	\$ 214,145
Due From Other Governments	\$ 0	\$ 364,550	\$ 16,905					\$ 381,456	\$ 329,848
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 415,893	\$ 21,592	\$ 326,624					\$ 764,109	\$ 519,904
Trust Due To/From							\$ 180,554	\$ 180,554	\$ 2,279,351
Distribution Due To/From							\$ 51,556	\$ 51,556	\$ 285,858
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,424	\$ 62						\$ 1,486	\$ 1,636
<b>Total Receivables</b>	<b>\$ 1,257,115</b>	<b>\$ 413,121</b>	<b>\$ 355,933</b>				<b>\$ 232,110</b>	<b>\$ 2,258,280</b>	<b>\$ 3,534,937</b>
Prepaid Expenses - General	\$ 1,020	\$ 0						\$ 1,020	\$ 10,091
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 1,020</b>	<b>\$ 0</b>						<b>\$ 1,020</b>	<b>\$ 10,091</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 2,755,802</b>	<b>\$ 2,931,865</b>	<b>\$ 355,933</b>				<b>\$ 7,563,246</b>	<b>\$ 13,606,847</b>	<b>\$ 15,113,636</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 160,682	\$ 15,450	\$ 26,597					\$ 202,729	\$ 244,999
Accounts Payable - General	\$ 2,354	\$ 0	\$ 0				\$ 0	\$ 2,354	\$ 163,702
Due to Other Funds	\$ 61	\$ 246,300	\$ 275,245				\$ 224,831	\$ 746,437	\$ 2,780,990
Due to Other Courts	\$ 5							\$ 5	\$ 5
Due to State	\$ 166	\$ 30,943	\$ 96					\$ 31,205	\$ 33,635
TC145 Liability							\$ 494,987	\$ 494,987	\$ 522,967
Due to Other Governments	\$ 44,940	\$ 3,135	\$ 6,222					\$ 54,297	\$ 15,377
AB145 Due to Other Government Agency							\$ 3,251,169	\$ 3,251,169	\$ 3,113,000
Due to Other Public Agencies									
Sales and Use Tax	\$ 83							\$ 83	\$ 567
Interest							\$ 18	\$ 18	\$ 6
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,740							\$ 1,740	\$ 255
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 210,031</b>	<b>\$ 295,829</b>	<b>\$ 308,160</b>				<b>\$ 3,971,004</b>	<b>\$ 4,795,024</b>	<b>\$ 6,875,498</b>
Civil							\$ 3,058,932	\$ 3,058,932	\$ 1,547,147
Criminal							\$ 491,662	\$ 491,662	\$ 642,250
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 21,646	\$ 21,646	\$ 20,899
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 3,575,894</b>	<b>\$ 3,575,894</b>	<b>\$ 2,213,949</b>
Accrued Payroll	\$ 1,268,388	\$ 100,122	\$ 47,774					\$ 1,416,283	\$ 1,332,048
Benefits Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ (1,137)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Payroll Clearing									\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 1,268,388</b>	<b>\$ 100,122</b>	<b>\$ 47,774</b>					<b>\$ 1,416,283</b>	<b>\$ 1,330,908</b>
Revenue Collected in Advance									
Liabilities For Deposits	\$ 33,912						\$ 12,775	\$ 46,687	\$ 39,827
Jury Fees - Non-Interest							\$ 2,906	\$ 2,906	\$ 2,536
Fees - Partial Payment & Overpayment							\$ 668	\$ 668	\$ 230
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 64,750	\$ 0						\$ 64,750	\$ 0
<b>Total Other Liabilities</b>	<b>\$ 98,662</b>	<b>\$ 0</b>					<b>\$ 16,348</b>	<b>\$ 115,011</b>	<b>\$ 42,593</b>
<b>Total Liabilities</b>	<b>\$ 1,577,081</b>	<b>\$ 395,950</b>	<b>\$ 355,933</b>				<b>\$ 7,563,246</b>	<b>\$ 9,892,211</b>	<b>\$ 10,462,950</b>
<b>Total Fund Balance</b>	<b>\$ 1,178,721</b>	<b>\$ 2,535,915</b>	<b>\$ 0</b>					<b>\$ 3,714,636</b>	<b>\$ 4,650,687</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 2,755,802</b>	<b>\$ 2,931,865</b>	<b>\$ 355,933</b>				<b>\$ 7,563,246</b>	<b>\$ 13,606,847</b>	<b>\$ 15,113,636</b>

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended June										
	Fiscal Year 2014/15							2013/14			
	Governmental Funds							Total Funds (Info Purposes Only)	Current Budget (Annual)	Total Funds (Info Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
	Non-Grant	Grant									
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 20,871,791	\$ 211,470					\$ 21,083,261	\$ 20,359,820	\$ 20,422,753	\$ 20,165,948	
Improvement and Modernization Fund	\$ 56,712						\$ 56,712	\$ 56,712	\$ 56,712		
Judges' Compensation (45.25)	\$ 193,748						\$ 193,748	\$ 199,500	\$ 188,907	\$ 199,500	
Court Interpreter (45.45)	\$ 1,280,973						\$ 1,280,973	\$ 1,516,515	\$ 1,428,941	\$ 1,300,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 479,106						\$ 479,106	\$ 501,115	\$ 407,458	\$ 617,240	
Other Miscellaneous	\$ 1,597,862						\$ 1,597,862	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	\$ 24,479,992	\$ 211,470					\$ 24,691,462	\$ 24,231,324	\$ 24,102,430	\$ 23,880,350	
Grants											
AB 1058 Commissioner/Facilitator			\$ 723,893				\$ 723,893	\$ 814,937	\$ 730,424	\$ 814,937	
Other AOC Grants			\$ 35,278				\$ 35,278	\$ 44,000	\$ 41,197	\$ 45,000	
Non-AOC Grants			\$ 26,978				\$ 26,978				
			\$ 786,149				\$ 786,149	\$ 858,937	\$ 771,621	\$ 859,937	
Other Financing Sources											
Interest Income	\$ 13,834	\$ 6,179					\$ 20,014	\$ 16,000	\$ 18,051	\$ 17,000	
Investment Income											
Donations											
Local Fees	\$ 106,220	\$ 166,001					\$ 272,221	\$ 256,000	\$ 335,146	\$ 215,000	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,001	\$ 158,000	
Enhanced Collections		\$ 1,004,261					\$ 1,004,261	\$ 1,058,563	\$ 1,042,710	\$ 998,028	
Escheatment											
Prior Year Revenue			\$ (5,046)				\$ (5,046)				
County Program - Restricted		\$ 1,062,479					\$ 1,062,479	\$ 1,115,000	\$ 1,058,511	\$ 1,098,197	
Reimbursement Other	\$ 120,938						\$ 120,938	\$ 118,500	\$ 111,848	\$ 48,500	
Sale of Fixed Assets									\$ 1,981		
Other Miscellaneous	\$ 17,129						\$ 17,129	\$ 2,000		\$ 8,000	
	\$ 383,121	\$ 2,238,920	\$ (5,046)				\$ 2,616,994	\$ 2,889,003	\$ 2,895,508	\$ 2,537,723	
<b>Total Revenues</b>	<b>\$ 24,863,113</b>	<b>\$ 2,450,390</b>	<b>\$ 781,103</b>				<b>\$ 28,094,608</b>	<b>\$ 27,779,324</b>	<b>\$ 27,569,560</b>	<b>\$ 27,278,010</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 11,716,260	\$ 1,008,331	\$ 274,958				\$ 12,999,548	\$ 14,273,059	\$ 13,980,912	\$ 15,411,221	
Temp Help	\$ 428,181	\$ 27,153	\$ 11,796				\$ 467,129	\$ 761,034	\$ 733,326	\$ 1,050,000	
Overtime	\$ 38,747	\$ 1,133	\$ 420				\$ 40,301		\$ 27,011		
Staff Benefits	\$ 8,042,626	\$ 687,080	\$ 202,650				\$ 8,932,356	\$ 7,915,592	\$ 8,688,818	\$ 8,138,916	
	\$ 20,225,813	\$ 1,723,696	\$ 489,825				\$ 22,439,334	\$ 22,949,685	\$ 23,430,067	\$ 24,600,137	
Operating Expenses and Equipment											
General Expense	\$ 649,319	\$ 100,647	\$ 1,364				\$ 751,330	\$ 648,885	\$ 749,695	\$ 830,270	
Printing	\$ 104,042	\$ 1,201	\$ 92				\$ 105,335	\$ 97,500	\$ 97,501	\$ 90,000	
Telecommunications	\$ 188,481	\$ 1,790					\$ 190,271	\$ 187,115	\$ 184,528	\$ 225,000	
Postage	\$ 133,697	\$ 21,386	\$ 312				\$ 155,395	\$ 153,155	\$ 152,393	\$ 179,900	
Insurance	\$ 16,754		\$ 373				\$ 17,127	\$ 21,475	\$ 21,927	\$ 18,058	
In-State Travel	\$ 28,801	\$ 2,585	\$ 2,215				\$ 33,581	\$ 35,870	\$ 35,658	\$ 34,350	
Out-of-State Travel	\$ 4,325		\$ 4,325				\$ 4,325	\$ 2,000	\$ 2,404		
Training	\$ 10,556	\$ 200	\$ 1,338				\$ 12,094	\$ 15,000	\$ 4,220	\$ 15,000	
Security Services	\$ 635,822		\$ 94,786				\$ 730,607	\$ 805,900	\$ 816,218	\$ 845,500	
Facility Operations	\$ 325,496	\$ 40,592					\$ 366,088	\$ 357,470	\$ 358,294	\$ 413,150	
Utilities		\$ 2,449					\$ 2,449	\$ 2,800	\$ 2,804	\$ 4,000	
Contracted Services	\$ 1,262,875	\$ 363,582	\$ 104,202				\$ 1,730,660	\$ 1,592,993	\$ 1,621,185	\$ 1,542,434	
Consulting and Professional Services	\$ 96,442	\$ 8,466	\$ 616				\$ 105,524	\$ 105,515	\$ 105,095	\$ 108,340	
Information Technology	\$ 1,437,646	\$ 387,622	\$ 1,731				\$ 1,806,999	\$ 2,251,025	\$ 737,212	\$ 761,603	
Major Equipment	\$ 274,767	\$ 0					\$ 274,767		\$ 103,408	\$ 2,000	
Other Items of Expense	\$ 25,772		\$ 2,967				\$ 28,739	\$ 30,000	\$ 28,115	\$ 37,000	
	\$ 5,194,794	\$ 910,500	\$ 209,996				\$ 6,315,289	\$ 6,309,703	\$ 6,021,627	\$ 5,108,605	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 256,033						\$ 256,033	\$ 208,000	\$ 208,961	\$ 200,500	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0		
Capital Costs	\$ 20,000						\$ 20,000		\$ 0		
Internal Cost Recovery	\$ (100,383)		\$ 100,383				\$ 0		\$ 0		
Prior Year Expense Adjustment									\$ (1,144)		
	\$ 175,650		\$ 100,383				\$ 276,033	\$ 208,000	\$ 205,818	\$ 200,500	
<b>Total Expenditures</b>	<b>\$ 25,598,257</b>	<b>\$ 2,634,197</b>	<b>\$ 800,203</b>				<b>\$ 28,030,657</b>	<b>\$ 28,464,348</b>	<b>\$ 28,657,511</b>	<b>\$ 28,907,242</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ (733,144)</b>	<b>\$ (183,807)</b>	<b>\$ (19,101)</b>				<b>\$ (936,051)</b>	<b>\$ (1,685,084)</b>	<b>\$ (1,087,952)</b>	<b>\$ (2,629,232)</b>	
Operating Transfers In (Out)	\$ (19,101)		\$ 19,101				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,930,965	\$ 2,719,721	\$ 0				\$ 4,650,687	\$ 4,650,687	\$ 5,738,638	\$ 5,738,638	
Ending Balance (Deficit)	\$ 1,178,721	\$ 2,535,915	\$ 0				\$ 3,714,636	\$ 2,965,603	\$ 4,650,687	\$ 3,109,406	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended June									
	Fiscal Year 2014/15							2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 7,424,100	\$ 660,343		\$ 20,000		\$ 0	\$ 8,104,443	\$ 8,332,007	\$ 8,100,831	\$ 8,406,947
Traffic & Other Infractions	\$ 873,836	\$ 69,383					\$ 943,219	\$ 886,080	\$ 1,016,676	\$ 1,101,825
Other Criminal Cases	\$ 2,056,629	\$ 245,437					\$ 2,302,066	\$ 2,346,434	\$ 2,468,470	\$ 2,576,432
Civil	\$ 1,535,717	\$ 276,293					\$ 1,812,010	\$ 1,727,197	\$ 1,803,922	\$ 1,790,966
Family & Children Services	\$ 1,117,998	\$ 52,318					\$ 1,170,317	\$ 1,075,285	\$ 1,237,020	\$ 1,253,462
Probate, Guardianship & Mental Health Services	\$ 403,742	\$ 34,484					\$ 438,225	\$ 466,692	\$ 495,065	\$ 572,397
Juvenile Dependency Services	\$ 39,777						\$ 39,777	\$ 24,075	\$ 31,735	\$ 203,245
Juvenile Delinquency Services	\$ 231,642	\$ 42,266					\$ 273,908	\$ 291,778	\$ 281,598	\$ 132,565
Other Court Operations	\$ 2,682,182	\$ 532,060					\$ 3,214,242	\$ 3,362,494	\$ 3,289,329	\$ 3,241,209
Court Interpreters	\$ 947,181	\$ 371,994					\$ 1,319,174	\$ 1,399,130	\$ 1,311,804	\$ 1,429,760
Jury Services	\$ 382,269	\$ 140,606	\$ 256,033				\$ 778,908	\$ 696,461	\$ 769,954	\$ 805,327
Security		\$ 778,973					\$ 778,973	\$ 815,450	\$ 826,202	\$ 886,175
Trial Court Operations Program	\$ 17,695,074	\$ 3,204,155	\$ 256,033	\$ 20,000		\$ 0	\$ 21,175,262	\$ 21,423,083	\$ 21,632,607	\$ 22,400,310
Enhanced Collections	\$ 411,676	\$ 487,554					\$ 899,230	\$ 1,043,613	\$ 904,473	\$ 998,026
Other Non-Court Operations	\$ 922,203	\$ 21,335					\$ 943,537	\$ 994,129	\$ 917,389	\$ 929,907
Non-Court Operations Program	\$ 1,333,879	\$ 508,889					\$ 1,842,768	\$ 2,037,742	\$ 1,821,862	\$ 1,927,933
Executive Office	\$ 268,399	\$ 51,930					\$ 320,329	\$ 337,947	\$ 600,820	\$ 769,896
Fiscal Services	\$ 1,115,716	\$ 73,488					\$ 1,189,204	\$ 1,053,407	\$ 1,341,267	\$ 1,426,414
Human Resources	\$ 562,153	\$ 140,168					\$ 702,321	\$ 704,697	\$ 651,365	\$ 698,643
Business & Facilities Services		\$ 9,866					\$ 9,866	\$ 45,175	\$ 18,501	\$ 121,658
Information Technology	\$ 1,464,114	\$ 2,326,793					\$ 3,790,907	\$ 3,862,337	\$ 2,591,090	\$ 2,562,368
Court Administration Program	\$ 3,410,382	\$ 2,602,246					\$ 6,012,627	\$ 6,003,563	\$ 5,203,043	\$ 5,578,999
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 22,439,334</b>	<b>\$ 6,315,289</b>	<b>\$ 256,033</b>	<b>\$ 20,000</b>		<b>\$ 0</b>	<b>\$ 29,030,657</b>	<b>\$ 29,464,388</b>	<b>\$ 28,657,511</b>	<b>\$ 29,907,242</b>

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Barbara

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	1,020	-	1,020	-	-	-	-	-	<b>1,020</b>	
Restricted	-	-	-	2,535,915	-	-	-	-	<b>2,535,915</b>	
Committed	-	882,820	882,820	-	-	-	-	-	<b>882,820</b>	
Assigned	269,787	25,094	294,881	-	-	-	-	-	<b>294,881</b>	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 270,807</b>	<b>\$ 907,914</b>	<b>\$ 1,178,721</b>	<b>\$ 2,535,915</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,714,636</b>	