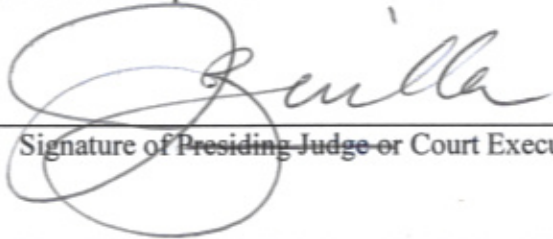


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

8-14-14

Date

Sonoma
Court

13-14 Q4
Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June								2012/13
	Fiscal Year 2013/14								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (1,024,230)	\$ 1,212,056	\$ 0				\$ 2,169,280	\$ 2,357,105	\$ 4,605,427
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 14,983
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (45,234)	\$ (45,234)	\$ (76,716)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 8,000
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ (1,001,280)	\$ 1,212,056	\$ 0				\$ 2,124,046	\$ 2,334,821	\$ 4,551,694
Short Term Investment	\$ 4,365,190						\$ 773,857	\$ 5,139,047	\$ 6,396,981
Investment in Financial Institution	\$ 0						\$ 0	\$ 0	\$ 4,483,006
Total Investments	\$ 4,365,190						\$ 773,857	\$ 5,139,047	\$ 10,879,987
Accrued Revenue	\$ 2,415	\$ 641	\$ 0				\$ 0	\$ 3,057	\$ 9,640
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 4,833							\$ 4,833	\$ 11,383
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 136,518						\$ 0	\$ 136,518	\$ 466,051
Due From Other Governments	\$ 257,577	\$ 129,823	\$ 136,594					\$ 523,994	\$ 659,723
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 346,216	\$ 30,277						\$ 376,494	\$ 396,202
Trust Due To/From							\$ 1,458	\$ 1,458	\$ 210
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 884							\$ 884	\$ 1,636
Total Receivables	\$ 748,443	\$ 160,742	\$ 136,594				\$ 1,458	\$ 1,047,236	\$ 1,544,845
Prepaid Expenses - General	\$ 104,529							\$ 104,529	\$ 28,700
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 104,529							\$ 104,529	\$ 28,700
Other Assets									
Total Other Assets									
Total Assets	\$ 4,216,881	\$ 1,372,798	\$ 136,594				\$ 2,899,360	\$ 8,625,633	\$ 17,005,226
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 446,388	\$ 24,539	\$ 9,413					\$ 480,341	\$ 412,470
Accounts Payable - General	\$ 182,940	\$ 4,721	\$ 1,167				\$ 0	\$ 188,829	\$ 51,569
Due to Other Funds	\$ 0	\$ 59,218	\$ 77,300				\$ 2,341	\$ 138,859	\$ 467,897
Due to Other Courts									
Due to State	\$ 49,179							\$ 49,179	
TC145 Liability							\$ 568,179	\$ 568,179	\$ 667,729
Due to Other Governments									
AB145 Due to Other Government Agency	\$ 0						\$ 263,323	\$ 263,323	\$ 272,039
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 6	\$ 6	\$ 15
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 678,508	\$ 88,478	\$ 87,881				\$ 833,849	\$ 1,688,715	\$ 1,871,718
Civil	\$ 0						\$ 1,179,730	\$ 1,179,730	\$ 3,464,882
Criminal							\$ 680,945	\$ 680,945	\$ 925,577
Unreconciled - Civil and Criminal							\$ 30,055	\$ 30,055	\$ 30,055
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 5,933	\$ 5,933	\$ 6,087
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 1,896,664	\$ 1,896,664	\$ 4,426,601
Accrued Payroll	\$ 1,035,026	\$ 50,310	\$ 48,713					\$ 1,134,049	\$ 1,051,882
Benefits Payable	\$ (349,042)						\$ 0	\$ (349,042)	\$ 4,474,090
Deferred Compensation Payable	\$ (1,310)							\$ (1,310)	\$ 0
Deductions Payable	\$ 19,309							\$ 19,309	\$ 16,917
Payroll Clearing									
Total Payroll Liabilities	\$ 703,983	\$ 50,310	\$ 48,713				\$ 0	\$ 803,006	\$ 5,542,889
Revenue Collected in Advance									
Liabilities For Deposits	\$ 38,986						\$ 66,843	\$ 105,829	\$ 45,673
Jury Fees - Non-Interest							\$ 100,047	\$ 100,047	\$ 94,522
Fees - Partial Payment & Overpayment							\$ 1,958	\$ 1,958	\$ 3,260
Uncleared Collections	\$ (13,428)							\$ (13,428)	\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 25,558	\$ 0	\$ 0				\$ 168,847	\$ 194,406	\$ 143,455
Total Liabilities	\$ 1,408,049	\$ 138,788	\$ 136,594				\$ 2,899,360	\$ 4,582,790	\$ 11,984,664
Total Fund Balance	\$ 2,808,832	\$ 1,234,010	\$ 0					\$ 4,042,843	\$ 5,020,563
Total Liabilities and Fund Balance	\$ 4,216,881	\$ 1,372,798	\$ 136,594				\$ 2,899,360	\$ 8,625,633	\$ 17,005,226

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2013/14									2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 8,721,313	\$ 1,638,325	\$ 0		\$ 118,074		\$ 10,477,711	\$ 10,404,986	\$ 10,441,180	\$ 10,526,545
Traffic & Other Infractions	\$ 488,900	\$ 98,874					\$ 587,774	\$ 540,451	\$ 617,531	\$ 620,393
Other Criminal Cases	\$ 1,350,626	\$ 138,521					\$ 1,489,147	\$ 1,497,818	\$ 1,541,212	\$ 1,596,791
Civil	\$ 1,031,960	\$ 9,282					\$ 1,041,242	\$ 1,004,542	\$ 1,010,026	\$ 1,022,447
Family & Children Services	\$ 1,969,426	\$ 163,780			\$ 49,821		\$ 2,183,027	\$ 2,240,628	\$ 2,458,346	\$ 2,503,802
Probate, Guardianship & Mental Health Services	\$ 554,393	\$ 5,949					\$ 560,342	\$ 474,910	\$ 573,034	\$ 638,968
Juvenile Dependency Services	\$ 113,363	\$ 2,798					\$ 116,161	\$ 116,729	\$ 116,179	\$ 102,212
Juvenile Delinquency Services	\$ 236,434	\$ 89,161					\$ 325,595	\$ 360,240	\$ 362,589	\$ 380,586
Other Court Operations	\$ 1,151,079	\$ 1,527,982	\$ 2,175				\$ 2,681,236	\$ 2,323,352	\$ 2,204,148	\$ 2,572,237
Court Interpreters	\$ 1,228,309	\$ 170,438					\$ 1,398,747	\$ 1,415,564	\$ 1,425,613	\$ 1,444,061
Jury Services	\$ 237,169	\$ 181,684	\$ 195,953				\$ 614,806	\$ 753,731	\$ 653,673	\$ 588,839
Security		\$ 389,891					\$ 389,891	\$ 402,280	\$ 484,645	
Trial Court Operations Program	\$ 17,082,971	\$ 4,416,685	\$ 198,127		\$ 167,895		\$ 21,865,678	\$ 21,535,231	\$ 21,888,178	\$ 21,996,881
Enhanced Collections	\$ 1,068,087	\$ 182,815			\$ 200,116		\$ 1,451,017	\$ 1,430,072	\$ 1,167,863	\$ 1,118,982
Other Non-Court Operations		\$ 12,000					\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000
Non-Court Operations Program	\$ 1,068,087	\$ 194,815			\$ 200,116		\$ 1,463,017	\$ 1,442,072	\$ 1,179,863	\$ 1,130,982
Executive Office	\$ 625,651	\$ 11,220	\$ 0				\$ 636,871	\$ 627,981	\$ 648,525	\$ 621,980
Fiscal Services	\$ 787,286	\$ 169,265			\$ (368,010)		\$ 588,541	\$ 689,394	\$ 615,058	\$ 796,111
Human Resources	\$ 395,123	\$ 51,659	\$ 1,600,000				\$ 2,046,782	\$ 2,199,525	\$ 1,905,566	\$ 1,203,490
Business & Facilities Services	\$ 343,869	\$ 68,421					\$ 412,290	\$ 446,617	\$ 378,649	\$ 382,705
Information Technology	\$ 1,041,484	\$ 286,167					\$ 1,327,651	\$ 3,445,327	\$ 1,073,538	\$ 1,089,754
Court Administration Program	\$ 3,193,413	\$ 586,731	\$ 1,600,000		\$ (368,010)		\$ 5,012,134	\$ 7,408,844	\$ 4,621,336	\$ 4,094,040
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 21,344,471	\$ 5,198,232	\$ 1,798,127		\$ 0		\$ 28,340,830	\$ 30,386,147	\$ 27,689,377	\$ 27,221,903

Superior Court of California, County of Sonoma
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended June											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 21,154,561	\$ 202,136					\$ 21,356,697	\$ 20,825,470	\$ 18,392,500	\$ 17,396,766	
Improvement and Modernization Fund	\$ 139,279						\$ 139,279	\$ 124,555	\$ 76,804	\$ 76,804	
Judges' Compensation (45.25)	\$ 186,148						\$ 186,148	\$ 190,000	\$ 193,167	\$ 190,000	
Court Interpreter (45.45)	\$ 1,239,741						\$ 1,239,741	\$ 1,218,140	\$ 1,218,138	\$ 1,195,020	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 248,099						\$ 248,099	\$ 348,067	\$ 350,105	\$ 283,508	
Other Miscellaneous	\$ 1,172,049						\$ 1,172,049	\$ 1,172,049	\$ 2,047,457	\$ 1,026,881	
	\$ 24,139,877	\$ 202,136					\$ 24,342,013	\$ 23,878,281	\$ 22,278,171	\$ 20,168,979	
Grants											
AB 1058 Commissioner/Facilitator			\$ 836,333				\$ 836,333	\$ 809,537	\$ 928,946	\$ 928,946	
Other AOC Grants			\$ 41,197				\$ 41,197	\$ 41,197	\$ 41,788	\$ 41,788	
Non-AOC Grants			\$ 74,414				\$ 74,414	\$ 81,307	\$ 341,504	\$ 358,187	
			\$ 951,944				\$ 951,944	\$ 932,041	\$ 1,312,238	\$ 1,328,921	
Other Financing Sources											
Interest Income	\$ 19,140	\$ 2,743					\$ 21,883	\$ 23,641	\$ 23,641	\$ 36,939	
Investment Income											
Donations											
Local Fees	\$ 267,588						\$ 267,588	\$ 302,580	\$ 314,724	\$ 312,580	
Non-Fee Revenues											
Enhanced Collections		\$ 1,451,017					\$ 1,451,017	\$ 1,430,071	\$ 1,163,030	\$ 1,118,982	
Escheatment											
Prior Year Revenue	\$ 6,699						\$ 6,699		\$ 92,222		
County Program - Restricted	\$ 26,550	\$ 10,409					\$ 36,959	\$ 42,134	\$ 42,133	\$ 44,614	
Reimbursement Other	\$ 283,124						\$ 283,124	\$ 327,914	\$ 121,743	\$ 59,450	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,882						\$ 1,882	\$ 910	\$ 14,522	\$ 9,659	
	\$ 604,983	\$ 1,464,170					\$ 2,069,153	\$ 2,127,250	\$ 1,772,015	\$ 1,582,224	
Total Revenues	\$ 24,744,860	\$ 1,666,306	\$ 951,944				\$ 27,363,109	\$ 26,937,572	\$ 25,362,424	\$ 23,080,124	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,264,540	\$ 575,657	\$ 582,027				\$ 12,422,224	\$ 11,266,129	\$ 12,562,739	\$ 10,632,478	
Temp Help											
Overtime	\$ 6,917		\$ 50				\$ 6,967		\$ 15,500		
Staff Benefits	\$ 8,037,599	\$ 492,430	\$ 385,251				\$ 8,915,280	\$ 9,633,389	\$ 8,826,799	\$ 11,039,488	
	\$ 19,309,057	\$ 1,068,087	\$ 967,327				\$ 21,344,471	\$ 20,899,518	\$ 21,405,038	\$ 21,671,966	
Operating Expenses and Equipment											
General Expense	\$ 424,837	\$ 9,241	\$ 7,345				\$ 441,423	\$ 386,689	\$ 314,078	\$ 312,135	
Printing	\$ 107,093	\$ 6,418	\$ 606				\$ 114,117	\$ 117,620	\$ 118,377	\$ 144,120	
Telecommunications	\$ 126,004	\$ 7,516	\$ 4,920				\$ 138,440	\$ 138,045	\$ 125,294	\$ 154,660	
Postage	\$ 153,488	\$ 22,794	\$ 5				\$ 176,286	\$ 203,190	\$ 182,784	\$ 218,180	
Insurance	\$ 7,671	\$ 394	\$ 262				\$ 8,326	\$ 7,300	\$ 7,308	\$ 8,549	
In-State Travel	\$ 23,223		\$ 1,230				\$ 24,452	\$ 22,638	\$ 22,314	\$ 28,590	
Out-of-State Travel											
Training	\$ 9,527	\$ 56	\$ 650				\$ 10,233	\$ 16,085	\$ 9,728	\$ 24,525	
Security Services	\$ 387,472						\$ 387,472	\$ 399,792	\$ 396,867	\$ 385,000	
Facility Operations	\$ 683,568	\$ 4,106					\$ 687,674	\$ 712,512	\$ 650,830	\$ 680,364	
Utilities	\$ 143						\$ 143	\$ 31,602	\$ 30,101	\$ 68,000	
Contracted Services	\$ 1,287,433	\$ 120,044	\$ 88,932				\$ 1,496,409	\$ 1,652,111	\$ 1,604,271	\$ 1,675,494	
Consulting and Professional Services	\$ 954,079	\$ 70,779	\$ 36,072				\$ 1,060,930	\$ 1,019,005	\$ 997,319	\$ 924,024	
Information Technology	\$ 396,290	\$ 37,122	\$ 634				\$ 434,046	\$ 2,500,310	\$ 73,550	\$ 88,936	
Major Equipment	\$ 195,519	\$ 11,746	\$ 7,811				\$ 215,076	\$ 180,000	\$ 53,369		
Other Items of Expense	\$ 3,203						\$ 3,203	\$ 2,590	\$ 2,587	\$ 2,960	
	\$ 4,759,550	\$ 290,215	\$ 148,467				\$ 5,198,232	\$ 7,389,489	\$ 4,588,778	\$ 4,715,537	
Special Items of Expense											
Grand Jury	\$ 2,175						\$ 2,175	\$ 2,150	\$ 2,147	\$ 440	
Jury Costs	\$ 195,953						\$ 195,953	\$ 294,990	\$ 294,997	\$ 233,960	
Judgements, Settlements and Claims									\$ 2,831		
Debt Service											
Other	\$ 1,600,000						\$ 1,600,000	\$ 1,800,000	\$ 1,400,000	\$ 600,000	
Capital Costs											
Internal Cost Recovery	\$ (368,010)	\$ 200,116	\$ 167,895				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ (4,413)		
	\$ 1,430,117	\$ 200,116	\$ 167,895				\$ 1,798,127	\$ 2,097,140	\$ 1,695,560	\$ 834,400	
Total Expenditures	\$ 25,498,723	\$ 1,558,417	\$ 1,283,689				\$ 28,340,830	\$ 30,386,147	\$ 27,689,377	\$ 27,221,903	
Excess (Deficit) of Revenues Over Expenditures	\$ (753,863)	\$ 107,888	\$ (331,745)				\$ (977,720)	\$ (3,448,575)	\$ (2,326,952)	\$ (4,141,779)	
Operating Transfers In (Out)	\$ (331,745)		\$ 331,745				\$ 0	\$ 0	\$ 0	\$ 1	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,894,441	\$ 1,126,122	\$ 0				\$ 5,020,563	\$ 5,020,563	\$ 7,347,515	\$ 7,347,515	
Ending Balance (Deficit)	\$ 2,808,832	\$ 1,234,010	\$ 0				\$ 4,042,843	\$ 1,571,988	\$ 5,020,563	\$ 3,205,737	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sonoma
 Court

13-14 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	200.87	175.05	173.47	171.47	165.75

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

