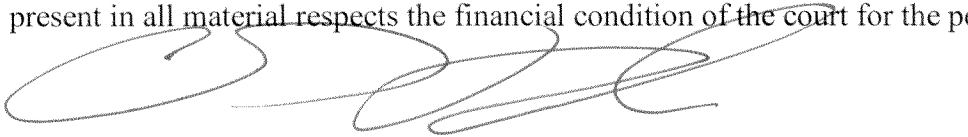


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

ACTING COURT EXEC. OFFICER

11/14/14

Date

Alameda

Court

FY13/14 – Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Alameda

Court

FY13/14 – Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Alameda

Court

FY13/14 – Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	791.31	687.66	673.36	670.36	672.11

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended June									
	Fiscal Year 2013/14							2012/13		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 77,355,968	\$ 599,186		\$ 2,000,000			\$ 79,955,154	\$ 77,342,712	\$ 70,381,585	\$ 65,235,873
Improvement and Modernization Fund	\$ 920,744						\$ 920,744	\$ 942,233	\$ 892,935	\$ 899,489
Judges' Compensation (45.25)	\$ 681,267						\$ 681,267	\$ 670,780	\$ 671,333	\$ 670,780
Court Interpreter (45.45)	\$ 3,799,676						\$ 3,799,676	\$ 3,595,000	\$ 3,656,946	\$ 3,250,000
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 881,065						\$ 881,065	\$ 945,784	\$ 909,672	\$ 975,784
Other Miscellaneous	\$ 3,102,046						\$ 3,102,046	\$ 3,102,046	\$ 4,375,303	\$ 3,641,718
	\$ 86,740,766	\$ 599,186		\$ 2,000,000			\$ 89,339,952	\$ 86,598,558	\$ 80,987,772	\$ 74,873,644
Grants										
AB 1058 Commissioner/Facilitator			\$ 1,850,608				\$ 1,850,608	\$ 1,843,471	\$ 1,855,480	\$ 1,855,480
Other AOC Grants			\$ 600,676				\$ 600,676	\$ 557,525	\$ 235,920	\$ 242,219
Non-AOC Grants	\$ 6,434		\$ 1,244,820				\$ 1,251,253	\$ 1,246,277	\$ 1,425,295	\$ 2,249,100
	\$ 6,434		\$ 3,696,103				\$ 3,702,537	\$ 3,647,273	\$ 3,516,694	\$ 4,348,799
Other Financing Sources										
Interest Income	\$ 51,707	\$ 3,419		\$ 41,227			\$ 96,353	\$ 29,000	\$ 88,822	\$ 55,000
Investment Income										
Donations										
Local Fees	\$ 2,085,776	\$ 302,927					\$ 2,388,703	\$ 1,956,000	\$ 2,151,698	\$ 1,145,000
Non-Fee Revenues	\$ 147,271						\$ 147,271	\$ 210,000	\$ 147,924	\$ 202,000
Enhanced Collections		\$ 4,321,510					\$ 4,321,510			
Escheatment										
Prior Year Revenue	\$ 0						\$ 0		\$ (12,503)	
County Program - Restricted		\$ 986,232					\$ 986,232	\$ 982,000	\$ 946,526	\$ 1,013,400
Reimbursement Other	\$ 209,549						\$ 209,549	\$ 110,000	\$ 263,353	\$ 185,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 239,261						\$ 239,261	\$ 423,000	\$ 386,975	\$ 290,000
	\$ 2,733,584	\$ 5,614,088		\$ 41,227			\$ 8,388,879	\$ 3,712,000	\$ 3,955,794	\$ 2,890,400
Total Revenues	\$ 89,460,764	\$ 6,213,273	\$ 3,696,103	\$ 2,041,227			\$ 101,431,368	\$ 93,957,828	\$ 88,380,260	\$ 81,910,843
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 47,515,879	\$ 939,079	\$ 1,997,528				\$ 50,452,486	\$ 54,149,020	\$ 48,743,971	\$ 52,710,390
Temp Help	\$ 1,202,729	\$ 2,601	\$ 95,209				\$ 1,300,539	\$ 2,196,465	\$ 1,530,528	\$ 917,222
Overtime	\$ 286,374	\$ 26,969	\$ 1,774				\$ 315,117	\$ 135,674	\$ 42,308	\$ 229,235
Staff Benefits	\$ 26,926,957	\$ 423,268	\$ 878,433				\$ 28,228,658	\$ 28,892,193	\$ 27,448,738	\$ 28,923,668
	\$ 75,931,938	\$ 1,391,917	\$ 2,972,945				\$ 80,296,799	\$ 85,373,352	\$ 77,765,548	\$ 82,780,515
Operating Expenses and Equipment										
General Expense	\$ 3,004,102	\$ 3,490	\$ 93,783				\$ 3,101,375	\$ 2,403,239	\$ 1,834,354	\$ 2,363,580
Printing	\$ 373,103		\$ 520				\$ 373,624	\$ 330,000	\$ 331,256	\$ 275,000
Telecommunications	\$ 394,224		\$ 27				\$ 394,251	\$ 455,000	\$ 728,506	\$ 750,000
Postage	\$ 704,979		\$ 521				\$ 705,500	\$ 395,000	\$ 389,404	\$ 338,000
Insurance	\$ 31,106						\$ 31,106	\$ 40,000	\$ 30,184	\$ 25,000
In-State Travel	\$ 92,706		\$ 43,650				\$ 136,356	\$ 79,940	\$ 88,126	\$ 128,154
Out-of-State Travel	\$ 5,921		\$ 1,308				\$ 7,229	\$ 16,089	\$ 10,698	\$ 3,300
Training	\$ 71,159		\$ 16,908				\$ 88,067	\$ 261,950	\$ 33,773	\$ 80,900
Security Services	\$ 38,929						\$ 38,929	\$ 108,000	\$ 47,734	
Facility Operations	\$ 2,092,995		\$ 1,241				\$ 2,094,236	\$ 2,081,000	\$ 1,943,479	\$ 1,966,300
Utilities										
Contracted Services	\$ 4,263,956	\$ 3,746,985	\$ 808,781				\$ 8,819,723	\$ 4,884,785	\$ 5,377,728	\$ 6,482,995
Consulting and Professional Services	\$ 1,501,673		\$ 150				\$ 1,501,823	\$ 3,563,500	\$ 1,630,051	\$ 1,690,500
Information Technology	\$ 1,680,255	\$ 0	\$ 180				\$ 1,680,435	\$ 1,894,000	\$ 1,154,736	\$ 1,680,000
Major Equipment	\$ 338,394						\$ 338,394	\$ 75,000	\$ 233,664	\$ 246,700
Other Items of Expense	\$ 40,213						\$ 40,213	\$ 45,000	\$ 39,216	\$ 36,000
	\$ 14,833,716	\$ 3,750,475	\$ 967,069				\$ 19,551,260	\$ 16,832,503	\$ 13,872,909	\$ 16,066,429
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 705,951						\$ 705,951	\$ 735,000	\$ 747,729	\$ 885,000
Judgements, Settlements and Claims									\$ 106,022	
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery	\$ (585,682)	\$ 190,517	\$ 395,165				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (27,020)						\$ (27,020)		\$ (2,690,865)	
	\$ 93,249	\$ 190,517	\$ 395,165				\$ 678,931	\$ 735,000	\$ (1,837,114)	\$ 885,000
Total Expenditures	\$ 90,658,044	\$ 6,332,909	\$ 4,335,178				\$ 100,326,991	\$ 102,740,855	\$ 89,801,341	\$ 99,731,044
Excess (Deficit) of Revenues Over Expenditures	\$ (1,178,140)	\$ 880,365	\$ (639,075)	\$ 2,041,227			\$ 1,104,377	\$ (8,783,027)	\$ (1,441,081)	\$ (17,821,101)
Operating Transfers In (Out)	\$ (42,662)	\$ (596,413)	\$ 639,075				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 8,441,899	\$ 1,335,724	\$ 0	\$ 18,887,729			\$ 28,665,352	\$ 28,665,352	\$ 30,106,433	\$ 30,106,433
Ending Balance (Deficit)	\$ 7,221,097	\$ 1,618,678	\$ 0	\$ 20,928,956			\$ 29,769,729	\$ 19,882,325	\$ 28,665,352	\$ 12,285,332

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June								Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Fiscal Year 2013/14							Total Funds (Info. Purposes Only)			Total Funds (Info. Purposes Only)
	Governmental Funds					Proprietary Funds	Fiduciary Funds				
ASSETS	General	Special Revenue		Capital Project	Debt Service			Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Non-Grant	Grant								
ASSETS											
Operations	\$ (1,515,691)	\$ 1,552,289	\$ 86,102	\$ 128,956			\$ 3,062,013	\$ 3,313,668	\$ 1,127,036		
Payroll	\$ 0	\$ 0						\$ 0	\$ 0		
Jury											
Revolving	\$ 50,000							\$ 50,000	\$ 50,000		
Other	\$ 0							\$ 0	\$ 0		
Distribution											
Civil Filing Fees							\$ 0	\$ 0	\$ 0		
Trust							\$ (36,000)	\$ (36,000)	\$ (78,697)		
Credit Card											
Cash on Hand	\$ 22,340							\$ 22,340	\$ 22,840		
Cash with County	\$ 70,412							\$ 70,412	\$ 7,228		
Cash Outside of the AOC				\$ 0				\$ 22,607,114	\$ 36,179,312		
Total Cash	\$ (1,372,939)	\$ 1,552,289	\$ 86,102	\$ 128,956			\$ 25,633,127	\$ 26,027,534	\$ 37,307,518		
Short Term Investment											
Investment in Financial Institution	\$ 13,981,483						\$ 2,520,208	\$ 16,501,690	\$ 17,441,494		
Total Investments	\$ 13,981,483						\$ 2,520,208	\$ 16,501,690	\$ 17,441,494		
Accrued Revenue	\$ 7,075	\$ 741		\$ 0				\$ 7,816	\$ 9,503		
Accounts Receivable - General	\$ 524,802	\$ 230,245	\$ 1,336,006					\$ 2,091,055	\$ 2,474,359		
Dishonored Checks											
Due From Employee											
Civil Jury Fees											
Trust							\$ 51,937	\$ 51,937	\$ 51,937		
Due From Other Funds	\$ 1,602,132							\$ 1,602,132	\$ 2,042,881		
Due From Other Governments	\$ 101,284	\$ 351,506	\$ 39,475					\$ 492,265	\$ 167,972		
Due From Other Courts							\$ 0	\$ 0	\$ 0		
Due From State	\$ 3,102,423	\$ 87,276	\$ 54,084					\$ 3,243,783	\$ 2,504,171		
Trust Due To/From											
Distribution Due To/From											
Civil Filing Fee Due To/From											
General Due To/From	\$ 1,788							\$ 1,788	\$ 456		
Total Receivables	\$ 5,339,503	\$ 669,769	\$ 1,429,567	\$ 0			\$ 51,937	\$ 7,490,775	\$ 7,251,258		
Prepaid Expenses - General	\$ 0			\$ 20,800,000				\$ 20,800,000	\$ 30,289		
Salary and Travel Advances											
Counties	\$ 0							\$ 0	\$ 0		
Total Prepaid Expenses	\$ 0			\$ 20,800,000				\$ 20,800,000	\$ 30,289		
Other Assets											
Total Other Assets											
Total Assets	\$ 17,948,046	\$ 2,222,058	\$ 1,515,668	\$ 20,928,956			\$ 28,205,271	\$ 70,820,000	\$ 82,030,540		
LIABILITIES AND FUND BALANCES											
Accrued Liabilities	\$ 1,074,078	\$ 236,385	\$ 182,434					\$ 1,492,896	\$ 2,561,053		
Accounts Payable - General	\$ 779,341	\$ 0	\$ 13,602	\$ 0			\$ 0	\$ 792,943	\$ 19,080		
Due to Other Funds	\$ 102,963	\$ 292,501	\$ 1,206,667				\$ 1,788	\$ 1,603,920	\$ 2,043,317		
Due to Other Courts											
Due to State	\$ 49,315	\$ 0	\$ 25,000					\$ 74,315	\$ 134,059		
TC145 Liability							\$ 2,762,781	\$ 2,762,781	\$ 2,654,085		
Due to Other Governments	\$ 3,357,072		\$ 34,486				\$ 12,848,434	\$ 16,239,992	\$ 16,205,139		
AB145 Due to Other Government Agency											
Due to Other Public Agencies											
Sales and Use Tax	\$ 0							\$ 0	\$ 0		
Interest	\$ 0						\$ 20	\$ 20	\$ 41		
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0		
Total Accounts Payable and Accrued Liab.	\$ 5,362,769	\$ 528,886	\$ 1,462,188	\$ 0			\$ 15,613,023	\$ 22,988,866	\$ 23,616,774		
Civil							\$ 2,769,672	\$ 2,769,672	\$ 1,025,153		
Criminal							\$ 0	\$ 0	\$ 0		
Unreconciled - Civil and Criminal											
Trust Held Outside of the AOC							\$ 9,810,616	\$ 9,810,616	\$ 4,703,647		
Trust Interest Payable							\$ 3,269	\$ 3,269	\$ 533		
Miscellaneous Trust											
Total Trust Deposits							\$ 12,583,557	\$ 12,683,557	\$ 5,729,333		
Accrued Payroll	\$ 2,906,132	\$ 41,361						\$ 2,947,493	\$ 1,611,088		
Benefits Payable	\$ 335,845							\$ 335,845	\$ 389,201		
Deferred Compensation Payable	\$ 62,304							\$ 62,304	\$ 57,424		
Deductions Payable	\$ 806,277							\$ 806,277	\$ 720,899		
Payroll Clearing	\$ 1,124,143	\$ 32,135						\$ 1,156,278	\$ 1,138,597		
Total Payroll Liabilities	\$ 5,234,702	\$ 73,496						\$ 5,308,197	\$ 3,917,210		
Revenue Collected in Advance	\$ 0		\$ 53,480					\$ 53,480	\$ 27,290		
Liabilities For Deposits	\$ 129,479						\$ 8,691	\$ 138,170	\$ 128,764		
Jury Fees - Non-Interest											
Fees - Partial Payment & Overpayment											
Uncleared Collections	\$ 0							\$ 0	\$ (54,183)		
Other Miscellaneous Liabilities											
Total Other Liabilities	\$ 129,479		\$ 53,480				\$ 8,691	\$ 191,650	\$ 101,871		
Total Liabilities	\$ 10,726,950	\$ 602,382	\$ 1,515,668	\$ 0			\$ 28,205,271	\$ 41,050,270	\$ 33,365,187		
Total Fund Balance	\$ 7,221,092	\$ 1,619,676	\$ 0	\$ 20,928,956				\$ 29,769,729	\$ 28,665,352		
Total Liabilities and Fund Balance	\$ 17,948,046	\$ 2,222,058	\$ 1,515,668	\$ 20,928,956			\$ 28,205,271	\$ 70,820,000	\$ 82,030,540		

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended June									
	Fiscal Year 2013/14							2012/13		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 27,316,434	\$ 1,698,622					\$ 29,015,056	\$ 33,804,544	\$ 28,619,726	\$ 37,023,200
Traffic & Other Infractions	\$ 4,189,106	\$ 779,661					\$ 4,968,767	\$ 6,448,860	\$ 5,268,590	\$ 5,572,790
Other Criminal Cases	\$ 6,828,588	\$ 1,081,654					\$ 7,910,242	\$ 8,963,185	\$ 7,376,903	\$ 9,106,699
Civil	\$ 5,631,654	\$ 25,144					\$ 5,656,798	\$ 6,286,522	\$ 6,063,108	\$ 5,836,290
Family & Children Services	\$ 7,663,731	\$ 543,866					\$ 8,207,596	\$ 8,325,579	\$ 8,214,860	\$ 8,239,160
Probate, Guardianship & Mental Health Services	\$ 2,070,303	\$ 26,663					\$ 2,096,966	\$ 2,400,807	\$ 1,806,428	\$ 2,002,100
Juvenile Dependency Services	\$ 167,648	\$ 39,815					\$ 207,463	\$ 260,952	\$ 226,301	\$ 171,500
Juvenile Delinquency Services	\$ 1,443,536	\$ 4,046					\$ 1,447,582	\$ 1,549,534	\$ 1,761,545	\$ 1,535,430
Other Court Operations	\$ 3,089,207	\$ 291,980					\$ 3,381,186	\$ 2,232,844	\$ 2,874,123	\$ 2,074,680
Court Interpreters	\$ 3,636,821	\$ 597,962					\$ 4,234,782	\$ 4,036,804	\$ 3,979,539	\$ 3,761,409
Jury Services	\$ 685,438	\$ 715,192	\$ 705,951				\$ 2,106,581	\$ 1,711,378	\$ 1,737,527	\$ 2,356,640
Security	\$ 2,942,241	\$ 102,786					\$ 3,045,027	\$ 3,485,735	\$ 3,022,787	\$ 3,468,054
Trial Court Operations Program	\$ 65,664,705	\$ 5,907,390	\$ 705,951				\$ 72,278,046	\$ 79,506,744	\$ 70,951,438	\$ 81,147,952
Enhanced Collections	\$ 358,474	\$ 2,837,489			\$ 190,517		\$ 3,386,480			
Other Non-Court Operations	\$ 982,479	\$ 467					\$ 982,945	\$ 962,000	\$ 918,334	\$ 902,300
Non-Court Operations Program	\$ 1,340,953	\$ 2,837,956			\$ 190,517		\$ 4,369,426	\$ 962,000	\$ 918,334	\$ 902,300
Executive Office	\$ 1,571,886	\$ 134,348			\$ (34,293)		\$ 1,671,941	\$ 1,834,095	\$ 1,929,236	\$ 1,903,720
Fiscal Services	\$ 2,544,073	\$ 1,409,661			\$ (62,003)		\$ 3,891,732	\$ 3,722,936	\$ 3,801,869	\$ 3,208,692
Human Resources	\$ 4,562,857	\$ 288,945			\$ (20,957)		\$ 4,830,845	\$ 2,016,400	\$ 1,551,825	\$ 1,729,320
Business & Facilities Services	\$ 603,062	\$ 4,842,419			\$ (6,583)	\$ (8,804)	\$ 5,430,094	\$ 5,030,805	\$ 3,668,942	\$ 3,176,250
Information Technology	\$ 4,009,262	\$ 3,930,541			\$ (66,681)	\$ (18,216)	\$ 7,854,907	\$ 9,667,875	\$ 6,979,698	\$ 7,663,710
Court Administration Program	\$ 13,291,141	\$ 10,605,914			\$ (190,517)	\$ (27,020)	\$ 23,679,519	\$ 22,272,111	\$ 17,931,568	\$ 17,681,692
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0			
Prior Year Adjustments Not Posted to a Program										
Total	\$ 80,296,799	\$ 19,351,260	\$ 705,951		\$ 0	\$ (27,020)	\$ 100,326,991	\$ 102,740,855	\$ 89,801,341	\$ 99,731,944

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Alameda

Classification	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project				Debt Service
		Non-Grant	Grant					
Nonspendable	-	-	-	20,928,956	-	-	20,928,956	
Restricted	-	1,619,676	-	-	-	-	1,619,676	
Committed	6,231,296	-	-	-	-	-	6,231,296	
Assigned	989,800	-	-	-	-	-	989,800	
Unassigned	-	-	-	-	-	-	-	
Total	\$ 7,221,096	\$ 1,619,676	\$ -	\$ 20,928,956	\$ -	\$ -	\$ 29,769,728	