


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Superior Court – Santa Clara

Court

FY 2014-15 3rd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court – Santa Clara

Court

FY 2014-15 3rd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court – Santa Clara

Court

FY 2014-15 3rd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	790.72	690.96	682.02	661.13	

¹ The Authorized Positions should reflect the amount submitted on the court’s Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2014/15									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,532,490)	\$ 2,469,391	\$ (704,989)	\$ 1,752,049			\$ 489,448	\$ 473,409	\$ 7,656,572
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 298,437	\$ 298,437	\$ (266,614)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (274,021)	\$ (274,021)	\$ (978)
Credit Card									
Cash on Hand	\$ 16,160							\$ 16,160	\$ 16,160
Cash with County	\$ 7,000,000						\$ 0	\$ 7,000,000	\$ 7,000,309
Cash Outside of the AOC								\$ 0	\$ 0
Total Cash	\$ 3,483,670	\$ 2,469,391	\$ (704,989)	\$ 1,752,049			\$ 513,864	\$ 7,513,985	\$ 14,405,449
Short Term Investment	\$ 859,075								
Investment in Financial Institution							\$ 30,930,599	\$ 31,789,674	\$ 10,723,644
Total Investments	\$ 859,075						\$ 30,930,599	\$ 31,789,674	\$ 10,723,644
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 108,465	\$ 24,250	\$ 899,939					\$ 1,032,654	\$ 474,259
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 566
Distribution Due To/From							\$ 0	\$ 0	
Civil Filing Fee Due To/From							\$ 0	\$ 0	
General Due To/From	\$ 342	\$ 0						\$ 342	\$ 2,226
Total Receivables	\$ 108,807	\$ 24,250	\$ 899,939	\$ 0			\$ 0	\$ 1,032,996	\$ 477,041
Prepaid Expenses - General	\$ 0							\$ 0	\$ 15,120
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 15,120
Other Assets									
Total Other Assets									
Total Assets	\$ 4,451,552	\$ 2,493,641	\$ 194,950	\$ 1,752,049			\$ 31,444,462	\$ 40,336,654	\$ 25,621,254
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 324,803	\$ 15,080	\$ 4,631	\$ 0			\$ 26,009	\$ 370,522	\$ 119,399
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 342	\$ 342	\$ 2,782
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0			\$ 0				\$ 0	\$ 0
TC145 Liability							\$ 1,939,931	\$ 1,939,931	\$ 1,980,129
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 4,838,157	\$ 4,838,157	\$ 5,030,796
Due to Other Public Agencies									
Sales and Use Tax	\$ 223							\$ 223	\$ 409
Interest							\$ 461	\$ 461	\$ 907
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 325,026	\$ 15,080	\$ 4,631	\$ 0			\$ 6,804,900	\$ 7,149,636	\$ 7,134,422
Civil							\$ 22,218,353	\$ 22,218,353	\$ 4,214,433
Criminal							\$ 2,315,908	\$ 2,315,908	\$ 2,634,577
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 9,940	\$ 9,940	\$ 10,471
Miscellaneous Trust									
Total Trust Deposits							\$ 24,544,201	\$ 24,544,201	\$ 6,859,481
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 405,132							\$ 405,132	\$ 405,132
Revenue Collected in Advance			\$ 563,011					\$ 563,011	\$ 29,965
Liabilities For Deposits	\$ 50,026	\$ 2,363					\$ 95,361	\$ 147,750	\$ 106,737
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 50,026	\$ 2,363	\$ 563,011				\$ 95,361	\$ 710,760	\$ 136,702
Total Liabilities	\$ 780,183	\$ 17,443	\$ 567,641	\$ 0			\$ 31,444,462	\$ 32,809,729	\$ 14,535,737
Total Fund Balance	\$ 3,671,368	\$ 2,476,199	\$ (372,691)	\$ 1,752,049				\$ 7,526,925	\$ 11,085,517
Total Liabilities and Fund Balance	\$ 4,451,552	\$ 2,493,641	\$ 194,950	\$ 1,752,049			\$ 31,444,462	\$ 40,336,654	\$ 25,621,254

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2014/15											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2013/14		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 57,399,904	\$ 367,183					\$ 57,767,087	\$ 79,733,098	\$ 59,593,948	\$ 78,975,485	
Improvement and Modernization Fund	\$ 570,175						\$ 570,175	\$ 723,082	\$ 207,271	\$ 795,605	
Judges' Compensation (45.25)	\$ 562,878						\$ 562,878	\$ 710,000	\$ 562,877	\$ 750,500	
Court Interpreter (45.45)	\$ 2,930,261						\$ 2,930,261	\$ 4,000,000	\$ 2,364,092	\$ 3,300,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 603,528						\$ 603,528	\$ 800,693	\$ 585,544	\$ 861,693	
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
	\$ 64,376,213	\$ 367,183					\$ 64,743,396	\$ 88,276,340	\$ 65,823,199	\$ 86,992,750	
Grants											
AB 1058 Commissioner/Facilitator			\$ 2,183,627				\$ 2,183,627	\$ 2,606,208	\$ 1,717,606	\$ 2,606,208	
Other AOC Grants			\$ 235,295				\$ 235,295	\$ 475,775	\$ 87,301	\$ 127,813	
Non-AOC Grants			\$ 402,354				\$ 402,354	\$ 1,365,465	\$ 488,810	\$ 1,291,307	
			\$ 2,821,276				\$ 2,821,276	\$ 4,447,448	\$ 2,293,717	\$ 4,025,328	
Other Financing Sources											
Interest Income	\$ 36,591	\$ 3,932		\$ 2,049			\$ 42,572	\$ 50,000	\$ 51,424	\$ 112,000	
Investment Income											
Donations		\$ 24,311					\$ 24,311	\$ 50,000	\$ 53,192	\$ 18,000	
Local Fees	\$ 909,334	\$ 129,006					\$ 1,038,340	\$ 1,497,000	\$ 928,229	\$ 1,950,300	
Non-Fee Revenues											
Enhanced Collections		\$ 454,138					\$ 454,138	\$ 665,000	\$ 445,301	\$ 695,000	
Escheatment	\$ (172,130)						\$ (172,130)	\$ 60,000	\$ 153,412		
Prior Year Revenue	\$ 219,764						\$ 219,764				
County Program - Restricted		\$ 180,874					\$ 180,874	\$ 322,502	\$ 153,786	\$ 330,132	
Reimbursement Other	\$ 601,784	\$ 950,792	\$ 106,730				\$ 1,659,306	\$ 2,900,589	\$ 1,312,167	\$ 2,484,638	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,080,120						\$ 1,080,120	\$ 1,613,753	\$ 1,131,151	\$ 1,613,603	
	\$ 2,675,483	\$ 1,743,052	\$ 106,730	\$ 2,049			\$ 4,527,294	\$ 7,158,844	\$ 4,228,662	\$ 7,203,673	
Total Revenues	\$ 67,051,676	\$ 2,110,235	\$ 2,928,006	\$ 2,049			\$ 72,091,966	\$ 99,882,832	\$ 72,145,577	\$ 98,221,751	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 33,404,462	\$ 639,240	\$ 1,020,211				\$ 35,063,913	\$ 40,031,646	\$ 36,221,348	\$ 50,041,155	
Temp Help	\$ 274,311	\$ 15,638	\$ 85,924				\$ 375,873	\$ 953,848	\$ 553,463	\$ 471,271	
Overtime	\$ 16,300						\$ 16,300	\$ 25,000	\$ 25,545	\$ 25,000	
Staff Benefits	\$ 23,250,165	\$ 1,828,383	\$ 965,103				\$ 26,043,651	\$ 39,999,941	\$ 26,267,877	\$ 39,089,590	
	\$ 56,945,238	\$ 2,483,260	\$ 2,071,238				\$ 61,499,736	\$ 81,010,435	\$ 63,068,233	\$ 89,627,016	
Operating Expenses and Equipment											
General Expense	\$ 849,988	\$ 49,272	\$ 41,787				\$ 941,046	\$ 1,714,093	\$ 1,100,451	\$ 1,582,231	
Printing	\$ 73,603	\$ 209					\$ 73,812	\$ 154,000	\$ 105,302	\$ 185,000	
Telecommunications	\$ 485,609						\$ 485,609	\$ 680,000	\$ 510,878	\$ 745,000	
Postage	\$ 305,031	\$ 9					\$ 305,040	\$ 422,000	\$ 364,192	\$ 430,000	
Insurance	\$ 38,617						\$ 38,617	\$ 47,000	\$ 44,523	\$ 42,000	
In-State Travel	\$ 57,771	\$ 1,928	\$ 7,238				\$ 66,936	\$ 133,200	\$ 96,492	\$ 120,150	
Out-of-State Travel	\$ 1,590		\$ 3,115				\$ 4,705	\$ 10,868	\$ 9,901	\$ 15,000	
Training	\$ 60,767		\$ 2,553				\$ 63,320	\$ 113,000	\$ 66,651	\$ 98,000	
Security Services			\$ 236,968				\$ 236,968	\$ 315,958	\$ 231,534	\$ 308,712	
Facility Operations	\$ 875,333						\$ 875,333	\$ 1,371,100	\$ 907,809	\$ 1,277,204	
Utilities	\$ 124,587						\$ 124,587	\$ 165,000	\$ 108,422	\$ 163,000	
Contracted Services	\$ 3,635,731	\$ 345,424	\$ 583,675				\$ 4,564,829	\$ 6,458,813	\$ 3,732,416	\$ 5,897,501	
Consulting and Professional Services	\$ 1,336,029						\$ 1,336,029	\$ 1,948,527	\$ 1,040,125	\$ 1,597,904	
Information Technology	\$ 784,282	\$ 32,861					\$ 817,143	\$ 2,895,773	\$ 507,136	\$ 425,000	
Major Equipment	\$ 72,510						\$ 72,510	\$ 6,729	\$ 35,293	\$ 69,000	
Other Items of Expense	\$ 32,312						\$ 32,312	\$ 58,000	\$ 35,293	\$ 69,000	
	\$ 8,733,761	\$ 429,702	\$ 875,335				\$ 10,038,799	\$ 16,487,332	\$ 8,867,654	\$ 12,955,702	
Special Items of Expense											
Grand Jury		\$ 5,699					\$ 5,699	\$ 5,000	\$ 3,852	\$ 5,500	
Jury Costs	\$ 420,203	\$ 57,389					\$ 477,592	\$ 725,000	\$ 472,486	\$ 798,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (354,125)		\$ 354,125	\$ (1,750,000)			\$ (1,750,000)	\$ 3,500,000	\$ 1,750,000	\$ 3,500,000	
Prior Year Expense Adjustment							\$ 0	\$ 0	\$ 0	\$ 0	
	\$ 66,078	\$ 63,088	\$ 354,125	\$ (1,750,000)			\$ (1,266,706)	\$ 4,230,000	\$ 2,226,337	\$ 4,304,000	
Total Expenditures	\$ 65,745,077	\$ 2,976,051	\$ 3,300,698	\$ (1,750,000)			\$ 70,271,825	\$ 101,727,787	\$ 74,162,224	\$ 106,886,718	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,306,599	\$ (865,816)	\$ (372,691)	\$ 1,752,049			\$ 1,820,141	\$ (1,845,135)	\$ (2,016,646)	\$ (8,664,967)	
Operating Transfers In (Out)											
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,364,769	\$ 3,342,015	\$ 0	\$ 0			\$ 5,706,784	\$ 5,706,784	\$ 13,102,163	\$ 13,102,163	
Ending Balance (Deficit)	\$ 3,671,368	\$ 2,476,199	\$ (372,691)	\$ 1,752,049			\$ 7,526,925	\$ 3,861,649	\$ 11,085,517	\$ 4,437,196	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2014/15										
								2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 17,445,320	\$ 1,373,163					\$ 18,674,445	\$ 25,759,700	\$ 20,040,277	\$ 29,300,727
Traffic & Other Infractions	\$ 3,313,192	\$ 39,318	\$ 0				\$ 3,352,509	\$ 5,444,042	\$ 3,940,792	\$ 6,118,835
Other Criminal Cases	\$ 7,182,057	\$ 1,265,113					\$ 8,447,170	\$ 11,163,023	\$ 8,691,558	\$ 12,313,621
Civil	\$ 6,595,091	\$ 239,225					\$ 6,834,316	\$ 8,611,160	\$ 6,532,764	\$ 9,443,210
Family & Children Services	\$ 8,327,403	\$ 1,392,513					\$ 9,863,955	\$ 11,772,306	\$ 8,406,010	\$ 13,200,885
Probate, Guardianship & Mental Health Services	\$ 2,254,996	\$ 43,485			\$ 144,038		\$ 2,298,481	\$ 2,999,808	\$ 2,307,613	\$ 3,120,240
Juvenile Dependency Services	\$ 470,646	\$ 61,707			\$ 0		\$ 532,352	\$ 487,387	\$ 435,320	\$ 540,233
Juvenile Delinquency Services	\$ 483,434	\$ 46,790					\$ 530,224	\$ 622,348	\$ 634,142	\$ 731,382
Other Court Operations	\$ 293,914	\$ 1,046					\$ 294,960	\$ 201,716	\$ 373,400	\$ 556,956
Court Interpreters	\$ 2,899,277	\$ 432,925					\$ 3,332,202	\$ 4,142,988	\$ 3,240,338	\$ 4,223,388
Jury Services	\$ 410,809	\$ 155,673	\$ 420,203				\$ 986,685	\$ 1,098,427	\$ 1,009,484	\$ 1,303,324
Security		\$ 69,518					\$ 69,518	\$ 315,958	\$ 302,951	\$ 308,712
Trial Court Operations Program	\$ 49,676,139	\$ 5,120,475	\$ 420,203		\$ 0		\$ 55,216,817	\$ 72,618,863	\$ 55,914,650	\$ 81,161,513
Enhanced Collections										
Other Non-Court Operations	\$ 1,524,579	\$ 84,734	\$ 63,088				\$ 1,672,401	\$ 2,160,297	\$ 1,453,705	\$ 2,014,976
Non-Court Operations Program	\$ 1,524,579	\$ 84,734	\$ 63,088				\$ 1,672,401	\$ 2,160,297	\$ 1,453,705	\$ 2,014,976
Executive Office	\$ 2,130,610	\$ 122,748					\$ 2,253,357	\$ 1,792,257	\$ 2,319,040	\$ 1,430,208
Fiscal Services	\$ 2,523,631	\$ 1,364,903					\$ 3,888,534	\$ 4,757,110	\$ 4,329,610	\$ 4,758,441
Human Resources	\$ 1,041,030	\$ 38,117					\$ 1,079,147	\$ 1,657,288	\$ 1,232,513	\$ 2,051,494
Business & Facilities Services	\$ 1,401,047	\$ 1,020,205			\$ (1,750,000)		\$ 671,252	\$ 9,159,477	\$ 4,238,431	\$ 9,026,654
Information Technology	\$ 3,202,700	\$ 2,287,617					\$ 5,490,317	\$ 9,582,475	\$ 4,674,276	\$ 6,443,432
Court Administration Program	\$ 10,299,017	\$ 4,833,590			\$ (1,750,000)		\$ 13,382,607	\$ 26,948,607	\$ 16,793,869	\$ 23,710,229
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 61,499,736	\$ 10,038,799	\$ 483,291	\$ (1,750,000)	\$ 0		\$ 70,271,825	\$ 101,727,767	\$ 74,162,224	\$ 106,886,718