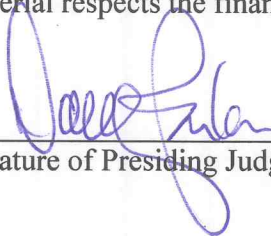


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

04/28/2015

Date

Santa Barbara Superior Court

Court

2014, 3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara Superior Court

Court

2014, 3

Fiscal Year and Ending Quarter

FOOTNOTES

1	<i>None</i>
2	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara Superior Court

Court

2014, 3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	240.03	241.98	232.13	231.63	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March								2013/14
	Fiscal Year 2014/15								
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,197,757)		\$ (384,945)			\$ 264,169	\$ 36,896	\$ 1,186,820	
Payroll	\$ 297	\$ 2,355,429	\$ 0				\$ 297	\$ 4,843	
Jury									
Revolving	\$ 5,000						\$ 5,000	\$ 5,000	
Other									
Distribution						\$ 78,659	\$ 78,659	\$ 121,192	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust						\$ (33,990)	\$ (33,990)	\$ (28,694)	
Credit Card									
Cash on Hand	\$ 7,130						\$ 7,130	\$ 7,130	
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (2,185,331)	\$ 2,355,429	\$ (384,945)			\$ 308,838	\$ 93,991	\$ 1,296,292	
Short Term Investment	\$ 5,259,641					\$ 6,743,394	\$ 12,003,035	\$ 9,434,160	
Investment in Financial Institution									
Total Investments	\$ 5,259,641					\$ 6,743,394	\$ 12,003,035	\$ 9,434,160	
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0				\$ 0	\$ 0	
Dishonored Checks									
Due From Employee	\$ 0						\$ 0	\$ 0	
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0					\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Due From Other Courts						\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 115,003				\$ 115,003	\$ 94,914	
Trust Due To/From						\$ 2,035,375	\$ 2,035,375	\$ 635,185	
Distribution Due To/From						\$ 193,815	\$ 193,815	\$ 145,675	
Civil Filing Fee Due To/From									
General Due To/From	\$ 950	\$ 62					\$ 1,011	\$ 789	
Total Receivables	\$ 950	\$ 62	\$ 115,003			\$ 2,229,191	\$ 2,345,205	\$ 876,563	
Prepaid Expenses - General	\$ 0	\$ 0					\$ 0	\$ 0	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0	\$ 0					\$ 0	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 3,075,260	\$ 2,355,491	\$ (269,942)			\$ 9,281,423	\$ 14,442,232	\$ 11,607,015	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 5,927	\$ 0	\$ 0			\$ 11,353	\$ 17,280	\$ 100,922	
Due to Other Funds	\$ 298	\$ 38,280	\$ 0			\$ 2,191,624	\$ 2,230,202	\$ 781,650	
Due to Other Courts									
Due to State	\$ 0	\$ 0					\$ 0	\$ 0	
TC145 Liability						\$ 511,053	\$ 511,053	\$ 497,926	
Due to Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
AB145 Due to Other Government Agency						\$ 3,158,546	\$ 3,158,546	\$ 3,402,832	
Due to Other Public Agencies									
Sales and Use Tax	\$ 72						\$ 72	\$ 143	
Interest	\$ 447					\$ 173	\$ 173	\$ 173	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 447						\$ 447	\$ 1,165	
Total Accounts Payable and Accrued Liab.	\$ 6,744	\$ 38,280	\$ 0			\$ 5,872,749	\$ 5,917,773	\$ 4,784,811	
Civil						\$ 2,828,009	\$ 2,828,009	\$ 547,940	
Criminal						\$ 537,605	\$ 537,605	\$ 802,843	
Unreconciled - Civil and Criminal						\$ 3,654	\$ 3,654	\$ 3,654	
Trust Held Outside of the AOC									
Trust Interest Payable						\$ 21,371	\$ 21,371	\$ 20,603	
Miscellaneous Trust									
Total Trust Deposits						\$ 3,390,639	\$ 3,390,639	\$ 1,375,040	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ (188,319)	\$ 0	\$ 0				\$ (188,319)	\$ (159,610)	
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Deductions Payable	\$ 0	\$ 0	\$ 0				\$ 0	\$ (1,504)	
Payroll Clearing								\$ 0	
Total Payroll Liabilities	\$ (188,319)	\$ 0	\$ 0				\$ (188,319)	\$ (181,115)	
Revenue Collected in Advance									
Liabilities For Deposits	\$ 32,319					\$ 12,775	\$ 45,094	\$ 39,007	
Jury Fees - Non-Interest						\$ 5,206	\$ 5,206	\$ 15,786	
Fees - Partial Payment & Overpayment						\$ 54	\$ 54	\$ 0	
Uncleared Collections	\$ 0					\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 42,500						\$ 42,500	\$ 0	
Total Other Liabilities	\$ 74,819	\$ 0				\$ 18,035	\$ 92,853	\$ 54,793	
Total Liabilities	\$ (106,757)	\$ 38,280	\$ 0			\$ 9,281,423	\$ 9,212,946	\$ 6,063,530	
Total Fund Balance	\$ 3,182,017	\$ 2,317,211	\$ (269,942)				\$ 5,229,286	\$ 5,553,485	
Total Liabilities and Fund Balance	\$ 3,075,260	\$ 2,355,491	\$ (269,942)			\$ 9,281,423	\$ 14,442,232	\$ 11,607,015	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2014/15											
2013/14											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 15,451,785	\$ 138,164						\$ 15,589,929	\$ 20,359,820	\$ 15,331,898	\$ 20,165,948
Improvement and Modernization Fund	\$ 0							\$ 0	\$ 56,712		
Judges' Compensation (45.25)	\$ 149,625							\$ 149,625	\$ 199,500	\$ 149,625	\$ 199,500
Court Interpreter (45.45)	\$ 965,294							\$ 965,294	\$ 1,516,515	\$ 1,026,529	\$ 1,300,000
Civil Coordination Reimbursement (45.55)	\$ 264,775							\$ 264,775	\$ 501,115	\$ 211,117	\$ 617,240
MOU Reimbursements (45.10 and General)	\$ 1,597,662							\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662
Other Miscellaneous	\$ 18,429,121	\$ 138,164						\$ 18,567,285	\$ 24,231,324	\$ 18,316,831	\$ 23,880,350
Grants											
AB 1058 Commissioner/Facilitator			\$ 379,261					\$ 379,261	\$ 814,937	\$ 435,175	\$ 814,937
Other AOC Grants			\$ 0					\$ 0	\$ 44,000		\$ 45,000
Non-AOC Grants											
			\$ 379,261					\$ 379,261	\$ 858,937	\$ 435,175	\$ 859,937
Other Financing Sources											
Interest Income	\$ 8,257	\$ 3,102						\$ 11,359	\$ 16,000	\$ 9,791	\$ 17,000
Investment Income											
Donations											
Local Fees	\$ 78,541	\$ 121,737						\$ 200,278	\$ 256,000	\$ 247,414	\$ 215,000
Non-Fee Revenues	\$ 125,000							\$ 125,000	\$ 125,000	\$ 125,001	\$ 155,000
Enhanced Collections		\$ 566,284						\$ 566,284	\$ 1,058,563	\$ 427,619	\$ 998,026
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 659,520						\$ 659,520	\$ 1,115,000	\$ 465,092	\$ 1,096,197
Reimbursement Other	\$ 83,204							\$ 83,204	\$ 116,500	\$ 46,692	\$ 48,500
Sale of Fixed Assets										\$ 1,961	
Other Miscellaneous	\$ 14,874							\$ 14,874	\$ 2,000	\$ 19,161	\$ 8,000
	\$ 309,876	\$ 1,350,643						\$ 1,660,519	\$ 2,689,063	\$ 1,342,731	\$ 2,537,723
Total Revenues	\$ 18,738,998	\$ 1,488,807	\$ 379,261					\$ 20,607,066	\$ 27,779,324	\$ 20,094,736	\$ 27,278,010
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 8,095,016	\$ 710,321	\$ 293,506					\$ 9,098,843	\$ 14,273,059	\$ 10,266,482	\$ 15,411,221
Temp Help	\$ 317,616	\$ 19,704	\$ 230					\$ 337,550	\$ 761,034	\$ 541,911	\$ 1,050,000
Overtime	\$ 25,497	\$ 865	\$ 257					\$ 26,619		\$ 12,711	
Staff Benefits	\$ 5,579,885	\$ 472,475	\$ 178,087					\$ 6,230,448	\$ 7,915,592	\$ 6,154,102	\$ 8,138,916
	\$ 14,018,015	\$ 1,203,364	\$ 472,081					\$ 15,693,460	\$ 22,949,685	\$ 16,975,205	\$ 24,600,137
Operating Expenses and Equipment											
General Expense	\$ 399,330	\$ 66,846	\$ 889					\$ 467,065	\$ 648,885	\$ 450,960	\$ 830,270
Printing	\$ 69,012	\$ 1,201	\$ 74					\$ 70,286	\$ 97,500	\$ 74,824	\$ 90,000
Telecommunications	\$ 125,266	\$ 1,266						\$ 126,532	\$ 187,115	\$ 125,972	\$ 225,000
Postage	\$ 87,587	\$ 16,101	\$ 229					\$ 103,917	\$ 153,155	\$ 109,839	\$ 179,900
Insurance	\$ 14,705		\$ 373					\$ 15,078	\$ 21,475	\$ 19,975	\$ 18,050
In-State Travel	\$ 16,709	\$ 2,197	\$ 2,059					\$ 20,965	\$ 35,870	\$ 23,999	\$ 34,358
Out-of-State Travel	\$ 2,437							\$ 2,437	\$ 2,000	\$ 2,404	
Training	\$ 9,229		\$ 1,338					\$ 10,566	\$ 15,000	\$ 1,440	\$ 15,000
Security Services	\$ 442,836		\$ 63,473					\$ 506,309	\$ 805,900	\$ 536,300	\$ 845,500
Facility Operations	\$ 230,237	\$ 23,338						\$ 253,575	\$ 357,470	\$ 260,036	\$ 413,150
Utilities		\$ 1,457						\$ 1,457	\$ 2,800	\$ 1,622	\$ 4,000
Contracted Services	\$ 850,089	\$ 210,965	\$ 56,220					\$ 1,117,274	\$ 1,592,993	\$ 1,000,755	\$ 1,542,434
Consulting and Professional Services	\$ 77,784	\$ 5,911	\$ 426					\$ 84,121	\$ 105,515	\$ 47,787	\$ 108,340
Information Technology	\$ 995,785	\$ 156,827	\$ 1,292					\$ 1,153,905	\$ 2,251,025	\$ 480,563	\$ 761,603
Major Equipment	\$ 11,470	\$ 201,844						\$ 213,313		\$ 2,000	
Other Items of Expense	\$ 18,924		\$ 1,935					\$ 20,859	\$ 30,000	\$ 19,435	\$ 37,000
	\$ 3,351,399	\$ 687,953	\$ 128,307					\$ 4,167,659	\$ 6,306,703	\$ 3,155,909	\$ 5,106,605
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 167,348							\$ 167,348	\$ 208,000	\$ 151,430	\$ 200,500
Judgements, Settlements and Claims											
Debt Service											
Other										\$ 0	
Capital Costs										\$ 0	
Internal Cost Recovery	\$ (48,815)		\$ 48,815					\$ 0		\$ 0	
Prior Year Expense Adjustment										\$ (2,655)	
	\$ 118,533		\$ 48,815					\$ 167,348	\$ 208,000	\$ 148,775	\$ 200,500
Total Expenditures	\$ 17,487,946	\$ 1,891,318	\$ 649,203					\$ 20,028,467	\$ 29,464,388	\$ 20,279,889	\$ 29,907,242
Excess (Deficit) of Revenues Over Expenditures	\$ 1,251,051	\$ (402,511)	\$ (269,942)					\$ 578,599	\$ (1,685,064)	\$ (185,153)	\$ (2,629,232)
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,930,965	\$ 2,719,721	\$ 0					\$ 4,650,687	\$ 4,650,687	\$ 5,738,638	\$ 5,738,638
Ending Balance (Deficit)	\$ 3,182,017	\$ 2,317,211	\$ (269,942)					\$ 5,229,286	\$ 2,965,623	\$ 5,553,485	\$ 3,109,406

**Superior Court of California, County of Santa Barbara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

	For the month ended March									
	Fiscal Year 2014/15							2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,242,467	\$ 469,431			\$ 0		\$ 5,711,898	\$ 8,332,007	\$ 5,778,972	\$ 8,406,947
Traffic & Other Infractions	\$ 608,391	\$ 47,444				\$ 655,835	\$ 886,080	\$ 733,116	\$ 1,101,825	
Other Criminal Cases	\$ 1,441,548	\$ 164,560				\$ 1,606,109	\$ 2,346,434	\$ 1,769,122	\$ 2,576,432	
Civil	\$ 1,057,475	\$ 177,320				\$ 1,234,794	\$ 1,727,197	\$ 1,327,143	\$ 1,790,966	
Family & Children Services	\$ 780,199	\$ 34,158				\$ 814,357	\$ 1,075,285	\$ 878,126	\$ 1,253,462	
Probate, Guardianship & Mental Health Services	\$ 285,282	\$ 24,099				\$ 309,382	\$ 466,692	\$ 360,110	\$ 572,397	
Juvenile Dependency Services	\$ 24,346					\$ 24,346	\$ 24,075	\$ 23,919	\$ 203,245	
Juvenile Delinquency Services	\$ 169,157	\$ 30,848				\$ 200,004	\$ 291,778	\$ 201,053	\$ 132,565	
Other Court Operations	\$ 1,823,503	\$ 347,525				\$ 2,171,028	\$ 3,362,494	\$ 2,369,854	\$ 3,241,209	
Court Interpreters	\$ 663,955	\$ 241,530				\$ 905,485	\$ 1,399,130	\$ 902,599	\$ 1,429,760	
Jury Services	\$ 264,630	\$ 85,936	\$ 167,348			\$ 517,914	\$ 696,461	\$ 558,890	\$ 805,327	
Security		\$ 517,855				\$ 517,855	\$ 815,450	\$ 540,980	\$ 886,175	
Trial Court Operations Program	\$ 12,360,953	\$ 2,140,705	\$ 167,348		\$ 0	\$ 14,669,006	\$ 21,423,083	\$ 15,443,884	\$ 22,400,310	
Enhanced Collections	\$ 283,631	\$ 297,647				\$ 581,278	\$ 1,043,613	\$ 599,283	\$ 998,026	
Other Non-Court Operations	\$ 644,231	\$ 10,960				\$ 655,190	\$ 994,129	\$ 642,798	\$ 929,907	
Non-Court Operations Program	\$ 927,861	\$ 308,607				\$ 1,236,468	\$ 2,037,742	\$ 1,242,080	\$ 1,927,933	
Executive Office	\$ 186,551	\$ 43,752				\$ 230,303	\$ 337,947	\$ 471,131	\$ 769,896	
Fiscal Services	\$ 778,289	\$ 49,153				\$ 827,442	\$ 1,053,407	\$ 996,159	\$ 1,426,414	
Human Resources	\$ 413,736	\$ 93,059				\$ 506,795	\$ 704,697	\$ 450,882	\$ 698,643	
Business & Facilities Services		\$ 9,865				\$ 9,865	\$ 45,175	\$ 18,498	\$ 121,658	
Information Technology	\$ 1,026,071	\$ 1,522,518				\$ 2,548,588	\$ 3,862,337	\$ 1,657,255	\$ 2,562,388	
Court Administration Program	\$ 2,404,646	\$ 1,718,347				\$ 4,122,993	\$ 6,003,563	\$ 3,593,925	\$ 5,578,999	
Expenditures Not Distributed or Posted to a Program	\$ 0					\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program										
Total	\$ 15,693,460	\$ 4,167,659	\$ 167,348		\$ 0	\$ 20,028,467	\$ 29,464,388	\$ 20,279,889	\$ 29,907,242	