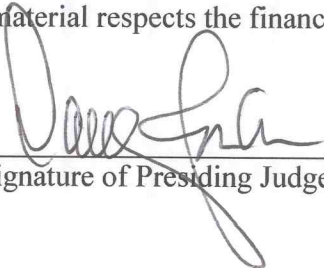


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/11/15

Date

Santa Barbara Superior Court

Court

2014, 2

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara Superior Court

Court

2014, 2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	240.03	241.98	232.13		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended December							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Fiscal Year 2014/15									2013/14
	Governmental Funds					Proprietary Funds	Fiduciary Funds			
General	Special Revenue		Capital Project	Debt Service						
	Non-Grant	Grant								
ASSETS										
Operations	\$ (1,989,263)	\$ 2,233,843	\$ (253,376)				\$ 171,623	\$ 162,827	\$ 1,005,688	
Payroll	\$ 297	\$ 0	\$ 0					\$ 297	\$ 375,091	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution							\$ 124,770	\$ 124,770	\$ 264,537	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (46,915)	\$ (46,915)	\$ (123,981)	
Credit Card										
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130	
Cash with County										
Cash Outside of the AOC										
Total Cash	\$ (1,976,836)	\$ 2,233,843	\$ (253,376)				\$ 249,478	\$ 253,109	\$ 1,533,465	
Short Term Investment	\$ 7,796,144						\$ 5,045,688	\$ 12,841,832	\$ 11,739,873	
Investment in Financial Institution										
Total Investments	\$ 7,796,144						\$ 5,045,688	\$ 12,841,832	\$ 11,739,873	
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0					\$ 0	\$ 0	
Dishonored Checks										
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 77,584					\$ 77,584	\$ 60,529	
Trust Due To/From							\$ 266,702	\$ 266,702	\$ 265,568	
Distribution Due To/From							\$ 73,069	\$ 73,069	\$ 58,193	
Civil Filing Fee Due To/From										
General Due To/From	\$ 639	\$ 62						\$ 701	\$ 1,190	
Total Receivables	\$ 639	\$ 62	\$ 77,584				\$ 339,771	\$ 418,056	\$ 385,479	
Prepaid Expenses - General	\$ 0	\$ 0						\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 0	\$ 0						\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 5,819,947	\$ 2,233,905	\$ (175,792)				\$ 5,634,937	\$ 13,512,996	\$ 13,658,818	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 20,918	\$ 0	\$ 123				\$ 22,959	\$ 44,000	\$ 16,052	
Due to Other Funds	\$ 102	\$ 16,208	\$ 0				\$ 324,161	\$ 340,472	\$ 324,950	
Due to Other Courts										
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability										
Due to Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 424,865	
AB145 Due to Other Government Agency							\$ 3,234,287	\$ 3,234,287	\$ 2,826,985	
Due to Other Public Agencies										
Sales and Use Tax	\$ 81							\$ 81	\$ 269	
Interest							\$ 35	\$ 35	\$ 185	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 937							\$ 937	\$ 1,026	
Total Accounts Payable and Accrued Liab.	\$ 22,038	\$ 16,208	\$ 123				\$ 3,581,442	\$ 3,619,810	\$ 3,594,332	
Civil							\$ 1,574,474	\$ 1,574,474	\$ 422,276	
Criminal							\$ 437,859	\$ 437,859	\$ 692,037	
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654	
Trust Held Outside of the AOC										
Trust Interest Payable							\$ 21,462	\$ 21,462	\$ 20,428	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,037,449	\$ 2,037,449	\$ 1,138,395	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (99,115)	\$ 6,167	\$ 2,123					\$ (90,825)	\$ (7,716)	
Deferred Compensation Payable	\$ (1,220)	\$ 726	\$ 495					\$ 0	\$ (18,116)	
Deductions Payable	\$ (17,700)	\$ 15,104	\$ 7,426					\$ 4,830	\$ (348,978)	
Payroll Clearing									\$ 0	
Total Payroll Liabilities	\$ (118,035)	\$ 21,996	\$ 10,044					\$ (85,995)	\$ (374,810)	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 30,931						\$ 12,135	\$ 43,066	\$ 37,744	
Jury Fees - Non-Interest							\$ 2,536	\$ 2,536	\$ 9,786	
Fees - Partial Payment & Overpayment							\$ 1,375	\$ 1,375	\$ 595	
Uncleared Collections	\$ 0							\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 16,800	\$ 1,350						\$ 18,150		
Total Other Liabilities	\$ 47,731	\$ 1,350					\$ 16,046	\$ 65,127	\$ 48,125	
Total Liabilities	\$ (48,286)	\$ 39,554	\$ 10,166				\$ 5,634,937	\$ 5,636,391	\$ 4,406,043	
Total Fund Balance	\$ 5,868,213	\$ 2,194,351	\$ (185,958)					\$ 7,876,605	\$ 9,252,775	
Total Liabilities and Fund Balance	\$ 5,819,947	\$ 2,233,905	\$ (175,792)				\$ 5,634,937	\$ 13,512,996	\$ 13,658,818	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
	Fiscal Year 2014/15							2013/14			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 13,018,713	\$ 84,738					\$ 13,103,451	\$ 20,359,820	\$ 12,570,918	\$ 20,165,948	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 56,712			
Judges' Compensation (45.25)	\$ 99,750						\$ 99,750	\$ 199,500	\$ 99,750	\$ 199,500	
Court Interpreter (45.45)	\$ 645,824						\$ 645,824	\$ 1,516,515	\$ 715,837	\$ 1,300,000	
Civil Coordination Reimbursement (45.55)	\$ 172,829						\$ 172,829	\$ 501,115	\$ 140,871	\$ 617,240	
MOU Reimbursements (45.10 and General)	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
Other Miscellaneous											
	\$ 15,534,778	\$ 84,738					\$ 15,619,516	\$ 24,231,324	\$ 15,125,038	\$ 23,880,350	
Grants											
AB 1058 Commissioner/Facilitator			\$ 230,282				\$ 230,282	\$ 814,937	\$ 254,826	\$ 814,937	
Other AOC Grants			\$ 0				\$ 0	\$ 44,000		\$ 45,000	
Non-AOC Grants											
			\$ 230,282				\$ 230,282	\$ 858,937	\$ 254,826	\$ 859,937	
Other Financing Sources											
Interest Income	\$ 4,187	\$ 1,548					\$ 5,735	\$ 16,000	\$ 3,252	\$ 17,000	
Investment Income											
Donations											
Local Fees	\$ 134,193						\$ 134,193	\$ 256,000	\$ 193,509	\$ 215,000	
Non-Fee Revenues								\$ 125,000	\$ 125,001	\$ 155,000	
Enhanced Collections		\$ 291,305					\$ 291,305	\$ 1,058,563	\$ 228,725	\$ 998,026	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 375,029					\$ 375,029	\$ 1,115,000	\$ 298,937	\$ 1,096,197	
Reimbursement Other	\$ 59,875						\$ 59,875	\$ 116,500	\$ 33,314	\$ 48,500	
Sale of Fixed Assets									\$ 1,961		
Other Miscellaneous	\$ 14,501						\$ 14,501	\$ 2,000	\$ 18,751	\$ 8,000	
	\$ 212,755	\$ 667,881					\$ 880,636	\$ 2,689,063	\$ 903,449	\$ 2,537,723	
Total Revenues	\$ 15,747,533	\$ 752,619	\$ 230,282				\$ 16,730,435	\$ 27,779,324	\$ 16,283,313	\$ 27,278,010	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,521,226	\$ 407,700	\$ 199,953				\$ 6,128,879	\$ 14,273,059	\$ 6,586,965	\$ 15,411,221	
Temp Help	\$ 246,323	\$ 15,200	\$ 230				\$ 261,753	\$ 761,034	\$ 363,036	\$ 1,050,000	
Overtime	\$ 16,289	\$ 463	\$ 126				\$ 16,878	\$ 16,878	\$ 5,231		
Staff Benefits	\$ 3,758,092	\$ 290,600	\$ 120,710				\$ 4,169,401	\$ 7,915,592	\$ 3,813,934	\$ 8,138,916	
	\$ 9,541,930	\$ 713,963	\$ 321,019				\$ 10,576,912	\$ 22,949,685	\$ 10,769,165	\$ 24,600,137	
Operating Expenses and Equipment											
General Expense	\$ 217,489	\$ 47,392	\$ 13				\$ 264,894	\$ 648,885	\$ 263,136	\$ 830,270	
Printing	\$ 26,374		\$ 44				\$ 26,418	\$ 97,500	\$ 38,068	\$ 90,000	
Telecommunications	\$ 82,390	\$ 782					\$ 83,172	\$ 187,115	\$ 77,055	\$ 225,000	
Postage	\$ 62,035	\$ 15,107	\$ 172				\$ 77,313	\$ 153,155	\$ 71,065	\$ 179,900	
Insurance	\$ 14,705		\$ 373				\$ 15,078	\$ 21,475	\$ 19,975	\$ 18,058	
In-State Travel	\$ 12,256	\$ 1,858	\$ 2,059				\$ 16,173	\$ 35,870	\$ 13,868	\$ 34,350	
Out-of-State Travel	\$ 2,098						\$ 2,098	\$ 2,000	\$ 2,404		
Training	\$ 7,942		\$ 1,338				\$ 9,280	\$ 15,000	\$ 1,193	\$ 15,000	
Security Services	\$ 307,839		\$ 40,217				\$ 348,056	\$ 805,900	\$ 332,903	\$ 845,500	
Facility Operations	\$ 162,530	\$ 14,837					\$ 177,368	\$ 357,470	\$ 174,580	\$ 413,150	
Utilities		\$ 640					\$ 640	\$ 2,800	\$ 1,071	\$ 4,000	
Contracted Services	\$ 549,715	\$ 124,162	\$ 18,387				\$ 692,263	\$ 1,592,993	\$ 631,570	\$ 1,542,434	
Consulting and Professional Services	\$ 47,535	\$ 3,970	\$ 310				\$ 51,815	\$ 105,515	\$ 32,132	\$ 108,340	
Information Technology	\$ 671,052	\$ 153,435	\$ 897				\$ 825,384	\$ 2,251,025	\$ 211,142	\$ 761,603	
Major Equipment	\$ 11,470	\$ 201,844					\$ 213,313			\$ 2,000	
Other Items of Expense	\$ 11,932		\$ 1,173				\$ 13,105	\$ 30,000	\$ 13,568	\$ 37,000	
	\$ 2,187,361	\$ 564,027	\$ 64,982				\$ 2,816,370	\$ 6,306,703	\$ 1,883,730	\$ 5,106,605	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 111,234						\$ 111,234	\$ 208,000	\$ 118,937	\$ 200,500	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0		
Capital Costs											
Internal Cost Recovery	\$ (30,240)		\$ 30,240				\$ 0				
Prior Year Expense Adjustment									\$ (2,655)		
	\$ 80,994		\$ 30,240				\$ 111,234	\$ 208,000	\$ 116,281	\$ 200,500	
Total Expenditures	\$ 11,810,285	\$ 1,277,990	\$ 416,241				\$ 13,504,516	\$ 29,464,388	\$ 12,769,176	\$ 29,907,242	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,937,248	\$ (525,371)	\$ (185,958)				\$ 3,225,919	\$ (1,685,064)	\$ 3,514,137	\$ (2,629,232)	
Operating Transfers In (Out)											
								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,930,965	\$ 2,719,721	\$ 0				\$ 4,650,687	\$ 4,650,687	\$ 5,738,638	\$ 5,738,638	
Ending Balance (Deficit)	\$ 5,868,213	\$ 2,194,351	\$ (185,958)				\$ 7,876,605	\$ 2,965,623	\$ 9,252,775	\$ 3,109,406	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended December									
	Fiscal Year 2014/15							2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,573,635	\$ 308,786			\$ 0		\$ 3,882,421	\$ 8,332,007	\$ 3,633,151	\$ 8,406,947
Traffic & Other Infractions	\$ 410,342	\$ 25,163					\$ 435,506	\$ 886,080	\$ 461,715	\$ 1,101,825
Other Criminal Cases	\$ 971,242	\$ 89,620					\$ 1,060,862	\$ 2,346,434	\$ 1,076,214	\$ 2,576,432
Civil	\$ 701,760	\$ 115,988					\$ 817,748	\$ 1,727,197	\$ 841,051	\$ 1,790,966
Family & Children Services	\$ 542,136	\$ 17,916					\$ 560,052	\$ 1,075,285	\$ 541,619	\$ 1,253,462
Probate, Guardianship & Mental Health Services	\$ 188,534	\$ 16,415					\$ 204,949	\$ 466,692	\$ 238,300	\$ 572,397
Juvenile Dependency Services	\$ 18,207						\$ 18,207	\$ 24,075	\$ 15,008	\$ 203,245
Juvenile Delinquency Services	\$ 109,043	\$ 20,368					\$ 129,411	\$ 291,778	\$ 123,126	\$ 132,565
Other Court Operations	\$ 1,198,926	\$ 237,570					\$ 1,436,495	\$ 3,362,494	\$ 1,505,033	\$ 3,241,209
Court Interpreters	\$ 441,986	\$ 163,702					\$ 605,688	\$ 1,399,130	\$ 597,565	\$ 1,429,760
Jury Services	\$ 177,505	\$ 53,702	\$ 111,234				\$ 342,441	\$ 696,461	\$ 377,370	\$ 805,327
Security		\$ 355,693					\$ 355,693	\$ 815,450	\$ 335,421	\$ 886,175
Trial Court Operations Program	\$ 8,333,316	\$ 1,404,922	\$ 111,234		\$ 0		\$ 9,849,472	\$ 21,423,083	\$ 9,745,573	\$ 22,400,310
Enhanced Collections	\$ 182,233	\$ 192,158					\$ 374,392	\$ 1,043,613	\$ 354,214	\$ 998,026
Other Non-Court Operations	\$ 429,832	\$ 6,721					\$ 436,553	\$ 994,129	\$ 408,926	\$ 929,907
Non-Court Operations Program	\$ 612,066	\$ 198,879					\$ 810,944	\$ 2,037,742	\$ 763,140	\$ 1,927,933
Executive Office	\$ 124,733	\$ 29,642					\$ 154,375	\$ 337,947	\$ 335,831	\$ 769,896
Fiscal Services	\$ 523,536	\$ 18,247					\$ 541,782	\$ 1,053,407	\$ 630,752	\$ 1,426,414
Human Resources	\$ 276,866	\$ 59,422					\$ 336,288	\$ 704,697	\$ 319,983	\$ 698,643
Business & Facilities Services		\$ 9,865					\$ 9,865	\$ 45,175	\$ 12,990	\$ 121,658
Information Technology	\$ 706,395	\$ 1,095,394					\$ 1,801,789	\$ 3,862,337	\$ 960,907	\$ 2,562,388
Court Administration Program	\$ 1,631,531	\$ 1,212,569					\$ 2,844,100	\$ 6,003,563	\$ 2,260,463	\$ 5,578,999
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 10,576,912	\$ 2,816,370	\$ 111,234		\$ 0		\$ 13,504,516	\$ 29,464,388	\$ 12,769,176	\$ 29,907,242

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara Superior Court

Court

2014, 2

Fiscal Year and Ending Quarter

FOOTNOTES

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