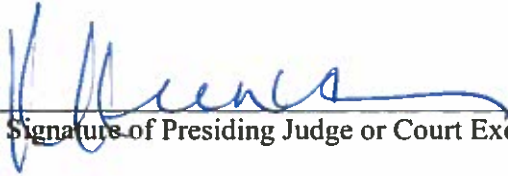


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/16/2015

Date

Humboldt

Court

FY2014-15 2nd Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY2014-15 2nd Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Humboldt

 Court
 FY2014-15 2nd Qtr

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	94.25	87.25	87.25		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2014/15								2013/14	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info Purposes Only)	Total Funds (Info Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
ASSETS									
Operations	\$ (57,443)	\$ 137,393	\$ (66,500)				\$ 36,628	\$ 50,079	\$ 1,036,984
Payroll									
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 9,988
Other									
Distribution	\$ 0						\$ 8,433	\$ 8,433	\$ 18,637
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (5,795)	\$ (5,795)	\$ (8,417)
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,000
Cash with County									
Cash Outside of the AOC							\$ 2,873	\$ 2,873	\$ 3,257
Total Cash	\$ (44,443)	\$ 137,393	\$ (66,500)				\$ 42,138	\$ 68,589	\$ 1,065,447
Short Term Investment									
Investment in Financial Institution	\$ 1,154,835						\$ 1,124,788	\$ 2,279,424	\$ 2,583,848
Total Investments	\$ 1,154,835						\$ 1,124,788	\$ 2,279,424	\$ 2,583,848
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 160							\$ 160	\$ 543
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ (126)	\$ 1,043	\$ 62,108				\$ 0	\$ 63,023	\$ 62,697
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Trust Due To/From							\$ 3,292	\$ 3,292	\$ 3,173
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From								\$ 0	\$ 0
General Due To/From	\$ 7							\$ 7	\$ 25
Total Receivables	\$ 42	\$ 1,043	\$ 62,108				\$ 3,292	\$ 66,482	\$ 68,437
Prepaid Expenses - General	\$ 67,508							\$ 67,508	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 67,508							\$ 67,508	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,177,742	\$ 138,436	\$ (4,393)				\$ 1,170,219	\$ 2,482,003	\$ 3,705,733
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 938	\$ 0	\$ 0				\$ 0	\$ 938	\$ 48,572
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 3,299	\$ 3,299	\$ 3,198
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 147,899	\$ 147,899	\$ 182,968
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 91,205	\$ 91,205	\$ 81,307
Due to Other Public Agencies	\$ 23,686		\$ 0				\$ 2,374	\$ 26,060	\$ 34,018
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 0
Interest							\$ (49)	\$ (49)	\$ 109
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab	\$ 24,624	\$ 0	\$ 0				\$ 244,728	\$ 289,351	\$ 350,168
Civil							\$ 618,120	\$ 618,120	\$ 895,984
Criminal							\$ 279,341	\$ 279,341	\$ 269,520
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 7,199	\$ 7,199	\$ 7,288
Miscellaneous Trust									
Total Trust Deposits							\$ 902,660	\$ 902,660	\$ 1,172,772
Accrued Payroll									
Benefits Payable	\$ 37,250							\$ 37,250	\$ 20,948
Deferred Compensation Payable	\$ 2,170							\$ 2,170	\$ 0
Deductions Payable	\$ 89,884							\$ 89,884	\$ 1,181
Payroll Clearing	\$ 130,013							\$ 130,013	\$ 0
Total Payroll Liabilities	\$ 259,317							\$ 259,317	\$ 22,127
Revenue Collected in Advance	\$ 992,825							\$ 992,825	\$ 820,030
Liabilities For Deposits	\$ 26,330						\$ 10,523	\$ 36,853	\$ 35,487
Jury Fees - Non-Interest							\$ 1,350	\$ 1,350	\$ 2,100
Fees - Partial Payment & Overpayment							\$ 10,958	\$ 10,958	\$ 1,486
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 1,018,956						\$ 22,830	\$ 1,041,786	\$ 859,103
Total Liabilities	\$ 1,302,897	\$ 0	\$ 0				\$ 1,170,219	\$ 2,473,116	\$ 2,404,169
Total Fund Balance	\$ (125,154)	\$ 138,436	\$ (4,393)				\$ 0	\$ 8,888	\$ 1,301,564
Total Liabilities and Fund Balance	\$ 1,177,742	\$ 138,436	\$ (4,393)				\$ 1,170,219	\$ 2,482,003	\$ 3,705,733

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2014/15											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,907,008	\$ 18,052					\$ 2,925,060	\$ 6,313,372	\$ 2,891,597	\$ 5,886,040	
Improvement and Modernization Fund								\$ 17,826		\$ 17,826	
Judges' Compensation (45.25)	\$ 26,250						\$ 26,250	\$ 52,500	\$ 26,250	\$ 52,500	
Court Interpreter (45.45)	\$ 18,339						\$ 18,339	\$ 70,000	\$ 29,304	\$ 67,243	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 338,827						\$ 338,827	\$ 730,007	\$ 371,899	\$ 738,387	
Other Miscellaneous	\$ 73,084						\$ 73,084	\$ 210,327	\$ 73,084	\$ 73,084	
	\$ 3,363,308	\$ 16,052					\$ 3,379,360	\$ 7,394,037	\$ 3,392,133	\$ 6,815,089	
Grants											
AB 1058 Commissioner/Facilitator			\$ 99,758				\$ 99,758	\$ 219,813	\$ 88,230	\$ 213,507	
Other AOC Grants								\$ 18,513	\$ 2,459	\$ 18,651	
Non-AOC Grants											
			\$ 99,758				\$ 99,758	\$ 238,326	\$ 90,689	\$ 230,158	
Other Financing Sources											
Interest Income	\$ 1,183	\$ 78					\$ 1,261	\$ 5,800	\$ 1,921	\$ 6,556	
Investment Income											
Donations	\$ 2,532						\$ 2,532	\$ 2,270		\$ 50	
Local Fees	\$ 43,610						\$ 43,610	\$ 115,910	\$ 45,372	\$ 134,371	
Non-Fee Revenues	\$ 998						\$ 998	\$ 57,540	\$ 542	\$ 53,238	
Enhanced Collections		\$ 5,003					\$ 5,003	\$ 11,140	\$ 2,638	\$ 11,982	
Escheatment											
Prior Year Revenue	\$ 2,855	\$ (4)					\$ 2,851		\$ (6,200)		
County Program - Restricted		\$ 2,032					\$ 2,032	\$ 3,370	\$ 1,498	\$ 3,412	
Reimbursement Other	\$ 2,669						\$ 2,669	\$ 5,362	\$ (150)	\$ 10,608	
Sale of Fixed Assets											
Other Miscellaneous	\$ 604						\$ 604	\$ 790	\$ 128	\$ 4,390	
	\$ 54,450	\$ 7,109					\$ 61,559	\$ 212,162	\$ 45,747	\$ 224,605	
Total Revenues	\$ 3,417,758	\$ 23,161	\$ 99,758				\$ 3,540,677	\$ 7,802,340	\$ 3,528,570	\$ 7,269,843	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,920,674	\$ 5,198	\$ 53,398				\$ 1,979,270	\$ 3,733,338	\$ 1,790,003	\$ 4,313,549	
Temp Help											
Overtime	\$ 2,939		\$ 12				\$ 2,951	\$ 10,000	\$ 2,822	\$ 10,000	
Staff Benefits	\$ 972,673	\$ 2,545	\$ 24,297				\$ 999,515	\$ 2,280,027	\$ 899,498	\$ 2,224,777	
	\$ 2,898,287	\$ 7,742	\$ 77,708				\$ 2,985,737	\$ 6,023,365	\$ 2,692,313	\$ 6,248,326	
Operating Expenses and Equipment											
General Expense	\$ 231,416		\$ 270				\$ 231,686	\$ 399,648	\$ 75,461	\$ 281,823	
Printing	\$ 18,511						\$ 18,511	\$ 58,398	\$ 18,842	\$ 37,254	
Telecommunications	\$ 15,081		\$ 36				\$ 15,097	\$ 68,600	\$ 18,733	\$ 24,332	
Postage	\$ 66,145	\$ 1,500					\$ 67,645	\$ 63,200	\$ 40,613	\$ 65,212	
Insurance											
In-State Travel	\$ 4,196		\$ 2,560				\$ 6,756	\$ 19,025	\$ 5,473	\$ 22,201	
Out-of-State Travel											
Training			\$ 745				\$ 745	\$ 1,750	\$ 840	\$ 2,287	
Security Services	\$ 52,937		\$ 3,454				\$ 56,390	\$ 131,582	\$ 43,204	\$ 123,999	
Facility Operations	\$ 13,666		\$ 1,058				\$ 14,724	\$ 36,750	\$ 20,039	\$ 38,564	
Utilities											
Contracted Services	\$ 474,946	\$ 1,160	\$ 3,658				\$ 479,764	\$ 1,231,027	\$ 507,299	\$ 1,297,388	
Consulting and Professional Services	\$ 6,895						\$ 6,895	\$ 19,795	\$ 8,126	\$ 19,085	
Information Technology	\$ 41,813	\$ 188					\$ 41,801	\$ 219,529	\$ 42,276	\$ 232,922	
Major Equipment	\$ 132,814						\$ 132,814	\$ 132,983		\$ 19,108	
Other Items of Expense	\$ 115						\$ 115		\$ 68		
	\$ 1,058,114	\$ 2,847	\$ 11,781				\$ 1,072,747	\$ 2,369,261	\$ 777,073	\$ 2,163,943	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 52,972						\$ 52,972	\$ 84,837	\$ 56,777	\$ 90,227	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (15,101)	\$ 439	\$ 14,663				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (3,587)						\$ (3,587)	\$ (13,089)	\$ (2,719)		
	\$ 34,283	\$ 439	\$ 14,663				\$ 49,389	\$ 71,648	\$ 53,057	\$ 80,227	
Total Expenditures	\$ 3,988,684	\$ 11,028	\$ 104,151				\$ 4,103,864	\$ 8,475,200	\$ 3,522,443	\$ 8,492,496	
Excess (Deficit) of Revenues Over Expenditures	\$ (570,927)	\$ 12,132	\$ (4,393)				\$ (663,188)	\$ (642,860)	\$ 8,127	\$ (1,222,653)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 445,772	\$ 126,303	\$ 0			\$ 0	\$ 572,075	\$ 572,075	\$ 1,295,437	\$ 1,295,437	
Ending Balance (Deficit)	\$ (125,154)	\$ 138,436	\$ (4,393)			\$ 0	\$ 8,887	\$ (70,784)	\$ 1,301,564	\$ 72,784	

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended December									
	Fiscal Year 2014/15							2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,191,550	\$ 157,228			\$ 0	\$ 4,530	\$ 1,353,307	\$ 2,991,706	\$ 1,180,545	\$ 3,001,830
Traffic & Other Infractions	\$ 265,868	\$ 36,654			\$ 0	\$ 97	\$ 302,619	\$ 553,663	\$ 250,553	\$ 522,038
Other Criminal Cases	\$ 261,468	\$ 12,796			\$ 0	\$ 65	\$ 274,330	\$ 528,537	\$ 231,774	\$ 524,286
Civil	\$ 248,557	\$ 8,120			\$ 0	\$ 62	\$ 256,738	\$ 427,720	\$ 256,939	\$ 450,680
Family & Children Services	\$ 319,444	\$ 43,649			\$ 0	\$ (1,290)	\$ 361,803	\$ 434,687	\$ 314,424	\$ 442,601
Probate, Guardianship & Mental Health Services		\$ 48,799					\$ 48,799	\$ 221,209	\$ 46,260	\$ 248,358
Juvenile Dependency Services	\$ 24,143	\$ 298,192			\$ 0	\$ 6	\$ 322,341	\$ 683,205	\$ 335,080	\$ 683,698
Juvenile Delinquency Services	\$ 24,142	\$ 269			\$ 0	\$ 6	\$ 24,416	\$ 62,387	\$ 24,983	\$ 60,477
Other Court Operations		\$ 115					\$ 115	\$ 24,235	\$ 66	\$ 26,125
Court Interpreters		\$ 33,849					\$ 33,849	\$ 76,546	\$ 48,890	\$ 83,131
Jury Services	\$ 43,100	\$ 99,106	\$ 52,972		\$ 0	\$ 11	\$ 195,188	\$ 270,043	\$ 157,716	\$ 325,213
Security		\$ 57,549					\$ 57,549	\$ 137,821	\$ 43,619	\$ 130,714
Trial Court Operations Program	\$ 2,378,271	\$ 796,325	\$ 52,972		\$ 0	\$ 3,486	\$ 3,231,054	\$ 6,411,759	\$ 2,890,847	\$ 6,499,150
Enhanced Collections								\$ 11,003		
Other Non-Court Operations										
Non-Court Operations Program								\$ 11,003		
Executive Office	\$ 124,945	\$ 2,897			\$ 0	\$ 35	\$ 127,877	\$ 375,765	\$ 117,679	\$ 371,754
Fiscal Services	\$ 139,193	\$ 23,093			\$ 0	\$ 50	\$ 162,335	\$ 306,754	\$ 165,280	\$ 332,195
Human Resources	\$ 70,729	\$ 52,320			\$ 0	\$ 176	\$ 123,225	\$ 174,253	\$ 85,459	\$ 181,302
Business & Facilities Services	\$ 92,797	\$ 6,602			\$ 0	\$ (3,712)	\$ 95,686	\$ 406,324	\$ 86,315	\$ 409,623
Information Technology	\$ 175,802	\$ 191,506			\$ 0	\$ (3,622)	\$ 363,686	\$ 789,343	\$ 176,862	\$ 698,472
Court Administration Program	\$ 603,466	\$ 276,418			\$ 0	\$ (7,074)	\$ 872,810	\$ 2,052,438	\$ 631,595	\$ 1,993,346
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,981,737	\$ 1,072,742	\$ 52,972		\$ 0	\$ (3,587)	\$ 4,103,864	\$ 8,475,200	\$ 3,522,443	\$ 8,492,496