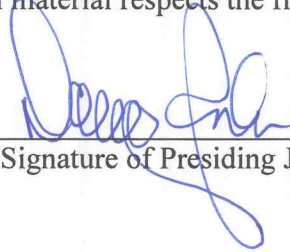


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/15/14

Date

Santa Barbara Superior Court

Court

2014, 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara Superior Court

Court

2014, 1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Santa Barbara Superior Court

Court

2014, 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	240.03	241.98			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2014/15									
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,118,955)	\$ 2,258,441	\$ (194,298)				\$ 2,210,399	\$ 2,155,587	\$ 1,326,900
Payroll	\$ 2,748	\$ 0	\$ 0					\$ 2,748	\$ (602)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 185,698	\$ 185,698	\$ 169,471
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (44,483)	\$ (44,483)	\$ (39,084)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (2,104,077)	\$ 2,258,441	\$ (194,298)				\$ 2,351,614	\$ 2,311,680	\$ 1,468,814
Short Term Investment	\$ 9,105,879						\$ 3,572,674	\$ 12,678,553	\$ 11,562,695
Investment in Financial Institution									
Total Investments	\$ 9,105,879						\$ 3,572,674	\$ 12,678,553	\$ 11,562,695
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 328,773	\$ 0					\$ 328,773	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 25,645					\$ 25,645	\$ 339,809
Trust Due To/From							\$ 297,564	\$ 297,564	\$ 322,978
Distribution Due To/From							\$ 45,909	\$ 45,909	\$ 93,758
Civil Filing Fee Due To/From									
General Due To/From	\$ 714	\$ 62						\$ 775	\$ 514
Total Receivables	\$ 714	\$ 328,835	\$ 25,645				\$ 343,473	\$ 698,666	\$ 757,060
Prepaid Expenses - General	\$ 9,952	\$ 139						\$ 10,091	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 9,952	\$ 139						\$ 10,091	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 7,012,468	\$ 2,587,415	\$ (168,653)				\$ 6,267,761	\$ 15,698,991	\$ 13,788,569
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 3,713	\$ 0	\$ 0				\$ 15,059	\$ 18,772	\$ 24,337
Due to Other Funds	\$ 106	\$ 6,757	\$ 0				\$ 337,240	\$ 344,104	\$ 417,250
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 473,971	\$ 473,971	\$ 523,240
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,227,387	\$ 3,227,387	\$ 3,054,043
Due to Other Public Agencies									
Sales and Use Tax	\$ 23							\$ 23	\$ 12
Interest							\$ 417	\$ 417	\$ 211
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 474							\$ 474	\$ 718
Total Accounts Payable and Accrued Liab.	\$ 4,317	\$ 6,757	\$ 0				\$ 4,054,074	\$ 4,065,149	\$ 4,019,811
Civil							\$ 1,444,634	\$ 1,444,634	\$ 671,904
Criminal							\$ 728,913	\$ 728,913	\$ 624,039
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 21,018	\$ 21,018	\$ 20,232
Miscellaneous Trust									
Total Trust Deposits							\$ 2,198,219	\$ 2,198,219	\$ 1,319,829
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (158,657)	\$ 0	\$ 0					\$ (158,657)	\$ (169,888)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ (2,106)
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ (158,657)	\$ 0	\$ 0					\$ (158,657)	\$ (171,994)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 30,112						\$ 11,060	\$ 41,172	\$ 36,594
Jury Fees - Non-Interest							\$ 2,873	\$ 2,873	\$ 6,262
Fees - Partial Payment & Overpayment							\$ 1,535	\$ 1,535	\$ 1,863
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 30,112						\$ 15,468	\$ 45,580	\$ 44,719
Total Liabilities	\$ (124,228)	\$ 6,757	\$ 0				\$ 6,267,761	\$ 6,150,290	\$ 5,212,365
Total Fund Balance	\$ 7,136,695	\$ 2,580,658	\$ (168,653)					\$ 9,548,701	\$ 8,576,203
Total Liabilities and Fund Balance	\$ 7,012,468	\$ 2,587,415	\$ (168,653)				\$ 6,267,761	\$ 15,698,991	\$ 13,788,569

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2014/15											
2013/14											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 9,859,940	\$ 34,341					\$ 9,894,281	\$ 20,359,820	\$ 8,026,460	\$ 20,165,948	
Improvement and Modernization Fund	\$ 17,436						\$ 17,436	\$ 56,712			
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (45.45)	\$ 285,864						\$ 285,864	\$ 1,516,515	\$ 169,126	\$ 1,300,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 29,775						\$ 29,775	\$ 501,115	\$ 45,856	\$ 617,240	
Other Miscellaneous								\$ 1,597,662		\$ 1,597,662	
	\$ 10,242,890	\$ 34,341					\$ 10,277,231	\$ 24,231,324	\$ 8,291,317	\$ 23,880,350	
Grants											
AB 1058 Commissioner/Facilitator								\$ 814,937	\$ 144,295	\$ 814,937	
Other AOC Grants			\$ 0				\$ 0	\$ 44,000		\$ 45,000	
Non-AOC Grants											
			\$ 0				\$ 0	\$ 858,937	\$ 144,295	\$ 859,937	
Other Financing Sources											
Interest Income	\$ 260	\$ 4					\$ 264	\$ 16,000	\$ (1,795)	\$ 17,000	
Investment Income											
Donations											
Local Fees	\$ 71,877						\$ 71,877	\$ 256,000	\$ 101,747	\$ 215,000	
Non-Fee Revenues								\$ 125,000	\$ 1	\$ 155,000	
Enhanced Collections		\$ 73,835					\$ 73,835	\$ 1,058,563	\$ 86,293	\$ 998,026	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 129,351					\$ 129,351	\$ 1,115,000	\$ 138,374	\$ 1,096,197	
Reimbursement Other	\$ 26,134						\$ 26,134	\$ 116,500	\$ 14,783	\$ 48,500	
Sale of Fixed Assets											
Other Miscellaneous	\$ 286						\$ 286	\$ 2,000	\$ 18,117	\$ 8,000	
	\$ 98,556	\$ 203,190					\$ 301,746	\$ 2,689,063	\$ 357,520	\$ 2,537,723	
Total Revenues	\$ 10,341,446	\$ 237,531	\$ 0				\$ 10,578,977	\$ 27,779,324	\$ 8,793,132	\$ 27,278,010	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,378,379	\$ 175,307	\$ 86,353				\$ 2,640,039	\$ 14,273,059	\$ 3,178,583	\$ 15,411,221	
Temp Help	\$ 116,147	\$ 9,633					\$ 125,780	\$ 761,034	\$ 189,165	\$ 1,050,000	
Overtime	\$ 7,442	\$ 188	\$ 79				\$ 7,709		\$ 1,441		
Staff Benefits	\$ 1,629,219	\$ 118,625	\$ 51,051				\$ 1,798,894	\$ 7,915,592	\$ 1,702,527	\$ 8,138,916	
	\$ 4,131,188	\$ 303,752	\$ 137,482				\$ 4,572,422	\$ 22,949,688	\$ 5,071,716	\$ 24,600,137	
Operating Expenses and Equipment											
General Expense	\$ 93,667	\$ 25,508	\$ 0				\$ 119,176	\$ 648,885	\$ 132,530	\$ 830,270	
Printing	\$ 13,079		\$ 44				\$ 13,123	\$ 97,500	\$ 13,783	\$ 90,000	
Telecommunications	\$ 34,452	\$ 295					\$ 34,748	\$ 187,115	\$ 34,540	\$ 225,000	
Postage	\$ 26,295	\$ 5,027	\$ 96				\$ 31,418	\$ 153,155	\$ 38,564	\$ 179,900	
Insurance	\$ 5,220		\$ 373				\$ 5,593	\$ 21,475		\$ 18,058	
In-State Travel	\$ 4,836						\$ 4,836	\$ 35,870	\$ 2,946	\$ 34,350	
Out-of-State Travel	\$ 1,715						\$ 1,715	\$ 2,000	\$ 164		
Training	\$ 4,191		\$ 1,338				\$ 5,529	\$ 15,000	\$ 870	\$ 15,000	
Security Services	\$ 105,878		\$ 17,874				\$ 123,752	\$ 805,900	\$ 131,195	\$ 845,500	
Facility Operations	\$ 81,331	\$ 6,100					\$ 87,431	\$ 357,470	\$ 82,414	\$ 413,150	
Utilities		\$ 107					\$ 107	\$ 2,800	\$ (455)	\$ 4,000	
Contracted Services	\$ 232,308	\$ 32,073	\$ 10,100				\$ 274,481	\$ 1,592,993	\$ 269,115	\$ 1,542,434	
Consulting and Professional Services	\$ 18,070	\$ 871	\$ 103				\$ 19,044	\$ 105,515	\$ 8,167	\$ 108,340	
Information Technology	\$ 289,647	\$ 2,861	\$ 448				\$ 292,956	\$ 2,251,025	\$ 110,606	\$ 761,603	
Major Equipment	\$ 33,284						\$ 33,284			\$ 2,000	
Other Items of Expense	\$ 3,193		\$ 795				\$ 3,988	\$ 30,000	\$ 8,227	\$ 37,000	
	\$ 947,167	\$ 72,842	\$ 31,171				\$ 1,051,180	\$ 6,306,703	\$ 832,666	\$ 5,106,605	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 57,361						\$ 57,361	\$ 208,000	\$ 51,200	\$ 200,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery										\$ (15)	
Prior Year Expense Adjustment											
	\$ 57,361						\$ 57,361	\$ 208,000	\$ 51,185	\$ 200,500	
Total Expenditures	\$ 5,135,716	\$ 376,594	\$ 168,653				\$ 5,680,963	\$ 29,464,388	\$ 5,955,567	\$ 29,907,242	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,205,730	\$ (139,063)	\$ (168,653)				\$ 4,898,014	\$ (1,685,064)	\$ 2,837,565	\$ (2,629,232)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,930,965	\$ 2,719,721	\$ 0				\$ 4,650,687	\$ 4,650,687	\$ 5,738,638	\$ 5,738,638	
Ending Balance (Deficit)	\$ 7,136,695	\$ 2,580,658	\$ (168,653)				\$ 9,548,701	\$ 2,965,623	\$ 8,576,203	\$ 3,109,406	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2014/15										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,506,512	\$ 145,505					\$ 1,652,017	\$ 8,332,007	\$ 1,693,729	\$ 8,406,947
Traffic & Other Infractions	\$ 179,153	\$ 10,259					\$ 189,412	\$ 886,080	\$ 213,015	\$ 1,101,825
Other Criminal Cases	\$ 418,382	\$ 29,882					\$ 448,264	\$ 2,346,434	\$ 495,668	\$ 2,576,432
Civil	\$ 303,834	\$ 42,305					\$ 346,139	\$ 1,727,197	\$ 374,477	\$ 1,790,966
Family & Children Services	\$ 236,189	\$ 8,485					\$ 244,674	\$ 1,075,285	\$ 247,498	\$ 1,253,462
Probate, Guardianship & Mental Health Services	\$ 77,260	\$ 9,375					\$ 86,635	\$ 466,692	\$ 125,118	\$ 572,397
Juvenile Dependency Services	\$ 7,622						\$ 7,622	\$ 24,075	\$ 7,267	\$ 203,245
Juvenile Delinquency Services	\$ 44,162	\$ 8,986					\$ 53,148	\$ 291,778	\$ 51,790	\$ 132,565
Other Court Operations	\$ 509,851	\$ 115,405					\$ 625,256	\$ 3,362,494	\$ 730,718	\$ 3,241,209
Court Interpreters	\$ 191,346	\$ 75,684					\$ 267,030	\$ 1,399,130	\$ 281,691	\$ 1,429,760
Jury Services	\$ 75,170	\$ 29,402	\$ 57,361				\$ 161,934	\$ 696,461	\$ 172,683	\$ 805,327
Security		\$ 119,273					\$ 119,273	\$ 815,450	\$ 131,579	\$ 886,175
Trial Court Operations Program	\$ 3,549,481	\$ 594,561	\$ 57,361				\$ 4,201,403	\$ 21,423,083	\$ 4,525,234	\$ 22,400,310
Enhanced Collections	\$ 73,712	\$ 61,210					\$ 134,922	\$ 1,043,613	\$ 109,812	\$ 998,026
Other Non-Court Operations	\$ 181,250	\$ 1,876					\$ 183,126	\$ 994,129	\$ 193,449	\$ 929,907
Non-Court Operations Program	\$ 254,962	\$ 63,086					\$ 318,048	\$ 2,037,742	\$ 303,261	\$ 1,927,933
Executive Office	\$ 55,008	\$ 13,208					\$ 68,216	\$ 337,947	\$ 212,440	\$ 769,896
Fiscal Services	\$ 224,358	\$ 11,815					\$ 236,173	\$ 1,053,407	\$ 291,658	\$ 1,426,414
Human Resources	\$ 181,846	\$ 16,260					\$ 198,106	\$ 704,697	\$ 161,804	\$ 698,643
Business & Facilities Services		\$ 381					\$ 381	\$ 45,175	\$ 4,071	\$ 121,658
Information Technology	\$ 306,767	\$ 351,869					\$ 658,636	\$ 3,862,337	\$ 457,098	\$ 2,562,388
Court Administration Program	\$ 767,979	\$ 393,533					\$ 1,161,512	\$ 6,003,563	\$ 1,127,071	\$ 5,578,999
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,572,422	\$ 1,051,180	\$ 57,361				\$ 5,680,963	\$ 29,464,388	\$ 5,955,567	\$ 29,907,242