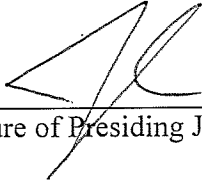


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/26/2014

Date

San Mateo

Court

2014-2015 Quarter 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September								2013/14
	Fiscal Year 2014/15								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (3,886,313)	\$ 2,104,137	\$ (104,026)			\$ 0	\$ 14,821,019	\$ 12,934,816	\$ 6,166,668
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 204,119	\$ 204,119	\$ 348,112
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (212,592)	\$ (212,592)	\$ (135,948)
Credit Card									
Cash on Hand	\$ 5,170							\$ 5,170	\$ 5,170
Cash with County	\$ 1,380,000						\$ 0	\$ 1,380,000	\$ 2,680,000
Cash Outside of the AOC									
Total Cash	\$ (2,501,143)	\$ 2,104,137	\$ (104,026)			\$ 0	\$ 14,812,546	\$ 14,311,513	\$ 9,064,002
Short Term Investment	\$ 13,772,392						\$ 4,025,503	\$ 17,797,895	\$ 14,666,901
Investment in Financial Institution									\$ 680,614
Total Investments	\$ 13,772,392						\$ 4,025,503	\$ 17,797,895	\$ 15,347,515
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	\$ 844
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 75,428	\$ 0				\$ 0	\$ 0	\$ 75,428	\$ 34,345
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 42,093	\$ 0	\$ 102,743					\$ 144,836	\$ 258,373
Trust Due To/From							\$ 255,086	\$ 255,086	\$ 544,064
Distribution Due To/From							\$ 300	\$ 300	\$ 1,066
Civil Filing Fee Due To/From									
General Due To/From	\$ 4,065	\$ 0						\$ 4,065	\$ 2,683
Total Receivables	\$ 121,587	\$ 0	\$ 102,743			\$ 0	\$ 255,386	\$ 479,715	\$ 841,375
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 11,392,837	\$ 2,104,137	\$ (1,283)			\$ 0	\$ 19,093,434	\$ 32,589,124	\$ 25,252,893
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 23,219	\$ 0	\$ 0			\$ 0	\$ 980	\$ 24,199	\$ 18,101
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 259,451	\$ 259,451	\$ 547,813
Due to Other Courts									
Due to State									
TC145 Liability							\$ 906,921	\$ 906,921	\$ 951,912
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,326,853	\$ 3,326,853	\$ 3,097,148
Due to Other Public Agencies									
Sales and Use Tax	\$ 439							\$ 439	\$ 0
Interest							\$ 1,498	\$ 1,498	\$ 896
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 23,658	\$ 0	\$ 0			\$ 0	\$ 4,495,703	\$ 4,519,361	\$ 4,615,869
Civil							\$ 10,985,587	\$ 10,985,587	\$ 3,646,035
Criminal							\$ 1,524,070	\$ 1,524,070	\$ 2,307,692
Unreconciled - Civil and Criminal							\$ 1,518,612	\$ 1,518,612	\$ 1,518,502
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 111,301	\$ 111,301	\$ 100,964
Miscellaneous Trust									
Total Trust Deposits							\$ 14,139,569	\$ 14,139,569	\$ 7,573,193
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable									\$ 680,614
Deferred Compensation Payable									
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 0							\$ 0	\$ 680,614
Revenue Collected in Advance						\$ 0		\$ 0	\$ 0
Liabilities For Deposits	\$ 55,331						\$ 23,714	\$ 79,045	\$ 50,543
Jury Fees - Non-Interest							\$ 425,178	\$ 425,178	\$ 475,102
Fees - Partial Payment & Overpayment							\$ 9,270	\$ 9,270	\$ 10,800
Uncleared Collections	\$ 22						\$ 0	\$ 22	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 55,353					\$ 0	\$ 458,162	\$ 513,515	\$ 536,445
Total Liabilities	\$ 79,011	\$ 0	\$ 0			\$ 0	\$ 19,093,434	\$ 19,172,445	\$ 13,406,122
Total Fund Balance	\$ 11,313,826	\$ 2,104,137	\$ (1,283)			\$ 0		\$ 13,416,679	\$ 11,846,770
Total Liabilities and Fund Balance	\$ 11,392,837	\$ 2,104,137	\$ (1,283)			\$ 0	\$ 19,093,434	\$ 32,589,124	\$ 25,252,893

**Superior Court of California, County of San Mateo
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

	For the month ended September									
	Fiscal Year 2014/15							2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,527,641	\$ 164,826					\$ 2,692,467	\$ 12,543,349	\$ 2,860,709	\$ 11,753,916
Traffic & Other Infractions	\$ 275,238	\$ 90,611					\$ 365,849	\$ 2,141,066	\$ 394,724	\$ 1,971,293
Other Criminal Cases	\$ 522,580	\$ 33,884					\$ 556,464	\$ 3,276,583	\$ 568,831	\$ 3,628,552
Civil	\$ 712,783	\$ 68,804					\$ 781,587	\$ 4,963,721	\$ 686,977	\$ 3,166,714
Family & Children Services	\$ 421,723	\$ 42,319					\$ 464,042	\$ 2,838,996	\$ 538,994	\$ 2,835,653
Probate, Guardianship & Mental Health Services	\$ 271,710	\$ 4,404					\$ 276,114	\$ 1,554,240	\$ 267,380	\$ 1,448,329
Juvenile Dependency Services	\$ 0	\$ 51,056					\$ 51,056	\$ 454,240	\$ 47,995	\$ 673,030
Juvenile Delinquency Services	\$ 66,614	\$ 3,588					\$ 70,202	\$ 348,369	\$ 74,728	\$ 349,401
Other Court Operations	\$ 108,235	\$ 138					\$ 108,373	\$ 232,597	\$ 100,000	\$ 404,603
Court Interpreters	\$ 269,039	\$ 41,767					\$ 310,806	\$ 1,884,407	\$ 336,916	\$ 1,832,226
Jury Services	\$ 86,804	\$ 30,869	\$ 36,447				\$ 154,120	\$ 958,011	\$ 182,223	\$ 966,529
Security		\$ 443,042					\$ 443,042		\$ 1,032	\$ 443,042
Trial Court Operations Program	\$ 5,262,368	\$ 975,309	\$ 36,447				\$ 6,274,124	\$ 31,195,579	\$ 6,060,510	\$ 29,473,288
Enhanced Collections		\$ 1,861					\$ 1,861		\$ 252	
Other Non-Court Operations			\$ 826				\$ 826		\$ 760	
Non-Court Operations Program		\$ 1,861	\$ 826				\$ 2,688		\$ 1,012	
Executive Office	\$ 339,633	\$ 77,385					\$ 417,019	\$ 1,939,066	\$ 435,192	\$ 3,440,839
Fiscal Services	\$ 305,864	\$ 3,386					\$ 309,251	\$ 1,554,005	\$ 292,371	\$ 1,509,031
Human Resources	\$ 31,288	\$ 35,681					\$ 66,969	\$ 408,331	\$ 89,498	\$ 332,581
Business & Facilities Services	\$ 101,353	\$ 104,226					\$ 205,579	\$ 1,496,591	\$ 207,308	\$ 1,201,588
Information Technology	\$ 311,711	\$ 378,201					\$ 689,912	\$ 5,279,630	\$ 573,686	\$ 5,118,649
Court Administration Program	\$ 1,089,850	\$ 598,879					\$ 1,688,729	\$ 10,677,623	\$ 1,598,055	\$ 11,602,688
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 6,352,218	\$ 1,576,049	\$ 37,273				\$ 7,965,540	\$ 41,873,202	\$ 7,659,577	\$ 41,075,976

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September													
Fiscal Year 2014/15													
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2013/14			
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant										
REVENUES													
State Financing Sources													
Trial Court Trust Fund	\$ 15,910,020	\$ 67,232						\$ 15,977,252	\$ 32,179,354	\$ 13,148,638	\$ 30,590,445		
Improvement and Modernization Fund	\$ 0							\$ 0	\$ 97,400	\$ 0	\$ 107,978		
Judges' Compensation (45.25)	\$ 61,749							\$ 61,749	\$ 247,000	\$ 64,125	\$ 256,500		
Court Interpreter (45.45)	\$ 363,597							\$ 363,597	\$ 1,774,652	\$ 330,706	\$ 1,528,000		
Civil Coordination Reimbursement (45.55)													
MOU Reimbursements (45.10 and General)	\$ 29,549							\$ 29,549	\$ 753,383	\$ 42,969	\$ 691,383		
Other Miscellaneous									\$ 2,411,112		\$ 2,411,112		
	\$ 16,364,915	\$ 67,232						\$ 16,432,147	\$ 37,462,801	\$ 13,586,438	\$ 35,585,418		
Grants													
AB 1058 Commissioner/Facilitator									\$ 693,883	\$ (8,490)	\$ 693,883		
Other AOC Grants									\$ 24,000		\$ 45,513		
Non-AOC Grants													
									\$ 717,883	\$ (8,490)	\$ 739,396		
Other Financing Sources													
Interest Income	\$ 4,078	\$ 34						\$ 4,111	\$ 42,700	\$ 2,810	\$ 50,000		
Investment Income													
Donations													
Local Fees	\$ 48,344	\$ 97,639						\$ 145,983	\$ 781,900	\$ 135,892	\$ 740,130		
Non-Fee Revenues									\$ 9,500		\$ 11,000		
Enhanced Collections													
Escheatment													
Prior Year Revenue										\$ (27,154)			
County Program - Restricted	\$ 5,100	\$ 33,516						\$ 38,616	\$ 185,400	\$ 40,762	\$ 177,300		
Reimbursement Other	\$ 4,935							\$ 4,935	\$ 45,000	\$ (7,964)	\$ 84,000		
Sale of Fixed Assets													
Other Miscellaneous	\$ 1,583							\$ 1,583	\$ 10,000	\$ 2,071	\$ 10,000		
	\$ 64,040	\$ 131,189						\$ 195,229	\$ 1,074,500	\$ 146,417	\$ 1,072,430		
Total Revenues	\$ 16,428,955	\$ 198,421						\$ 16,627,376	\$ 39,255,184	\$ 13,724,365	\$ 37,397,244		
EXPENDITURES													
Personal Services													
Salaries - Permanent	\$ 3,778,341							\$ 3,778,341	\$ 19,362,535	\$ 4,096,491	\$ 18,199,653		
Temp Help	\$ 37,040							\$ 37,040	\$ 42,327	\$ 5,407			
Overtime	\$ 1,580							\$ 1,580		\$ 3,992			
Staff Benefits	\$ 2,535,257							\$ 2,535,257	\$ 13,081,229	\$ 2,603,670	\$ 12,632,880		
	\$ 6,352,218							\$ 6,352,218	\$ 32,486,091	\$ 6,709,560	\$ 30,832,533		
Operating Expenses and Equipment													
General Expense	\$ 132,124	\$ 11,768	\$ 1,283					\$ 145,175	\$ 727,797	\$ 92,835	\$ 748,928		
Printing	\$ 12,254							\$ 12,254	\$ 132,160	\$ 7,958	\$ 172,775		
Telecommunications	\$ 52,034							\$ 52,034	\$ 232,190	\$ 41,210	\$ 318,620		
Postage	\$ 60,277							\$ 60,277	\$ 233,632	\$ 80,039	\$ 280,065		
Insurance									\$ 8,480		\$ 9,500		
In-State Travel	\$ 6,859							\$ 6,859	\$ 48,133	\$ 3,170	\$ 36,044		
Out-of-State Travel													
Training	\$ 1,125							\$ 1,125	\$ 23,155	\$ 2,008	\$ 15,225		
Security Services	\$ 443,869							\$ 443,869	\$ 448,542	\$ 1100	\$ 446,402		
Facility Operations	\$ 89,259							\$ 89,259	\$ 314,065	\$ 115,273	\$ 509,730		
Utilities	\$ 1,783							\$ 1,783	\$ 5,900	\$ 840	\$ 5,850		
Contracted Services	\$ 297,564	\$ 55,361						\$ 352,925	\$ 2,950,510	\$ 292,047	\$ 1,951,755		
Consulting and Professional Services	\$ 153,634	\$ 4,342						\$ 157,976	\$ 1,125,285	\$ 43,705	\$ 2,232,206		
Information Technology	\$ 163,887	\$ 3,000						\$ 166,887	\$ 2,585,512	\$ 222,626	\$ 1,698,120		
Major Equipment	\$ 84,757							\$ 84,757	\$ 235,000		\$ 132,000		
Other Items of Expense	\$ 870							\$ 870	\$ 4,750	\$ 1,478	\$ 17,223		
	\$ 1,500,295	\$ 74,471	\$ 1,283					\$ 1,576,049	\$ 9,075,111	\$ 903,289	\$ 8,574,443		
Special Items of Expense													
Grand Jury	\$ 826							\$ 826	\$ 2,000	\$ 760	\$ 2,000		
Jury Costs	\$ 36,447							\$ 36,447	\$ 310,000	\$ 45,968	\$ 287,000		
Judgements, Settlements and Claims													
Debt Service													
Other										\$ 0	\$ 1,380,000		
Capital Costs													
Internal Cost Recovery													
Prior Year Expense Adjustment											\$ 0		
	\$ 37,273							\$ 37,273	\$ 312,000	\$ 46,728	\$ 1,669,000		
Total Expenditures	\$ 7,889,786	\$ 74,471	\$ 1,283					\$ 7,965,540	\$ 41,873,202	\$ 7,659,577	\$ 41,075,976		
Excess (Deficit) of Revenues Over Expenditures	\$ 8,539,169	\$ 123,950	\$ (1,283)					\$ 8,661,836	\$ (2,618,018)	\$ 6,064,788	\$ (3,678,732)		
Operating Transfers In (Out)									\$ 0		\$ 0		
Fund Balance (Deficit)													
Beginning Balance (Deficit)	\$ 2,774,656	\$ 1,980,187	\$ 0					\$ 0	\$ 4,754,843	\$ 4,754,843	\$ 5,781,983		
Ending Balance (Deficit)	\$ 11,313,826	\$ 2,104,137	\$ (1,283)					\$ 0	\$ 13,416,679	\$ 2,136,825	\$ 11,846,770		