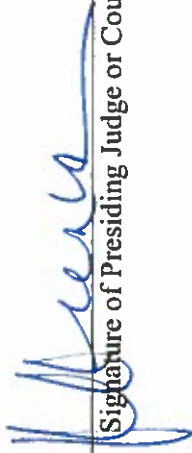


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

11/14/2014

\_\_\_\_\_  
Date

Humboldt

\_\_\_\_\_  
Court

FY2014-15 1st Qtr

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Humboldt  
Court

FY2014-15 1<sup>st</sup> Qtr  
Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Humboldt  
Court

FY2014-15 1<sup>st</sup> Qtr  
Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	94.25	87.25			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2014/15									2013/14
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (94,755)	\$ 127,306	\$ (50,189)				\$ 771,990	\$ 754,352	\$ 1,101,170
Payroll									
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 9,988
Other									
Distribution	\$ 0						\$ 2,315	\$ 2,315	\$ 23,394
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (18,325)	\$ (18,325)	\$ (13,688)
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,000
Cash with County									
Cash Outside of the AOC									
<b>Total Cash</b>	<b>\$ (81,755)</b>	<b>\$ 127,306</b>	<b>\$ (50,189)</b>				<b>\$ 758,845</b>	<b>\$ 754,307</b>	<b>\$ 1,127,087</b>
Short Term Investment	\$ 1,896,197						\$ 485,514	\$ 2,381,711	\$ 2,828,519
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 1,896,197</b>						<b>\$ 485,514</b>	<b>\$ 2,381,711</b>	<b>\$ 2,828,519</b>
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 74							\$ 74	\$ 561
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 1,036	\$ 1,144	\$ 43,400					\$ 45,580	\$ 86,482
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 1,258	\$ 1,258	\$ 153
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From								\$ 0	\$ 0
General Due To/From	\$ 0							\$ 0	\$ 527
<b>Total Receivables</b>	<b>\$ 1,110</b>	<b>\$ 1,144</b>	<b>\$ 43,400</b>				<b>\$ 1,258</b>	<b>\$ 48,913</b>	<b>\$ 87,703</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 1,815,553</b>	<b>\$ 128,450</b>	<b>\$ (6,789)</b>				<b>\$ 1,245,617</b>	<b>\$ 3,182,830</b>	<b>\$ 4,041,309</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 37,039	\$ 0	\$ 0				\$ 0	\$ 37,039	\$ 8,717
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,258	\$ 1,258	\$ 880
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 168,078	\$ 168,078	\$ 199,551
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 80,683	\$ 80,683	\$ 107,844
Due to Other Public Agencies	\$ 27,408		\$ 0				\$ 3,451	\$ 30,859	\$ 43,588
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 0
Interest							\$ 78	\$ 78	\$ 102
Miscellaneous Accts Pay and Accrued Liab									
<b>Total Accounts Payable and Accrued Liab</b>	<b>\$ 64,447</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 253,548</b>	<b>\$ 317,991</b>	<b>\$ 360,460</b>
Civil							\$ 622,363	\$ 622,363	\$ 929,248
Criminal							\$ 329,308	\$ 329,308	\$ 318,441
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 7,081	\$ 7,081	\$ 7,310
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 958,752</b>	<b>\$ 958,752</b>	<b>\$ 1,254,997</b>
Accrued Payroll									
Benefits Payable	\$ 61,564							\$ 61,564	\$ 22,645
Deferred Compensation Payable	\$ 2,170							\$ 2,170	\$ 0
Deductions Payable	\$ 47,673							\$ 47,673	\$ 0
Payroll Clearing	\$ 138,428							\$ 138,428	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 249,835</b>							<b>\$ 249,835</b>	<b>\$ 22,645</b>
Revenue Collected in Advance	\$ 1,487,940							\$ 1,487,940	\$ 601,007
Liabilities For Deposits	\$ 26,280						\$ 10,523	\$ 36,803	\$ 35,462
Jury Fees - Non-Interest							\$ 1,350	\$ 1,350	\$ 2,400
Fees - Partial Payment & Overpayment							\$ 21,448	\$ 21,448	\$ 58,318
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 1,514,220</b>						<b>\$ 33,319</b>	<b>\$ 1,547,519</b>	<b>\$ 897,187</b>
<b>Total Liabilities</b>	<b>\$ 1,828,502</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 1,245,617</b>	<b>\$ 3,074,119</b>	<b>\$ 2,335,289</b>
<b>Total Fund Balance</b>	<b>\$ (12,950)</b>	<b>\$ 128,450</b>	<b>\$ (6,789)</b>				<b>\$ 0</b>	<b>\$ 108,711</b>	<b>\$ 1,706,019</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,815,553</b>	<b>\$ 128,450</b>	<b>\$ (6,789)</b>				<b>\$ 1,245,617</b>	<b>\$ 3,182,830</b>	<b>\$ 4,041,309</b>

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2014/15											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purpose Only)	Current Budget (Annual)	2013/14	
	General	Special Revenue		Capital Projects	Debt Service					Total Funds (Info. Purpose Only)	Final Budget (Annual)
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 1,378,068	\$ 4,013					\$ 1,382,081	\$ 6,313,372	\$ 1,723,681	\$ 5,866,040	
Improvement and Modernization Fund								\$ 17,828		\$ 17,828	
Judges' Compensation (45.25)	\$ 13,125						\$ 13,125	\$ 52,500	\$ 13,125	\$ 52,500	
Court Interpreter (45.45)	\$ (8,091)						\$ (8,091)	\$ 70,000	\$ 7,620	\$ 67,243	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 127,240						\$ 127,240	\$ 730,007	\$ 143,699	\$ 738,387	
Other Miscellaneous								\$ 210,327		\$ 73,084	
	\$ 1,510,342	\$ 4,013					\$ 1,514,355	\$ 7,394,032	\$ 1,888,126	\$ 6,815,080	
Grants											
AB 1058 Commissioner/Facilitator			\$ 40,703				\$ 40,703	\$ 219,813	\$ 37,590	\$ 213,507	
Other AOC Grants								\$ 18,513	\$ 2,482	\$ 18,651	
Non-AOC Grants			\$ 40,703				\$ 40,703	\$ 238,128	\$ 40,062	\$ 230,158	
Other Financing Sources											
Interest Income	\$ 189	\$ 1					\$ 200	\$ 5,800	\$ 370	\$ 6,558	
Investment Income											
Donations	\$ 1,113						\$ 1,113	\$ 2,270		\$ 50	
Local Fees	\$ 18,997						\$ 18,997	\$ 115,910	\$ 17,545	\$ 134,371	
Non-Fee Revenues	\$ 619						\$ 619	\$ 57,540	\$ 542	\$ 53,238	
Enhanced Collections		\$ 2,328					\$ 2,328	\$ 11,140	\$ 1,123	\$ 11,982	
Escheatment											
Prior Year Revenue	\$ 2,855	\$ (4)					\$ 2,851		\$ 174		
County Program - Restricted		\$ 1,184					\$ 1,184	\$ 3,370	\$ 758	\$ 3,412	
Reimbursement Other	\$ 2,669						\$ 2,669	\$ 5,382	\$ 0	\$ 10,606	
Sale of Fixed Assets											
Other Miscellaneous	\$ 183						\$ 183	\$ 790	\$ 238	\$ 4,390	
	\$ 24,635	\$ 3,509					\$ 28,144	\$ 202,163	\$ 20,751	\$ 224,608	
<b>Total Revenues</b>	<b>\$ 1,534,877</b>	<b>\$ 7,522</b>	<b>\$ 40,703</b>				<b>\$ 1,583,202</b>	<b>\$ 7,832,348</b>	<b>\$ 1,948,959</b>	<b>\$ 7,269,843</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 809,787	\$ 2,520	\$ 25,515				\$ 837,822	\$ 3,733,338	\$ 780,088	\$ 4,013,549	
Temp Help											
Overtime	\$ 1,609		\$ 11				\$ 1,620	\$ 10,000	\$ 1,232	\$ 10,000	
Staff Benefits	\$ 503,235	\$ 1,358	\$ 12,815				\$ 517,408	\$ 2,280,027	\$ 412,105	\$ 2,224,777	
	\$ 1,414,630	\$ 3,878	\$ 38,340				\$ 1,456,849	\$ 6,023,365	\$ 1,193,425	\$ 6,248,326	
Operating Expenses and Equipment											
General Expense	\$ 108,804		\$ 3				\$ 108,807	\$ 399,648	\$ 34,875	\$ 281,823	
Printing	\$ 1,404						\$ 1,404	\$ 58,398	\$ 7,471	\$ 37,254	
Telecommunications	\$ 2,419		\$ 8				\$ 2,427	\$ 68,600	\$ 8,728	\$ 24,332	
Postage	\$ 61,941	\$ 703					\$ 62,644	\$ 83,200	\$ 30,225	\$ 65,212	
Insurance											
In-State Travel	\$ 891						\$ 891	\$ 19,025	\$ 2,348	\$ 22,201	
Out-of-State Travel											
Training			\$ 745				\$ 745	\$ 1,750	\$ 780	\$ 2,287	
Security Services	\$ 11,336		\$ 728				\$ 12,063	\$ 131,582	\$ 22,194	\$ 123,999	
Facility Operations	\$ 2,847		\$ 158				\$ 3,005	\$ 36,750	\$ 3,033	\$ 38,554	
Utilities											
Contracted Services	\$ 219,742	\$ 434	\$ 1,201				\$ 221,378	\$ 1,231,027	\$ 245,224	\$ 1,297,388	
Consulting and Professional Services	\$ 3,920						\$ 3,920	\$ 19,795	\$ 2,800	\$ 19,085	
Information Technology	\$ 27,000	\$ 125					\$ 27,125	\$ 219,529	\$ (18,974)	\$ 232,922	
Major Equipment	\$ 111,812						\$ 111,812	\$ 132,983		\$ 19,106	
Other Items of Expense	\$ 110						\$ 110		\$ 5		
	\$ 552,027	\$ 1,261	\$ 2,842				\$ 556,130	\$ 2,380,287	\$ 336,485	\$ 2,183,943	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 31,888						\$ 31,888	\$ 84,837	\$ 28,428	\$ 80,227	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (8,546)	\$ 238	\$ 6,310				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 1,600						\$ 1,600	\$ (13,089)	\$ 83		
	\$ 27,042	\$ 238	\$ 6,310				\$ 33,588	\$ 71,548	\$ 28,489	\$ 80,227	
<b>Total Expenditures</b>	<b>\$ 1,993,699</b>	<b>\$ 5,378</b>	<b>\$ 47,492</b>				<b>\$ 2,046,567</b>	<b>\$ 9,475,200</b>	<b>\$ 1,538,376</b>	<b>\$ 8,492,498</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (458,722)	\$ 2,146	\$ (6,789)				\$ (463,365)	\$ (642,860)	\$ 410,583	\$ (1,222,653)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 445,772	\$ 126,303	\$ 0				\$ 0	\$ 572,078	\$ 1,295,437	\$ 1,295,437	
Ending Balance (Deficit)	\$ (12,950)	\$ 128,450	\$ (6,789)				\$ 0	\$ (108,784)	\$ 1,708,019	\$ 72,784	



Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2014/15										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 579,529	\$ 64,893			\$ 0	\$ 694	\$ 645,115	\$ 2,991,706	\$ 515,066	\$ 3,001,830
Traffic & Other Infractions	\$ 130,147	\$ 28,291			\$ 0	\$ 96	\$ 158,534	\$ 553,663	\$ 106,730	\$ 522,038
Other Criminal Cases	\$ 119,104	\$ 3,640			\$ 0	\$ 60	\$ 122,804	\$ 528,537	\$ 99,065	\$ 524,286
Civil	\$ 123,144	\$ 4,040			\$ 0	\$ 62	\$ 127,246	\$ 427,720	\$ 112,862	\$ 450,680
Family & Children Services	\$ 155,384	\$ 13,308			\$ 0	\$ 447	\$ 169,139	\$ 434,687	\$ 129,424	\$ 442,801
Probate, Guardianship & Mental Health Services	\$ 13,485	\$ 17,440			\$ 0	\$ 7	\$ 17,440	\$ 221,209	\$ 19,880	\$ 248,358
Juvenile Dependency Services	\$ 13,485	\$ 147,696			\$ 0	\$ 7	\$ 161,188	\$ 683,205	\$ 165,972	\$ 683,698
Juvenile Delinquency Services		\$ 152			\$ 0	\$ 7	\$ 13,644	\$ 62,387	\$ 10,881	\$ 60,477
Other Court Operations		\$ 110					\$ 110	\$ 24,235	\$ 5	\$ 26,125
Court Interpreters	\$ 9,799	\$ 9,799					\$ 9,799	\$ 76,546	\$ 26,149	\$ 83,131
Jury Services	\$ 19,596	\$ 58,455	\$ 31,988		\$ 0	\$ 10	\$ 110,048	\$ 270,043	\$ 50,532	\$ 325,213
Security		\$ 12,849					\$ 12,849	\$ 137,821	\$ 22,479	\$ 130,714
<b>Trial Court Operations Program</b>	<b>\$ 1,153,875</b>	<b>\$ 360,671</b>	<b>\$ 31,988</b>		<b>\$ 0</b>	<b>\$ 1,382</b>	<b>\$ 1,547,915</b>	<b>\$ 6,411,759</b>	<b>\$ 1,259,045</b>	<b>\$ 6,499,150</b>
Enhanced Collections								\$ 11,003		
Other Non-Court Operations										
<b>Non-Court Operations Program</b>								<b>\$ 11,003</b>		
Executive Office	\$ 59,353	\$ 1,487			\$ 0	\$ 35	\$ 60,874	\$ 375,765	\$ 51,080	\$ 371,754
Fiscal Services	\$ 76,835	\$ 13,623			\$ 0	\$ 55	\$ 90,512	\$ 306,754	\$ 72,916	\$ 332,195
Human Resources	\$ 39,880	\$ 36,406			\$ 0	\$ 21	\$ 76,306	\$ 174,253	\$ 36,754	\$ 181,302
Business & Facilities Services	\$ 42,281	\$ 2,157			\$ 0	\$ 22	\$ 44,460	\$ 406,324	\$ 36,824	\$ 409,623
Information Technology	\$ 84,627	\$ 141,786			\$ 0	\$ 87	\$ 226,499	\$ 789,343	\$ 81,758	\$ 698,472
<b>Court Administration Program</b>	<b>\$ 302,974</b>	<b>\$ 195,459</b>			<b>\$ 0</b>	<b>\$ 218</b>	<b>\$ 498,651</b>	<b>\$ 2,052,438</b>	<b>\$ 279,332</b>	<b>\$ 1,993,346</b>
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program					\$ 0	\$ 1,600	\$ 2,046,567	\$ 8,475,200	\$ 1,538,376	\$ 6,492,486
<b>Total</b>	<b>\$ 1,456,849</b>	<b>\$ 556,130</b>	<b>\$ 31,988</b>		<b>\$ 0</b>	<b>\$ 1,600</b>	<b>\$ 2,046,567</b>	<b>\$ 8,475,200</b>	<b>\$ 1,538,376</b>	<b>\$ 6,492,486</b>