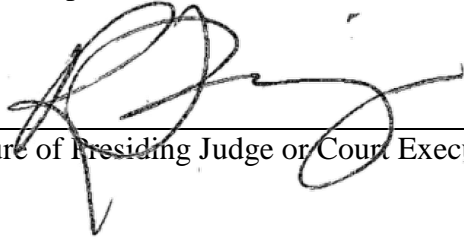


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2022/23

2021/22

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (9,762,530)	\$ 10,897,711	\$ (735,264)	\$ 208			\$ 325,376	\$ 725,502	\$ 18,150
Payroll	\$ (19,214)							\$ (19,214)	\$ (2,535)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 40,000
Other									
Distribution							\$ 2,344	\$ 2,344	\$ 37,392
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (303,600)	\$ (303,600)	\$ (1,070,368)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150					\$ 0	\$ 15,015	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 42,171,658						\$ 43,124,632	\$ 85,296,290	\$ 56,158,743
Total Cash and Cash Equivalents	\$ 32,429,779	\$ 10,897,861	\$ (735,264)	\$ 208			\$ 43,148,752	\$ 85,741,337	\$ 55,196,397
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 6,987		\$ 0					\$ 6,987	\$ 6,554
Dishonored Checks									
Due From Employee	\$ 5,948							\$ 5,948	\$ 20,342
Civil Jury Fees									
Trust							\$ 0	\$ 0	\$ 0
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 20,790	\$ 0	\$ 65,085					\$ 85,875	\$ 384,019
Due From Other Courts									
Due From State	\$ 20,880	\$ 0	\$ 755,199				\$ 0	\$ 776,079	\$ 170,871
Trust Due To/From							\$ 179,847	\$ 179,847	\$ 200,603
Distribution Due To/From							\$ 36,092	\$ 36,092	\$ 10,612
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 0
Total Receivables	\$ 54,605	\$ 0	\$ 820,284				\$ 215,939	\$ 1,090,828	\$ 793,001
Prepaid Expenses - General	\$ 82,335							\$ 82,335	\$ 19,976
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 82,335							\$ 82,335	\$ 19,976
Other Assets									
Total Other Assets									
Total Assets	\$ 32,566,719	\$ 10,897,861	\$ 85,021	\$ 208			\$ 43,364,691	\$ 86,914,499	\$ 56,009,374
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 427,833	\$ 0	\$ 33,018	\$ 0			\$ 0	\$ 460,851	\$ 393,195
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 215,939	\$ 215,939	\$ 211,214
Due to Other Courts	\$ 0	\$ 0						\$ 0	\$ 0
Due to State	\$ 2,612,728	\$ 0		\$ 0			\$ 0	\$ 2,612,728	\$ 600,274
TC145 Liability							\$ 1,118,057	\$ 1,118,057	\$ 1,513,857
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 994,537	\$ 994,537	\$ 1,260,121
Due to Other Public Agencies									
Sales and Use Tax	\$ 5,702	\$ 0	\$ 0					\$ 5,702	\$ 68
Interest							\$ 80,601	\$ 80,601	\$ 145
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 3,046,264	\$ 0	\$ 33,018	\$ 0			\$ 2,409,134	\$ 5,488,416	\$ 3,978,876
Civil							\$ 32,148,037	\$ 32,148,037	\$ 23,924,351
Criminal							\$ 7,899,635	\$ 7,899,635	\$ 6,611,597
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 1,114,926	\$ 1,114,926	\$ 970,070
Miscellaneous Trust									
Total Trust Deposits							\$ 41,162,599	\$ 41,162,599	\$ 31,506,017
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,484,680							\$ 1,484,680	\$ 1,298,354
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 237
Payroll Clearing	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,484,679	\$ 0	\$ 0					\$ 1,484,679	\$ 1,298,592
Revenue Collected in Advance	\$ 1,171,429	\$ 0	\$ 246,351					\$ 1,417,779	\$ 340,180
Liabilities For Deposits	\$ 87,126	\$ 2,759	\$ 60				\$ 36,428	\$ 126,373	\$ 156,166
Jury Fees - Non-Interest							\$ 29,469	\$ 29,469	\$ 2,186
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities							\$ (272,938)	\$ (272,938)	\$ (282,376)
Total Other Liabilities	\$ 1,258,555	\$ 2,759	\$ 246,411				\$ (207,041)	\$ 1,300,683	\$ 216,156
Total Liabilities	\$ 5,789,498	\$ 2,759	\$ 279,428	\$ 0			\$ 43,364,691	\$ 49,436,377	\$ 36,999,640
Total Fund Balance	\$ 26,777,221	\$ 10,895,102	\$ (194,408)	\$ 208				\$ 37,478,123	\$ 19,009,734
Total Liabilities and Fund Balance	\$ 32,566,719	\$ 10,897,861	\$ 85,021	\$ 208			\$ 43,364,691	\$ 86,914,499	\$ 56,009,374

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2022/23									2021/22		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 39,644,645	\$ 53,826					\$ 39,698,471		\$ 36,991,194	\$ 84,223,169	
Improvement and Modernization Fund	\$ 0						\$ 0		\$ 0	\$ 312,185	
Judges' Compensation (0150019)	\$ 182,874						\$ 182,874		\$ 182,874	\$ 715,000	
Court Interpreter (0150037)	\$ 1,822,006						\$ 1,822,006		\$ 1,694,554	\$ 5,997,690	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 266,544						\$ 266,544		\$ 300,574	\$ 1,616,369	
Other Miscellaneous		\$ 10,304,484					\$ 10,304,484			\$ 2,309,466	
	\$ 41,916,069	\$ 10,358,310					\$ 52,274,379		\$ 39,169,195	\$ 95,173,879	
Grants											
AB 1058 Commissioner/Facilitator			\$ (514)				\$ (514)			\$ 2,857,435	
Other Judicial Council Grants			\$ 52,073				\$ 52,073		\$ 19,188	\$ 945,859	
Non-Judicial Council Grants			\$ 207,135				\$ 207,135		\$ 43,216	\$ 1,498,510	
			\$ 258,694				\$ 258,694		\$ 62,404	\$ 5,301,804	
Other Financing Sources											
Interest Income	\$ 124,058	\$ 41,435		\$ 208			\$ 165,701		\$ 131	\$ 70,000	
Investment Income									\$ 3,500	\$ 7,854	
Donations									\$ 71,794	\$ 496,925	
Local Fees	\$ 8,964	\$ 53,181					\$ 62,145				
Non-Fee Revenues									\$ 229,900	\$ 1,500,000	
Enhanced Collections		\$ 163,464					\$ 163,464			\$ 25,000	
Escheatment											
Prior Year Revenue	\$ 23,164						\$ 23,164				
County Program - Restricted		\$ 3,704					\$ 3,704		\$ 1,401	\$ 108,253	
Reimbursement Other	\$ 6,987	\$ 356,658					\$ 363,645		\$ 503,226	\$ 2,893,980	
Sale of Fixed Assets											
Other Miscellaneous	\$ 2,694						\$ 2,694		\$ 2,649	\$ 7,146	
	\$ 165,867	\$ 618,443		\$ 208			\$ 784,518		\$ 812,600	\$ 5,109,158	
Total Revenues	\$ 42,081,936	\$ 10,976,753	\$ 258,694	\$ 208			\$ 53,317,591		\$ 40,044,199	\$ 105,584,841	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 9,761,966	\$ 340,415	\$ 122,178				\$ 10,224,559		\$ 9,990,899	\$ 49,098,917	
Temp Help	\$ 72,443						\$ 72,443		\$ 26,225	\$ 234,863	
Overtime	\$ 79,044	\$ 567	\$ 696				\$ 80,307		\$ 58,180	\$ 300,000	
Staff Benefits	\$ 8,040,193	\$ 706,039	\$ 79,598				\$ 8,825,831		\$ 8,781,505	\$ 33,869,395	
	\$ 17,953,646	\$ 1,047,022	\$ 202,472				\$ 19,203,139		\$ 18,856,809	\$ 83,503,175	
Operating Expenses and Equipment											
General Expense	\$ 245,615	\$ 283	\$ 20,847				\$ 266,744		\$ 313,111	\$ 1,485,780	
Printing	\$ 21,470		\$ 47				\$ 21,517		\$ 24,591	\$ 75,000	
Telecommunications	\$ 123,717		\$ 0				\$ 123,717		\$ 161,865	\$ 1,616,746	
Postage	\$ 5,171						\$ 5,171		\$ 57,157	\$ 288,700	
Insurance									\$ 1,825	\$ 50,000	
In-State Travel	\$ 45,145	\$ 36					\$ 45,181		\$ 7,726	\$ 48,863	
Out-of-State Travel	\$ 467		\$ 5,724				\$ 6,191		\$ 1,573	\$ 13,638	
Training	\$ 6,430						\$ 6,430		\$ 10,380	\$ 57,000	
Security Services	\$ 39,140						\$ 39,140		\$ 87,343	\$ 634,000	
Facility Operations	\$ 363,374						\$ 363,374		\$ 581,969	\$ 1,965,450	
Utilities	\$ 42,967						\$ 42,967		\$ 49,998	\$ 189,000	
Contracted Services	\$ 972,374	\$ 78,830	\$ 215,742				\$ 1,266,947		\$ 850,980	\$ 8,569,033	
Consulting and Professional Services	\$ 900	\$ 0					\$ 900		\$ 2,967	\$ 62,200	
Information Technology	\$ 473,884	\$ 55,308					\$ 529,191		\$ 336,371	\$ 2,551,230	
Major Equipment									\$ 115,417	\$ 162,416	
Other Items of Expense	\$ 7,153						\$ 7,153		\$ 4,434	\$ 16,958	
	\$ 2,347,808	\$ 134,456	\$ 242,360				\$ 2,724,624		\$ 2,607,707	\$ 17,786,014	
Special Items of Expense											
Grand Jury										\$ 3,412	
Jury Costs	\$ 92,752	\$ 11,928					\$ 104,680		\$ 130,657	\$ 261,640	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs				\$ 0			\$ 0		\$ 0	\$ 4,031,000	
Internal Cost Recovery	\$ (29,603)	\$ 21,333	\$ 8,270				\$ 0		\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (338)						\$ (338)				
	\$ 62,812	\$ 33,260	\$ 8,270	\$ 0			\$ 104,342		\$ 130,657	\$ 4,296,052	
Total Expenditures	\$ 20,364,266	\$ 1,214,738	\$ 453,102	\$ 0			\$ 22,032,106		\$ 21,595,173	\$ 105,585,241	
Excess (Deficit) of Revenues Over Expenditures	\$ 21,717,670	\$ 9,762,015	\$ (194,408)	\$ 208			\$ 31,285,485		\$ 18,449,027	\$ (400)	
Operating Transfers In (Out)										\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 5,059,551	\$ 1,133,087	\$ 0	\$ 0			\$ 6,192,638	\$ 6,192,638	\$ 560,707	\$ 560,707	
Ending Balance (Deficit)	\$ 26,777,221	\$ 10,895,102	\$ (194,408)	\$ 208			\$ 37,478,123	\$ 6,192,638	\$ 19,009,734	\$ 560,307	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2022/23

2021/22

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,864,430	\$ 183,463					\$ 5,047,892		\$ 5,085,359	\$ 23,083,921
Traffic & Other Infractions	\$ 603,261	\$ 1,180					\$ 604,441		\$ 652,547	\$ 2,474,220
Other Criminal Cases	\$ 2,721,608	\$ 285,413			\$ 6,191		\$ 3,013,212		\$ 2,772,045	\$ 12,556,829
Civil	\$ 1,648,000	\$ 9,448					\$ 1,657,448		\$ 1,663,739	\$ 7,000,936
Family & Children Services	\$ 2,418,509	\$ 154,707			\$ 0		\$ 2,573,216		\$ 2,497,602	\$ 12,216,929
Probate, Guardianship & Mental Health Services	\$ 647,819	\$ 1,158					\$ 648,978		\$ 657,217	\$ 2,912,869
Juvenile Dependency Services	\$ 101,390	\$ 122,759			\$ 457		\$ 224,606		\$ 91,989	\$ 608,960
Juvenile Delinquency Services	\$ 137,670	\$ 11,511					\$ 149,182		\$ 243,391	\$ 1,109,474
Other Court Operations	\$ 231,619	\$ 0					\$ 231,619		\$ 65,373	\$ 408,856
Court Interpreters	\$ 647,127	\$ 583,375				\$ 0	\$ 1,230,503		\$ 1,087,952	\$ 7,031,417
Jury Services	\$ 166,661	\$ 142	\$ 92,752				\$ 259,555		\$ 298,355	\$ 1,093,904
Security		\$ 35,438					\$ 35,438		\$ 46,991	\$ 643,425
Trial Court Operations Program	\$ 14,188,096	\$ 1,388,594	\$ 92,752		\$ 6,648	\$ 0	\$ 15,676,090		\$ 15,162,560	\$ 71,141,740
Enhanced Collections	\$ 174,952	\$ 36,879			\$ 0		\$ 211,831		\$ 282,379	\$ 1,428,267
Other Non-Court Operations	\$ 550,149	\$ 2,606	\$ 11,928				\$ 564,683		\$ 542,743	\$ 2,072,668
Non-Court Operations Program	\$ 725,101	\$ 39,485	\$ 11,928		\$ 0		\$ 776,514		\$ 825,122	\$ 3,500,935
Executive Office	\$ 775,475	\$ 162,878			\$ (6,648)	\$ (338)	\$ 931,367		\$ 1,029,330	\$ 4,360,001
Fiscal Services	\$ 737,080	\$ 30,093					\$ 767,173		\$ 644,444	\$ 3,301,210
Human Resources	\$ 1,323,337	\$ 52,058					\$ 1,375,395		\$ 1,245,005	\$ 5,600,639
Business & Facilities Services	\$ 494,863	\$ 449,276		\$ 0			\$ 944,139		\$ 1,214,490	\$ 8,492,805
Information Technology	\$ 959,187	\$ 602,241					\$ 1,561,428		\$ 1,474,222	\$ 9,187,911
Court Administration Program	\$ 4,289,942	\$ 1,296,546		\$ 0	\$ (6,648)	\$ (338)	\$ 5,579,502		\$ 5,607,490	\$ 30,942,566
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 19,203,139	\$ 2,724,624	\$ 104,680	\$ 0	\$ 0	\$ (338)	\$ 22,032,106		\$ 21,595,173	\$ 105,585,241