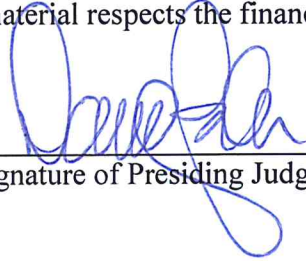


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/02/2022

Date

Santa Barbara

Court

2022-23 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2022/23									2021/22
	Governmental Funds							Total Funds <small>(Info. Purposes Only)</small>	Total Funds <small>(Info. Purposes Only)</small>
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (4,253,645)	\$ 4,636,757	\$ (229,468)				\$ 140,029	\$ 293,673	\$ 46,306
Payroll	\$ 7,158	\$ 0	\$ 0					\$ 7,158	\$ 0
Jury Revolving	\$ 20,000							\$ 20,000	\$ 20,000
Other Distribution							\$ 63,689	\$ 63,689	\$ 88,916
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (84,762)	\$ (84,762)	\$ (337,228)
Cash on Hand	\$ 7,430							\$ 7,430	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 12,647,379						\$ 4,465,012	\$ 17,112,392	\$ 17,668,931
Total Cash and Cash Equivalents	\$ 8,428,322	\$ 4,636,757	\$ (229,468)				\$ 4,583,968	\$ 17,419,579	\$ 17,494,055
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments		\$ 0						\$ 0	\$ 283,324
Due From Other Courts									
Due From State	\$ 285,373	\$ 0	\$ 148,639					\$ 434,012	\$ 542,330
Trust Due To/From							\$ 416,278	\$ 416,278	\$ 156,371
Distribution Due To/From							\$ 109,664	\$ 109,664	\$ 42,800
Civil Filing Fee Due To/From									
General Due To/From	\$ 12,764	\$ 0						\$ 12,764	\$ 17
Total Receivables	\$ 298,136	\$ 0	\$ 148,639				\$ 525,942	\$ 972,718	\$ 1,024,842
Prepaid Expenses - General	\$ 83,338							\$ 83,338	\$ 33,374
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 83,338							\$ 83,338	\$ 33,374
Other Assets									
Total Other Assets									
Total Assets	\$ 8,809,797	\$ 4,636,757	\$ (80,829)				\$ 5,109,910	\$ 18,475,635	\$ 18,552,271
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 253,662	\$ 481	\$ 0				\$ 0	\$ 254,143	\$ 35,434
Due to Other Funds	\$ 124	\$ 35,034	\$ 0				\$ 503,547	\$ 538,706	\$ 199,188
Due to Other Courts									
Due to State	\$ 439,056	\$ 1,284						\$ 440,340	\$ 0
TC145 Liability							\$ 347,165	\$ 347,165	\$ 429,490
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,898,446	\$ 1,898,446	\$ 2,188,689
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 184
Interest							\$ 8,535	\$ 8,535	\$ 27
Miscellaneous Accts. Pay. and Accrued Liab.	\$ (566)							\$ (566)	\$ (431)
Total Accounts Payable and Accrued Liab.	\$ 692,277	\$ 36,799	\$ 0				\$ 2,757,693	\$ 3,486,769	\$ 2,852,582
Civil							\$ 711,140	\$ 711,140	\$ 2,079,697
Criminal							\$ 1,568,480	\$ 1,568,480	\$ 1,801,966
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 32,227	\$ 32,227	\$ 53,844
Miscellaneous Trust									
Total Trust Deposits							\$ 2,315,576	\$ 2,315,576	\$ 3,939,235
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (267,886)	\$ 0	\$ 0					\$ (267,886)	\$ 283,144
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 346	\$ 0	\$ 0					\$ 346	\$ (670)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (267,540)	\$ 0	\$ 0					\$ (267,540)	\$ 282,475
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 70,599						\$ 33,170	\$ 103,769	\$ 93,310
Jury Fees - Non-Interest							\$ 2,116	\$ 2,116	\$ 1,340
Fees - Partial Payment & Overpayment							\$ 1,355	\$ 1,355	\$ 2,660
Uncleared Collections									
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 70,599	\$ 0	\$ 0				\$ 36,641	\$ 107,240	\$ 97,310
Total Liabilities	\$ 495,336	\$ 36,799	\$ 0				\$ 5,109,910	\$ 5,642,045	\$ 7,171,602
Total Fund Balance	\$ 8,314,461	\$ 4,599,957	\$ (80,829)					\$ 12,833,589	\$ 11,380,669
Total Liabilities and Fund Balance	\$ 8,809,797	\$ 4,636,757	\$ (80,829)				\$ 5,109,910	\$ 18,475,635	\$ 18,552,271

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2022/23										2021/22	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 11,854,227	\$ 17,073					\$ 11,871,300	\$ 27,013,490	\$ 10,994,029	\$ 26,085,628	
Improvement and Modernization Fund	\$ 20,545						\$ 20,545	\$ 169,625		\$ 256,890	
Judges' Compensation (0150019)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (0150037)	\$ 562,253						\$ 562,253	\$ 2,277,536	\$ 501,975	\$ 2,071,133	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 189,393						\$ 189,393	\$ 1,089,872	\$ 33,448	\$ 1,096,322	
Other Miscellaneous		\$ 1,328,201					\$ 1,328,201	\$ 2,825,862		\$ 2,818,754	
	\$ 12,676,292	\$ 1,345,274					\$ 14,021,567	\$ 33,575,885	\$ 11,579,327	\$ 32,528,227	
Grants											
AB 1058 Commissioner/Facilitator			\$ 87,114				\$ 87,114	\$ 825,221	\$ 103,510	\$ 785,851	
Other Judicial Council Grants	\$ 112,735		\$ (10)				\$ 112,725	\$ 28,345	\$ 200,000	\$ 139,277	
Non-Judicial Council Grants											
	\$ 112,735		\$ 87,104				\$ 199,840	\$ 853,566	\$ 303,510	\$ 925,128	
Other Financing Sources											
Interest Income	\$ 38,050	\$ 11,587					\$ 49,637	\$ 38,855	\$ 44	\$ 79,200	
Investment Income											
Donations											
Local Fees	\$ 1,091	\$ 14,835					\$ 15,925	\$ 71,721	\$ 33,417	\$ 68,555	
Non-Fee Revenues											
Enhanced Collections		\$ 116,927					\$ 116,927	\$ 1,052,618	\$ 116,553	\$ 1,111,228	
Escheatment											
Prior Year Revenue	\$ 21,092						\$ 21,092				
County Program - Restricted		\$ 16,319					\$ 16,319	\$ 217,250	\$ 27,759	\$ 218,180	
Reimbursement Other	\$ 25,401						\$ 25,401	\$ 66,675	\$ 363,126	\$ 351,121	
Sale of Fixed Assets											
Other Miscellaneous	\$ 13,304						\$ 13,304	\$ 83,705	\$ 19,363	\$ 76,745	
	\$ 98,937	\$ 159,667					\$ 258,605	\$ 1,530,824	\$ 560,263	\$ 1,905,029	
Total Revenues	\$ 12,887,965	\$ 1,504,941	\$ 87,104				\$ 14,480,011	\$ 35,960,275	\$ 12,443,100	\$ 35,358,384	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,919,508	\$ 128,714	\$ 82,473				\$ 3,130,696	\$ 18,401,311	\$ 2,912,330	\$ 17,804,754	
Temp Help	\$ 50,223		\$ 305				\$ 50,528	\$ 90,000	\$ 73,869	\$ 557,456	
Overtime	\$ 20,784						\$ 20,784	\$ 105,000	\$ 26,072		
Staff Benefits	\$ 2,100,035	\$ 78,776	\$ 60,872				\$ 2,239,683	\$ 10,830,955	\$ 2,228,515	\$ 10,049,209	
	\$ 5,090,550	\$ 207,490	\$ 143,650				\$ 5,441,690	\$ 29,427,266	\$ 5,240,786	\$ 28,411,419	
Operating Expenses and Equipment											
General Expense	\$ 153,171	\$ 1,518					\$ 154,689	\$ 874,050	\$ 161,028	\$ 699,607	
Printing	\$ 4,527	\$ 9,049					\$ 13,575	\$ 114,394	\$ 18,286	\$ 67,448	
Telecommunications	\$ 32,395	\$ 279	\$ 27				\$ 32,700	\$ 345,055	\$ 57,652	\$ 280,936	
Postage	\$ 7,228	\$ 2,463	\$ 235				\$ 9,926	\$ 180,000	\$ 21,417	\$ 103,070	
Insurance								\$ 29,000		\$ 27,150	
In-State Travel	\$ 5,126	\$ 0					\$ 5,126	\$ 24,800	\$ 4,153	\$ 23,806	
Out-of-State Travel	\$ 750						\$ 750	\$ 3,900		\$ 0	
Training	\$ 5,741						\$ 5,741	\$ 31,170	\$ 1,006	\$ 20,400	
Security Services	\$ 150,442		\$ 9,481				\$ 159,923	\$ 942,200	\$ 152,285	\$ 841,620	
Facility Operations	\$ 113,252	\$ 2,028					\$ 115,280	\$ 560,970	\$ 13,783	\$ 609,327	
Utilities											
Contracted Services	\$ 387,490	\$ 38,924	\$ 0				\$ 426,414	\$ 3,012,585	\$ 342,442	\$ 4,104,934	
Consulting and Professional Services	\$ 3,765	\$ 0					\$ 3,765	\$ 45,130	\$ (42,636)	\$ 66,768	
Information Technology	\$ 120,748	\$ 2,631					\$ 123,379	\$ 1,244,173	\$ 51,094	\$ 856,975	
Major Equipment	\$ 36,727						\$ 36,727	\$ 550,608	\$ 30,056	\$ 82,066	
Other Items of Expense	\$ 4,346		\$ 425				\$ 4,772	\$ 16,406	\$ 1,588	\$ 18,300	
	\$ 1,025,708	\$ 56,891	\$ 10,168				\$ 1,092,768	\$ 7,974,441	\$ 812,155	\$ 7,802,407	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 25,300		\$ 0	
Jury Costs	\$ 65,761						\$ 65,761	\$ 106,800	\$ 2,892	\$ 247,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (14,116)		\$ 14,116				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 74,827		
	\$ 51,646		\$ 14,116				\$ 65,761	\$ 132,100	\$ 77,718	\$ 247,000	
Total Expenditures	\$ 6,167,904	\$ 264,382	\$ 167,934				\$ 6,600,219	\$ 37,533,807	\$ 6,130,659	\$ 36,460,826	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,720,061	\$ 1,240,560	\$ (80,829)				\$ 7,879,791	\$ (1,573,532)	\$ 6,312,441	\$ (1,102,442)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,594,401	\$ 3,359,398	\$ 0				\$ 4,953,798	\$ 4,953,798	\$ 5,068,228	\$ 5,068,228	
Ending Balance (Deficit)	\$ 8,314,461	\$ 4,599,957	\$ (80,829)				\$ 12,833,589	\$ 3,380,267	\$ 11,380,669	\$ 3,965,786	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2022/23

2021/22

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,569,580	\$ 95,272	\$ 180				\$ 1,665,032	\$ 10,140,932	\$ 1,786,629	\$ 10,040,573
Traffic & Other Infractions	\$ 140,023	\$ 11,810					\$ 151,833	\$ 997,464	\$ 207,987	\$ 905,890
Other Criminal Cases	\$ 469,734	\$ 106,487					\$ 576,221	\$ 3,441,168	\$ 531,219	\$ 2,911,585
Civil	\$ 525,719	\$ 63,788	\$ 0				\$ 589,506	\$ 3,220,256	\$ 538,212	\$ 2,664,273
Family & Children Services	\$ 324,584	\$ 42,798			\$ 0		\$ 367,382	\$ 1,795,238	\$ 281,271	\$ 1,664,998
Probate, Guardianship & Mental Health Services	\$ 110,540	\$ 7,701					\$ 118,240	\$ 959,136	\$ 89,228	\$ 922,158
Juvenile Dependency Services	\$ 19,570	\$ 2,903					\$ 22,473	\$ 111,498	\$ 11,499	\$ 182,035
Juvenile Delinquency Services	\$ 61,021	\$ 6,798					\$ 67,819	\$ 398,688	\$ 63,561	\$ 291,613
Other Court Operations	\$ 457,751	\$ 44,225					\$ 501,977	\$ 1,867,614	\$ 481,093	\$ 2,463,716
Court Interpreters	\$ 345,830	\$ 196,122					\$ 541,952	\$ 2,236,535	\$ 463,106	\$ 2,880,012
Jury Services	\$ 113,260	\$ 18,176	\$ 65,581				\$ 197,017	\$ 753,630	\$ 134,479	\$ 740,933
Security		\$ 158,399					\$ 158,399	\$ 1,267,430	\$ 156,376	\$ 847,884
Trial Court Operations Program	\$ 4,137,612	\$ 754,477	\$ 65,761		\$ 0		\$ 4,957,850	\$ 27,189,590	\$ 4,744,661	\$ 26,515,670
Enhanced Collections	\$ 126,128	\$ 59,760					\$ 185,888	\$ 1,082,247	\$ 195,676	\$ 1,111,228
Other Non-Court Operations	\$ 1,606	\$ 2,598					\$ 4,204	\$ 1,228,201	\$ 860	\$ 1,572,214
Non-Court Operations Program	\$ 127,734	\$ 62,358					\$ 190,092	\$ 2,310,448	\$ 196,536	\$ 2,683,442
Executive Office	\$ 175,053	\$ 13,839					\$ 188,892	\$ 921,433	\$ 136,929	\$ 833,260
Fiscal Services	\$ 270,387	\$ 41,503					\$ 311,890	\$ 1,469,678	\$ 231,864	\$ 1,219,199
Human Resources	\$ 329,003	\$ 20,988					\$ 349,991	\$ 805,461	\$ 356,012	\$ 792,834
Business & Facilities Services		\$ 29,525					\$ 29,525	\$ 1,133,840	\$ (71,482)	\$ 985,643
Information Technology	\$ 401,901	\$ 170,079					\$ 571,980	\$ 3,703,358	\$ 536,139	\$ 3,430,778
Court Administration Program	\$ 1,176,345	\$ 275,933					\$ 1,452,277	\$ 8,033,770	\$ 1,189,462	\$ 7,261,714
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0	\$ 0	\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,441,690	\$ 1,092,768	\$ 65,761		\$ 0		\$ 6,600,219	\$ 37,533,807	\$ 6,130,659	\$ 36,460,826