

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

4/15/22

Date

ALAMEDA

Court

2021-2022 Q3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

2021-2022 Q3

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

2021-2022 Q3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	738.63	603.925	603.80	603.4	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March
 Fiscal Year 2021/22

2020/21

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (4,950,975)	\$ 7,490,517	\$ (1,614,906)	\$ 0			\$ 451,151	\$ 1,375,786	\$ 1,064,110
Payroll	\$ 1,602,582	\$ 26,685	\$ 0					\$ 1,629,266	\$ 239,069
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 66,224	\$ 66,224	\$ 247,358
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (512,426)	\$ (512,426)	\$ (1,133,975)
Credit Card									
Cash on Hand	\$ 20,090							\$ 20,090	\$ 20,090
Cash with County	\$ (8,037)							\$ (8,037)	\$ 51,383
Cash Outside of the JCC									
Cash Equivalents	\$ 24,673,208						\$ 34,380,375	\$ 59,053,583	\$ 47,894,873
Total Cash and Cash Equivalents	\$ 21,361,868	\$ 7,517,201	\$ (1,614,906)	\$ 0			\$ 34,385,323	\$ 61,649,487	\$ 48,407,907
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 16,441							\$ 16,441	\$ 30,954
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 33,179	\$ 0	\$ 288,150					\$ 321,329	\$ 419,012
Due From Other Courts									
Due From State	\$ 58,512	\$ 0	\$ 811,485					\$ 869,997	\$ 1,457,185
Trust Due To/From							\$ 362,823	\$ 362,823	\$ 1,050,280
Distribution Due To/From							\$ 137,267	\$ 137,267	\$ 146,639
Civil Filing Fee Due To/From									
General Due To/From	\$ 77,648	\$ 267,944						\$ 345,593	\$ 0
Total Receivables	\$ 185,780	\$ 267,944	\$ 1,099,635				\$ 552,026	\$ 2,105,386	\$ 3,156,008
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 21,547,648	\$ 7,785,146	\$ (515,271)	\$ 0			\$ 34,937,349	\$ 63,754,872	\$ 51,563,915
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 19,217	\$ 31,919	\$ 200	\$ 0			\$ 0	\$ 51,336	\$ 1,253,555
Due to Other Funds	\$ 20	\$ 0	\$ 0				\$ 845,662	\$ 845,682	\$ 1,196,919
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 2,448,130	\$ 2,448,130	\$ 1,605,631
Due to Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,260,363	\$ 1,260,363	\$ 1,650,759
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 1,339
Interest							\$ 3,098	\$ 3,098	\$ 540
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 19,237	\$ 31,919	\$ 200	\$ 0			\$ 4,557,254	\$ 4,608,610	\$ 5,708,743
Civil							\$ 22,820,563	\$ 22,820,563	\$ 9,955,360
Criminal							\$ 6,448,929	\$ 6,448,929	\$ 7,224,933
Unreconciled - Civil and Criminal	\$ 0						\$ 374,715	\$ 374,715	\$ 377,519
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 216,265	\$ 216,265	\$ 385,490
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 29,860,472	\$ 29,860,472	\$ 17,943,302
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (80,838)	\$ 42,931						\$ (37,907)	\$ (160,451)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,103,485	\$ (2,071)						\$ 1,101,414	\$ 2,221,913
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,022,647	\$ 40,860	\$ 0					\$ 1,063,507	\$ 2,061,462
Revenue Collected in Advance	\$ 1,864,860	\$ 5,625,789	\$ 0					\$ 7,490,649	\$ 6,104,396
Liabilities For Deposits	\$ 121,075	\$ 10,966	\$ 18,971				\$ 387,497	\$ 538,509	\$ 507,592
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 61,256	\$ 61,256	\$ 38,502
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 43,276
Other Miscellaneous Liabilities							\$ 70,871	\$ 70,871	\$ 1,902,428
Total Other Liabilities	\$ 1,985,935	\$ 5,636,755	\$ 18,971				\$ 519,624	\$ 8,161,285	\$ 8,596,194
Total Liabilities	\$ 3,027,818	\$ 5,709,535	\$ 19,171	\$ 0			\$ 34,937,349	\$ 43,693,874	\$ 34,309,700
Total Fund Balance	\$ 18,519,829	\$ 2,075,611	\$ (534,442)	\$ 0				\$ 20,060,999	\$ 17,254,215
Total Liabilities and Fund Balance	\$ 21,547,648	\$ 7,785,146	\$ (515,271)	\$ 0			\$ 34,937,349	\$ 63,754,872	\$ 51,563,915

Superior Court of California, County of Alameda
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended March											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 65,527,681	\$ 352,176			\$ 2,500,000			\$ 68,379,858	\$ 87,052,504	\$ 63,973,558	\$ 75,187,991
Improvement and Modernization Fund	\$ 185,057							\$ 185,057	\$ 391,184	\$ 302,256	\$ 474,933
Judges' Compensation (0150019)	\$ 520,128							\$ 520,128	\$ 674,552	\$ 520,128	\$ 712,500
Court Interpreter (0150037)	\$ 3,837,883							\$ 3,837,883	\$ 5,347,063	\$ 3,911,837	\$ 5,347,063
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,379,772							\$ 1,379,772	\$ 1,410,343	\$ 752,107	\$ 1,968,708
Other Miscellaneous	\$ 3,102,046							\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	\$ 3,102,046
	\$ 74,552,567	\$ 352,176			\$ 2,500,000			\$ 77,404,744	\$ 97,977,692	\$ 72,561,932	\$ 86,793,241
Grants											
AB 1058 Commissioner/Facilitator			\$ 1,486,396					\$ 1,486,396	\$ 2,061,799	\$ 1,103,602	\$ 1,715,656
Other Judicial Council Grants			\$ 287,888					\$ 287,888	\$ 693,821	\$ 248,392	\$ 667,404
Non-Judicial Council Grants	\$ 76,594		\$ 1,024,491					\$ 1,101,085	\$ 2,338,022	\$ 789,482	\$ 1,941,286
	\$ 76,594		\$ 2,798,776					\$ 2,875,369	\$ 5,093,642	\$ 2,141,476	\$ 4,324,346
Other Financing Sources											
Interest Income	\$ 27,487	\$ 6,900						\$ 34,387	\$ 487,609	\$ 83,152	\$ 114,459
Investment Income											
Donations											
Local Fees	\$ 1,726,805	\$ 441,261						\$ 2,168,066	\$ 2,800,708	\$ 2,269,147	\$ 2,777,499
Non-Fee Revenues	\$ 98,825							\$ 98,825	\$ 98,825	\$ 98,825	\$ 98,825
Enhanced Collections		\$ 2,394,909						\$ 2,394,909	\$ 2,612,146	\$ 1,008,929	\$ 1,856,677
Escheatment									\$ 59,520	\$ 1,111,059	\$ 1,126,305
Prior Year Revenue	\$ 274,712							\$ 274,712		\$ 781,456	
County Program - Restricted	\$ 0	\$ 4,323,352						\$ 4,323,352	\$ 10,233,731	\$ 1,635,958	\$ 7,692,243
Reimbursement Other	\$ 121,388							\$ 121,388	\$ 561,903	\$ 245,840	\$ 298,354
Sale of Fixed Assets											
Other Miscellaneous	\$ 59,868							\$ 59,868	\$ 58,737	\$ (27,760)	\$ 1,459
	\$ 2,309,084	\$ 7,166,421						\$ 9,475,505	\$ 16,913,179	\$ 7,206,607	\$ 13,965,821
Total Revenues	\$ 76,938,245	\$ 7,518,598	\$ 2,798,776	\$ 2,500,000				\$ 89,755,619	\$ 119,984,513	\$ 81,910,014	\$ 105,083,408
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 36,668,934	\$ 750,912	\$ 1,687,797					\$ 39,107,643	\$ 57,077,659	\$ 38,686,707	\$ 51,410,936
Temp Help	\$ 28,459		\$ 5,992					\$ 34,451	\$ (361)	\$ 79,746	\$ 265,513
Overtime	\$ 36,524							\$ 36,524		\$ 6,684	\$ 1,249
Staff Benefits	\$ 19,351,935	\$ 359,920	\$ 786,703					\$ 20,498,557	\$ 34,291,261	\$ 20,880,386	\$ 34,294,508
	\$ 56,085,852	\$ 1,110,831	\$ 2,480,491					\$ 59,677,175	\$ 91,368,559	\$ 59,653,522	\$ 85,972,206
Operating Expenses and Equipment											
General Expense	\$ 1,071,962	\$ 7,936	\$ 4,185					\$ 1,084,084	\$ 1,602,993	\$ 1,035,710	\$ 1,606,210
Printing	\$ 230,871		\$ 99					\$ 230,970	\$ 317,466	\$ 117,221	\$ 293,146
Telecommunications	\$ 208,993		\$ 4,090					\$ 213,083	\$ 388,484	\$ 187,238	\$ 420,362
Postage	\$ 278,420		\$ 37					\$ 278,458	\$ 501,975	\$ 202,897	\$ 366,270
Insurance	\$ 94,091							\$ 94,091	\$ 94,091	\$ 52,221	\$ 52,221
In-State Travel	\$ 31,722		\$ 11,855					\$ 43,577	\$ 72,805	\$ 27,159	\$ 46,329
Out-of-State Travel									\$ 12,298		\$ 5,917
Training	\$ 47,951		\$ 1,495					\$ 49,446	\$ 107,006	\$ 56,850	\$ 105,067
Security Services	\$ 1,696							\$ 1,696	\$ 24,075	\$ 38,773	\$ 39,471
Facility Operations	\$ 1,764,975							\$ 1,764,975	\$ 4,253,217	\$ 2,518,212	\$ 3,256,666
Utilities											
Contracted Services	\$ 2,128,651	\$ 2,358,351	\$ 425,242					\$ 4,912,244	\$ 6,823,769	\$ 2,988,314	\$ 5,382,317
Consulting and Professional Services	\$ 375,957	\$ 3,536,458						\$ 3,912,416	\$ 8,836,550	\$ 3,685,808	\$ 6,964,828
Information Technology	\$ 1,763,854	\$ 91,628	\$ 8,541					\$ 1,864,023	\$ 2,639,227	\$ 1,386,419	\$ 2,533,156
Major Equipment	\$ 133,207							\$ 133,207	\$ 4,770	\$ 130,956	\$ 116,791
Other Items of Expense	\$ 15,086		\$ 120,033					\$ 135,119	\$ 323,486	\$ 36,140	\$ 118,028
	\$ 8,147,439	\$ 5,994,374	\$ 575,577					\$ 14,717,390	\$ 26,002,212	\$ 12,463,917	\$ 21,306,779
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 327,854							\$ 327,854	\$ 464,930	\$ 165,634	\$ 346,810
Judgements, Settlements and Claims										\$ 23,000	\$ 23,000
Debt Service											
Other											\$ 18,414
Capital Costs											
Internal Cost Recovery	\$ (336,220)	\$ 72,268	\$ 263,951	\$ 2,500,000				\$ 0	\$ 0	\$ 0	\$ 25,188
Prior Year Expense Adjustment	\$ 66,382	\$ (4,628)	\$ (13,936)					\$ 47,818		\$ 9,426	
	\$ 58,017	\$ 67,641	\$ 250,015	\$ 2,500,000				\$ 2,875,673	\$ 2,964,930	\$ 198,060	\$ 413,412
Total Expenditures	\$ 64,291,308	\$ 7,172,846	\$ 3,306,083	\$ 2,500,000				\$ 77,270,238	\$ 120,335,701	\$ 72,315,499	\$ 107,692,397
Excess (Deficit) of Revenues Over Expenditures	\$ 12,646,937	\$ 345,752	\$ (507,307)	\$ 0				\$ 12,485,381	\$ (351,188)	\$ 9,594,516	\$ (2,608,989)
Operating Transfers In (Out)	\$ 27,208	\$ (73)	\$ (27,134)					\$ 0	\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 5,845,685	\$ 1,729,933	\$ 0	\$ 0				\$ 7,575,618	\$ 7,575,618	\$ 7,659,699	\$ 7,659,699
Ending Balance (Deficit)	\$ 18,519,829	\$ 2,075,611	\$ (534,442)	\$ 0				\$ 20,060,999	\$ 7,224,430	\$ 17,254,215	\$ 5,050,710

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2021/22									2020/21	

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 18,691,446	\$ 1,010,796				\$ 6,230	\$ 19,708,472	\$ 32,265,718	\$ 19,511,057	\$ 32,523,449
Traffic & Other Infractions	\$ 2,504,075	\$ 307,791				\$ 41	\$ 2,811,907	\$ 4,882,054	\$ 2,684,676	\$ 3,975,234
Other Criminal Cases	\$ 5,645,695	\$ 4,483,337				\$ 2,135	\$ 10,131,167	\$ 19,677,631	\$ 9,802,215	\$ 15,760,045
Civil	\$ 5,170,347	\$ 10,254				\$ 1,760	\$ 5,182,362	\$ 5,467,679	\$ 4,625,100	\$ 4,620,759
Family & Children Services	\$ 7,023,783	\$ 339,714				\$ 5,444	\$ 7,368,941	\$ 13,214,241	\$ 7,448,815	\$ 11,961,355
Probate, Guardianship & Mental Health Services	\$ 1,766,741	\$ 1,691					\$ 1,768,433	\$ 3,082,358	\$ 1,692,450	\$ 2,469,326
Juvenile Dependency Services	\$ 564,027	\$ 144,868					\$ 708,895	\$ 504,716	\$ 431,107	\$ 586,480
Juvenile Delinquency Services	\$ 763,410	\$ 609				\$ 228	\$ 764,247	\$ 1,229,220	\$ 825,832	\$ 884,355
Other Court Operations	\$ 1,175,975	\$ 86,707					\$ 1,262,682	\$ 757,473	\$ 1,189,979	\$ 1,238,918
Court Interpreters	\$ 2,960,087	\$ 426,338				\$ 4,108	\$ 3,390,532	\$ 5,920,413	\$ 3,552,073	\$ 5,180,917
Jury Services	\$ 623,006	\$ 325,425	\$ 327,854			\$ 6,187	\$ 1,282,472	\$ 1,933,819	\$ 763,668	\$ 1,369,542
Security	\$ 1,728,411	\$ 25,545				\$ 5,416	\$ 1,759,373	\$ 2,422,501	\$ 2,373,763	\$ 3,183,648
Trial Court Operations Program	\$ 48,617,005	\$ 7,163,075	\$ 327,854			\$ 31,550	\$ 56,139,483	\$ 91,357,823	\$ 54,900,735	\$ 83,754,028
Enhanced Collections	\$ 87,224	\$ 2,069,186			\$ 15,787	\$ 65	\$ 2,172,262	\$ 2,612,146	\$ 1,064,846	\$ 1,541,827
Other Non-Court Operations	\$ 371,216						\$ 371,216	\$ 524,709	\$ 355,537	\$ 514,077
Non-Court Operations Program	\$ 458,440	\$ 2,069,186			\$ 15,787	\$ 65	\$ 2,543,478	\$ 3,136,855	\$ 1,420,383	\$ 2,055,904
Executive Office	\$ 1,063,407	\$ 71,426			\$ (947)	\$ 0	\$ 1,133,886	\$ 1,341,833	\$ 907,520	\$ 1,187,286
Fiscal Services	\$ 2,618,323	\$ 504,530			\$ (4,578)	\$ 1,362	\$ 3,119,637	\$ 4,541,350	\$ 3,192,784	\$ 4,878,032
Human Resources	\$ 1,649,171	\$ 580,636			\$ (2,684)	\$ 12,196	\$ 2,239,319	\$ 2,930,507	\$ 2,680,652	\$ 2,455,254
Business & Facilities Services	\$ 645,012	\$ 1,895,723		\$ 2,500,000		\$ 2,103	\$ 5,042,838	\$ 8,136,031	\$ 2,927,672	\$ 4,353,158
Information Technology	\$ 4,625,817	\$ 2,432,815			\$ (7,578)	\$ 543	\$ 7,051,597	\$ 8,891,302	\$ 6,285,752	\$ 9,008,735
Court Administration Program	\$ 10,601,731	\$ 5,485,130		\$ 2,500,000	\$ (15,787)	\$ 16,204	\$ 18,587,277	\$ 25,841,023	\$ 15,994,381	\$ 21,882,465
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 59,677,175	\$ 14,717,390	\$ 327,854	\$ 2,500,000	\$ 0	\$ 47,818	\$ 77,270,238	\$ 120,335,701	\$ 72,315,499	\$ 107,692,397