

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Sonoma

Court

2021-2022 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2021/22

2020/21

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,419,496)	\$ 2,506,154	\$ (151,411)				\$ 48,239	\$ (16,513)	\$ 202,421
Payroll	\$ (15,075)	\$ 0						\$ (15,075)	\$ 0
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other									
Distribution							\$ 0	\$ 0	\$ 2,969
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (19,754)	\$ (19,754)	\$ (73,442)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 10,071,458						\$ 6,655,723	\$ 16,727,181	\$ 13,992,996
Total Cash and Cash Equivalents	\$ 7,659,838	\$ 2,506,154	\$ (151,411)				\$ 6,684,209	\$ 16,698,790	\$ 14,147,895
Short-Term Investment									
Investments							\$ 0	\$ 0	\$ 0
Total Investments							\$ 0	\$ 0	\$ 0
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 76,739							\$ 76,739	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts									
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ (11,391)
Trust Due To/From							\$ 11,627	\$ 11,627	\$ 7,274
Distribution Due To/From							\$ 8	\$ 8	\$ 3
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 50							\$ 50	\$ 322
Total Receivables	\$ 76,789	\$ 0	\$ 0				\$ 11,635	\$ 88,424	\$ (3,792)
Prepaid Expenses - General	\$ (75,810)							\$ (75,810)	\$ 3,574
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ (75,810)							\$ (75,810)	\$ 3,574
Other Assets									
Total Other Assets									
Total Assets	\$ 7,660,817	\$ 2,506,154	\$ (151,411)				\$ 6,695,843	\$ 16,711,404	\$ 14,147,677
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ (4,288)	\$ (230)	\$ 0				\$ 0	\$ (4,518)	\$ 223,869
Due to Other Funds	\$ 5	\$ 0	\$ 0				\$ 11,680	\$ 11,685	\$ 7,598
Due to Other Courts									
Due to State	\$ 14,587							\$ 14,587	\$ 0
TC145 Liability							\$ 511,323	\$ 511,323	\$ 437,559
Due to Other Governments							\$ 411,340	\$ 411,340	\$ 556,416
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,030							\$ 3,030	\$ 142
Interest							\$ 26	\$ 26	\$ 211
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 13,334	\$ (230)	\$ 0				\$ 934,369	\$ 947,472	\$ 1,225,795
Civil							\$ 5,025,749	\$ 5,025,749	\$ 3,345,529
Criminal							\$ 386,539	\$ 386,539	\$ 811,073
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 29,731
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 125,718	\$ 125,718	\$ 130,734
Miscellaneous Trust									
Total Trust Deposits							\$ 5,567,737	\$ 5,567,737	\$ 4,317,068
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (152,897)						\$ 0	\$ (152,897)	\$ (182,444)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (2,041)							\$ (2,041)	\$ 73,001
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (154,939)	\$ 0	\$ 0				\$ 0	\$ (154,939)	\$ (109,443)
Revenue Collected in Advance	\$ 0	\$ 781,897						\$ 781,897	\$ 0
Liabilities For Deposits	\$ 96,918	\$ 1,000	\$ 494				\$ 56,768	\$ 155,180	\$ 139,359
Jury Fees - Non-Interest							\$ 109,308	\$ 109,308	\$ 109,308
Fees - Partial Payment & Overpayment							\$ 27,661	\$ 27,661	\$ 24,851
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 96,918	\$ 782,897	\$ 494				\$ 193,737	\$ 1,074,046	\$ 273,519
Total Liabilities	\$ (44,687)	\$ 782,667	\$ 494				\$ 6,695,843	\$ 7,434,317	\$ 5,706,938
Total Fund Balance	\$ 7,705,504	\$ 1,723,488	\$ (151,904)					\$ 9,277,087	\$ 8,440,739
Total Liabilities and Fund Balance	\$ 7,660,817	\$ 2,506,154	\$ (151,411)				\$ 6,695,843	\$ 16,711,404	\$ 14,147,677

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 11,771,347	\$ 14,734					\$ 11,786,081	\$ 27,905,594	\$ 10,209,567	\$ 22,689,049	
Improvement and Modernization Fund	\$ (43,369)						\$ (43,369)	\$ 107,869	\$ (45,134)	\$ 63,901	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 415,347						\$ 415,347	\$ 1,845,876	\$ 239,500	\$ 1,837,646	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 60,355						\$ 60,355	\$ 1,856,934	\$ 1,679,926	\$ 4,300,884	
Other Miscellaneous								\$ 1,172,049		\$ 1,172,049	
	\$ 12,203,681	\$ 14,734					\$ 12,218,415	\$ 32,888,322	\$ 12,083,859	\$ 30,063,529	
Grants											
AB 1058 Commissioner/Facilitator			\$ (21,436)				\$ (21,436)	\$ 647,195	\$ (179,963)	\$ 696,214	
Other Judicial Council Grants			\$ (27,644)				\$ (27,644)		\$ (29,714)	\$ 23,200	
Non-Judicial Council Grants											
			\$ (49,080)				\$ (49,080)	\$ 647,195	\$ (209,677)	\$ 719,414	
Other Financing Sources											
Interest Income	\$ 26	\$ 20					\$ 46	\$ 40,075	\$ 306	\$ 191,815	
Investment Income											
Donations											
Local Fees	\$ (13,576)	\$ (5,566)					\$ (19,141)	\$ 225,420	\$ 337	\$ 240,670	
Non-Fee Revenues											
Enhanced Collections		\$ (140,001)					\$ (140,001)	\$ 8,280	\$ (64,915)	\$ 165,280	
Escheatment											
Prior Year Revenue								\$ (264,664)	\$ 173,339	\$ 171,828	
County Program - Restricted		\$ 240					\$ 240	\$ 4,755	\$ (26,903)	\$ 7,080	
Reimbursement Other								\$ 5,500	\$ 0	\$ 2,010,835	
Sale of Fixed Assets											
Other Miscellaneous	\$ 657						\$ 657	\$ 2,815	\$ 1,113	\$ 985	
	\$ (12,893)	\$ (145,307)					\$ (158,200)	\$ 22,181	\$ 83,277	\$ 2,788,493	
Total Revenues	\$ 12,190,788	\$ (130,573)	\$ (49,080)				\$ 12,011,135	\$ 33,557,698	\$ 11,957,459	\$ 33,571,436	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,576,404	\$ 105,591	\$ 60,774				\$ 2,742,769	\$ 12,947,421	\$ 3,042,100	\$ 12,379,443	
Temp Help											
Overtime	\$ 24,529		\$ 44				\$ 24,573		\$ 384		
Staff Benefits	\$ 2,598,554	\$ 57,914	\$ 40,982				\$ 2,697,450	\$ 14,739,647	\$ 3,028,763	\$ 14,187,751	
	\$ 5,199,487	\$ 163,505	\$ 101,800				\$ 5,464,792	\$ 27,687,068	\$ 6,071,247	\$ 26,567,194	
Operating Expenses and Equipment											
General Expense	\$ 26,973	\$ 164	\$ 542				\$ 27,678	\$ 795,574	\$ 36,509	\$ 404,515	
Printing	\$ 10,868	\$ 73	\$ 14				\$ 10,955	\$ 85,730	\$ 8,765	\$ 68,870	
Telecommunications	\$ 34,619	\$ 403	\$ 450				\$ 35,472	\$ 162,065	\$ 27,226	\$ 181,920	
Postage	\$ 14,743	\$ 151					\$ 14,894	\$ 130,915	\$ 20,686	\$ 121,250	
Insurance	\$ 109						\$ 109	\$ 11,000	\$ 54	\$ 9,540	
In-State Travel	\$ 473						\$ 473	\$ 14,240	\$ 123	\$ 11,015	
Out-of-State Travel									\$ 0	\$ 500	
Training	\$ 600						\$ 600	\$ 45,375		\$ 15,000	
Security Services	\$ 63,829						\$ 63,829	\$ 500,000	\$ 58,918	\$ 376,400	
Facility Operations	\$ (41,663)	\$ 134	\$ (134)				\$ (41,663)	\$ 295,100	\$ 115,300	\$ 1,188,380	
Utilities								\$ 200		\$ 260	
Contracted Services	\$ 287,402	\$ 138,119					\$ 425,520	\$ 3,008,702	\$ 520,487	\$ 4,243,684	
Consulting and Professional Services	\$ 2,362						\$ 2,362	\$ 67,435	\$ 609	\$ 51,520	
Information Technology	\$ 86,413	\$ 7,132	\$ 152				\$ 93,696	\$ 857,941	\$ 38,399	\$ 506,503	
Major Equipment								\$ 150,000	\$ (22,089)		
Other Items of Expense	\$ 365						\$ 365	\$ 5,010	\$ 224	\$ 2,830	
	\$ 487,093	\$ 146,175	\$ 1,024				\$ 634,292	\$ 6,129,287	\$ 805,211	\$ 7,182,187	
Special Items of Expense											
Grand Jury	\$ 2,284						\$ 2,284		\$ 628	\$ 1,000	
Jury Costs	\$ 38,704						\$ 38,704	\$ 33,400	\$ 0	\$ 155,480	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 83,898						\$ 83,898				
	\$ 124,886						\$ 124,886	\$ 33,400	\$ 628	\$ 156,480	
Total Expenditures	\$ 5,811,466	\$ 309,680	\$ 102,824				\$ 6,223,970	\$ 33,849,755	\$ 6,877,085	\$ 33,905,861	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,379,322	\$ (440,253)	\$ (151,904)				\$ 5,787,165	\$ (292,057)	\$ 5,080,374	\$ (334,425)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,326,181	\$ 2,163,741	\$ 0				\$ 3,489,922	\$ 3,489,922	\$ 3,360,365	\$ 3,360,365	
Ending Balance (Deficit)	\$ 7,705,504	\$ 1,723,488	\$ (151,904)				\$ 9,277,087	\$ 3,197,865	\$ 8,440,739	\$ 3,025,940	

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2021/22

2020/21

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,223,331	\$ 51,153				\$ 57,130	\$ 2,331,613	\$ 12,263,394	\$ 2,745,133	\$ 13,124,301
Traffic & Other Infractions	\$ 165,894	\$ 12,426					\$ 178,321	\$ 833,403	\$ 190,154	\$ 925,041
Other Criminal Cases	\$ 510,612	\$ 141,978					\$ 652,590	\$ 3,377,045	\$ 915,083	\$ 5,249,762
Civil	\$ 345,549	\$ 2,632					\$ 348,181	\$ 1,874,627	\$ 372,672	\$ 1,583,363
Family & Children Services	\$ 595,772	\$ 28,738				\$ 0	\$ 624,509	\$ 3,429,725	\$ 616,345	\$ 2,787,465
Probate, Guardianship & Mental Health Services	\$ 73,939	\$ 806					\$ 74,745	\$ 474,235	\$ 116,861	\$ 590,540
Juvenile Dependency Services		\$ 1,801					\$ 1,801	\$ 75,025	\$ 4,533	\$ 5,330
Juvenile Delinquency Services	\$ 29,521	\$ 14,423					\$ 43,944	\$ 140,023	\$ 39,001	\$ 244,678
Other Court Operations	\$ 428,233	\$ 67,847	\$ 2,284				\$ 498,363	\$ 4,519,171	\$ 574,749	\$ 3,438,440
Court Interpreters	\$ 181,090	\$ 89,409					\$ 270,499	\$ 1,641,133	\$ 326,357	\$ 1,807,880
Jury Services		\$ 19,709	\$ 38,704			\$ 26,769	\$ 85,182	\$ 234,551	\$ 30,607	\$ 223,390
Security		\$ 64,853					\$ 64,853	\$ 10,024	\$ 58,918	
Trial Court Operations Program	\$ 4,553,941	\$ 495,775	\$ 40,988			\$ 83,898	\$ 5,174,602	\$ 28,872,356	\$ 5,990,414	\$ 29,980,190
Enhanced Collections		\$ 2,067					\$ 2,067	\$ 8,280	\$ 178,950	\$ 165,280
Other Non-Court Operations										
Non-Court Operations Program		\$ 2,067					\$ 2,067	\$ 8,280	\$ 178,950	\$ 165,280
Executive Office	\$ 155,759	\$ 12,137					\$ 167,896	\$ 640,947	\$ 133,504	\$ 738,292
Fiscal Services	\$ 166,940	\$ 5,595					\$ 172,535	\$ 668,156	\$ 130,483	\$ 630,095
Human Resources	\$ 285,011	\$ 33,055					\$ 318,067	\$ 1,770,992	\$ 111,475	\$ 712,972
Business & Facilities Services	\$ 81,879	\$ 5,031					\$ 86,910	\$ 463,735	\$ 85,445	\$ 377,533
Information Technology	\$ 221,262	\$ 80,631					\$ 301,893	\$ 1,425,289	\$ 246,816	\$ 1,301,499
Court Administration Program	\$ 910,851	\$ 136,450					\$ 1,047,301	\$ 4,969,119	\$ 707,722	\$ 3,760,391
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,464,792	\$ 634,292	\$ 40,988			\$ 83,898	\$ 6,223,970	\$ 33,849,755	\$ 6,877,085	\$ 33,905,861