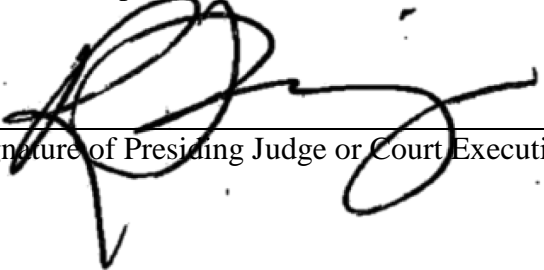


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2021/22									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2020/21 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (258,720)		\$ 322,701	\$ (303,225)	\$ 8		\$ 257,386	\$ 18,150	\$ 259,848
Payroll	\$ (2,535)							\$ (2,535)	\$ (7,752)
Jury									
Revolving	\$ 40,000							\$ 40,000	\$ 10,000
Other									
Distribution							\$ 37,392	\$ 37,392	\$ 73,661
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (1,070,368)	\$ (1,070,368)	\$ (252,065)
Credit Card									
Cash on Hand	\$ 14,865		\$ 150					\$ 15,015	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 21,323,666						\$ 34,835,077	\$ 56,158,743	\$ 45,463,463
Total Cash and Cash Equivalents	\$ 21,117,277	\$ 322,851	\$ (303,225)	\$ 8			\$ 34,059,486	\$ 55,196,397	\$ 45,562,169
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 6,554			\$ 0				\$ 6,554	\$ 755,632
Dishonored Checks									
Due From Employee	\$ 20,342							\$ 20,342	\$ 16,813
Civil Jury Fees									
Trust							\$ 0	\$ 0	\$ 9,492
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 145,430	\$ 135,747	\$ 102,841					\$ 384,019	\$ 71,477
Due From Other Courts									
Due From State	\$ 0	\$ 0	\$ 170,871					\$ 170,871	\$ 1,003,056
Trust Due To/From							\$ 200,603	\$ 200,603	\$ 154,494
Distribution Due To/From							\$ 10,612	\$ 10,612	\$ 10,366
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 6
Total Receivables	\$ 172,326	\$ 135,747	\$ 273,713				\$ 211,214	\$ 793,001	\$ 2,021,336
Prepaid Expenses - General	\$ 19,976							\$ 19,976	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 19,976							\$ 19,976	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 21,309,579	\$ 458,598	\$ (29,513)	\$ 8			\$ 34,270,701	\$ 56,009,374	\$ 47,583,505
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 367,927	\$ 4,645	\$ 20,273	\$ 0			\$ 351	\$ 393,195	\$ 944,521
Due to Other Funds	\$ 0		\$ 0				\$ 211,214	\$ 211,214	\$ 164,866
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 594,282	\$ 5,993		\$ 0				\$ 600,274	\$ 189,266
TC145 Liability							\$ 1,513,857	\$ 1,513,857	\$ 1,293,808
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,260,121	\$ 1,260,121	\$ 1,277,126
Due to Other Public Agencies									
Sales and Use Tax	\$ 68							\$ 68	\$ 378
Interest							\$ 145	\$ 145	\$ 1,266
Miscellaneous Accts. Pav. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 962,277	\$ 10,637	\$ 20,273	\$ 0			\$ 2,985,689	\$ 3,978,676	\$ 3,871,261
Civil									
Criminal									
Unreconciled - Civil and Criminal							\$ 23,924,351	\$ 23,924,351	\$ 23,680,108
Trust Held Outside of the JCC							\$ 6,611,597	\$ 6,611,597	\$ 4,959,405
Trust Interest Payable									
Miscellaneous Trust							\$ 970,070	\$ 970,070	\$ 998,442
Total Trust Deposits							\$ 31,506,017	\$ 31,506,017	\$ 29,637,956
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,298,354							\$ 1,298,354	\$ 1,537,521
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 237							\$ 237	\$ 169
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,298,592	\$ 0	\$ 0					\$ 1,298,592	\$ 1,537,710
Revenue Collected in Advance	\$ 126,591		\$ 213,589					\$ 340,180	\$ 209,834
Liabilities For Deposits	\$ 92,407	\$ 4,574	\$ 0				\$ 59,185	\$ 156,166	\$ 135,850
Jury Fees - Non-Interest							\$ 2,186	\$ 2,186	\$ 0
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities							\$ (282,376)	\$ (282,376)	\$ (219,175)
Total Other Liabilities	\$ 218,998	\$ 4,574	\$ 213,589				\$ (221,005)	\$ 216,156	\$ 126,509
Total Liabilities	\$ 2,479,867	\$ 15,211	\$ 233,862	\$ 0			\$ 34,270,701	\$ 36,999,640	\$ 35,173,436
Total Fund Balance	\$ 18,829,713	\$ 443,387	\$ (263,374)	\$ 8				\$ 19,009,734	\$ 12,410,069
Total Liabilities and Fund Balance	\$ 21,309,579	\$ 458,598	\$ (29,513)	\$ 8			\$ 34,270,701	\$ 56,009,374	\$ 47,583,505

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended September													
Fiscal Year 2021/22													
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	2020/21			
	General	Special Revenue		Capital Projects	Debt Service					Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant										
REVENUES													
State Financing Sources													
Trial Court Trust Fund	\$ 36,940,072	\$ 51,122					\$ 36,991,194	\$ 84,223,169	\$ 33,922,221	\$ 76,015,483			
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 312,185	\$ 316,924				
Judges' Compensation (0150019)	\$ 182,874						\$ 182,874	\$ 715,000	\$ 182,874	\$ 715,000			
Court Interpreter (0150037)	\$ 1,694,554						\$ 1,694,554	\$ 5,997,690	\$ 678,260	\$ 5,997,690			
Civil Coordination Reimbursement (0150091)								\$ 1,616,369	\$ 126,025	\$ 1,565,691			
MOU Reimbursements (0150010 and General)	\$ 300,574						\$ 300,574	\$ 2,309,466	\$ 2,309,466				
Other Miscellaneous													
	\$ 39,118,073	\$ 51,122					\$ 39,169,195	\$ 95,173,879	\$ 34,909,380	\$ 86,920,254			
Grants													
AB 1058 Commissioner/Facilitator								\$ 2,857,435	\$ (16,508)	\$ 2,249,839			
Other Judicial Council Grants			\$ 19,188				\$ 19,188	\$ 945,859	\$ 869,803				
Non-Judicial Council Grants			\$ 43,216				\$ 43,216	\$ 1,498,510	\$ 105,131	\$ 1,648,211			
			\$ 62,404				\$ 62,404	\$ 5,301,804	\$ 88,622	\$ 4,767,853			
Other Financing Sources													
Interest Income	\$ 116	\$ 7		\$ 8			\$ 131	\$ 70,000	\$ 977	\$ 425,000			
Investment Income													
Donations	\$ 3,500						\$ 3,500	\$ 7,854	\$ 3,000	\$ 3,000			
Local Fees	\$ 28,060	\$ 43,734					\$ 71,794	\$ 496,925	\$ 65,577	\$ 809,425			
Non-Fee Revenues													
Enhanced Collections		\$ 229,900					\$ 229,900	\$ 1,500,000	\$ 395,775	\$ 2,000,000			
Escheatment								\$ 25,000		\$ 25,000			
Prior Year Revenue													
County Program - Restricted		\$ 1,401					\$ 1,401	\$ 108,253	\$ 2,302	\$ 128,983			
Reimbursement Other	\$ 20,304	\$ 482,921					\$ 503,226	\$ 2,893,980	\$ 1,140,765	\$ 5,373,954			
Sale of Fixed Assets													
Other Miscellaneous	\$ 2,649						\$ 2,649	\$ 7,146	\$ 2,972	\$ 11,460			
	\$ 51,129	\$ 761,463		\$ 8			\$ 812,600	\$ 5,109,158	\$ 1,613,112	\$ 8,774,822			
Total Revenues	\$ 39,169,202	\$ 812,585	\$ 62,404	\$ 8			\$ 40,044,199	\$ 105,584,841	\$ 36,611,114	\$ 100,462,929			
EXPENDITURES													
Personal Services													
Salaries - Permanent	\$ 9,828,264	\$ 54,354	\$ 108,281				\$ 9,990,899	\$ 49,098,917	\$ 12,441,331	\$ 44,827,858			
Temp Help	\$ 26,225						\$ 26,225	\$ 234,863	\$ 21,821	\$ 236,360			
Overtime	\$ 58,180						\$ 58,180	\$ 300,000	\$ 1,505	\$ 100,000			
Staff Benefits	\$ 8,184,308	\$ 525,170	\$ 72,027				\$ 8,781,505	\$ 33,869,395	\$ 9,609,201	\$ 32,792,993			
	\$ 18,096,977	\$ 579,524	\$ 180,308				\$ 18,856,809	\$ 83,503,175	\$ 22,073,858	\$ 77,957,211			
Operating Expenses and Equipment													
General Expense	\$ 303,856	\$ 223	\$ 9,031				\$ 313,111	\$ 1,485,780	\$ 280,764	\$ 1,581,534			
Printing	\$ 24,591						\$ 24,591	\$ 75,000	\$ 7,419	\$ 75,000			
Telecommunications	\$ 161,865		\$ 0				\$ 161,865	\$ 1,616,746	\$ 103,755	\$ 1,110,005			
Postage	\$ 57,157						\$ 57,157	\$ 288,700	\$ 58,845	\$ 288,700			
Insurance	\$ 1,825						\$ 1,825	\$ 50,000	\$ 1,855	\$ 42,000			
In-State Travel	\$ 7,726						\$ 7,726	\$ 48,863	\$ 1,655	\$ 62,501			
Out-of-State Travel			\$ 1,573				\$ 1,573	\$ 13,638	\$ (2,107)	\$ 8,000			
Training	\$ 10,200		\$ 180				\$ 10,380	\$ 57,000	\$ (4,115)	\$ 5,000			
Security Services	\$ 26,525		\$ 60,818				\$ 87,343	\$ 634,000	\$ 0	\$ 504,000			
Facility Operations	\$ 581,969						\$ 581,969	\$ 1,965,450	\$ 570,276	\$ 2,900,300			
Utilities	\$ 49,998						\$ 49,998	\$ 189,000	\$ 33,893	\$ 189,000			
Contracted Services	\$ 659,732	\$ 133,181	\$ 58,067				\$ 850,980	\$ 6,569,033	\$ 1,362,299	\$ 6,925,134			
Consulting and Professional Services	\$ 2,967						\$ 2,967	\$ 62,200	\$ 395	\$ 57,200			
Information Technology	\$ 330,533		\$ 5,838				\$ 336,371	\$ 2,551,230	\$ 174,052	\$ 3,518,745			
Major Equipment	\$ 115,417		\$ 0				\$ 115,417	\$ 162,416	\$ 7,846	\$ 5,500			
Other Items of Expense	\$ 4,434						\$ 4,434	\$ 16,958	\$ 7,524	\$ 60,000			
	\$ 2,338,795	\$ 133,404	\$ 135,508				\$ 2,607,707	\$ 17,786,014	\$ 2,604,157	\$ 19,332,619			
Special Items of Expense													
Grand Jury									\$ 3,412	\$ 3,412			
Jury Costs	\$ 121,306	\$ 9,351					\$ 130,657	\$ 261,640	\$ 56,478	\$ 421,640			
Judgements, Settlements and Claims													
Debt Service													
Other													
Capital Costs													
Internal Cost Recovery	\$ (32,628)	\$ 22,665	\$ 9,963	\$ 0			\$ 0	\$ 4,031,000	\$ 0	\$ 3,031,000			
Prior Year Expense Adjustment							\$ 0	\$ 0	\$ 0	\$ 0			
	\$ 88,678	\$ 32,016	\$ 9,963	\$ 0			\$ 130,657	\$ 4,206,052	\$ 56,478	\$ 3,456,052			
Total Expenditures	\$ 20,524,449	\$ 744,945	\$ 325,779	\$ 0			\$ 21,595,173	\$ 105,585,241	\$ 24,734,494	\$ 100,745,882			
Excess (Deficit) of Revenues Over	\$ 18,644,753	\$ 67,640	\$ (263,374)	\$ 8			\$ 18,449,027	\$ (400)	\$ 11,876,620	\$ (282,953)			
Operating Transfers In (Out)									\$ 0	\$ 0			
Fund Balance (Deficit)													
Beginning Balance (Deficit)	\$ 184,960	\$ 375,747	\$ 0	\$ 0			\$ 560,707	\$ 560,707	\$ 533,449	\$ 533,449			
Ending Balance (Deficit)	\$ 18,829,713	\$ 443,387	\$ (263,374)	\$ 8			\$ 19,009,734	\$ 560,307	\$ 12,410,069	\$ 250,496			

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2021/22										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,911,778	\$ 173,581					\$ 5,085,359	\$ 23,083,921	\$ 6,300,927	\$ 24,464,391
Traffic & Other Infractions	\$ 647,403	\$ 5,144					\$ 652,547	\$ 2,474,220	\$ 446,245	\$ 1,420,474
Other Criminal Cases	\$ 2,674,112	\$ 98,052					\$ 2,772,045	\$ 12,556,829	\$ 4,294,524	\$ 13,645,296
Civil	\$ 1,651,394	\$ 15,278				\$ (119)	\$ 1,663,739	\$ 7,000,936	\$ 1,861,320	\$ 6,994,198
Family & Children Services	\$ 2,279,993	\$ 213,561				\$ 4,048	\$ 2,497,602	\$ 12,216,929	\$ 2,575,921	\$ 12,034,264
Juvenile Dependency Services	\$ 656,201	\$ 1,016					\$ 657,217	\$ 2,912,869	\$ 765,486	\$ 2,619,994
Juvenile Delinquency Services	\$ 40,026	\$ 51,750			\$ 211		\$ 91,989	\$ 608,960	\$ 329,609	\$ 787,314
Other Court Operations	\$ 64,130	\$ 1,243					\$ 243,391	\$ 1,109,474	\$ 247,041	\$ 969,827
Court Interpreters	\$ 776,280	\$ 311,672					\$ 1,087,952	\$ 7,031,417	\$ 1,106,113	\$ 6,297,040
Jury Services	\$ 172,795	\$ 4,253	\$ 121,306				\$ 298,355	\$ 1,093,904	\$ 200,120	\$ 1,099,480
Security	\$ 46,991	\$ 46,991					\$ 46,991	\$ 643,425	\$ 20,569	\$ 204,775
Trial Court Operations Program	\$ 14,093,998	\$ 946,049	\$ 121,306			\$ 1,207	\$ 15,162,560	\$ 71,141,740	\$ 18,237,626	\$ 70,902,429
Enhanced Collections	\$ 187,964	\$ 94,416				\$ 0	\$ 282,379	\$ 1,428,267	\$ 417,434	\$ 2,825,508
Other Non-Court Operations	\$ 530,224	\$ 3,169	\$ 9,351				\$ 542,743	\$ 2,072,668	\$ 526,128	\$ 252,054
Non-Court Operations Program	\$ 718,187	\$ 97,584	\$ 9,351			\$ 0	\$ 825,122	\$ 3,500,935	\$ 943,562	\$ 3,077,562
Executive Office	\$ 870,685	\$ 159,851				\$ (1,207)	\$ 1,029,330	\$ 4,360,001	\$ 1,014,271	\$ 3,890,851
Fiscal Services	\$ 563,331	\$ 81,113					\$ 644,444	\$ 3,301,210	\$ 832,514	\$ 3,459,876
Human Resources	\$ 1,223,054	\$ 21,951					\$ 1,245,005	\$ 5,600,639	\$ 1,149,392	\$ 1,289,622
Business & Facilities Services	\$ 537,662	\$ 676,828			\$ 0		\$ 1,214,490	\$ 8,492,805	\$ 1,162,749	\$ 8,454,865
Information Technology	\$ 849,891	\$ 624,331					\$ 1,474,222	\$ 9,187,911	\$ 1,394,379	\$ 9,670,677
Court Administration Program	\$ 4,044,624	\$ 1,564,073			\$ 0	\$ (1,207)	\$ 5,607,490	\$ 30,942,566	\$ 5,553,305	\$ 26,765,891
EXPENDITURES FROM UNRESERVED OR FUNDED BY OTHER FUNDING SOURCES										
Total	\$ 18,856,809	\$ 2,607,707	\$ 130,657		\$ 0	\$ 0	\$ 21,595,173	\$ 105,585,241	\$ 24,734,494	\$ 100,745,882