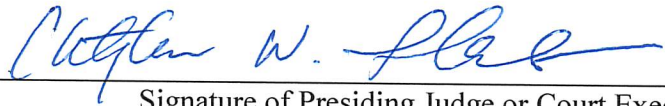


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/25/2021

Date

Orange

Court

2021-22 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2021/22

2020/21

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ 1,012,241	\$ (103,950)	\$ (1,160,234)			\$ 0	\$ 303,359	\$ 51,416	\$ 742,836
Payroll									
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution			\$ 0				\$ 258,257	\$ 258,257	\$ 256,489
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (5,045)	\$ (5,045)	\$ (7,673)
Credit Card									
Cash on Hand	\$ 26,250							\$ 26,250	\$ 26,250
Cash with County	\$ 6,908,376	\$ 0	\$ 0				\$ 31,214,275	\$ 38,122,651	\$ 38,963,916
Cash Outside of the JCC									
Cash Equivalents	\$ 27,324,442						\$ 9,557,268	\$ 36,881,710	\$ 30,468,961
Total Cash and Cash Equivalents	\$ 35,276,309	\$ (103,950)	\$ (1,160,234)			\$ 0	\$ 41,328,114	\$ 75,340,239	\$ 70,455,780
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 2,838		\$ 403					\$ 3,242	\$ 14,589
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 112,266	\$ 561,262	\$ 0				\$ 57,797	\$ 731,325	\$ 898,171
Due From Other Courts	\$ 264							\$ 264	\$ 74
Due From State	\$ 40,526	\$ 235,841	\$ 860,619					\$ 1,136,986	\$ 1,167,862
Trust Due To/From							\$ 27,837	\$ 27,837	\$ 1,606
Distribution Due To/From							\$ 691	\$ 691	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 147
Total Receivables	\$ 155,895	\$ 797,103	\$ 861,022				\$ 86,324	\$ 1,900,344	\$ 2,082,448
Prepaid Expenses - General	\$ 317,093	\$ 0	\$ 0					\$ 317,093	\$ 149,766
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 317,093	\$ 0	\$ 0					\$ 317,093	\$ 149,766
Other Assets									
Total Other Assets									
Total Assets	\$ 35,749,297	\$ 693,153	\$ (299,212)			\$ 0	\$ 41,414,438	\$ 77,557,676	\$ 72,687,994
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 63,743	\$ 63,743	\$ 125,799
Accounts Payable - General	\$ 368,377	\$ 99,174	\$ 5,421				\$ 0	\$ 472,971	\$ 765,460
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 28,527	\$ 28,527	\$ 1,752
Due to Other Courts									
Due to State	\$ 0	\$ 0	\$ 0				\$ 954,787	\$ 954,787	\$ 1,583,243
TC145 Liability							\$ 4,360,511	\$ 4,360,511	\$ 4,118,079
Due to Other Governments	\$ 0	\$ 0	\$ 0				\$ (9,113)	\$ (9,113)	\$ 0
AB145 Due to Other Government Agency							\$ 705,149	\$ 705,149	\$ 462,923
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,245	\$ 39						\$ 3,284	\$ 3,489
Interest							\$ 32	\$ 32	\$ 299
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 371,622	\$ 99,213	\$ 5,421				\$ 6,103,636	\$ 6,579,891	\$ 7,061,044
Civil							\$ 450,706	\$ 450,706	\$ 265,544
Criminal							\$ 3,626,613	\$ 3,626,613	\$ 3,202,076
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 31,217,442	\$ 31,217,442	\$ 31,940,396
Trust Interest Payable							\$ 10,219	\$ 10,219	\$ 25,024
Miscellaneous Trust									
Total Trust Deposits							\$ 35,304,980	\$ 35,304,980	\$ 35,433,040
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (215)							\$ (215)	\$ (184)
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ (215)	\$ 0	\$ 0					\$ (215)	\$ (184)
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 113,353	\$ 15,195					\$ 5,773	\$ 134,320	\$ 377,398
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 50	\$ 50	\$ 25
Uncleared Collections							\$ 0	\$ 0	\$ 24,610
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 113,353	\$ 15,195	\$ 0				\$ 5,823	\$ 134,370	\$ 402,033
Total Liabilities	\$ 484,760	\$ 114,407	\$ 5,421				\$ 41,414,438	\$ 42,019,027	\$ 42,895,933
Total Fund Balance	\$ 35,264,537	\$ 578,746	\$ (304,633)					\$ 35,538,649	\$ 29,792,061
Total Liabilities and Fund Balance	\$ 35,749,297	\$ 693,153	\$ (299,212)				\$ 41,414,438	\$ 77,557,676	\$ 72,687,994

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 66,887,333	\$ 114,350					\$ 67,001,683	\$ 160,253,362	\$ 59,870,349	\$ 140,086,326	
Improvement and Modernization Fund	\$ (320,722)						\$ (320,722)	\$ 1,378,194	\$ 0	\$ 1,907,065	
Judges' Compensation (0150019)	\$ 194,751						\$ 194,751	\$ 788,500	\$ 194,751	\$ 788,500	
Court Interpreter (0150037)	\$ 2,730,807						\$ 2,730,807	\$ 10,588,370	\$ 848,222	\$ 10,588,370	
Civil Coordination Reimbursement (0150091)								\$ 20,000		\$ 20,000	
MOU Reimbursements (0150010 and General)	\$ 610,954						\$ 610,954	\$ 10,581,184	\$ 887,129	\$ 10,930,145	
Other Miscellaneous								\$ 6,959,920		\$ 6,959,920	
	\$ 70,103,122	\$ 114,350					\$ 70,217,473	\$ 190,569,530	\$ 61,800,452	\$ 171,280,326	
Grants											
AB 1058 Commissioner/Facilitator			\$ 310,854				\$ 310,854	\$ 3,002,471	\$ 0	\$ 2,545,254	
Other Judicial Council Grants			\$ 28,565				\$ 28,565	\$ 132,366	\$ 429,688	\$ 859,689	
Non-Judicial Council Grants		\$ 0	\$ 9,472				\$ 9,472	\$ 1,429,380	\$ (2,824)	\$ 366,734	
		\$ 0	\$ 348,892				\$ 348,892	\$ 4,564,217	\$ 426,863	\$ 3,771,677	
Other Financing Sources											
Interest Income	\$ 5,316	\$ 17					\$ 5,333	\$ 300,000	\$ 15,482	\$ 700,000	
Investment Income											
Donations											
Local Fees	\$ 831,268	\$ 127,712					\$ 958,981	\$ 4,491,000	\$ 668,102	\$ 3,958,500	
Non-Fee Revenues	\$ 72,083						\$ 72,083	\$ 496,500	\$ 47,483	\$ 470,000	
Enhanced Collections		\$ 616,944					\$ 616,944	\$ 5,333,092	\$ 634,431	\$ 5,941,903	
Escheatment	\$ 0						\$ 0	\$ 100,000		\$ 800,000	
Prior Year Revenue	\$ (1,269)	\$ 44,157					\$ 42,888		\$ 86,530		
County Program - Restricted	\$ 61,009	\$ 666,959					\$ 727,968	\$ 8,606,736	\$ 751,869	\$ 8,240,751	
Reimbursement Other	\$ 154,794	\$ (11,308)	\$ 779				\$ 144,265	\$ 3,896,071	\$ 23,495	\$ 3,633,571	
Sale of Fixed Assets											
Other Miscellaneous	\$ 6,574						\$ 6,574	\$ 70,100	\$ 3,004	\$ 90,500	
	\$ 1,129,774	\$ 1,444,482	\$ 779				\$ 2,575,036	\$ 23,293,499	\$ 2,230,396	\$ 23,835,225	
Total Revenues	\$ 71,232,897	\$ 1,558,833	\$ 349,671				\$ 73,141,400	\$ 218,427,246	\$ 64,457,711	\$ 198,887,228	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 20,166,372	\$ 1,000,278	\$ 285,815				\$ 21,452,465	\$ 110,020,604	\$ 21,945,069	\$ 101,383,175	
Temp Help	\$ 144,181	\$ 4,678	\$ 5,083				\$ 153,941	\$ 1,119,207	\$ 169,766	\$ 1,395,411	
Overtime	\$ 83,884	\$ 28,502					\$ 112,386	\$ 1,133,168	\$ 98,361	\$ 1,236,052	
Staff Benefits	\$ 12,958,791	\$ 578,984	\$ 158,696				\$ 13,696,471	\$ 69,089,182	\$ 13,470,084	\$ 64,793,607	
	\$ 33,353,228	\$ 1,612,441	\$ 449,594				\$ 35,415,264	\$ 181,362,161	\$ 35,683,280	\$ 168,808,245	
Operating Expenses and Equipment											
General Expense	\$ 668,167	\$ 30,510	\$ 1,636				\$ 700,313	\$ 3,290,795	\$ 585,677	\$ 4,201,952	
Printing	\$ 28,876	\$ 6,973					\$ 35,850	\$ 273,050	\$ 30,502	\$ 370,418	
Telecommunications	\$ 218,555	\$ 31,351					\$ 249,907	\$ 1,065,576	\$ 133,311	\$ 1,441,651	
Postage	\$ 71,858	\$ 22,667					\$ 94,525	\$ 777,400	\$ 131,020	\$ 806,900	
Insurance	\$ 1,167						\$ 1,167	\$ 89,750	\$ 924	\$ 89,750	
In-State Travel	\$ 7,762	\$ 1,698	\$ 237				\$ 9,697	\$ 98,985	\$ 4,390	\$ 137,982	
Out-of-State Travel	\$ 6,670						\$ 6,670	\$ 19,990		\$ 38,304	
Training	\$ 20,771	\$ 216	\$ 0				\$ 20,987	\$ 164,750	\$ 43,278	\$ 144,151	
Security Services								\$ 60,055	\$ 6,474	\$ 110,055	
Facility Operations	\$ 409,423	\$ 295,227					\$ 704,650	\$ 2,617,098	\$ 954,180	\$ 3,277,783	
Utilities											
Contracted Services	\$ 1,376,634	\$ 890,303	\$ 20,230				\$ 2,287,167	\$ 18,263,796	\$ 2,771,060	\$ 17,783,485	
Consulting and Professional Services	\$ 295,843						\$ 295,843	\$ 1,151,675	\$ 286,235	\$ 1,238,913	
Information Technology	\$ 1,340,881	\$ 10,320	\$ 27,620				\$ 1,378,822	\$ 5,694,893	\$ 2,018,090	\$ 7,120,929	
Major Equipment	\$ 17,300	\$ 2,608	\$ 76,845				\$ 96,753	\$ 2,956,847	\$ 651,450	\$ 764,819	
Other Items of Expense	\$ 1,067						\$ 1,067	\$ 13,750	\$ 1,548	\$ 22,700	
	\$ 4,464,974	\$ 1,291,875	\$ 126,568				\$ 5,883,416	\$ 36,538,410	\$ 7,618,140	\$ 37,549,792	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 143,115						\$ 143,115	\$ 891,000	\$ 62,510	\$ 891,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (70,567)		\$ 70,567				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (11,463)	\$ 2,135	\$ 7,576				\$ (1,753)		\$ 15,267		
	\$ 61,085	\$ 2,135	\$ 78,142				\$ 141,362	\$ 891,000	\$ 77,777	\$ 891,000	
Total Expenditures	\$ 37,879,287	\$ 2,906,451	\$ 654,304				\$ 41,440,042	\$ 218,791,571	\$ 43,379,196	\$ 207,249,037	
Excess (Deficit) of Revenues Over Expenditures	\$ 33,353,610	\$ (1,347,618)	\$ (304,633)				\$ 31,701,358	\$ (364,325)	\$ 21,078,515	\$ (8,361,809)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,910,927	\$ 1,926,364	\$ 0				\$ 3,837,291	\$ 3,837,291	\$ 8,713,546	\$ 8,713,546	
Ending Balance (Deficit)	\$ 35,264,537	\$ 578,746	\$ (304,633)				\$ 35,538,649	\$ 3,472,966	\$ 29,792,061	\$ 351,738	

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2021/22									2020/21	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 13,696,265	\$ 286,830			\$ 55,514		\$ 14,038,609	\$ 76,949,714	\$ 13,378,227	\$ 72,275,594
Traffic & Other Infractions	\$ 855,054	\$ 67,614					\$ 922,668	\$ 5,206,345	\$ 1,121,778	\$ 5,209,672
Other Criminal Cases	\$ 1,778,864	\$ 683,738				\$ 0	\$ 2,462,601	\$ 14,393,141	\$ 2,416,385	\$ 14,322,085
Civil	\$ 1,229,712	\$ 195,141					\$ 1,424,853	\$ 8,174,550	\$ 1,482,462	\$ 9,324,619
Family & Children Services	\$ 1,817,452	\$ 58,813			\$ 15,053		\$ 1,891,318	\$ 10,325,568	\$ 1,927,476	\$ 10,372,940
Probate, Guardianship & Mental Health Services	\$ 802,573	\$ 35,539				\$ 0	\$ 838,111	\$ 4,687,574	\$ 910,592	\$ 4,787,886
Juvenile Dependency Services	\$ 352,367	\$ 1,061,303					\$ 1,413,670	\$ 9,695,508	\$ 1,710,320	\$ 9,094,015
Juvenile Delinquency Services	\$ 224,507	\$ 45,669				\$ (1,213)	\$ 268,963	\$ 2,706,651	\$ 592,188	\$ 2,738,493
Other Court Operations	\$ 4,316,310	\$ 315,235				\$ 0	\$ 4,631,545	\$ 25,374,301	\$ 4,337,367	\$ 24,028,425
Court Interpreters	\$ 1,781,437	\$ 86,306					\$ 1,867,743	\$ 11,418,314	\$ 2,044,392	\$ 13,029,825
Jury Services	\$ 325,202	\$ 11,687	\$ 143,115			\$ (1,285)	\$ 478,720	\$ 3,171,521	\$ 458,289	\$ 3,038,279
Security	\$ 372,545	\$ 74,279					\$ 446,824	\$ 2,105,771	\$ 472,844	\$ 2,381,692
Trial Court Operations Program	\$ 27,552,289	\$ 2,922,153	\$ 143,115		\$ 70,567	\$ (2,498)	\$ 30,685,626	\$ 174,208,958	\$ 30,852,320	\$ 170,603,525
Enhanced Collections	\$ 780,715	\$ 96,216					\$ 876,931	\$ 5,333,092	\$ 973,092	\$ 6,641,903
Other Non-Court Operations	\$ 449,191	\$ 8,279					\$ 457,470	\$ 2,435,636	\$ 434,295	\$ 1,548,496
Non-Court Operations Program	\$ 1,229,906	\$ 104,495					\$ 1,334,401	\$ 7,768,728	\$ 1,407,386	\$ 8,190,399
Executive Office	\$ 106,020	\$ (400)					\$ 105,620	\$ 525,974	\$ 97,894	\$ 512,039
Fiscal Services	\$ 1,168,272	\$ 321,354			\$ (70,567)		\$ 1,419,059	\$ (1,099,956)	\$ 1,606,868	\$ (8,217,286)
Human Resources	\$ 2,654,658	\$ 9,394				\$ (20)	\$ 2,664,031	\$ 8,961,446	\$ 2,983,933	\$ 8,934,001
Business & Facilities Services	\$ 1,442,053	\$ 1,217,526				\$ 764	\$ 2,660,344	\$ 14,679,357	\$ 2,837,883	\$ 13,392,841
Information Technology	\$ 1,262,067	\$ 1,308,895					\$ 2,570,961	\$ 13,747,064	\$ 3,592,911	\$ 13,833,518
Court Administration Program	\$ 6,633,070	\$ 2,856,768			\$ (70,567)	\$ 744	\$ 9,420,015	\$ 36,813,885	\$ 11,119,490	\$ 28,455,113
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 35,415,264	\$ 5,883,416	\$ 143,115		\$ 0	\$ (1,753)	\$ 41,440,042	\$ 218,791,571	\$ 43,379,196	\$ 207,249,037